

The University of Texas at San Antonio

# UTSA Auditing & Consulting Services

**FY 2021 Texas Education Code §51.9337**

**Compliance Audit**

Project# 2021-05

March 18, 2021

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# Report #2021-05 – Texas Education Code §51.9337 Compliance Audit

## Conclusion

UTSA has adopted the policies outlined in TEC §51.9337.

As required by TEC §51.9337, the Chief Audit Executive will submit this internal audit report to the Texas State Auditor's Office (SAO) certifying that UTSA has the required procurement policies in place.

### Objectives and Scope:

Ensure UTSA complies with Texas Education Code (TEC) §51.9337 which requires procurement policies including:

- A Contract Management Handbook (CMH) with consistent guidance on contract review procedures and risk analysis procedures.
- Delegation of contracting authority.
- Training for purchasing and contracting staff.
- Code of ethics, standards of conduct, and policies on conflict of interest, conflicts of commitment, outside activities, and use of institutional resources and training.
- Fraud investigation policies and internal audit risk assessment processes.

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