

THE UNIVERSITY OF TEXAS SYSTEM ADMINISTRATION

CHANCELLOR'S DOCKET NO. 48

Regental approval
via the Finance
and Facilities
Committee.

September 7, 1989

TO MEMBERS OF THE FINANCE AND FACILITIES COMMITTEE:

Mr. W. A. "Tex" Moncrief, Jr., Chairman
Mr. Louis A. Beecherl, Jr.
Mr. Jack S. Blanton
Mr. Tom Loeffler

The Docket for The University of Texas System Administration and the Dockets recommended by the Chief Administrative Officers concerned and prepared by the component institutions listed below are herewith submitted for ratification or approval, as appropriate, at the meeting of the U. T. System Board of Regents on October 13, 1989. The appropriate Executive Vice Chancellors and I concur in these recommendations.

This docket is the first one that reflects the changes in procedures designed to reduce the size and volume of the docket as requested by the Board. The changes in rules and procedures conform to the recommendations previously reviewed with the U. T. Board of Regents as a means of accomplishing this objective.

<u>Institutions</u>	<u>Pages</u>
The University of Texas System Administration	C-1 through C-12
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The University of Texas Southwestern Medical Center at Dallas	HD-1 through HD-10
The University of Texas Medical Branch at Galveston	G-1 through G-12
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The University of Texas M. D. Anderson Cancer Center	CC-1 through CC-8
The University of Texas Health Center at Tyler	HT-1 through HT-3

Hans Mark

Hans Mark
Chancellor

HM:nml

xc: Mr. William F. Roden
Mr. Sam Barshop
Mr. Robert J. Cruikshank
Mr. Mario E. Ramirez, M.D.

Mr. Shannon H. Ratliff
Dr. James P. Duncan
Dr. Charles B. Mullins

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THE UNIVERSITY OF TEXAS SYSTEM ADMINISTRATION

U. T. BOARD OF REGENTS

OCTOBER 13, 1989

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THE UNIVERSITY OF TEXAS SYSTEM ADMINISTRATION

U. T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

BUSINESS CONTRACTS FOR SERVICES

(Funds Going Out)

The following contract has been awarded, has been administratively approved by the Chancellor, and is recommended for ratification by the U. T. Board of Regents:

1. Agency: American General Group Insurance and American Health Network Retention and Administrative Agreement
- Funds: Various Retention charges based on type of insurance
- Period: September 1, 1988 and ending on the termination date of the Policy
- Title/Description: Administration of the U. T. System Group health plan

THE UNIVERSITY OF TEXAS SYSTEM ADMINISTRATION

U. T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

Contracts and Grants for Research, Development, Clinical
and Educational Services

Since the Docket for the previous meeting of the U. T. Board of Regents, the following activity has taken place with regard to Contracts and Grants for Research, Development, Clinical and Educational Services:

The contracts, grants and agreements for research, development and educational services summarized or listed above have been processed and approved in accordance with the Regents' Rules and Regulations, Part Two, Chapter XI.

- I. Non-Governmental Contracts and Grants (Funds Coming In)
 - a. none Number of Initial Grant and Contract Awards
\$ none Value of Awards
 - b. none Number of Fiscal Amendments to Grant and Contract Awards (Increasing or Decreasing by \$10,000)
\$ none Total of Increased Funding
\$ none Total of Reduced Funding
- II. Non-Governmental Contracts and Grants (Funds Going Out)
 - a. none Number of Initial Grant and Contract Awards
\$ none Value of Awards
 - b. none Number of Fiscal Amendments to Grant and Contract Awards (Increasing or Decreasing by \$10,000)
\$ none Total of Increased Funding
\$ none Total of Reduced Funding
- III. State and Local Government Contracts and Grants (Funds Coming In)
 - a. 1989 C1-2 Number of Initial Grant and Contract Awards
\$ 20,000 Value of Awards
 - b. none Number of Fiscal Amendments to Grant and Contract Awards (Increasing or Decreasing by \$10,000)
\$ none Total of Increased Funding
\$ none Total of Reduced Funding
- IV. State and Local Government Contracts and Grant (Funds Going Out)
 - a. 1989 C3-4 Number of Initial Grant and Contract Awards
\$ 27,000 Value of Awards
 - b. none Number of Fiscal Amendments to Grant and Contract Awards (Increasing or Decreasing by \$10,000)
\$ none Total of Increased Funding
\$ none Total of Reduced Funding
- V. Federal Government Contracts and Grants (Funds Coming In)
 - a. none Number of Initial Grant and Contract Awards
\$ none Value of Awards
 - b. none Number of Fiscal Amendments to Grant and Contract Awards (Increasing or Decreasing by \$10,000)
\$ none Total of Increased Funding
\$ none Total of Reduced Funding
- VI. Federal Government Contracts and Grants (Funds Going Out)
 - a. none Number of Initial Grant and Contract Awards
\$ none Value of Awards
 - b. none Number of Fiscal Amendments to Grant and Contract Awards (Increasing or Decreasing by \$10,000)
\$ Total of Increased Funding
\$ Total of Reduced Funding

THE UNIVERSITY OF TEXAS SYSTEM ADMINISTRATION

U. T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

SYSTEM-WIDE PERSONNEL PAY PLAN RECOMMENDATION

ADJUSTMENTS TO THE 1988-89 SYSTEM-WIDE PERSONNEL PAY PLAN

Ratification is requested for the following adjustments to the 1988-89 System-wide Personnel Pay Plan:

ADDITIONS

<u>Code</u>	<u>Title</u>	<u>System-wide Annual Salary Range</u>
1004	Assistant Director, Staff Development	31,188 - 49,824
1185	Radiology Film File Administrator	28,212 - 45,060
1186	Radiology Film File Coordinator	19,524 - 31,188
1187	Radiology Film File Clerk III	13,284 - 20,880
1188	Radiology Film File Clerk II	11,352 - 17,652
1189	Radiology Film File Clerk I	10,344 - 15,048
1288	Child Life Coordinator	24,684 - 40,776
1411	Pharmacy Support Systems Manager	28,212 - 46,596
1978	Medical Staff Coordinator	18,888 - 30,168
4317	Clinical Engineering Specialist III	35,664 - 58,884
4318	Clinical Engineering Specialist II	32,280 - 53,280
4319	Clinical Engineering Specialist I	29,160 - 48,204
4475	Research Investigator	27,312 - 45,060
4476	Research Laboratory Coordinator	27,312 - 45,060
5296	Exterminator/Pest Control Technician II	17,652 - 29,160
6165	Supervisor, Dining Room Attendants	16,008 - 26,412
8107	Instructional Developer II	24,684 - 40,776
8108	Instructional Developer I	20,196 - 33,360
8334	Artist Supervisor	23,076 - 38,124
8367	Technical Stage Director	17,088 - 23,076
9001	Institutional Coordinator, Research Review	25,524 - 35,664
9016	Administrative Services Manager	25,524 - 48,204
9024	Records Technician	12,864 - 17,652
9030	Lands Records Administrator	31,188 - 45,060

ADJUSTMENTS IN SALARY RANGE

		<u>System-wide Annual Salary Range</u>	
		<u>From</u>	<u>To</u>
1018	Nurse Anesthetist I (Cancer Center addition with institutional range of 40,776 - 67,320)	24,684 - 48,204	24,684 - 67,320
1055	Preventive Medicine Clinician	23,076 - 38,124	24,684 - 40,776
1069	Surgical Technologist II	15,516 - 25,524	15,516 - 26,412
1073	Vocational Nurse I	13,704 - 25,524	13,704 - 26,412
1221	Physical Therapist II	22,320 - 43,584	22,320 - 46,596
1226	Physical Therapist Assistant	14,136 - 28,212	14,136 - 29,160
1303	Assistant Chief Medical Records Administrator	26,412 - 43,584	30,168 - 49,824
1304	Medical Record Administrator II (Cancer Center addition with institutional range of 26,412 - 43,584)	23,076 - 38,124	23,076 - 43,584

THE UNIVERSITY OF TEXAS SYSTEM ADMINISTRATION

U. T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

SYSTEM-WIDE PERSONNEL PAY PLAN RECOMMENDATION

(continued)

ADJUSTMENTS IN SALARY RANGE

		System-wide Annual Salary Range	
		From	To
1314	Quality Assurance Coordinator/Manager (Cancer Center addition with institutional range of 31,188 - 51,528)	28,212 - 45,060	28,212 - 51,528
1319	Supervisor, Medical Records Abstracting (UTMB addition with institutional range of 16,008 - 25,524)	16,524 - 23,076	16,008 - 25,524
1331	Medical Care Analyst II (Cancer Center addition with institutional range of 27,312 - 45,060)	24,684 - 39,420	24,684 - 45,060
1509	Social Work Research and Education Coordinator	29,160 - 48,204	30,168 - 49,824
1510	Social Work Supervisor	23,076 - 48,204	23,076 - 49,824
1518	Social Worker IV	22,320 - 38,124	22,320 - 45,060
1519	Social Worker III	20,880 - 40,776	20,880 - 42,156
1520	Social Worker II	18,888 - 36,888	18,888 - 38,124
1521	Social Worker I (Cancer Center addition with institutional range of 20,880 - 34,476)	16,524 - 27,312	16,524 - 34,476
1635	Cytotechnologist III	23,868 - 42,156	22,320 - 36,888
1636	Cytotechnologist II	15,516 - 32,280	15,516 - 33,360
1637	Cytotechnologist I	19,524 - 39,420	18,252 - 30,168
1645	Technical Director/Chief Histology Laboratory	24,684 - 40,776	25,524 - 42,156
1647	Assistant Chief Histology Technician	20,880 - 35,664	20,880 - 36,888
1648	Histology Technician III	18,888 - 33,360	18,888 - 34,476
1649	Histology Technician II	15,516 - 31,188	15,516 - 32,280
1650	Histology Technician I	13,284 - 28,212	13,284 - 29,160
1745	Manager, Donor Operations	17,652 - 29,160	28,212 - 46,596
1755	Physician's Assistant II	23,076 - 43,584	26,412 - 49,824
1787	Assistant Director, Materials Management (HCPC addition with institutional range of 27,312 - 45,060)	28,212 - 45,060	27,312 - 45,060
1815	Maxillofacial Prosthetic Technician II	18,252 - 33,360	18,252 - 40,776
1816	Maxillofacial Prosthetic Technician I	16,524 - 28,212	16,524 - 33,360
3608	Assistant to the Director of Admissions (UT El Paso addition with institutional range of 15,048 - 20,196)	16,524 - 21,576	15,048 - 21,576

THE UNIVERSITY OF TEXAS SYSTEM ADMINISTRATION

U. T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

SYSTEM-WIDE PERSONNEL PAY PLAN RECOMMENDATION

(continued)

4381	Electron Microscopy Technician III (Cancer Center addition with institutional range of	18,888 - 29,160	18,888 - 40,776 24,684 - 40,776)
4383	Electron Microscopy Technician II	17,652 - 34,476	17,652 - 35,664
4412	Senior Research Assistant	17,652 - 38,124	17,652 - 39,420
4413	Research Assistant II	18,252 - 32,280	18,252 - 33,360
4455	Animal Attendant III (UTMB addition with institutional range of	13,284 - 20,880	12,456 - 20,880 12,456 - 19,524)
5295	Exterminator/Pest Control Technician I (Cancer Center addition with institutional range of	11,700 - 15,048	11,700 - 25,524 15,516 - 25,524)
5326	Communications Technician (UT Dallas addition with institutional range of	17,088 - 30,168	17,088 - 34,476 26,412 - 34,476)
5420	Landscape Supervisor (Cancer Center addition with institutional range of	22,320 - 39,420	21,576 - 39,420 21,576 - 35,664)
6159	Food Service Supervisor IV (Cancer Center addition with institutional range of	18,888 - 26,412	18,888 - 33,360 20,196 - 33,360)
6208	Assistant Director/Manager, Housekeeping Services	18,252 - 34,476	20,880 - 34,476
6462	Inventory Clerk III	13,284 - 21,576	13,704 - 21,576
6463	Inventory Clerk II	11,700 - 18,252	12,084 - 18,252
6464	Inventory Clerk I	9,420 - 14,580	9,420 - 16,008
8160	Coordinator II, Residency Training Program (UTMB additional with institutional range of	18,252 - 29,160	18,252 - 31,188 19,524 - 31,188)
8210	Translator/Interpreter (Cancer Center addition with institutional range of	17,088 - 27,312	17,088 - 33,360 20,196 - 33,360)
8368	Manager, Publicity, Promotion and Public Relations (UT El Paso addition with institutional range of	21,576 - 29,160	18,888 - 29,160 18,888 - 24,684)
8531	Marketing Research/Marketing Coordinator/Manager (Cancer Center addition with institutional range of	18,888 - 31,188	18,888 - 39,420 23,868 - 39,420)
8609	Assistant Director/Manager, News and Information Service (UTMB addition with institutional range of	19,524 - 33,360	19,524 - 42,156 26,412 - 42,156)
8649	Editor IV	21,576 - 43,584	21,576 - 45,060
8650	Editor III	20,196 - 36,888	20,196 - 40,776
8651	Editor II	16,524 - 32,280	16,524 - 36,888
8652	Editor I	14,580 - 29,160	14,580 - 34,476
8721	Offset Press Operator IV	16,008 - 34,476	16,008 - 39,420

THE UNIVERSITY OF TEXAS SYSTEM ADMINISTRATION

U. T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

SYSTEM-WIDE PERSONNEL PAY PLAN RECOMMENDATION

(continued)

8722	Offset Press Operator III (HSC Houston addition with institutional range of	14,580 - 26,412	14,580 - 30,168
9064	Coordinator of Information Systems (Cancer Center addition with institutional range of	16,008 - 39,420	16,008 - 49,824
9065	Information Systems Technician/Specialist (Cancer Center addition with institutional range of	17,088 - 26,412	17,088 - 38,124
9113	Development Specialist (Cancer Center addition with institutional range of	25,524 - 40,776	25,524 - 42,156
9190	Payroll Technician/Analyst II (HCPC addition with institutional range of	15,048 - 26,412	14,136 - 26,412
9335	Computer Programmer/Services Assistant II	13,284 - 42,156	13,284 - 33,360
9371	Documentation Specialist I (Cancer Center addition with institutional range of	16,524 - 28,212	16,524 - 36,888
9410	Legal Assistant (HSC Houston addition with institutional range of	15,048 - 28,212	15,048 - 35,664
9535	Personnel/Human Resources Representative I	17,652 - 36,888	17,652 - 38,124
9543	Personnel/Human Resources Assistant/Specialist I	13,284 - 30,168	13,284 - 33,360

The above referenced adjustments reflect those actions necessary to establish or maintain internal and external equity and/or accurately define and classify jobs. Salary ranges are supported by compensation data for the area concerned. These adjustments have been initiated by the various component institutions, appropriately reviewed, and have received the approval of the Office of the Chancellor.

THE UNIVERSITY OF TEXAS SYSTEM ADMINISTRATION

U. T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

AMENDMENTS TO THE 1988-89 and 1989-90 BUDGETS

The term "rate" is the full-time twelve-month rate, the appointee receiving a proportionate amount depending upon the fraction of time for which he is appointed and the period of his appointment. Source of funds for payment of salaries, unless otherwise shown, is the departmental salaries account.

<u>Item, Department, Title, Name</u>	<u>Effective Date</u>	<u>% Time</u>	<u>Full-time Salary</u>		<u>RBC</u>
			<u>No. Mos.</u>	<u>Rate</u>	
<u>APPOINTMENTS, PROMOTIONS, AND SALARY INCREASES (1989-90)</u>					
AVAILABLE UNIVERSITY FUND					
Office of General Counsel					
Attorney					
1. Priscilla A. Lozano	9/1	100	09	\$50,000	D3
CURRENT RESTRICTED FUNDS					
Harry Ransom Biography					
Professor - Author					
2. Alan D. Gribben	9/1	100	09	47,200	D1

TRANSFERS OF FUNDS (1988-89)

AVAILABLE UNIVERSITY FUND

3. Amount of Transfer - \$250,000

D96

From: Allocation for Operations and Capital Improvement

To: UTSWMC - Dallas - Nobel Laureate Research Grants

Transfer funds in accordance with action of the U. T. Board of Regents December 8, 1988.

REVOLVING FUNDS

4. Amount of Transfer - \$300,000

D91

From: Worker's Compensation Insurance - Prior Year Balances

To: Compensation Benefits for Injury

To provide funds for the unusually large number of settlements and higher than expected medical costs for 1988-89. The number of claimants on weekly compensation has increased from 145 to 235 since August 31, 1988.

PLANT FUNDS

5. Amount of Transfer - \$740,000

D92

From: CTJ Complex Renovation and Repairs

To: Project 101-400 Repair and Remodeling CTJ Park Complex - Available University Fund

Transfer funds to establish Plant Funds project.

6. Amount of Transfer - \$265,000

D97

From: Project 102-621B Repair and Rehabilitation (88-89) Allotment Account

To: O. Henry Hall - Install New Air Handlers

To set up project account as authorized by the U. T. Board of Regents at their meeting June 9, 1988 for Repair and Rehabilitation Projects from PUF Bond Proceeds. See page 60 of the minutes of the meeting.

7. Amount of Transfer - \$900,000

D93 & D94

From: Allocation for Operations and Capital Improvement

To: Project 101-400 Repairs and Remodeling - CTJ Park Complex - Available University Fund

Transfer funds for O. Henry Hall renovation project authorized by the U. T. Board of Regents at the August 10, 1989 meeting.

8. Amount of Transfer - \$125,000

D95

From: Interest on Construction Funds Time Deposits

To: Bauer House Roof Repairs and Renovation

Transfer funds for project authorized by the U. T. Board of Regents at the August 10, 1989 meeting.

TRANSFERS OF FUNDS (1989-90)

PLANT FUNDS

9. Amount of Transfer - \$65,000

D2

From: Repair and Rehabilitation (1989-90) Allotment Account

To: O. Henry Hall - H/VAC Replacement

Transfer funds to set up project authorized by the U. T. Board of Regents at their meeting August 10, 1989 from Permanent University Fund Bond Proceeds. See page F&F 20 of the Material Supporting the Agenda.

THE UNIVERSITY OF TEXAS SYSTEM ADMINISTRATION

U. T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

LAND AND INVESTMENT REPORT

LAND AND INVESTMENT REPORT
Meeting of October 13, 1989

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I. LAND MATTERS

LAND MATTERS

Easement and Surface Lease Nos. 7455 - 7498, Assignment of Lease Nos. 5004, 5127, 5188, 5331, 5332, 5576, 5581, 6121 & 6950, Flexible Grazing Leases Nos. 0138 - 0141, Potable/Industrial Water Contracts Nos. 217W & 219W, Non-Potable Waterflood Contract No. 254W and Salt Water Disposal Contract No. 67D.--It is recommended by the Executive Vice Chancellor for Asset Management that the following applications for easements and surface leases, assignment of leases, flexible grazing leases, potable/industrial water contracts, non-potable waterflood contract and salt water disposal contract be approved and the appropriate filing fees be accepted. All have been approved as to content by the appropriate officials. Payment for each has been received unless otherwise indicated, and each document is on the University's standard form when applicable and is at the standard rate effective February 1, 1986, unless otherwise indicated.

Easements and Surface Leases Nos. 7455 - 7498

No.	Company	Type Permit	County	Location (Block #)	Distance or Area	Period	Consideration
7455	Eddins-Walcher Company	Surface Lease Self Service Station	Reagan	11	1.837 acres approximately	8/1/89 7/31/90	\$ 900.00*
7456	El Paso Natural Gas Company (Renewal of #4953)	Surface Lease Microwave Repeater Station	Hudspeth	G	1.111 acres approximately	12/1/89 11/30/99	5,000.00
7457	Globe Well Service (Renewal of #5029)	Surface Lease Office & Supply Store	Reagan	11	1.905 acres approximately	8/1/89 7/31/90	500.00*
7458	GWS & C, Inc. (Renewal of #5030)	Surface Lease Office & Truck Yard	Reagan	11	3.431 acres approximately	8/1/89 7/31/90	1,100.00*
7459	Apache Gas Corporation (Renewal of #5055)	Surface Lease Compressor Station	Crockett	29	2.00 acres approximately	9/1/89 8/31/99	4,000.00
7460	Kemper Operating Company	Pipe Line Water	Andrews	14	297.30 rods of 3" line	6/1/89 5/31/99	1,486.50
7461	Saxon Oil Company (Renewal of #4825)	Pipe Line Water	Reagan	10	474.00 rods of 3" line	3/1/89 2/28/99	2,210.00

*Renewable from year to year, but not to exceed a total of ten years. Negotiated by the Manager of University Lands - Surface Interests.

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No.	Company	Type Permit	County	Location (Block #)	Distance or Area	Period	Consideration
7462	Amoco Production Company (Renewal of #4974)	Pipe Line SWD	Andrews	13	843.45 rods of 3.50" line	7/1/89 6/30/99	\$ 3,795.53
7463	Phillips 66 Natural Gas Co. (Renewal of #4968)	Pipe Line Gas	Andrews	5 & 6	258.24 rods of 4.50" line & 739.27 rods of 6.625" line	8/1/89 7/31/99	4,488.80
7464	Phillips Pipe Line Company (Renewal of #4977)	Pipe Line Oil	Andrews	6	112.80 rods of 4.50" line	8/1/89 7/31/99	507.60
7465	Phillips 66 Natural Gas Co. (Renewal of #4980)	Pipe Line Gas	Crane & Ector	35	493.90 rods of 8.625" line & 197.30 rods of 6.625"	8/1/89 7/31/99	3,110.40
7466	Mobil Producing Tx & New Mexico (Renewal of #5005)	Pipe Line Gas	Crane	30 & 31	3885.00 rods of 6.625" line	9/1/89 8/31/99	17,482.50
7467	Apache Gas Corporation (Renewal of #5052)	Pipe Line Gas	Crockett	29	189.697 rods of 4.50" line	9/1/89 8/31/99	853.64
7468	Oasis Pipe Line Company (Renewal of #5063)	Pipe Line Gas	Crockett	30, 31 & 32	1700.51 rods of 4" line	9/1/89 8/31/99	7,652.30
7469	Natural Gas Pipeline Company of America (Renewal of #5064)	Pipe Line Gas/Oil	Winkler	21	601.00 rods of 6" line	9/1/89 8/31/99	2,704.50
7470	El Paso Natural Gas Company (Renewal of #5095)	Pipe Line Gas	Upton	3	222.97 rods of 4.50" line	1/1/90 12/31/99	1,003.37
7471	El Paso Natural Gas Company (Renewal of #5096)	Pipe Line Gas	Upton	3	262.18 rods of 4.50" line	1/1/90 12/31/99	1,179.81
7472	Southwest Texas Electric Coop. (Renewal of #5000 & #5065)	Power Line Distribution	Crockett	46, 51 & 47	467.27 rods of single pole	8/1/89 7/31/99	654.18

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No.	Company	Type Permit	County	Location (Block #)	Distance or Area	Period	Consideration
7473	Southwest Texas Electric Coop. (Renewal of #5069, 5067 & 5068)	Power Line Distribution	Reagan & Crockett	49 & 48	734.54 rods of single pole	8/1/89 7/31/99	\$ 1,028.36
7474	Texas-New Mexico Power Company	Power Line Distribution	Ward	17 & 18	199.28 rods of single pole	7/1/89 6/30/99	400.00 (Min.)
7475	Valero Transmission Co., L.P. (Renewal of #4971)	Surface Lease Gas Treating Plant	Ward	16	14.69 acres approximately	9/1/89 8/31/99	8,814.00
7476	Texaco, Inc. (Renewal of #5024)	Surface Lease Consolidated Tank Battery	Crane	30	1.00 acre approximately	9/1/89 8/31/99	4,000.00
7477	University Gas Company, Inc.	Surface Lease Trailer Site	Crockett	47 & 48	2.48 acres approximately	5/1/89 4/30/99	4,000.00
7478	D.W. Calley (Renewal of #5031)	Surface Lease Garage	Reagan	11	1.148 acres approximately	9/1/89 8/31/90	550.00*
7479	Mobil Producing Texas & New Mexico Inc. (Renewal of #5041)	Surface Lease Compressor Station	Crane	31	1.13 acres approximately	9/1/89 8/31/99	4,000.00
7480	Jim's Shop & Field Service (Renewal of #4958)	Surface Lease Truck & Weld- ing Yard	Ward	16	2.296 acres approximately	7/1/89 6/30/90	800.00*
7481	University Gas Company, Inc.	Pipe Line Gas	Reagan	49	496.06 rods of 12" line	5/1/89 4/30/99	2,480.30
7482	Chevron Pipe Line Company (Renewal of #4921)	Pipe Line Oil	Crane	30	92.00 rods of 4.50" line & 38.00 rods of 2" line	8/1/89 7/31/99	585.00
7483	Chevron U.S.A. Inc. (Renewal of #4961)	Pipe Line Water	Ector	35	151.60 rods of 8" line 87.20 rods of 10.75" line	10/1/89 9/30/99	\$ 682.20

*Renewable from year to year, but not to exceed a total of ten years. Negotiated by the Manager of University Lands - Surface Interests.

No.	Company	Type Permit	County	Location (Block #)	Distance or Area	Period	Consideration
7484	Phillips 66 Natural Gas Co. (Renewal of #5017)	Pipe Line Gas	Andrews	5, 9 & 10	386.60 rods of 4.50" line &	9/1/89 8/31/99	2,132.10
7485	Phillips Petroleum Company (Renewal of #5093)	Pipe Line SWD	Andrews	10	284.91 rods of 4.50" line	9/1/89 8/31/99	1,282.10
7486	Texaco Inc. (Renewal of #5019)	Pipe Line Saltwater	Crane	30	50.97 rods of 2" line	9/1/89 8/31/99	400.00 (Min.)
7487	Harrison Interests, Ltd. (Renewal of #5073)	Pipe Line Gas	Crockett	32 & 33	793.28 rods of 2" line	8/1/89 7/31/99	3,569.76
7488	Exxon Corporation (Renewal of #4957)	Surface Lease Central Separation, Storage Facility & Flare Pit	Ward	16	5.23 acres approximately	11/1/89 10/31/99	4,000.00
7489	Southwestern Bell Telephone Company (Renewal of #4970)	Surface Lease Equipment Building	Ward	16	0.23 acre approximately	8/1/89 7/31/90	400.00*
7490	Rio Grande Electric Coop., Inc.	Power Line Distribution	Hudspeth	J	324.24 rods of single pole	8/1/89 7/31/99	648.48
7491	Donald R. Price (Renewal of #4936)	Surface Lease Motel & Cafe	Ward	16	1.38 acres approximately	7/1/89 6/30/90	700.00*
7492	Exxon Pipeline Company (Renewal of #4969)	Surface Lease Compressor Station	Reagan	11	7.69 acres approximately	7/1/89 6/30/99	4,614.00
7493	Circle Bar Truck Corral, Inc.	Surface Lease Sign Site	Crockett	29	50' x 50' approximately	5/1/89 4/30/90	\$ 400.00*
7494	American Petrofina Pipe Line Co. (Renewal of #4972)	Pipe Line Oil	Ward	16	145.00 rods of 4.50" line	10/1/89 9/30/99	652.50

*Renewable from year to year, but not to exceed a total of ten years. Negotiated by the Manager of University Lands - Surface Interests.

No.	Company	Type Permit	County	Location (Block #)	Distance or Area	Period	Consideration
7495	Navajo Refining Company (Renewal of #5011)	Pipe Line Oil	Hudspeth	A	672.06 rods of 6" line	10/01/89 9/30/99	3,024.27
7496	All American Pipeline Company	Power Line Distribution	Hudspeth	J	84.85 rods of buried cable	7/1/89 6/30/99	400.00 (Min.)
7497	Oasis Pipe Line Company (Renewal of #5061)	Surface Lease Dehydration & Metering Equip- ment	Crockett	32	1.55 acres approximately	9/1/89 8/31/99	4,000.00
7498	Caprock Operating, Inc.	Surface Lease SWD Tank Battery	Andrews	1	0.918 acre approximately	9/1/89 8/31/99	4,000.00

Assignment of Leases Nos. 5004, 5127, 5188, 5331, 5332, 5576, 5581, 6121 & 6950

No.	Assignor	Assignee	Type Permit	County	Consideration
5004	Northern Natural Gas Co.	Regal Gas Corporation	Pipe Line	Andrews	\$ 400.00
5127	Northern Natural Gas Co.	Regal Gas Corporation	Pipe Line	Andrews	400.00
5188	Northern Natural Gas Co.	Regal Gas Corporation	Pipe Line	Andrews	400.00
5331	Northern Natural Gas Co.	Regal Gas Corporation	Pipe Line	Andrews	400.00
5332	Northern Natural Gas Co.	Regal Gas Corporation	Pipe Line	Andrews	400.00
5576	Northern Natural Gas Co.	Regal Gas Corporation	Surface Lease	Andrews	\$ 400.00
5581	Buck's Frac Tanks, Inc.	Bernie Phillips Well Service, Inc.	Surface Lease	Reagan	400.00
6121	Buck's Frac Tanks, Inc.	Bernie Phillips Well Service, Inc.	Surface Lease	Reagan	400.00
6950	Martinpool Gasoline Company	Phillips 66 Natural Gas Co.	Pipe Line	Andrews	400.00

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Flexible Grazing Leases Nos. 0138, 0139, 0140 & 0141

The following grazing leases are for a ten year term in accordance with the Flexible Grazing Lease Policies adopted by the Board of Regents at its February 1, 1984 meeting provided that the lessee carries out the range conservation and/or ranch improvement practices specified in said leases which shall be certified by the Manager of University Lands--Surface Interests. These leases are on the University's standard form with semiannual payment of rental of January 1, and July 1, of each year.

No.	Lessee	County	Block	Acreage	Period	Minimum Rental Per Acre	Minimum Annual Rental	Semi-Annual Rental
0138	Duane Ratliff (Renewal of #0021)	Andrews	11 & 12	32,634.43	1/1/90 12/31/99	\$0.30	\$9,790.33	\$ 4,895.17
0139	William Lee Strauss (Renewal of #0012)	Crockett & Reagan	48 & 49	5,159.60	1/1/90 12/31/99	1.15	7,083.54	3,541.77
0140	Emil Keihne & Sons, Inc. (Renewal of #0017)	Hudspeth	A, B & C	102,394.30	1/1/90 12/31/99	0.22	22,526.75	11,263.38
0141	Houston McKenzie (Renewal of #0028)	Pecos	21, 22, 23, 24 & 25	18,409.09	1/1/90 12/31/99	0.43	7,915.91	3,957.96

Potable/Industrial Water Contracts Nos. 217W & 219W

No.	Company	County	(Block #)	Or Area	Period	Consideration
217W	Atlantic Richfield Co. (Renewal of #217W)	Crane	31	2,080 acres	8/1/89 7/31/94	\$ 2,080.00*
219W	Valero Transmission, L.P. (Renewal of #219W)	Ward	31	0.2 acres	11/1/89 10/31/94	100.00**

Non-Potable Waterflood Contract No. 254W

No.	Company	County	Location (Block #)	Period	Consideration
254W	Sirgo Operating, Inc.	Andrews	4	8/1/89 7/31/90	none required

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Salt Water Disposal Contract No. 67D

No.	Company	Type Permit	County	Location (Block #)	Distance or Area	Period	Consideration
67D	Mobil Producing Texas & N M, Inc. (Renewal of #6026)	SWD	Andrews	8	1 acre	8/10/89 8/9/90	\$ 2,500.00***

*Annual advance rental. Royalty is \$0.30 per 1,000 gallons of water produced with an annual minimum of \$7,488.00 (\$0.30 per acre per month).

**Annual advance rental. Royalty \$0.30 per 1,000 gallons of water produced with an annual minimum of \$500.00.

***Annual advance rental. Renewable from year to year, but not to exceed a total of five years. Negotiated by Manager of University Lands - Oil, Gas and Mineral Interests.

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II. PERMANENT UNIVERSITY FUND

PERMANENT UNIVERSITY FUND
SUMMARY OF SECURITIES TRANSACTIONS
(June 1 - 30, 1989)

REPORT ON SECURITIES TRANSACTIONS. -- The following securities transactions have been made for the Permanent University Fund in June, 1989. The Executive Vice Chancellor for Asset Management recommends approval by the Board of Regents of these transactions.

<u>PURCHASES:</u>	<u>COST (BOOK VALUE)</u>	<u>YIELD AT COST</u>
<u>DEBT SECURITIES--</u>		
U.S. Govt. Obligations (Gtd.)	\$10,393,288.49	10.07%
<u>EQUITY SECURITIES--</u>		
Convertible Preferred Stock	293,245.00	6.65%
Common Stocks	109,118,283.43	3.94% (1)
Total Equity Securities	109,411,528.43	3.95%
<u>CAPITAL CONTRIBUTIONS:</u>		
Special Investments--	4,606,000.00	0.00% (2)
TOTAL ACQUISITIONS	\$124,410,816.92	4.31%
<u>SALES:</u>	<u>PROCEEDS</u>	<u>GAIN OR (LOSS)</u>
<u>DEBT SECURITIES--</u>		
Corporate Bonds	\$1,507,745.00	\$12,936.74
Preferred Stock	0.00	(143,079.56)
<u>EQUITY SECURITIES--</u>		
Convertible Debentures	329,638.26	129,770.76
Common Stocks	33,268,451.82	8,651,824.95
Total Sales	35,105,835.08	8,651,452.89
<u>MATURITIES AND PREPAYMENTS:</u>		
<u>U.S. Govt. Obligations (Gtd.)</u>		
GNMA Pools	571,375.04	25,440.39
Various	39,239.29	0.00
FHA Mortgages	22,653.59	0.00
Total U.S. Govt. Obligations (Gtd.)	633,267.92	25,440.39
U.S. Govt. Agencies (Non-Gtd.)	1,552,827.42	8,965.99
Corporate Bonds	269,000.00	906.69
Total Maturities and Prepayments	2,455,095.34	35,313.07
Total Long Term Securities	37,560,930.42	8,686,765.96
<u>SHORT TERM SECURITIES:</u>		
<u>POOLED INVESTMENTS--</u>		
U.S. Govt. Agencies (Non-Gtd.)	10,000,000.00	0.00
Commercial Paper	27,000,000.00	0.00
Total Short Term Securities	37,000,000.00	0.00
TOTAL DISPOSITIONS	\$74,560,930.42	\$8,686,765.96
<u>BOND EXCHANGES:</u>	<u>PAR EXCHANGED</u>	<u>INCREASE IN ANNUAL INCOME</u>
TOTAL EXCHANGES	\$3,166,530.17	\$19,185.50

- (1) Yield on Common Stocks based on cost price and dividend rate as of 6/30/89.
- (2) Current Yield is not calculated on Special Investments due to the absence of scheduled interest and dividend payments.

PERMANENT UNIVERSITY FUND
Comparison Summary of Assets

Security	June 30, 1988				June 30, 1989			
	Book Value	Book Yield	Market Value	Market Yield	Book Value	Book Yield	Market Value	Market Yield
LONG TERM SECURITIES:								
DEBT SECURITIES --								
U. S. GOVT. OBLIGATIONS								
Direct - Treasuries	\$261,075,275.38	11.18%	\$289,954,124.03	8.54%	\$444,429,081.18	10.35%	\$484,170,071.71	9.12%
Gtd. - Various Bonds	135,979,057.54	9.49%	132,807,246.40	9.80%	144,332,170.26	9.65%	146,444,423.53	9.48%
FHA Mortgages	3,820,617.19	7.00%	3,351,425.41	10.96%	3,398,517.58	7.00%	2,878,923.16	10.96%
Total U. S. Govts	400,874,950.11	10.58%	426,112,795.84	8.95%	592,159,769.02	10.16%	633,493,418.40	9.21%
U. S. GOVT. AGENCIES								
Non-Guaranteed	267,615,931.11	9.70%	266,299,061.26	9.73%	312,904,704.22	9.74%	316,755,135.85	9.55%
CORPORATE BONDS	697,099,367.59	10.38%	693,281,195.89	10.30%	709,091,653.58	10.16%	721,368,875.09	9.69%
TOTAL DEBT SECURITIES	1,365,590,248.81	10.30%	1,385,693,052.99	9.78%	1,614,156,126.82	10.08%	1,671,617,429.34	9.48%
PREFERRED STOCKS --	5,902,580.56	13.24%	7,462,705.00	10.47%	6,898,479.00	20.69%	8,952,984.54	15.94%
TOTAL FIXED INCOME SECURITIES	1,371,492,829.37	10.31%	1,393,155,757.99	9.78%	1,621,054,605.82	10.14%	1,680,570,413.88	9.52%
EQUITY SECURITIES --								
Convertible Debentures	836,374.19	7.26%	891,815.00	6.81%	433,801.50	8.85%	362,935.00	10.58%
Convertible Preferred Stocks	1,308,853.19	8.93%	1,130,421.08	10.34%	1,946,700.03	11.05%	1,956,886.28	11.00%
Common Stocks	976,969,419.49	4.37%	1,170,210,278.01	3.65%	1,147,179,852.64	4.50%	1,439,813,169.51	3.59%
TOTAL EQUITY SECURITIES	979,114,646.87	4.38%	1,172,232,514.09	3.66%	1,149,560,354.17	4.51%	1,442,132,990.79	3.60%
SPECIAL INVESTMENTS --	0.00	0.00%	0.00	0.00%	11,253,007.91	0.00%	11,253,007.91	0.00%
TOTAL LONG TERM	2,350,607,476.24	7.84%	2,565,388,272.08	6.98%	2,781,867,967.90	7.77%	3,133,956,412.58	6.76%
CASH & EQUIVALENT:								
U. S. Governments (Dir & Gtd)	91,543,470.34	9.53%	93,166,015.15	7.66%	38,690,014.26	9.65%	38,550,076.64	8.99%
U. S. Governments (Non-Gtd)	9,658,908.33	7.04%	9,658,908.33	7.04%	96,011,793.22	9.84%	96,059,443.22	9.80%
Corporate Bonds	16,042,689.75	10.89%	16,195,381.50	9.02%	18,187,988.72	11.78%	16,465,448.53	7.88%
Commercial Paper	398,000,000.00	7.17%	398,000,000.00	7.17%	285,000,000.00	9.66%	285,000,000.00	9.66%
Treasury Bills	117,363,796.88	6.49%	117,363,796.88	6.49%	4,789,119.44	9.03%	4,789,119.44	9.03%
Cash	77,602,709.94	6.93%	77,602,709.94	6.93%	36,081,877.68	8.98%	36,081,877.68	8.98%
TOTAL SHORT TERM	710,211,575.24	7.42%	711,986,811.80	7.14%	478,760,793.32	9.72%	476,945,965.51	9.52%
TOTAL SECURITIES, CASH & EQUIVALENT	\$3,060,819,051.48	7.74%	\$3,277,375,083.88	7.02%	\$3,260,628,761.22	8.06%	\$3,610,902,378.09	7.12%

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PERMANENT UNIVERSITY FUND
SUMMARY OF INCOME FROM INVESTMENTS
(June 1 - 30, 1989)

	CASH	ACCRUED	TOTAL
FROM FIXED INCOME SECURITIES --			
U. S. Treasuries	\$365,859.71	\$3,634,210.97	\$4,000,070.68
U. S. Govt. Obligations (Gtd.)	943,656.75	149,627.98	1,093,284.73
U. S. Govt. Agencies (Non-Gtd)	2,356,020.97	55,803.24	2,411,824.21
FHA Mortgages	37,619.27	0.00	37,619.27
Corporate Bonds	6,116,202.44	(306,446.58)	5,809,755.86
Preferred Stocks	0.00	0.00	0.00
Total from Fixed Income Securities	9,819,359.14	3,533,195.61	13,352,554.75
FROM EQUITY SECURITIES --			
Convertible Debentures	4,414.08	(809.96)	3,604.12
Convertible Preferred Stocks	0.00	0.00	0.00
Common Stocks	6,076,424.59	0.00	6,076,424.59
Total from Equity Securities	6,080,838.67	(809.96)	6,080,028.71
Total From Long Term Investments	15,900,197.81	3,532,385.65	19,432,583.46
FROM SHORT TERM INVESTMENTS --			
U. S. Government Agencies - Short Term Notes	445,451.83	649,742.48	1,095,194.31
Commercial Paper	2,296,820.78	44,976.21	2,341,796.99
U. S. Treasury Bills	0.00	35,054.79	35,054.79
Interest on Funds in State Treasury	378,426.41	0.00	378,426.41
Interest on Bank Clearing Balances	0.00	0.00	0.00
Total From Short Term Investments	3,120,699.02	729,773.48	3,850,472.50
TOTAL INCOME FROM INVESTMENTS TO AVAILABLE UNIVERSITY FUND	\$19,020,896.83	\$4,262,159.13	\$23,283,055.96

	INTEREST ACCRUED		
	As of 6/30/89	As of 5/31/89	NET
U. S. Treasuries	\$9,948,634.27	\$6,314,423.30	\$3,634,210.97
U. S. Govt. Obligations (Gtd.)	1,585,239.81	1,435,611.83	149,627.98
U. S. Govt. Agencies (Non-Gtd.)	2,781,215.98	2,725,412.74	55,803.24
Corporate Bonds	16,313,455.48	16,619,902.06	(306,446.58)
Convertible Debentures	14,457.54	15,267.50	(809.96)
U. S. Govt. Agencies - Short Term Notes	6,662,384.66	6,012,642.18	649,742.48
U. S. Treasury Bills	168,263.01	133,208.22	35,054.79
Commercial Paper	3,705,298.46	3,660,322.25	44,976.21
	\$41,178,949.21	\$36,916,790.08	\$4,262,159.13

PERMANENT UNIVERSITY FUND

STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS
June 1 - 30, 1989

CASH RECEIPTS

RECEIPTS INCREASING THE FUND:		
Mineral Royalties		
Oil	\$4,857,338.41	
Gas	1,547,977.53	
Sulphur	21,957.82	
Water	104,021.39	
Brine	3,475.05	
Rental		
Mineral Lease	71,599.93	
Other	4,510.83	
Total University Lands Receipts	<u>6,610,880.96</u>	
Gain or (Loss) on Sales of Securities	8,686,765.96	
Total		<u>\$15,297,646.92</u>
RECEIPTS FROM SALES OF SECURITIES:		
Corporate Bonds	1,494,808.26	
Convertible Debentures	199,867.50	
Common Stocks	24,616,626.87	
Bond Exchanges Out	2,977,096.56	
Total	<u>29,288,399.19</u>	
RECEIPTS FROM MATURING SECURITIES AND AMORTIZATION:		
U.S. Govt. Obligations (Gtd.)	585,173.94	
U.S. Govt. Agencies (Non-Gtd.)	1,543,861.43	
Corporate Bonds	268,093.31	
FHA Mortgages	22,653.59	
Pooled Investments -		
U.S. Govt. Agencies (Non-Gtd.) (Net)	10,000,000.00	
Commercial Paper (Net)	27,000,000.00	
Net Amortization on Bonds		
(Discout in Excess of Premium)	(999,824.63)	
Write-Off of Worthless Security -		
First RepublicBank Preferred Stock		
Series C	143,079.56	
Total	<u>38,563,037.20</u>	
Total Cash Receipts		<u>83,149,083.31</u>
CASH ON HAND:		
May 31, 1989	85,140,805.44	
June 30, 1989	36,081,877.68	
Decrease in Cash Balance (Add)		<u>49,058,927.76</u>
Total Cash Accounted for		<u>\$132,208,011.07</u>

PERMANENT UNIVERSITY FUND

STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS (Continued):
June 1 - 30, 1989

CASH DISBURSEMENTS

ASSETS PURCHASED:

U.S. Govt. Obligations (Gtd.) (\$10,456,861.96 par value)		\$10,393,288.49
Convertible Preferred Stock (15,000 shares)		293,245.00
Common Stocks (4,400,900 shares)		109,118,283.43
Special Investments (\$4,606,000.00 par value)		4,606,000.00
Bond Exchanges In (\$3,166,530.17 par value)		3,014,827.43
Merger of United Cable TV and United Artists Entertainment Resulting in Write On of:		
United Artists Entertainment - Class A		1,848,757.85
United Artists Entertainment - Class B		1,132,967.12
United Artists Entertainment 12-7/8% Cumulative Redeemable Preferred - Series A		893,608.00
Tele-Communications Rights		723,283.75
Merger of GAF into NEWCO Holdings Resulting in Write On of:		
G-I Holding 16.62% Floating Rate Note, 3/15/05		183,750.00
Total Cash Disbursements		<u>\$132,208,011.07</u>

SUMMARY

Book Value of Permanent University Fund Investments

May 31, 1989 Balance		\$3,245,331,114.30
Additions for June, 1989:		
From West Texas Lands	\$6,610,880.96	
Realized Net Gain or (Loss) on Security Transactions	8,686,765.96	15,297,646.92
(See Cash Receipts Increasing the Fund for Detail)		
June 30, 1989 Balance		<u>\$3,260,628,761.22</u>

PERMANENT UNIVERSITY FUND
SCHEDULE OF SECURITIES PURCHASED
(JUNE 1 - 30, 1989)

SECURITY	PAR VALUE OR NO. SHARES (3)	TOTAL PRINCIPAL COST	YIELD AT COST
<u>LONG TERM SECURITIES:</u>			
<u>DEBT SECURITIES:</u>			
<u>U.S. GOVT. OBLIGATIONS (Gtd.):</u>			
GNMA-Pass-Throughs and Project Loans, Various Pools	\$10,456,861.96	\$10,393,288.49	10.07%
<u>SPECIAL INVESTMENTS:</u>			
HOS Partners	\$856,000.00	856,000.00	N/A(2)
North American Fund II	3,750,000.00	3,750,000.00	N/A(2)
TOTAL CAPITAL CONTRIBUTIONS	\$4,606,000.00	4,606,000.00	
<u>EQUITY SECURITIES:</u>			
<u>CONVERTIBLE PREFERRED STOCK:</u>			
Varsity - Class A	15,000	293,245.00	6.65%
<u>COMMON STOCKS: (1)</u>			
Abbott Laboratories	3,700	215,562.00	2.40%
Abitibi Price	42,300	715,716.00	5.91%
Aluminum of America	1,300	84,565.00	2.46%
Amcast Industrial	54,300	715,131.00	3.64%
Amerada Hess	8,400	322,888.80	1.56%
American Building Maintenance	19,300	714,968.50	2.48%
American Business Products	22,300	717,391.00	2.98%
American Greetings	28,300	715,848.50	2.61%
American National Insurance	18,600	714,612.00	4.03%
American Petrofina - Class A	8,900	713,513.00	3.99%
Anthony Industries	36,200	716,579.00	2.22%
AON	20,900	714,153.00	3.75%
Apache	57,600	715,392.00	2.25%
Archer-Daniels-Midland	8,200	236,882.00	0.35%
Armstrong World Industries	6,600	252,153.00	2.77%
Arvin Industries	29,600	715,432.00	2.81%
Ashton Tate	37,000	658,510.00	0.00%
Bairnco	30,700	715,156.50	3.86%
BancFlorida Financial	68,700	715,854.00	4.22%
Bangor Hydro Electric	44,300	716,331.00	7.17%
BanPonce	26,100	715,662.00	5.47%
Barclays PLC	24,500	714,665.00	6.10%
Bethlehem Steel	105,400	2,376,770.00	0.00%
BMA	20,600	714,202.00	3.46%
Bowater	23,100	717,139.50	3.61%
Brascan Limited - Class A	29,800	716,541.00	3.99%
Broken Hill Proprietary Limited	27,200	715,224.00	3.00%
C-TEC	14,300	281,219.00	4.68%
C-TEC - Class B	4,000	84,200.00	3.80%
Canadian Pacific Limited	37,600	716,092.00	4.10%
Carlisle	17,200	714,574.00	2.79%
Carolina Freight	27,900	716,193.00	2.34%
Carpenter Technology	14,100	717,972.00	4.12%
Cascade Natural Gas	38,900	716,538.00	6.95%
CBS	7,100	1,435,232.90	2.18%
Central Illinois Public Service	32,300	716,091.00	7.94%
Chesapeake	30,400	715,768.00	4.08%
Chevron	600	33,105.00	5.07%
Citizens First Bancorp New Jersey	47,600	716,142.00	3.99%
Citizens & Southern - GA	21,600	716,472.00	3.74%
Coachmen Industries	76,000	715,920.00	4.25%

PERMANENT UNIVERSITY FUND
 SCHEDULE OF SECURITIES PURCHASED (CONTINUED)
 (JUNE 1 - 30, 1989)

SECURITY	NO. SHARES (3)	TOTAL PRINCIPAL COST	YIELD AT COST
COMMON STOCKS: (1) (Continued)			
Continental Bank	28,700	\$715,204.00	3.21%
Core Industries	49,600	715,232.00	6.38%
Cubic	40,500	715,635.00	3.28%
Curtiss-Wright	11,900	714,535.50	2.66%
Dallas	40,200	715,359.00	3.71%
Dana	500	20,775.00	3.85%
Dennison Manufacturing	24,000	715,080.00	4.43%
Digital Equipment	1,200	111,734.00	0.00%
Dow Chemical	1,300	119,990.00	3.47%
Duquesne Light	35,900	715,128.00	6.43%
Eastgroup Properties	29,600	715,432.00	10.76%
Edwards (A.G.)	30,200	714,834.00	2.87%
Fansteel	54,300	715,131.00	3.04%
Fieldcrest Cannon	24,800	717,216.00	2.77%
Filtertek	80,200	715,384.00	4.93%
First Security (Utah)	24,300	714,906.00	4.08%
Foothill Group	72,200	716,224.00	2.82%
Ford Motor	34,300	1,715,197.82	6.00%
Freeport McMoran Energy Partners	55,900	715,240.50	17.19%
Gencorp	10,000	182,480.00	8.22%
General Housewares	55,900	715,240.50	1.88%
General Mills	1,200	80,028.00	3.30%
General Motors	26,300	1,077,519.00	7.32%
General Signal	1,000	55,020.00	3.27%
Georgia-Pacific	36,100	1,634,214.30	3.09%
Glaxo Holdings PLC Sponsored ADR	15,000	338,700.00	2.44%
Glenfed	39,400	715,898.00	6.60%
Goodyear Tire & Rubber	30,500	1,718,055.01	3.20%
Graphic Scanning	3,000	30,930.00	0.00%
Greyhound	21,400	715,188.00	3.95%
Halliburton	9,500	294,071.55	3.23%
Hawaiian Electric Industries	21,900	715,473.00	6.24%
Independent Insurance Group	17,500	716,100.00	3.91%
International Aluminum	23,100	714,252.00	2.85%
International Business Machines	1,200	131,760.00	4.41%
International Minerals & Chemical	42,400	1,710,054.45	2.48%
International Multifoods	23,800	715,071.00	3.93%
International Paper	7,400	360,642.40	3.03%
Interstate Power	30,700	715,156.50	8.59%
Ipalco Enterprises	29,300	715,506.00	7.04%
Johnson & Johnson	38,700	1,907,519.50	2.35%
Jorgensen (Earle M)	23,400	714,753.00	3.27%
Kmart	6,900	251,539.50	4.50%
Kansas City Power & Light	7,300	231,373.50	7.70%
Kansas Gas & Electric	32,300	716,091.00	7.22%
Kennametal	20,100	716,967.00	3.25%
KLM Royal Dutch Airlines	32,000	714,924.80	3.36%
Kroger	10,000	138,100.00	8.11%
Lilly (Eli)	33,500	1,844,496.50	2.45%
Louisiana-Pacific	15,000	501,775.00	2.99%
Lukens	28,200	716,844.00	3.93%
Mack Trucks	25,600	300,800.00	0.00%
McDonnell Douglas	3,500	278,022.50	3.55%
MDU Resources Group	33,800	715,546.00	6.71%
Meditrust SBI	39,400	715,898.00	11.34%
Mercantile Bankshares	16,800	716,856.00	3.28%
Metropolitan Financial	39,900	715,008.00	2.46%
Midatlantic	16,700	716,764.00	3.82%
Milton Roy	39,400	715,898.00	2.42%
Minnesota Power & Light	28,400	714,828.00	7.07%

PERMANENT UNIVERSITY FUND
 SCHEDULE OF SECURITIES PURCHASED (CONTINUED)
 (JUNE 1 - 30, 1989)

SECURITY	NO. SHARES (3)	TOTAL PRINCIPAL COST	YIELD AT COST
COMMON STOCKS: (1) (Continued)			
MNC Financial	14,400	\$717,048.00	3.82%
Modine Manufacturing	5,000	100,350.00	2.99%
Murphy Oil	18,900	714,325.50	2.65%
New York State Electric & Gas	28,300	715,848.50	7.91%
Nipsco Industries	42,900	715,143.00	5.04%
Norsk Hydro ADR	35,000	798,350.00	1.80%
Northrop	65,600	1,683,222.39	4.68%
Northwest Natural Gas	29,500	716,702.50	6.59%
NWNL	20,500	715,860.00	3.44%
Old Kent Financial	26,300	714,571.00	3.39%
Olin	13,000	713,960.00	3.28%
Pfizer	29,700	1,829,681.11	3.57%
Phelps Dodge	17,300	994,594.30	5.22%
Pope & Talbot	29,800	716,541.00	2.50%
Portland General	29,600	715,432.00	8.11%
PPG Industries	16,800	751,044.00	3.40%
Prime Computer	60,600	992,754.30	0.00%
Provident Life & Accident	31,100	716,699.50	2.95%
Quaker State	41,700	715,989.00	4.66%
Raven Industries	28,900	716,575.50	1.94%
Rayonier Timberlands	35,500	716,035.00	12.89%
Reynolds & Reynolds - Class A	21,300	717,171.00	2.26%
Rochester Telephone	5,000	345,595.00	4.11%
Royal Dutch Petroleum	18,500	1,145,177.50	4.28%
Ruddick	29,300	715,506.00	1.31%
Salomon	2,500	64,000.00	2.50%
Sears Roebuck	25,800	1,198,349.00	4.31%
Shawmut National	25,800	717,111.00	4.89%
Shell Transport & Trading	18,300	716,811.00	6.13%
Southeast Banking	25,000	716,750.00	3.91%
Storage Equities	48,800	715,896.00	9.54%
Tecumseh Products	4,800	714,816.00	2.15%
Texas Instruments	100	4,205.00	1.71%
Thomas Industries	36,400	715,988.00	3.66%
Timken	9,000	331,470.00	2.50%
TJX	3,700	957,293.60	1.93%
TRW	27,700	1,250,116.00	3.81%
Union Planters	45,300	715,513.50	3.04%
Unisys	13,900	341,662.00	4.07%
United Jersey Banks	29,300	715,506.00	4.34%
US Shoe	72,500	1,381,482.20	2.41%
Valley National	26,500	716,692.50	5.32%
Warner Communications	10,000	519,000.00	1.31%
Washington Energy	38,300	715,061.00	7.07%
Washington Water Power	24,500	714,665.00	8.50%
Weirton Steel	300,000	4,535,200.00	0.00%
Witco	19,300	717,381.00	4.63%
Wyle Laboratories	75,000	715,875.00	2.93%
Xerox	2,700	172,260.00	4.70%
Zenith National Insurance	37,300	715,041.00	4.38%
TOTAL COMMON STOCKS		<u>109,118,283.43</u>	<u>3.94%</u>
TOTAL EQUITY SECURITIES		<u>109,411,528.43</u>	<u>3.95%</u>
TOTAL SECURITIES PURCHASED		<u>\$124,410,816.92</u>	<u>4.31%</u>

- (1) Yield on Common Stocks based on cost price and dividend rate as of 6/30/89.
 (2) Current Yield is not calculated on Special Investments due to the absence of scheduled interest and dividend payments.
 (3) Reflects shares actually purchased. Does not reflect shares received as a result of stock splits and dividends subsequent to date of purchase.

PERMANENT UNIVERSITY FUND
EQUITIES -- OTHER CHANGES
(JUNE 1 - 30, 1989)

<u>STOCK SPLITS, DIVIDENDS AND DISTRIBUTIONS</u>	<u>SHS. RECEIVED</u>
American Business Products 5-4 Split	5,575.00
Alcoa Aluminum Limited 3-2 Split	19,250.00
Boeing 3-2 Split	50,600.00
Farm & Home Financial 2.125% Stk Div	3,580.99
Federal Mogul 2-1 Split	25,000.00
McDonald's 2-1 Split	230,300.00

PERMANENT UNIVERSITY FUND
SCHEDULE OF SECURITIES SOLD, CALLED OR MATURED
(JUNE 1 - 30, 1989)

SECURITY	PAR VALUE OR NO. SHARES	BOOK VALUE OF HOLDINGS	NET SALES PROCEEDS	GAIN OR (LOSS) OVER BOOK VALUE
<u>LONG TERM SECURITIES:</u>				
<u>DEBT SECURITIES:</u>				
<u>U. S. GOVT. OBLIGATIONS (Gtd.):</u>				
GNMA Pass-Throughs and Project Loans, Various Pools	\$571,375.04	\$545,934.65	\$571,375.04	\$25,440.39
Ship Financing Bonds	39,239.29	39,239.29	39,239.29	0.00
TOTAL VARIOUS	610,614.33	585,173.94	610,614.33	25,440.39
FHA Mortgages Principal Payments	22,653.59	22,653.59	22,653.59	0.00
TOTAL U.S. GOVT. OBLIGATIONS (Gtd.)	633,267.92	607,827.53	633,267.92	25,440.39
<u>U.S. GOVT. AGENCIES (Non-Gtd):</u>				
Federal Home Loan Mortgages P. C.'s Various Groups	1,552,827.42	1,543,861.43	1,552,827.42	8,965.99
<u>CORPORATE BONDS:</u>				
A T & T Technologies Promissory Notes 5-1/2%, 6/15/97	19,000.00	18,093.31	19,000.00	906.69
NOR Indiana P S 1st Mtg Series BB 9-7/8%, 6/15/04	250,000.00	250,000.00	250,000.00	0.00
Texaco Capital 13% Notes, 8/1/91	1,500,000.00	1,494,808.26	1,507,745.00	12,936.74
TOTAL CORPORATE BONDS	1,769,000.00	1,762,901.57	1,776,745.00	13,843.43
TOTAL DEBT SECURITIES	\$3,955,095.34	3,914,590.53	3,962,840.34	48,249.81
<u>PREFERRED STOCKS:</u>				
First Republicbank	0	143,079.56	0.00	(143,079.56)
<u>EQUITY SECURITIES:</u>				
<u>CONVERTIBLE DEBENTURES:</u>				
GATX 5-3/4%, 3/1/99	282,000	199,867.50	329,638.26	129,770.76
<u>COMMON STOCKS:</u>				
Aluminum of America	34,500	1,528,867.50	2,295,822.87	766,955.37
Boeing	3,100	140,012.64	252,006.09	111,993.45
Centex	13,000	362,398.67	446,442.07	84,043.40
Chubb	27,000	1,512,987.95	1,945,654.34	432,666.39
Duquesne Light	75,000	952,425.38	1,486,450.32	534,024.94
Federal National Mortgage	5,300	168,185.20	469,283.97	301,098.77
First Chicago	26,400	811,005.22	1,106,972.71	295,967.49
Ford Motor	3,300	166,039.50	161,671.50	(4,368.00)

PERMANENT UNIVERSITY FUND
 SCHEDULE OF SECURITIES SOLD, CALLED OR MATURED (CONTINUED)
 (JUNE 1 - 30, 1989)

SECURITY	NO. SHARES	BOOK VALUE OF HOLDINGS	NET SALES PROCEEDS	GAIN OR (LOSS) OVER BOOK VALUE
<u>COMMON STOCKS (CONTINUED):</u>				
Gannett	9,000	\$293,274.87	\$389,596.99	\$96,322.12
Grace (W. R.)	10,000	275,870.34	333,088.87	57,218.53
Greyhound	13,200	381,425.53	459,887.13	78,461.60
GTE	4,600	119,756.18	255,498.47	135,742.29
Johnson & Johnson	9,600	334,846.58	504,415.16	169,568.58
Kellogg	8,000	491,040.00	561,421.26	70,381.26
KLM Royal Dutch Airlines	7,000	156,389.80	154,869.83	(1,519.97)
McDonald's	17,500	788,144.27	1,002,499.96	214,355.69
Mobil	18,800	664,818.24	947,594.75	282,776.51
Monsanto	11,900	683,756.80	1,277,005.40	593,248.60
Morrison Knudsen	4,000	154,610.00	175,714.13	21,104.13
Motorola	9,000	361,461.17	500,813.27	139,352.10
Northern Telecom Limited	28,000	428,570.60	541,081.91	112,511.31
Paramount Communications	3,900	163,195.12	223,104.32	59,909.20
Pepsico	4,600	39,322.77	249,748.66	210,425.89
Philip Morris	31,800	2,056,747.62	4,512,162.84	2,455,415.22
Potlatch	10,000	308,010.60	359,710.98	51,700.38
Procter & Gamble	4,700	293,061.09	500,157.31	207,096.22
Rowan	2,300	14,149.26	18,859.36	4,710.10
Scott Paper	5,000	196,975.00	246,466.77	49,491.77
Sequa - Class B	2,800	195,572.05	201,341.28	5,769.23
Texas Instruments	21,000	885,640.41	882,073.45	(3,566.96)
Texas Utilities	14,900	464,583.08	457,756.19	(6,826.89)
Trinova	2,000	51,037.36	54,818.16	3,780.80
Unisys	3,700	83,398.54	96,881.26	13,482.72
United Artists Enter- tainment - Class A	10,500	1,444,385.67	1,963,138.50	518,752.83
Upjohn	37,600	1,071,846.95	1,190,165.02	118,318.07
Wells Fargo	2,700	166,598.83	215,590.50	48,991.67
TOTAL COMMON STOCKS		<u>18,210,410.79</u>	<u>26,439,765.60</u>	<u>8,229,354.81</u>
TOTAL EQUITY SECURITIES		<u>18,410,278.29</u>	<u>26,769,403.86</u>	<u>8,359,125.57</u>
<u>SHORT TERM SECURITIES:</u>				
<u>POOLED INVESTMENTS: (Net)</u>				
Commercial Paper		27,000,000.00	27,000,000.00	0.00
U. S. Govt. Agencies (Non-Gtd.)		10,000,000.00	10,000,000.00	0.00
TOTAL SHORT TERM SECURITIES		<u>37,000,000.00</u>	<u>37,000,000.00</u>	<u>0.00</u>
TOTAL CASH SALES, CALLS, OR MATURITIES		<u>\$59,467,948.38</u>	<u>67,732,244.20</u>	<u>8,264,295.82</u>

PERMANENT UNIVERSITY FUND
MERGER EXCHANGES
(JUNE 1 - 30, 1989)

ISSUE EXCHANGED	BOOK VALUE	RECEIVED	VALUE	GAIN OR (LOSS) OVER BOOK VALUE
<u>MERGER OF UNITED CABLE TV INTO UNITED ARTISTS ENTERTAINMENT:</u>				
United Cable TV 147,000 shs Common Stock	\$4,898,532.23	CASH	\$666,319.50	
		United Artists Entertainment Common Stock - Class A 134,396.20 shs	1,848,757.85	
		Class B 82,661 shs	1,132,967.12	
		Preferred Stock 12-7/8% Cum. Series A 47,032 shs	893,608.00	
		Tele-Communications 165,322 Rights	723,283.75	
Subtotal United Cable TV Merger	4,898,532.23		5,264,936.22	\$366,403.99
<u>MERGER OF GAF INTO NEWCO HOLDINGS:</u>				
GAF 210,000 shs Common Stock	1,507,683.85	CASH	1,380,000.00	
		G-I Holdings 16.62% Floating Interest Rate Due 3/29/05 (\$210,000.00 par)	183,750.00	
Subtotal GAF Merger	1,507,683.85		1,563,750.00	56,066.15
TOTAL MERGER EXCHANGES	\$6,406,216.08		6,828,686.22	422,470.14
TOTAL SECURITIES SOLD, CALLED, OR MATURED			\$74,560,930.42	\$8,686,765.96

PERMANENT UNIVERSITY FUND
SUMMARY OF BOND EXCHANGES
(JUNE 1 - 30, 1989)

<u>DESCRIPTION</u>	<u>BOOK VALUE</u>	<u>MKT YIELD IN- CREASE</u>	<u>BOOK YIELD IN- CREASE</u>	<u>NEW BOOK YIELD</u>
<u>CORPORATE FOR AGENCY</u>				
<u>ISSUE EXCHANGED:</u>				
Rochester Telephone 9-1/2% 1st Mtge Series H, 3/1/05 (\$800,00.00 par value)	\$652,202.75			
<u>ISSUE RECEIVED:</u>				
GNMA 10% Project Loan Pool #249880, 1/15/23 (\$800,000.00 par value)	\$655,914.75	0.47%	0.10%	12.25%
	\$754.10			(Total Income Improvement) (4)

AGENCY FOR AGENCY

<u>ISSUE EXCHANGED:</u>				
GNMA 9% Midget Pass Thru #152494, 5/15/01 (\$2,366,530.17 par value)	\$2,324,893.81			
<u>ISSUE RECEIVED:</u>				
GNMA 10% Project Loan Pool #249880, 1/15/23 (\$2,366,530.17 par value)	\$2,358,912.68	0.85%	0.79%	10.03%
	\$18,431.40			(Total Income Improvement) (4)

(4) Total Income Improvement represents improvement with
takeout (payup) considered at current investment rates.

PERMANENT UNIVERSITY FUND
SUMMARY OF SECURITIES TRANSACTIONS
(July 1 - 31, 1989)

REPORT ON SECURITIES TRANSACTIONS. -- The following securities transactions have been made for the Permanent University Fund in July, 1989. The Executive Vice Chancellor for Asset Management recommends approval by the Board of Regents of these transactions.

<u>PURCHASES:</u>	<u>COST (BOOK VALUE)</u>	<u>YIELD AT COST</u>
<u>DEBT SECURITIES--</u>		
U.S. Govt. Agencies (Non-Gtd.)	\$4,309,282.46	8.73%
Corporate Bonds	7,611,827.89	9.10%
Total Debt Securities	11,921,110.35	8.97%
<u>PREFERRED STOCKS --</u>	12,948.76	15.18%
<u>EQUITY SECURITIES--</u>		
Convertible Debentures	11,235.00	0.00%
Convertible Preferred Stock	16,201.43	20.56%
Common Stocks	29,407,466.81	3.69% (1)
Total Equity Securities	29,434,903.24	3.70%
<u>TOTAL LONG TERM SECURITIES</u>	41,368,962.35	5.22%
<u>SHORT TERM SECURITIES:</u>		
Commercial Paper (Net)	25,000,000.00	
<u>TOTAL ACQUISITIONS</u>	\$66,368,962.35	
<u>SALES:</u>		
<u>DEBT SECURITIES--</u>		
Corporate Bonds	\$2,888,678.71	(\$698,035.27)
<u>PREFERRED STOCKS --</u>	6.75	1.82
<u>EQUITY SECURITIES--</u>		
Convertible Preferred Stock	246,563.79	95,622.38
Common Stocks	51,854,915.74	13,422,830.26
Total Equity Securities	52,101,479.53	13,518,452.64
Total Sales	54,990,164.99	12,820,419.19
<u>CAPITAL RETURNS:</u>		
Special Investments	23.67	0.26
<u>MATURITIES & PREPAYMENTS:</u>		
<u>DEBT SECURITIES--</u>		
U.S. Govt. Obligations (Gtd.)		
GNMA Pools	701,822.52	12,347.05
Various	66,303.89	15.76
FHA Mortgages	42,473.19	0.00
Total U.S. Govt. Obligations (Gtd.)	810,599.60	12,362.81
U.S. Govt. Agencies (Non-Gtd.)	1,765,249.22	11,297.39
Corporate Bonds	3,575,000.00	0.00
Total Maturities & Prepayments	6,150,848.82	23,660.20
<u>TOTAL LONG TERM SECURITIES</u>	61,141,037.48	12,844,079.65
<u>SHORT TERM SECURITIES:</u>		
U.S. Govt. Agencies (Non-Gtd.)	10,000,000.00	0.00
<u>TOTAL DISPOSITIONS</u>	\$71,141,037.48	\$12,844,079.65
<u>BOND EXCHANGES</u>		
<u>TOTAL EXCHANGES</u>	\$4,195,005.00	\$4,170.00

(1) Yield on Common Stocks based on cost price and dividend rate as of 7/31/89.

PERMANENT UNIVERSITY FUND
Comparison Summary of Assets

Security	July 31, 1988				July 31, 1989			
	Book Value	Book Yield	Market Value	Market Yield	Book Value	Book Yield	Market Value	Market Yield
LONG TERM SECURITIES:								
DEBT SECURITIES --								
U. S. GOVT. OBLIGATIONS:								
Direct - Treasuries	\$302,767,300.31	10.89%	\$327,309,902.05	8.89%	\$438,901,979.46	10.34%	\$485,804,947.54	7.81%
Gtd. - Various Bonds	127,808,883.73	9.58%	123,680,778.42	10.01%	143,578,575.49	9.65%	147,290,326.60	9.33%
FHA Mortgages	3,785,873.88	7.00%	3,321,167.72	10.97%	3,356,044.39	7.00%	2,843,262.95	10.97%
Total U. S. Govts	434,362,057.92	10.47%	454,311,848.19	9.21%	585,836,599.34	10.15%	635,938,537.09	8.18%
U. S. GOVT. AGENCIES:								
Non-Guaranteed	285,893,982.59	9.70%	281,822,315.44	9.86%	315,414,214.84	9.73%	323,657,909.60	9.35%
CORPORATE BONDS	706,859,401.81	10.36%	696,763,791.63	10.49%	712,505,644.49	10.16%	735,045,642.47	9.43%
TOTAL DEBT SECURITIES	1,427,115,442.32	10.26%	1,432,897,955.26	9.96%	1,613,756,458.67	10.07%	1,694,642,089.16	8.94%
PREFERRED STOCKS	5,902,580.56	13.32%	7,407,507.50	10.55%	6,911,422.83	13.60%	9,645,059.04	9.75%
TOTAL FIXED INCOME SECURITIES	1,433,018,022.88	10.27%	1,440,305,462.76	9.96%	1,620,667,881.50	10.09%	1,704,287,148.20	8.95%
EQUITY SECURITIES								
Convertible Debentures	836,374.19	7.26%	894,115.00	6.79%	445,036.50	8.93%	373,500.00	10.64%
Convertible Preferred Stocks	1,308,840.83	8.93%	1,217,239.20	9.60%	1,685,220.05	8.89%	1,556,065.87	9.62%
Common Stocks	978,521,256.05	4.36%	1,162,365,848.76	3.68%	1,138,281,973.97	4.52%	1,518,348,507.64	3.34%
TOTAL EQUITY SECURITIES	980,666,471.07	4.37%	1,164,477,202.96	3.69%	1,140,412,230.52	4.52%	1,520,278,074.51	3.35%
SPECIAL INVESTMENTS	0.00	0.00%	0.00	0.00%	11,252,984.50	0.00%	11,252,984.50	0.00%
TOTAL LONG TERM	2,413,684,493.95	7.87%	2,604,782,665.72	7.16%	2,772,333,096.52	7.76%	3,235,818,207.21	6.29%
CASH & EQUIVALENT:								
U. S. Governments (Dir & Gtd)	66,639,753.36	10.79%	67,953,286.15	9.89%	44,675,029.22	9.82%	44,711,583.91	8.71%
U. S. Governments (Non-Gtd)	19,065,651.29	7.54%	19,065,651.29	7.54%	86,454,237.66	9.90%	86,512,837.66	9.79%
Corporate Bonds	19,044,388.73	11.45%	19,331,133.00	9.10%	15,357,354.07	11.19%	13,690,716.04	8.17%
Commercial Paper	405,000,000.00	7.54%	405,000,000.00	7.54%	310,000,000.00	9.22%	310,000,000.00	9.22%
U.S. Treasury Bills	92,877,221.88	6.59%	92,877,221.88	6.59%	4,789,119.44	9.03%	4,789,119.44	9.03%
Cash	54,289,165.22	7.01%	54,289,165.22	7.01%	46,081,369.81	8.98%	46,081,369.81	8.98%
TOTAL SHORT TERM	656,916,180.48	7.80%	658,516,457.54	7.65%	507,357,110.20	9.42%	505,785,626.86	9.22%
TOTAL SECURITIES, CASH & EQUIVALENT	\$3,070,600,674.43	7.86%	\$3,263,299,123.26	7.26%	\$3,279,690,206.72	8.01%	\$3,741,603,834.07	6.68%

PERMANENT UNIVERSITY FUND
SUMMARY OF INCOME FROM INVESTMENTS
(July 1 - 31, 1989)

	CASH	ACCRUED	TOTAL
FROM FIXED INCOME SECURITIES --			
U. S. Treasuries	\$2,511,259.58	\$1,715,692.08	\$4,226,951.66
U. S. Govt. Obligations (Gtd.)	1,029,792.69	(22,285.92)	1,007,506.77
U. S. Govt. Agencies (Non-Gtd)	2,761,856.39	(290,442.51)	2,471,413.88
FHA Mortgages	16,869.97	0.00	16,869.97
Corporate Bonds	5,314,315.09	1,471,754.65	6,786,069.74
Preferred Stocks	0.00	0.00	0.00
Total from Fixed Income Securities	11,634,093.72	2,874,718.30	14,508,812.02
FROM EQUITY SECURITIES --			
Convertible Debentures	0.00	(8,713.80)	(8,713.80)
Convertible Preferred Stocks	15,776.43	0.00	15,776.43
Common Stocks	3,476,469.13	0.00	3,476,469.13
Total from Equity Securities	3,492,245.56	(8,713.80)	3,483,531.76
TOTAL FROM LONG TERM INVESTMENTS	15,126,339.28	2,866,004.50	17,992,343.78
FROM SHORT TERM INVESTMENTS --			
U. S. Government Agencies - Short Term Notes	0.00	(181,503.56)	(181,503.56)
Commercial Paper	2,951,696.57	(291,654.00)	2,660,042.57
Treasury Bills	0.00	36,223.29	36,223.29
Interest on Funds in State Treasury	474,562.93	0.00	474,562.93
Interest on Bank Clearing Balances	31,000.00	0.00	31,000.00
Total From Short Term Investments	3,507,259.50	(436,934.27)	3,070,325.23
TOTAL INCOME FROM INVESTMENTS TO AVAILABLE UNIVERSITY FUND	\$18,633,598.78	\$2,429,070.23	\$21,062,669.01

INTEREST ACCRUED

	As of 7/31/89	As of 6/30/89	NET
U. S. Treasuries	\$11,664,326.35	\$9,948,634.27	\$1,715,692.08
U. S. Govt. Obligations (Gtd.)	1,562,953.89	1,585,239.81	(22,285.92)
U. S. Govt. Agencies (Non-Gtd.)	2,490,773.47	2,781,215.98	(290,442.51)
Corporate Bonds	17,785,210.13	16,313,455.48	1,471,754.65
Convertible Debentures	5,743.74	14,457.54	(8,713.80)
U. S. Govt. Agencies - Short Term Notes	6,480,881.10	6,662,384.66	(181,503.56)
Commercial Paper	3,413,644.46	3,705,298.46	(291,654.00)
U. S. Treasury Bills	204,486.30	168,263.01	36,223.29
	\$43,608,019.44	\$41,178,949.21	\$2,429,070.23

PERMANENT UNIVERSITY FUND

STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS
July 1 - 31, 1989

CASH RECEIPTS

RECEIPTS INCREASING THE FUND:		
Mineral Royalties		
Oil	\$4,231,046.19	
Gas	1,773,070.07	
Sulphur	25,237.80	
Water	165,813.77	
Brine	2,175.35	
Rental		
Mineral Lease	17,842.67	
Other	2,180.00	
Bonuses		
Total University Lands Receipts	<u>6,217,365.85</u>	
Gain or (Loss) on Sales of Securities	12,844,079.65	
Total		<u>\$19,061,445.50</u>
RECEIPTS FROM SALES OF SECURITIES:		
Corporate Bonds	3,586,713.98	
Preferred Stock	4.93	
Special Investments	23.41	
Convertible Preferred Stock	150,941.41	
Common Stocks	38,439,802.41	
Bond Exchanges Out	4,183,896.68	
Merger of Texas Eastern with Panhandle Eastern Resulting in Write Off of: Texas Eastern Common Stock	107,274.75	
Total	<u>46,468,657.57</u>	
RECEIPTS FROM MATURING SECURITIES AND AMORTIZATION:		
U.S. Govt. Obligations (Gtd.)	755,763.60	
U.S. Govt. Agencies (Non-Gtd.)	1,753,951.83	
Corporate Bonds	3,575,000.00	
FHA Mortgages	42,473.19	
Pooled Investments - U. S. Govt. Agencies (Non-Gtd.)	10,000,000.00	
Net Amortization on Bonds (Discount in Excess of Premium)	(1,038,453.59)	
Total	<u>15,088,735.03</u>	
Total Cash Receipts		<u>80,618,838.10</u>
CASH ON HAND:		
June 30, 1989	36,081,877.68	
July 31, 1989	46,081,369.81	
Increase in Cash Balance (Subtract)		<u>(9,999,492.13)</u>
Total Cash Accounted for		<u>\$70,619,345.97</u>

PERMANENT UNIVERSITY FUND

STATEMENT OF CASH RECEIPTS AND DISEURSEMENTS (Continued):
July 1 - 31, 1989

CASH DISBURSEMENTS

ASSETS PURCHASED:

U.S. Government Agencies (Non-Guaranteed) (\$4,304,995.00 par value)	\$4,309,282.46
Corporate Bonds (\$7,653,487.50 par value)	7,611,827.89
Convertible Debentures (\$21,000.00 par)	11,235.00
Preferred Stock (1,213.50 shares)	12,948.76
Convertible Preferred Stock (762.42 shares)	16,201.43
Common Stocks (1,162,300 shares)	29,407,466.81
Bond Exchanges In (\$4,195,005.00 par value)	4,135,391.94
Pooled Investments - Commercial Paper (Net)	25,000,000.00
Merger of Texas Eastern with Panhandle Eastern Resulting in Write On of: Panhandle Eastern Common Stock	114,991.68
Total Cash Disbursements	<u>\$70,619,345.97</u>

SUMMARY

Book Value of Permanent University Fund Investments

June 30, 1989	\$3,260,628,761.22
Additions for July, 1989:	
From West Texas Lands	\$6,217,365.85
Realized Net Gain or (Loss) on Security Transactions	12,844,079.65
(See Cash Receipts Increasing the Fund for Detail)	<u>19,061,445.50</u>
July 31, 1989	<u>\$3,279,690,206.72</u>

PERMANENT UNIVERSITY FUND
SCHEDULE OF SECURITIES PURCHASED
(JULY 1 - 31, 1989)

SECURITY	PAR VALUE OR NO. SHARES (3)	TOTAL PRINCIPAL COST	YIELD AT COST
<u>LONG TERM SECURITIES:</u>			
<u>DEBT SECURITIES:</u>			
<u>U.S. GOVT. AGENCIES (Non-Gtd.)</u>			
FHLMC 10% REMIC GMO 18-D, 11/15/19	\$804,995.00	\$811,032.46	9.92%
FNMA 8.45% Debs., 7/15/96	3,500,000.00	3,498,250.00	8.46%
TOTAL U.S. GOVT. AGENCIES (Non-Gtd.)	4,304,995.00	4,309,282.46	8.73%
<u>CORPORATE BONDS:</u>			
Tenneco Credit 9% Notes, 7/15/95	4,175,000.00	4,154,125.00	9.11%
Texas Instruments 9% Notes, 7/15/99	3,475,000.00	3,454,150.00	9.09%
Viacom 15-1/2% Jr. Sub. Debs., 12/31/06	3,487.50	3,552.89	15.19%
TOTAL CORPORATE BONDS	7,653,487.50	7,611,827.89	9.10%
TOTAL DEBT SECURITIES	\$11,958,482.50	11,921,110.35	8.97%
<u>PREFERRED STOCK:</u>			
Harcourt Brace Jovanovich	1,213.50	12,948.76	15.18%
<u>EQUITY SECURITIES:</u>			
<u>CONVERTIBLE DEBENTURES:</u>			
Builder's Transport	\$21,000.00	11,235.00	0.00%
<u>CONVERTIBLE PREFERRED STOCK:</u>			
HCA-Hospital of America	762.42	16,201.43	20.56%
<u>COMMON STOCKS: (1)</u>			
Affiliated Publications - Class A (New)	10,000.00	130,730.00	0.00%
Alcan Aluminum	67,600.00	1,470,608.50	5.15%
Amdahl	109,500.00	1,768,819.50	0.62%
AMP	6,100.00	250,713.00	2.43%
Ashton Tate	28,500.00	467,125.00	0.00%
Brunswick	92,100.00	1,441,513.50	2.30%
Campbell Soup	7,000.00	366,994.80	1.76%
Centel Cable Television - Class A	5,000.00	225,875.00	0.09%
Chase Manhattan	5,000.00	188,104.50	6.27%
Columbia Savings & Loan	71,500.00	725,411.00	2.76%
Consolidated Rail	7,000.00	250,810.00	3.91%
Cray Research	15,300.00	708,926.25	0.00%
Delta Air Lines	16,000.00	1,110,931.80	1.73%
Disney (Walt) Productions	1,000.00	101,834.00	0.47%
Dow Chemical	4,200.00	361,847.50	3.71%
First Mississippi	72,300.00	1,201,974.06	18.04%
Ford Motor	400.00	19,228.00	6.24%
General Signal	19,900.00	1,108,032.60	3.23%
Georgia-Pacific	16,500.00	734,449.50	3.15%
Goodyear Tire & Rubber	1,400.00	72,724.68	3.47%
Graphic Scanning	6,000.00	65,810.00	0.00%
Hercules	11,800.00	556,594.00	4.75%
Intel	20,200.00	577,344.66	0.00%
International Paper	15,800.00	777,894.00	3.20%
Kmart	6,900.00	250,677.00	4.51%

PERMANENT UNIVERSITY FUND
 SCHEDULE OF SECURITIES PURCHASED (CONTINUED)
 (JULY 1 - 31, 1989)

SECURITY	NO. SHARES (3)	TOTAL PRINCIPAL COST	YIELD AT COST
COMMON STOCKS: (1) (CONTINUED)			
Lilly (Eli)	600.00	\$33,339.00	2.43%
Lomas Mortgage	24,200.00	485,168.86	9.98%
Louisiana-Pacific	16,300.00	536,316.50	3.04%
Manitowoc	3,000.00	68,106.00	3.52%
McDonald's	22,000.00	648,243.20	2.10%
Modine Manufacturing	5,000.00	95,975.00	3.13%
MONY Real Estate Investors	85,000.00	728,506.80	8.40%
Neiman-Marcus Group	10,000.00	196,910.00	1.01%
Pacific Gas & Electric	11,400.00	238,602.00	6.69%
Pennzoil	2,100.00	173,008.50	3.64%
Phelps Dodge	19,700.00	1,121,718.00	5.27%
Quaker State	10,000.00	159,400.00	5.02%
Royal Dutch Petroleum	30,200.00	1,980,378.60	4.04%
Salomon	1,400.00	34,048.00	2.63%
Sears Roebuck	25,700.00	1,139,307.80	4.52%
Service International	27,500.00	574,236.00	2.68%
Tandem Computers	102,300.00	1,946,433.00	0.00%
Texas Instruments	24,200.00	981,488.20	1.77%
Textron	34,200.00	899,136.00	3.81%
TRW	5,600.00	251,048.00	3.84%
Union Camp	17,200.00	615,476.00	3.91%
Unisys	66,700.00	1,565,648.50	4.26%
TOTAL COMMON STOCKS		29,407,466.81	3.69%
TOTAL EQUITY SECURITIES		29,434,903.24	3.70%
TOTAL LONG TERM INVESTMENTS		41,368,962.35	5.22%
SHORT TERM SECURITIES:			
POOLED INVESTMENTS--			
Commercial Paper (Net)	\$25,000,000.00	25,000,000.00	
TOTAL SECURITIES PURCHASED		\$66,368,962.35	

- (1) Yield on Common Stocks based on cost price and dividend rate as of 7/31/89.
- (2) Current Yield is not calculated on Special Investments due to the absence of scheduled interest and dividend payments.
- (3) Reflects shares actually purchased. Does not reflect shares received as a result of stock splits and dividends subsequent to date of purchase.

PERMANENT UNIVERSITY FUND
EQUITIES -- OTHER CHANGES
July 1 - 31, 1989

<u>STOCK SPLITS, DIVIDENDS, AND DISTRIBUTIONS</u>	<u>SHS. RECEIVED</u>
Consolidated Edison of New York 2 - 1 STOCK SPLIT	73,200
Raven Industries 2 - 1 STOCK SPLIT	28,900

PERMANENT UNIVERSITY FUND
 SCHEDULE OF SECURITIES SOLD, CALLED OR MATURED
 (JULY 1 - 31, 1989)

SECURITY	PAR VALUE	BOOK VALUE OF HOLDINGS	NET SALES PROCEEDS	GAIN OR (LOSS) OVER BOOK VALUE
<u>LONG TERM SECURITIES:</u>				
<u>DEBT SECURITIES:</u>				
<u>U. S. GOVT. OBLIGATIONS (Gtd.)</u>				
GNMA-Pass-Throughs and Project Loans, Various Pools				
MHC Owner Trustee Shipmoor Assoc. 9%, 6/30/02	\$701,822.52	\$689,475.47	\$701,822.52	\$12,347.05
Marcus Daly Hosp. Hill-Burton 8-1/4% Note, 1/24/99	26,402.89	26,387.13	26,402.89	15.76
Sun Shipbuilding Mer. Marine XI 7.85%, 10/31/89	38,901.00	38,901.00	38,901.00	0.00
	1,000.00	1,000.00	1,000.00	0.00
TOTAL VARIOUS	768,126.41	755,763.60	768,126.41	12,362.81
FHA Mortgages Principal Payments				
	42,473.19	42,473.19	42,473.19	0.00
TOTAL U.S. GOVT. OBLIGATIONS (Gtd.)	810,599.60	798,236.79	810,599.60	12,362.81
<u>U.S. GOVERNMENT AGENCIES (Non-Gtd.)</u>				
Federal Home Loan Mortgages P. C.'s Various Groups	1,765,249.22	1,753,951.83	1,765,249.22	11,297.39
<u>CORPORATE BONDS:</u>				
Beneficial Med. Term 14.40% Notes, 7/15/89	3,000,000.00	3,000,000.00	3,000,000.00	0.00
Cheasapeake & Potomac Tel. 4-3/8% Debs., 2/1/98	247,000.00	232,614.07	181,806.82	(50,807.25)
Cheasapeake & Potomac Tel. 5-5/8% Debs., 7/1/06	275,000.00	272,780.16	197,444.50	(75,335.66)
Cheasapeake & Potomac Tel. 5% Debs., 3/1/00	270,000.00	253,634.04	198,830.70	(54,803.34)
Commonwealth Edison 5-3/8% 1st Mtge., 4/1/97	843,000.00	825,272.62	662,850.90	(162,421.72)
Consumers Power 10-5/8% 1st Mtge., 7/1/99	400,000.00	400,000.00	400,000.00	0.00
Hospital of America 15-3/4% Sub. Debs., 7/1/03	421,800.00	268,061.90	284,715.00	16,653.10
Illinois Power 9-7/8% 1st Mtge., 7/1/04	175,000.00	175,000.00	175,000.00	0.00
Indiana Bell Tel. 4-3/4% Debs., 10/1/05	615,000.00	560,181.66	406,933.20	(153,248.46)
Iowa-Illinois Gen. Elec. 5-7/8% 1st Mtgo., 7/15/97	175,000.00	172,750.98	143,967.25	(28,783.73)

PERMANENT UNIVERSITY FUND
 SCHEDULE OF SECURITIES SOLD, CALLED OR MATURED (CONTINUED)
 (JULY 1 - 31, 1989)

SECURITY	PAR VALUE OR NO. OF SHARES	BOOK VALUE OF HOLDINGS	NET SALES PROCEEDS	GAIN OR (LOSS) OVER BOOK VALUE
<u>CORPORATE BONDS (Continued):</u>				
Mountain States Tel. 5-1/2% Debs., 6/1/05	\$395,000.00	\$383,032.88	\$286,347.35	(\$96,685.53)
New Jersey Bell 7-1/4% Debs., 4/1/11	350,000.00	360,753.80	293,548.50	(67,205.30)
New York Telephone 4-5/8% Ref. Mtge., Series L, 10/1/97	140,000.00	131,760.89	106,041.60	(25,719.29)
Santa Fe So. Pac 16% Sr. Sub. Debs., 3/1/03	113,500.00	125,369.06	125,701.25	332.19
Viacom Series A 15-1/2% Jr. Sub. Debs., 12/31/06	487.50	501.92	491.64	(10.28)
TOTAL CORPORATE BONDS	7,420,787.50	7,161,713.98	6,463,678.71	(698,035.27)
TOTAL DEBT SECURITIES	\$9,996,636.32	9,713,902.60	9,039,527.53	(674,375.07)
<u>PREFERRED STOCK:</u>				
Harcourt Brace Jovanovich	0.50	4.93	6.75	1.82
<u>SPECIAL INVESTMENTS:</u>				
Farm & Home Financial Series B	0.99	23.41	23.67	0.26
<u>CONVERTIBLE PREFERRED STOCK</u>				
HCA - Hospital of America	11,716.42	150,941.41	246,563.79	95,622.38
<u>EQUITY SECURITIES:</u>				
<u>COMMON STOCK:</u>				
Aican Aluminum	57,750.00	1,245,132.35	1,240,659.50	(4,472.85)
American General	28,800.00	984,676.53	1,051,260.88	66,584.35
Armstrong World Industries	14,600.00	522,876.87	721,298.92	198,422.05
Bankers Trust	51,000.00	1,913,737.72	2,577,009.25	663,271.53
Capital Cities/ABC	2,000.00	415,077.54	993,806.86	578,729.32
Centel	4,100.00	93,444.57	212,600.89	119,156.32
Chubb	3,800.00	212,939.05	268,894.02	55,954.97
Citicorp	12,200.00	366,488.00	387,186.06	20,698.06
Coastal	26,700.00	663,210.38	1,104,408.67	441,198.29
Commonwealth Edison	29,500.00	823,345.00	1,112,407.87	289,062.87
Communications Satellite	32,000.00	919,396.11	1,174,161.39	254,765.28
Consolidated Natural Gas	11,100.00	408,856.99	501,370.25	92,513.26
Core Industries	49,600.00	715,232.00	611,795.54	(103,436.46)
Delta Air Lines	25,700.00	1,279,089.00	1,755,122.92	476,033.92
DQE	100,000.00	1,269,900.50	2,240,463.13	970,562.63
Eastern Enterprises	9,500.00	248,639.71	308,074.70	59,434.99
Eastman Kodak	10,000.00	456,053.05	480,830.94	24,777.89

PERMANENT UNIVERSITY FUND
 SCHEDULE OF SECURITIES SOLD, CALLED OR MATURED (CONTINUED)
 (JULY 1 - 31, 1989)

SECURITY	NO. SHARES	BOOK VALUE OF HOLDINGS	NET SALES PROCEEDS	GAIN OR (LOSS) OVER BOOK VALUE
<u>COMMON STOCK (Continued):</u>				
Empresa Nacional				
Electricidad ADS	11,000.00	\$184,910.00	\$204,319.26	\$19,409.26
Federal National				
Mortgage	3,300.00	104,719.08	297,179.86	192,460.78
First Chicago	49,600.00	1,552,189.46	2,080,004.62	527,815.16
Freeport McMoran				
Energy Partners	55,900.00	715,240.50	707,213.03	(8,027.47)
Gannett	35,800.00	955,304.81	1,617,053.90	661,749.09
Grace (W.R.)	38,400.00	743,049.91	1,220,746.09	477,696.18
Halliburton	7,200.00	206,219.11	252,531.57	46,312.46
International				
Multifoods	23,800.00	715,071.00	706,527.01	(8,543.99)
K Mart	14,500.00	402,009.58	517,802.35	115,792.77
Kemper	32,600.00	1,089,247.22	1,223,962.00	134,714.78
Kollmorgen	3,000.00	41,049.00	71,853.59	30,804.59
Lilly (Eli)	13,000.00	551,687.16	758,834.65	207,147.49
Lockheed	30,000.00	1,261,743.12	1,440,364.38	178,621.26
Mobil	28,400.00	1,004,299.88	1,473,403.41	469,103.53
Monsanto	8,900.00	511,381.14	978,267.85	466,886.71
Motorola	8,900.00	357,444.93	502,121.23	144,676.30
Norfolk Southern	10,900.00	333,084.01	369,715.64	36,631.63
Northrop	37,800.00	1,001,627.00	979,743.24	(21,883.76)
Norton	24,600.00	871,478.59	1,339,425.31	467,946.72
Occidental Petroleum	34,600.00	943,368.01	954,907.78	11,539.77
Pacific Telesis	23,600.00	449,378.76	1,004,028.46	554,649.70
PacifiCorp	30,500.00	935,757.45	1,250,805.71	315,048.26
Panhandle Eastern	0.53	12.55	12.14	(0.41)
Paramount				
Communications	2,000.00	46,208.60	117,082.09	70,873.49
Penney (J.C.)	6,600.00	319,407.00	364,934.81	45,527.81
Pepsico	22,200.00	189,775.12	1,254,432.11	1,064,656.99
Philip Morris	1,800.00	46,151.40	261,297.28	215,145.88
Procter & Gamble	4,500.00	280,590.41	505,873.12	225,282.71
Public Service				
Enterprise Group	36,800.00	898,335.30	1,000,190.57	101,855.27
Quantum Chemical	1,400.00	74,299.32	57,919.56	(16,379.76)
Rayonier Timberlands	35,500.00	716,035.00	679,325.98	(36,709.02)
Reebok International	92,000.00	1,294,440.00	1,239,957.97	(54,482.03)
Rowan	13,900.00	85,510.75	117,451.05	31,940.30
Scott Paper	28,100.00	1,106,999.50	1,280,559.21	173,559.71
Stone Container	20,500.00	549,435.58	541,294.89	(8,140.69)
Telephone & Data				
Systems	2,000.00	16,231.35	78,631.37	62,400.02
Tribune	50,800.00	1,977,992.52	2,783,255.69	805,263.17
Trinova	14,000.00	357,261.49	386,065.08	28,803.59
United Artists				
Entertainment	4,397.00	60,485.25	83,696.90	23,211.65
United Technologies	57,900.00	2,166,701.32	3,012,367.38	845,666.06
Warner				
Communications	23,000.00	785,574.86	1,398,405.81	612,830.95
TOTAL COMMON STOCKS		<u>38,439,802.41</u>	<u>51,854,915.74</u>	<u>13,415,113.33</u>
TOTAL EQUITY SECURITIES		<u>38,590,743.82</u>	<u>52,101,479.53</u>	<u>13,510,735.71</u>

PERMANENT UNIVERSITY FUND
 SCHEDULE OF SECURITIES SOLD, CALLED OR MATURED (CONTINUED)
 (JULY 1 - 31, 1989)

<u>SECURITY</u>	<u>BOOK VALUE OF HOLDINGS</u>	<u>NET SALES PROCEEDS</u>	<u>GAIN OR (LOSS) OVER BOOK VALUE</u>
<u>SHORT TERM SECURITIES:</u>			
<u>POOLED INVESTMENTS--</u>			
U. S. Govt. Agencies (Non-Gtd.)	\$10,000,000.00	\$10,000,000.00	\$0.00
TOTAL CASH SALES, CALLS, OR MATURITIES	<u>\$58,304,674.76</u>	<u>\$71,141,037.48</u>	<u>\$12,836,362.72</u>

PERMANENT UNIVERSITY FUND
 MERGER EXCHANGES
 (JULY 1 - 31, 1989)

<u>ISSUE EXCHANGED</u>	<u>BOOK VALUE</u>	<u>RECEIVED</u>	<u>VALUE</u>	<u>GAIN OR (LOSS) OVER BOOK VALUE</u>
<u>MERGER OF TEXAS EASTERN WITH PANHANDLE EASTERN:</u>				
Texas Eastern Common Stock - 2,107 shs	\$107,274.75			
		Panhandle Eastern Common Stock - 4,854.53 Shs	\$114,991.68	
TOTAL MERGER EXCHANGES	<u>\$107,274.75</u>		<u>114,991.68</u>	<u>\$7,716.93</u>
TOTAL SECURITIES SOLD, CALLED, OR MATURED			<u>\$71,256,029.16</u>	<u>\$12,844,079.65</u>

PERMANENT UNIVERSITY FUND
SUMMARY OF BOND EXCHANGES
(JULY 1 - 31, 1989)

<u>DESCRIPTION</u>	<u>BOOK VALUE</u>	<u>MKT YIELD IN- CREASE</u>	<u>BOOK YIELD IN- CREASE</u>	<u>NEW BOOK YIELD</u>
<u>AGENCY FOR AGENCY</u>				
<u>ISSUE EXCHANGED:</u>				
FHLMC GNOME 10% Participation Certificate #380010, 10/1/02 (\$4,195,005.00 par value)	\$4,183,896.68			
<u>ISSUE RECEIVED:</u>				
FHLMC 10% REMIC GMO 18-D, 11/15/19 (\$4,195,005.00 par value)	\$4,135,391.94	0.18%	0.11%	10.15%
	\$4,170.00 (Total Income Improvement) (4)			

(4) Total Income Improvement represents improvement with takeout (payup) considered at current investment rates.

III. COMMON TRUST FUND

COMMON TRUST FUND
SUMMARY OF SECURITIES TRANSACTIONS
(June 1 - 30, 1989)

REPORT ON SECURITIES TRANSACTIONS. -- The following securities transactions have been made for the Common Trust Fund in June, 1989. The Executive Vice Chancellor for Asset Management recommends approval by the Board of Regents of these transactions.

	<u>COST</u> <u>(BOOK VALUE)</u>	<u>YIELD</u> <u>AT COST</u>
<u>PURCHASES:</u>		
<u>EQUITY SECURITIES--</u>		
Common Stocks	\$3,139,153.54	2.16% (1)
<u>CAPITAL CONTRIBUTIONS:</u>		
Special Investments--	2,947,743.95	N/A (2)
Total Long Term Securities	6,086,897.49	<u>1.11%</u>
<u>SHORT TERM SECURITIES:</u>		
Commercial Paper (Net)	5,000,000.00	
TOTAL ACQUISITIONS	<u>\$11,086,897.49</u>	
	<u>PROCEEDS</u>	<u>GAIN</u> <u>OR (LOSS)</u>
<u>SALES:</u>		
<u>EQUITY SECURITIES--</u>		
Common Stocks	\$2,532,622.74	\$614,821.53
<u>CAPITAL RETURNS:</u>		
Special Investments--	75,635.34	0.00
<u>MATURITIES AND PREPAYMENTS:</u>		
U.S. Govt. Obligations (Gtd.)	14,854.68	112.91
U.S. Govt. Agencies (Non-Gtd.)	263,791.04	1,244.36
Corporate Bonds	253,570.44	0.00
Total Maturities and Prepayments	532,216.16	1,357.27
Total Long Term Securities	3,140,474.24	616,178.80
<u>SHORT TERM SECURITIES:</u>		
Goldman-Sachs (Net)	7,021,955.17	0.00
TOTAL DISPOSITIONS	<u>\$10,162,429.41</u>	<u>\$616,178.80</u>

- (1) Yield on Common Stocks is based on cost price and dividend rate as of 6/30/89.
(2) Current Yield is not calculated on Special Investments due to the absence of scheduled interest and dividend payments.

COMMON TRUST FUND
Comparison Summary of Assets

Security	June 30, 1988				June 30, 1989			
	Book Value	Book Yield	Market Value	Market Yield	Book Value	Book Yield	Market Value	Market Yield
LONG TERM SECURITIES:								
DEBT SECURITIES --								
U. S. GOVT. OBLIGATIONS:								
Direct - Treasuries	\$37,162,779.41	11.46%	\$41,821,022.66	8.54%	\$41,915,480.04	10.94%	\$47,267,659.36	8.24%
Gtd. - Various Bonds	4,874,103.84	10.24%	4,872,781.72	10.23%	7,883,859.77	10.06%	8,113,042.92	9.72%
Total U. S. Govts	42,036,883.25	11.32%	46,693,804.38	8.72%	49,799,339.81	10.80%	55,380,702.28	8.46%
U. S. GOVT. AGENCIES:								
Non-Guaranteed	70,244,575.74	9.76%	69,907,429.24	9.80%	73,704,279.97	9.75%	74,603,931.10	9.57%
CORPORATE BONDS	172,517,819.09	10.70%	173,061,440.47	10.47%	175,828,801.85	10.65%	180,005,133.66	9.90%
TOTAL DEBT SECURITIES	284,799,278.08	10.56%	289,662,674.09	10.03%	299,332,421.63	10.45%	309,989,767.04	9.56%
PREFERRED STOCKS	1,151,900.00	13.57%	1,490,000.00	10.49%	1,151,900.00	13.57%	1,555,000.00	10.05%
TOTAL FIXED INCOME SECURITIES	285,951,178.08	10.57%	291,152,674.09	10.03%	300,484,321.63	10.47%	311,544,767.04	9.57%
EQUITY SECURITIES								
Convertible Debentures	350,000.00	8.04%	323,000.00	8.71%	150,000.00	6.75%	150,000.00	6.75%
Convertible Preferred Stocks	618,355.91	2.11%	519,350.00	2.52%	82,987.90	0.00%	354,131.25	0.00%
Common Stocks	136,266,767.91	3.15%	155,838,665.80	2.75%	146,870,765.48	3.23%	183,819,320.17	2.58%
TOTAL EQUITY SECURITIES	137,235,123.82	3.16%	156,681,015.80	2.76%	147,103,753.38	3.23%	184,323,451.42	2.58%
SPECIAL INVESTMENTS	27,530,104.53	0.00%	28,101,248.32	0.00%	36,122,019.04	0.00%	36,122,019.04	0.00%
TOTAL LONG TERM	450,716,406.43	7.67%	475,934,938.21	7.04%	483,710,094.05	7.48%	531,990,237.50	6.50%
CASH & EQUIVALENT:								
Goldman Sachs	51,555,629.47	6.80%	51,555,629.47	6.80%	52,125,763.26	9.20%	52,125,763.26	9.20%
U. S. Governments (Direct)	5,996,243.87	10.53%	6,025,320.00	7.12%	5,687,504.52	11.06%	5,811,260.45	8.41%
U. S. Governments (Non-Gtd)	0.00	0.00%	0.00	0.00%	4,776,892.36	9.42%	4,776,892.36	9.42%
Corporate Bonds	3,024,355.99	9.66%	3,029,361.50	8.89%	1,751,845.20	12.15%	1,143,976.00	6.34%
Commercial Paper	5,000,000.00	6.73%	5,000,000.00	6.73%	5,000,000.00	9.18%	5,000,000.00	9.18%
Treasury Bills	3,767,360.96	6.65%	3,767,360.96	6.65%	0.00	0.00%	0.00	0.00%
Cash	156,270.10	0.00%	156,270.10	0.00%	49,339.82	0.00%	49,339.82	0.00%
TOTAL SHORT TERM	69,499,860.39	7.22%	69,533,942.03	6.89%	69,391,345.16	9.43%	68,907,231.89	9.09%
TOTAL SECURITIES, CASH & EQUIVALENT	\$520,216,266.82	7.61%	\$545,468,880.24	7.02%	\$553,101,439.21	7.73%	\$600,897,469.39	6.79%
UNIT MARKET VALUE			\$2.6663942				\$2.8160725	

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COMMON TRUST FUND
SUMMARY OF INCOME FROM INVESTMENTS
(June 1 - 30, 1989)

	CASH	ACCRUED	TOTAL
FROM FIXED INCOME SECURITIES --			
U. S. Treasuries	\$0.00	\$371,426.30	\$371,426.30
U. S. Govt. Obligations (Gtd.)	71,606.97	8,955.45	80,562.42
U. S. Govt. Agencies (Non-Gtd.)	581,413.59	(4,336.50)	577,077.09
Corporate Bonds	1,770,542.42	(40,788.31)	1,729,754.11
Total from Fixed Income Securities	2,423,562.98	335,256.94	2,758,819.92
FROM EQUITY SECURITIES --			
Convertible Debentures	0.00	843.75	843.75
Common Stocks	788,131.19	0.00	788,131.19
Total From Equity Securities	788,131.19	843.75	788,974.94
FROM SPECIAL INVESTMENTS			
Total From Long Term Investments	82,333.46	0.00	82,333.46
FROM SHORT TERM INVESTMENTS --			
U. S. Treasuries	0.00	51,306.17	51,306.17
U. S. Government Agencies - Short Term Notes	0.00	36,472.60	36,472.60
Treasury Bills	0.00	0.00	0.00
Commercial Paper	42,762.30	20,408.67	63,170.97
Short Term Investment Fund - Goldman-Sachs	447,908.30	(64,302.02)	383,606.28
Fail Float	0.00	0.00	0.00
Securities Lending	7,051.11	0.00	7,051.11
Total From Short Term Investments	497,721.71	43,885.42	541,607.13
TOTAL INCOME FROM INVESTMENTS TO COMMON TRUST FUND	\$3,791,749.34	\$379,986.11	\$4,171,735.45

	INTEREST ACCRUED		
	As of 6/30/89	As of 5/31/89	NET
U. S. Treasuries	\$1,018,612.51	\$647,186.21	\$371,426.30
U. S. Govt. Obligations (Gtd.)	48,787.12	39,831.67	8,955.45
U. S. Govt. Agencies (Non-Gtd.)	552,280.04	556,616.54	(4,336.50)
Corporate Bonds	4,434,881.17	4,475,669.48	(40,788.31)
Convertible Debentures	3,093.75	2,250.00	843.75
U. S. Treasuries - Short Term Notes	101,927.67	50,621.50	51,306.17
U. S. Govt. Agencies - Short Term Notes	393,904.11	357,431.51	36,472.60
Short Term Investment Fund - Goldman-Sachs	383,589.68	447,891.70	(64,302.02)
Fail Float	0.00	0.00	0.00
Commercial Paper	20,408.67	0.00	20,408.67
	\$6,957,484.72	\$6,577,498.61	\$379,986.11

COMMON TRUST FUND

STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS
June 1 - 30, 1989

CASH RECEIPTS

RECEIPTS INCREASING THE FUND:

Net Additions to the CTF	\$1,050,615.82	
Gain or (Loss) on Sales of Securities	616,178.80	

Total		\$1,666,794.62
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RECEIPTS FROM SALES OF SECURITIES:

Special Investments	75,635.34	
Common Stocks	1,917,801.21	

Total		1,993,436.55
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RECEIPTS FROM MATURING SECURITIES AND AMORTIZATION:

U.S. Government Obligations (Guaranteed)	14,741.77	
U.S. Government Agencies (Non-Guaranteed)	262,546.68	
Corporate Bonds	253,570.44	
Short Term Investment Fund - Goldman Sachs (Net)	7,021,955.17	
Net Amortization on Bonds (Discount in Excess of Premium)	(5,480.44)	

Total		7,547,333.62
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Total Cash Receipts		11,207,564.79
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CASH ON HAND:

May 31, 1989	101,525.59	
June 30, 1989	49,339.82	

Decrease in Cash Balance (Add)		52,185.77
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Total Cash Accounted for		<u>\$11,259,750.56</u>
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CASH DISBURSEMENTS

ASSETS PURCHASED:

Special Investments	\$2,947,743.95
Common Stocks (80,635 shares)	3,139,153.54
Commercial Paper (Net) (\$5,000,000.00 par)	5,000,000.00
Merger of United Cable TV and United Artists Entertainment Resulting in Write On of:	
United Artists Entertainment - Class A	62,428.95
United Artists Entertainment - Class B	62,202.87
Tele-Communications Rights	48,221.25

Total Cash Disbursements	<u>\$11,259,750.56</u>
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COMMON TRUST FUND

SUMMARY

BOOK VALUE OF THE COMMON TRUST FUND

May 31, 1989 Balance		\$551,434,644.59
Additions:		
**Net Additions to the CTF	\$1,050,615.82	
Realized Net Gain or (Loss) on Security Transactions	616,178.80	1,666,794.62
June 30, 1989 Balance		<u>\$553,101,439.21</u>

NUMBER OF UNITS*

May 31, 1989 Balance		213,007,951
**Net Donations (6/1/89)	\$1,196,724.25	
Market Value per Unit (5/31/89)	\$2.7926286	
Net Donated Units Added (6/1/89)		428,257
Accounting Adjustments		(54,814)
June 30, 1989 Balance		<u>213,381,394</u>

DISTRIBUTIONS TO UNIT HOLDERS*

June 1 - 30, 1989

Distribution from Current Income	\$0.00
Distribution from Income Reserve	0.00
Total Distribution	<u>\$0.00</u>

* Units are added to the CTF quarterly on 3/1, 6/1, 9/1, and 12/1.
Distribution is paid quarterly on 2/28, 5/31, 8/31 and 11/30.

**Differences between Net Donations and Net Additions to the CTF are as follows:

Net Donations	\$1,196,724.25
Accounting Prior Period Adjustments	(146,108.43)
Net Additions to the CTF	<u>\$1,050,615.82</u>

COMMON TRUST FUND
SCHEDULE OF SECURITIES PURCHASED
(June 1 - 30, 1989)

<u>SECURITY</u>	<u>PAR VALUE OR NO. SHARES (3)</u>	<u>TOTAL PRINCIPAL COST</u>	<u>YIELD AT COST</u>
<u>LONG TERM SECURITIES:</u>			
<u>SPECIAL INVESTMENTS:</u>			
Cheyenne Partners	\$8,289.40	\$8,289.40	N/A (2)
Cheyenne II	1,475,454.55	1,475,454.55	
HOS Partners	214,000.00	214,000.00	
North American Fund II	1,250,000.00	1,250,000.00	
TOTAL CAPITAL CONTRIBUTIONS	<u>\$2,947,743.95</u>	<u>2,947,743.95</u>	
 <u>COMMON STOCKS: (1)</u>			
Ashton Tate	10,000	187,500.00	0.00%
Baker Hughes	1,300	22,067.50	2.71%
Bellsouth	1,000	48,100.00	5.24%
British Petroleum ADS	2,600	143,621.50	4.44%
Consolidated Natural Gas	1,000	43,100.00	3.81%
Dynamics Research	4,000	28,500.00	0.00%
Gibson Greetings	5,600	132,381.46	1.44%
Imperial Oil - Class A	20	844.40	4.26%
Liberty SC	7,225	246,701.91	2.34%
Marshall Industries	6,000	93,300.00	0.00%
Molokai Ranch	11,700	668,070.00	0.00%
NYNEX	1,000	80,325.00	5.43%
Occidental Petroleum	500	13,675.00	9.14%
Oshkosh Truck - Class B	3,900	45,825.00	4.26%
Pennzoil	2,200	176,950.50	3.73%
Plains Petroleum	300	10,080.00	0.30%
Public Service of Colorado	7,000	155,614.00	9.00%
Royal Dutch Petroleum	300	18,555.00	4.28%
Schlumberger	500	19,300.00	3.11%
Shell Transport & Trading PLC	500	19,175.00	6.26%
Southwestern Bell	500	25,765.50	5.05%
Southwestern Energy	700	17,332.50	2.26%
Temple-Inland	10,000	589,750.00	1.97%
Texaco	1,000	49,184.00	6.10%
Time	1,790	303,435.27	0.59%
TOTAL COMMON STOCKS		<u>3,139,153.54</u>	<u>2.16%</u>
TOTAL LONG TERM INVESTMENTS		<u>6,086,897.49</u>	<u>1.11%</u>
 <u>SHORT TERM SECURITIES:</u>			
Commercial Paper (Net)	<u>\$5,000,000.00</u>	<u>5,000,000.00</u>	
TOTAL SECURITIES PURCHASED		<u>\$11,086,897.49</u>	

- (1) Yield on Common Stocks is based on cost price and dividend rate as of 6/30/89
(2) Current Yield is not calculated on Special Investments due to the absence of scheduled interest and dividend payments.
(3) Reflects shares actually purchased. Does not reflect shares received as a result of stock splits and dividends subsequent to date of purchase.

COMMON TRUST FUND
EQUITIES -- OTHER CHANGES
(June 1 - 30, 1989)

<u>STOCK SPLITS, DIVIDENDS AND DISTRIBUTIONS</u>	<u>SHS. RECEIVED</u>
Alcan Aluminum Limited 3-2 Split	19,000
Boeing 3-2 Split	2,750
Interpublic Group 3-2 Split	4,750

COMMON TRUST FUND
SCHEDULE OF SECURITIES SOLD, CALLED OR MATURED
(June 1 - 30, 1989)

SECURITY	PAR VALUE OR NO. SHARES	BOOK VALUE OF HOLDINGS	NET SALES PROCEEDS	GAIN OR (LOSS) OVER BOOK VALUE
LONG TERM SECURITIES:				
DEBT SECURITIES:				
U. S. GOVT. OBLIGATIONS (Gtd.):				
GNMA Pass Thrus and Project Loans, Various Pools	\$14,854.68	\$14,741.77	\$14,854.68	\$112.91
U. S. GOVT. AGENCIES (Non-Gtd.):				
Federal Home Loan Mortgages, Various Groups	263,791.04	262,546.68	263,791.04	1,244.36
CORPORATE BONDS:				
AST (Safeway) 9% Purchase Lease, 5/1/96	3,570.44	3,570.44	3,570.44	0.00
Gulf States Utilities 14-3/4% 1st Mtge., 5/28/91	250,000.00	250,000.00	250,000.00	0.00
TOTAL CORPORATE BONDS	253,570.44	253,570.44	253,570.44	0.00
TOTAL DEBT SECURITIES	\$532,216.16	530,858.89	532,216.16	1,357.27
SPECIAL INVESTMENTS:				
Cheyenne Partners	\$35,950.60	35,950.60	35,950.60	0.00
Cheyenne II	39,684.74	39,684.74	39,684.74	0.00
TOTAL CAPITAL RETURNS	\$75,635.34	75,635.34	75,635.34	0.00
COMMON STOCKS:				
Anadarko Petroleum	800.00	20,338.01	24,760.77	4,422.76
Arkla	3,000.00	55,538.19	67,886.71	12,348.52
Atlas	2,480.00	41,784.99	30,518.31	(11,266.68)
Bell Atlantic	1,000.00	65,097.77	89,916.99	24,819.22
Bellsouth	2,000.00	76,725.61	99,202.68	22,477.07
Circle K	33,000.00	406,566.80	408,382.29	1,815.49
Cleveland-Cliffs	15,575.00	180,092.58	490,004.26	309,911.68
Cliffs Drilling	4,000.00	25,089.32	33,840.00	8,750.68
Consolidated Natural Gas	1,000.00	35,868.87	45,162.49	9,293.62
Florida Progress	2,000.00	70,700.00	72,297.58	1,597.58
McCaw Cellular Communications	0.03	0.29	1.27	0.98
Mine Safety Applications	1,500.00	61,125.00	77,625.00	16,500.00
Noble Affiliates	700.00	8,235.73	9,629.57	1,393.84
Northeast Utilities	5,500.00	109,193.73	121,008.43	11,814.70
NYNEX	1,500.00	88,173.01	122,408.15	34,235.14
Occidental Petroleum	500.00	13,517.48	14,637.01	1,119.53
Pennsylvania Power & Light	4,500.00	144,300.15	174,521.65	30,221.50

COMMON TRUST FUND
 SCHEDULE OF SECURITIES SOLD, CALLED OR MATURED
 (June 1 - 30, 1989)

SECURITY	PAR VALUE OR NO. SHARES	BOOK VALUE OF HOLDINGS	NET SALES PROCEEDS	GAIN OR (LOSS) OVER BOOK VALUE
<u>COMMON STOCKS (Continued):</u>				
Pennzoil	900.00	\$70,853.45	\$75,733.47	\$4,880.02
Plains Petroleum	400.00	12,702.36	14,209.52	1,507.16
PSI Holdings	2,000.00	27,325.82	30,832.96	3,507.14
Raven Industries	4,000.00	47,556.12	98,280.71	50,724.59
Schlumberger Limited	1,000.00	36,472.22	40,502.64	4,030.42
Shell Transport & Trading PLC	400.00	15,340.00	15,909.46	569.46
Southwestern Bell	500.00	19,144.70	26,636.61	7,491.91
Southwestern Energy	400.00	8,119.01	10,734.64	2,615.63
TOTAL COMMON STOCKS		1,639,861.21	2,194,643.17	554,781.96
TOTAL LONG TERM SECURITIES		2,246,355.44	2,802,494.67	556,139.23
<u>SHORT TERM SECURITIES:</u>				
Short Term Investment Fund - Goldman-Sachs (Net)		(5,480.44)	(5,480.44)	0.00
TOTAL CASH SALES, CALLS, OR MATURITIES EXCLUDING MERGER EXCHANGES		\$2,240,875.00	2,797,014.23	556,139.23

COMMON TRUST FUND
MERGER EXCHANGES
(June 1 - 30, 1989)

<u>ISSUE EXCHANGED</u>	<u>BOOK VALUE</u>	<u>RECEIVED</u>	<u>VALUE</u>	<u>GAIN OR (LOSS) OVER BOOK VALUE</u>
<u>MERGER OF UNITED CABLE TV INTO UNITED ARTISTS ENTERTAINMENT:</u>				
United Cable TV				
9,800 shs				
Common Stock	\$277,940.00	Cash	\$165,126.50	
		United Artists		
		Entertainment		
		Common Stock -		
		Class A		
		5,511 shs	62,428.95	
		Class B		
		5,511 shs	62,202.87	
		Tele-Communications		
		11,022 Rights	48,221.25	
TOTAL MERGER EXCHANGES	<u>\$277,940.00</u>		<u>337,979.57</u>	<u>\$60,039.57</u>
TOTAL SECURITIES SOLD, CALLED OR MATURED			<u>\$3,134,993.80</u>	<u>\$616,178.80</u>

COMMON TRUST FUND
SUMMARY OF SECURITIES TRANSACTIONS
(July 1 - 31, 1989)

REPORT ON SECURITIES TRANSACTIONS. -- The following securities transactions have been made for the Common Trust Fund in July, 1989. The Executive Vice Chancellor for Asset Management recommends approval by the Board of Regents of these transactions.

	<u>COST</u> <u>(BOOK VALUE)</u>	<u>YIELD</u> <u>AT COST</u>
<u>PURCHASES:</u>		
DEBT SECURITIES--		
Corporate Bonds	\$994,500.00	9.10%
EQUITY SECURITIES--		
Common Stocks	2,746,958.40	3.25% (1)
<u>CAPITAL CONTRIBUTIONS:</u>		
Special Investments--	1,963,353.01	N/A (2)
TOTAL ACQUISITIONS	<u>\$5,704,811.41</u>	<u>3.15%</u>
	<u>PROCEEDS</u>	<u>GAIN</u> <u>OR (LOSS)</u>
<u>SALES:</u>		
EQUITY SECURITIES--		
Common Stocks	\$4,237,869.53	\$980,515.12
<u>CAPITAL RETURNS:</u>		
Special Investments--	42,352.58	0.06
<u>MATURITIES AND PREPAYMENTS:</u>		
U.S. Govt. Obligations (Gtd.)	73,685.73	130.33
U.S. Govt. Agencies (Non-gtd.)	374,190.84	2,496.61
Corporate Bonds	3,597.22	0.00
Total Maturities and Prepayments	<u>451,473.79</u>	<u>2,626.94</u>
Total Long Term Securities	4,731,695.90	983,142.12
<u>SHORT TERM INVESTMENTS:</u>		
Short Term Investment Fund		
Goldman-Sachs (Net)	939,849.96	0.00
TOTAL DISPOSITIONS	<u>\$5,671,545.86</u>	<u>\$983,142.12</u>

(1) Yield on Common Stocks is based on cost price and dividend rate as of 7/31/89.

(2) Current Yield is not calculated on Special Investments due to the absence of scheduled interest and dividend payments.

COMMON TRUST FUND
Comparison Summary of Assets

Security	July 31, 1988				July 31, 1989			
	Book Value	Book Yield	Market Value	Market Yield	Book Value	Book Yield	Market Value	Market Yield
LONG TERM SECURITIES:								
DEBT SECURITIES --								
U. S. GOVT. OBLIGATIONS:								
Direct - Treasuries	\$40,143,080.77	11.28%	\$44,172,877.52	8.88%	\$38,183,398.26	10.91%	\$44,128,359.00	7.83%
Gtd. - Various Bonds	4,835,840.31	10.23%	4,772,241.23	10.36%	7,811,500.35	10.04%	8,112,696.25	9.59%
Total U. S. Govts	44,978,921.08	11.17%	48,945,718.75	9.02%	45,994,898.61	10.76%	52,241,055.25	8.10%
U. S. GOVT. AGENCIES:								
Non-Guaranteed	71,939,520.30	9.75%	70,891,559.61	9.93%	73,332,773.30	9.75%	75,199,842.85	9.38%
CORPORATE BONDS	176,734,984.97	10.69%	175,867,473.65	10.62%	176,831,195.63	10.64%	183,468,435.38	9.66%
TOTAL DEBT SECURITIES	293,653,426.35	10.53%	295,704,752.01	10.19%	296,158,867.54	10.44%	310,909,333.48	9.33%
PREFERRED STOCKS	1,151,900.00	13.57%	1,480,000.00	10.56%	1,151,900.00	13.57%	1,690,000.00	9.25%
TOTAL FIXED INCOME SECURITIES	294,805,326.35	10.54%	297,184,752.01	10.19%	297,310,767.54	10.45%	312,599,333.48	9.33%
EQUITY SECURITIES								
Convertible Debentures	350,000.00	8.04%	325,000.00	8.65%	150,000.00	6.75%	180,000.00	6.55%
Convertible Preferred Stocks	579,298.41	1.79%	447,150.00	2.32%	82,987.90	0.00%	327,618.75	0.00%
Common Stocks	138,890,143.24	3.18%	157,163,077.64	2.81%	146,360,369.47	3.35%	190,830,549.73	2.57%
TOTAL EQUITY SECURITIES	139,819,441.65	3.19%	157,935,227.64	2.82%	146,593,357.37	3.35%	191,338,168.48	2.57%
SPECIAL INVESTMENTS	27,479,929.87	0.00%	26,197,429.87	0.00%	38,043,019.53	0.00%	38,043,019.53	0.00%
TOTAL LONG TERM	462,104,697.87	7.69%	481,317,409.52	7.22%	481,947,144.44	7.47%	541,980,521.49	6.29%
CASH & EQUIVALENT:								
Goldman Sachs	46,109,987.68	7.09%	46,109,987.68	7.09%	51,185,913.30	8.94%	51,185,913.30	8.94%
U. S. Governments (Direct)	5,996,243.87	10.53%	6,004,680.00	8.60%	9,426,898.90	11.12%	9,668,389.01	7.97%
U. S. Governments (Non-Gtd)	0.00	0.00%	0.00	0.00%	4,776,892.36	9.42%	4,776,892.36	9.42%
Corporate Bonds	3,024,355.99	9.66%	3,022,719.70	9.19%	1,751,845.20	12.15%	1,172,741.00	6.16%
Commercial Paper	0.00	0.00%	0.00	0.00%	5,000,000.00	9.18%	5,000,000.00	9.18%
Treasury Bills	3,767,360.96	6.65%	3,767,360.96	6.65%	0.00	0.00%	0.00	0.00%
Cash	220,747.05	0.00%	220,747.05	0.00%	(4,112.87)	0.00%	(4,112.87)	0.00%
TOTAL SHORT TERM	59,118,695.55	7.52%	59,125,495.39	7.30%	72,137,436.89	9.35%	71,799,822.80	8.81%
TOTAL SECURITIES, CASH & EQUIVALENT	\$521,223,393.42	7.67%	\$540,442,904.91	7.23%	\$554,084,581.33	7.71%	\$613,780,344.29	6.58%
UNIT MARKET VALUE			\$2.7521872				\$2.8814903	

COMMON TRUST FUND
SUMMARY OF INCOME FROM INVESTMENTS
(July 1-31, 1989)

	CASH	ACCRUED	TOTAL
FROM FIXED INCOME SECURITIES --			
U. S. Treasuries	\$247,779.00	\$190,728.03	\$438,507.03
U. S. Govt. Obligations (Gtd.)	45,982.89	6,131.66	52,114.55
U. S. Govt. Agencies (Non-Gtd.)	579,429.10	33,569.80	612,998.90
Corporate Bonds	624,418.51	672,891.64	1,297,310.15
Total from Fixed Income Securities	1,497,609.50	903,321.13	2,400,930.63
FROM EQUITY SECURITIES --			
Convertible Debentures	0.00	843.75	843.75
Common Stocks	246,866.47	0.00	246,866.47
Total From Equity Securities	246,866.47	843.75	247,710.22
FROM SPECIAL INVESTMENTS			
Total From Long Term Investments	36,722.34	0.00	36,722.34
FROM SHORT TERM INVESTMENTS --			
U. S. Govt. Agencies (Non-Gtd.) --			
Short Term Notes	0.00	37,688.36	37,688.36
Treasury Bills	0.00	0.00	0.00
Commercial Paper	2.50	39,541.79	39,544.29
Short Term Investment Fund -			
Goldman-Sachs	452,340.71	10,093.39	462,434.10
Fail Float	3,019.44	0.00	3,019.44
Securities Lending	(303.03)	0.00	(303.03)
Total From Short Term Investments	455,059.62	87,323.54	542,383.16
TOTAL INCOME FROM INVESTMENTS TO COMMON TRUST FUND	\$2,236,257.93	\$991,488.42	\$3,227,746.35

INTEREST ACCRUED

	As of 7/31/89	As of 6/30/89	NET
U. S. Treasuries	\$1,311,268.21	\$1,120,540.18	\$190,728.03
U. S. Govt. Obligations (Gtd.)	54,918.78	48,787.12	6,131.66
U. S. Govt. Agencies (Non-Gtd.)	585,849.84	552,280.04	33,569.80
Corporate Bonds	5,107,772.81	4,434,881.17	672,891.64
Convertible Debentures	3,937.50	3,093.75	843.75
U. S. Govt. Agencies -			
Short Term Notes	431,592.47	393,904.11	37,688.36
Short Term Investment Fund -			
Goldman-Sachs	393,683.07	383,589.68	10,093.39
Commercial Paper	59,950.46	20,408.67	39,541.79
	\$7,948,973.14	\$6,957,484.72	\$991,488.42

COMMON TRUST FUND

STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS
July 1 - 31, 1989

CASH RECEIPTS

RECEIPTS INCREASING THE FUND:

Net Additions to the CTF \$0.00

Gain or (Loss) on Sales of Securities 983,142.12

Total 983,142.12

RECEIPTS FROM SALES OF SECURITIES:

Special Investments 42,352.52

Common Stocks 3,257,354.41

Total 3,299,706.93

RECEIPTS FROM MATURING SECURITIES AND AMORTIZATION:

U.S. Govt. Obligations (Gtd.) 73,555.40

U.S. Govt. Agencies (Non-Guaranteed) 371,694.23

Corporate Bonds 3,597.22

Net Amortization on Bonds
(Discount in Excess of Premium) (20,187.14)

Short Term Investment Fund -
Goldman-Sachs (Net) 939,849.96

Total 1,368,509.67

Total Cash Receipts 5,651,358.72

CASH ON HAND:

June 30, 1989 49,339.82

July 31, 1989 (4,112.87)

Decrease in Cash Balance (Add) 53,452.69

Total Cash Accounted for \$5,704,811.41

CASH DISBURSEMENTS

ASSETS PURCHASED:

Corporate Bonds \$994,500.00
(\$1,000,000.00 par)

Special Investments 1,963,353.01

Common Stocks 2,746,958.40
(126,900 shares)

Total Cash Disbursements \$5,704,811.41

COMMON TRUST FUND

SUMMARY
BOOK VALUE OF THE COMMON TRUST FUND

June 30, 1989 Balance		\$553,101,439.21
Additions:		
Net Additions to the CTF	\$0.00	
Realized Net Gain or (Loss) on Security Transactions	983,142.12	983,142.12
July 31, 1989 Balance		<u>\$554,084,581.33</u>

NUMBER OF UNITS*

June 30, 1989 Balance		213,381,394
Net Donations (7/1/89)	\$0.00	
Market Value per Unit (5/31/89)	\$2.7926286	
Net Donated Units Added (7/1/89)		0
Accounting Adjustments		0
July 31, 1989 Balance		<u>213,381,394</u>

DISTRIBUTIONS TO UNIT HOLDERS*
July 1 - 31, 1989

Distribution from Current Income	\$0.00
Distribution from Income Reserve	0.00
Total Distribution	<u>\$0.00</u>

* Units are added to the CTF quarterly on 3/1, 6/1, 9/1, and 12/1.
Distribution is paid quarterly on 2/28, 5/31, 8/31 and 11/30.

COMMON TRUST FUND
SCHEDULE OF SECURITIES PURCHASED
(July 1 - 31, 1989)

SECURITY	PAR VALUE OR NO. SHARES (3)	TOTAL PRINCIPAL COST	YIELD AT COST
LONG TERM SECURITIES:			
DEBT SECURITIES:			
CORPORATE BONDS:			
Tenneco Credit 9% Notes, 7/15/95	\$500,000.00	\$497,500.00	9.11%
Texas Instruments 9% Notes, 7/15/99	500,000.00	497,000.00	9.09%
TOTAL DEBT SECURITIES	\$1,000,000.00	994,500.00	9.10%
SPECIAL INVESTMENTS:			
Carotex Trust II	\$1,700,000.00	1,700,000.00	
Cheyenne II	279,545.46	279,545.46	
Cheyenne II Prior Month Adjustment	(16,192.45)	(16,192.45)	
TOTAL CAPITAL CONTRIBUTIONS	\$1,963,353.01	1,963,353.01	N/A (2)
COMMON STOCKS: (1)			
American Information Technologies	500	29,091.50	5.02%
American Tel & Tel	1,000	34,746.00	3.45%
Amoco	200	8,834.20	4.30%
Ashton Tate	5,000	77,500.00	0.00%
Bell Atlantic	1,000	87,516.00	5.03%
Bellsouth	1,000	46,864.00	5.38%
Berkley (WR)	9,700	321,312.50	1.21%
Brunswick	16,000	250,960.00	2.30%
Dow Jones	900	32,247.00	2.01%
Electro Rent	20,000	352,500.00	0.00%
Enserch	1,000	24,287.50	3.29%
Exxon	400	17,840.00	5.38%
Gulf Resources & Chemical	5,100	56,457.00	0.00%
Kerr-McGee	500	22,025.00	3.00%
Morgan (J. P.)	6,000	235,230.00	4.23%
Murphy Oil	1,400	52,509.50	2.67%
Northeast Utilities	2,000	42,950.00	8.20%
NYNEX	2,000	158,642.50	5.49%
Occidental Petroleum	10,500	292,300.00	8.98%
Pennzoil	900	72,529.50	3.72%
Philips NV - NY Shares	10,000	186,300.00	3.92%
Russ Berrie	4,100	73,008.70	2.25%
Schlumberger	1,000	39,975.00	3.00%
Southwestern Energy	1,000	25,925.00	2.16%
Subaru of America	24,000	121,440.00	0.00%
Sun	1,000	35,657.50	5.05%
Union Pacific	200	14,010.00	3.14%
United Telecommunications	500	34,300.00	2.80%
TOTAL COMMON STOCKS		2,746,958.40	3.25%
TOTAL SECURITIES PURCHASED		\$5,704,811.41	3.15%

- (1) Yield on Common Stocks is based on cost price and dividend rate as of 7/31/89
(2) Current Yield is not calculated on Special Investments due to the absence of scheduled interest and dividend payments.
(3) Reflects shares actually purchased. Does not reflect shares received as a result of stock splits and dividends subsequent to date of purchase.

COMMON TRUST FUND
EQUITIES -- OTHER CHANGES
(JULY 1 - 31, 1989)

<u>STOCK SPLITS, DIVIDENDS AND DISTRIBUTIONS</u>	<u>SHS. RECEIVED</u>
NBD Bancorp 3 - 2 Split	5,550
Raven Industries 2 - 1 Split	13,000
Superior Industries International 5 - 4 Split	6,930
Stone & Webster 2 - 1 Split	32,000

COMMON TRUST FUND
SCHEDULE OF SECURITIES SOLD, CALLED OR MATURED
(July 1 - 31, 1989)

SECURITY	PAR VALUE OR NO. SHARES	BOOK VALUE OF HOLDINGS	NET SALES PROCEEDS	GAIN OR (LOSS) OVER BOOK VALUE
<u>LONG TERM SECURITIES:</u>				
<u>DEBT SECURITIES:</u>				
<u>U. S. GOVT. OBLIGATIONS (Gtd.):</u>				
GNMA Pass Thrus and				
Project Loans,				
Various Pools	\$73,685.73	\$73,555.40	\$73,685.73	\$130.33
<u>U. S. GOVT. AGENCIES (Non-Gtd.):</u>				
Federal Home Loan				
Mortgages, Various				
Groups	374,190.84	371,694.23	374,190.84	2,496.61
<u>CORPORATE BONDS:</u>				
AST (Safeway) 9%				
Purchase Lease,				
5/1/96	3,597.22	3,597.22	3,597.22	0.00
TOTAL DEBT				
SECURITIES	\$451,473.79	448,846.85	451,473.79	2,626.94
<u>SPECIAL INVESTMENTS:</u>				
Cheyenne Partners	\$33,601.70	33,601.70	33,601.70	0.00
Cheyenne II	8,745.09	8,745.09	8,745.09	0.00
Farm & Home Financial				
Series B	5.79	5.73	5.79	0.06
TOTAL CAPITAL				
RETURNS	\$42,352.58	42,352.52	42,352.58	0.06
<u>COMMON STOCKS:</u>				
Amoco	6,000	212,127.14	292,010.25	79,883.11
Anadarko Petroleum	1,000	25,422.51	35,773.80	10,351.29
Baker Hughes	4,500	70,429.91	87,200.37	16,770.46
Bell Atlantic	1,500	100,703.69	135,348.47	34,644.78
Bellsouth	1,000	38,629.22	49,648.33	11,019.11
Chevron	6,000	240,494.80	336,258.77	95,763.97
Circle K	9,500	117,041.95	110,178.71	(6,863.24)
Coastal	2,000	74,504.50	85,158.33	10,653.83
Consolidated				
Natural Gas	500	17,934.43	23,400.71	5,466.28
Dime Savings				
Bank of N Y	11,000	152,570.15	157,726.95	5,156.80
Dominion Resources	2,000	89,186.94	89,422.00	235.06
Fuller (HB)	9,000	219,375.00	260,168.60	40,793.60
Greyhound	3,100	101,532.55	103,567.53	2,034.98
GTE	500	20,486.84	27,511.58	7,024.74
Halliburton	2,000	60,799.48	66,666.00	5,866.52
Kerr-McGee	500	22,025.00	25,532.14	3,507.14
Nodaway Valley	36,006	211,560.74	389,984.13	178,423.39
Royal Dutch				
Petroleum	300	17,891.56	20,040.53	2,148.97

COMMON TRUST FUND
 SCHEDULE OF SECURITIES SOLD, CALLED OR MATURED (Continued)
 (July 1 - 31, 1989)

SECURITY	NO. SHARES	BOOK VALUE OF HOLDINGS	NET SALES PROCEEDS	GAIN OR (LOSS) OVER BOOK VALUE
<u>COMMON STOCKS (Continued):</u>				
Salomon	7,300	\$217,836.99	\$198,078.87	(\$19,758.12)
Schlumberger	500	18,443.69	20,815.30	2,371.61
Shell Transport & Trading PLC	100	3,835.00	4,002.36	167.36
South Jersey Industries	1,500	27,450.00	32,473.91	5,023.91
Southwestern Bell	2,500	96,865.00	135,148.96	38,283.96
Stone & Webster	4,000	188,634.97	361,587.93	172,952.96
Sun	1,000	35,657.50	37,475.74	1,818.24
Teledyne	800	253,524.00	290,326.32	36,802.32
Tribune	16,000	615,280.00	855,135.44	239,855.44
Yankee Energy System	350	7,110.85	7,227.50	116.65
TOTAL COMMON STOCKS		3,257,354.41	4,237,869.53	980,515.12
TOTAL LONG TERM SECURITIES		3,748,553.78	4,731,695.90	983,142.12
<u>SHORT TERM SECURITIES:</u>				
<u>Short Term Investment Fund -</u>				
<u>Goldman-Sachs (Net)</u>				
		939,849.96	939,849.96	0.00
TOTAL CASH SALES, CALLS, OR MATURITIES		\$4,688,403.74	\$5,671,545.86	\$983,142.12

IV. MEDICAL LIABILITY SELF-INSURANCE FUND

MEDICAL LIABILITY SELF-INSURANCE FUND
SUMMARY OF SECURITIES TRANSACTIONS
(June 1 - 30, 1989)

REPORT ON SECURITIES TRANSACTIONS. -- The following securities transactions have been made for the Medical Liability Self-Insurance Fund in June, 1989. The Executive Vice Chancellor for Asset Management recommends approval by the Board of Regents of these transactions.

<u>PURCHASES:</u>	<u>COST</u> <u>(BOOK VALUE)</u>	<u>YIELD</u> <u>AT COST</u>
<u>EQUITY SECURITIES--</u>		
Common Stocks	\$82,335.00	3.22% (1)
 <u>SHORT TERM SECURITIES--</u>		
Goldman Sachs (Net)	2,131,162.11	
Commercial Paper (Net)	10,000,000.00	
 <u>TOTAL ACQUISITIONS</u>	 <u>\$12,213,497.11</u>	
 <u>SALES:</u>	 <u>PROCEEDS</u>	 <u>GAIN</u> <u>OR (LOSS)</u>
<u>EQUITY SECURITIES--</u>		
Common Stocks	\$81,926.25	\$23,615.72
 <u>CAPITAL RETURNS:</u>		
<u>SPECIAL INVESTMENTS--</u>	9,106,334.59	606,334.59
 <u>TOTAL DISPOSITIONS</u>	 <u>\$9,188,260.84</u>	 <u>\$629,950.31</u>

(1) Yield on Common Stocks based on cost price and dividend rate as of 6/30/89.

MEDICAL LIABILITY SELF-INSURANCE FUND
Comparison Summary of Assets

Security	June 30, 1988				June 30, 1989			
	Book Value	Book Yield	Market Value	Market Yield	Book Value	Book Yield	Market Value	Market Yield
LONG TERM SECURITIES:								
DEBT SECURITIES --								
U. S. GOVT. OBLIGATIONS:								
Direct - Treasuries	\$956,718.75	8.79%	\$953,130.00	8.86%	\$7,394,743.23	9.07%	\$7,783,110.00	8.17%
CORPORATE BONDS	5,443,448.38	10.14%	5,460,750.00	10.10%	6,533,256.20	10.16%	6,772,567.00	9.54%
TOTAL DEBT SECURITIES	6,400,167.13	9.94%	6,413,880.00	9.91%	13,927,999.43	9.58%	14,555,677.00	8.81%
EQUITY SECURITIES								
Common Stocks	10,112,153.51	4.12%	11,340,253.68	3.67%	12,573,388.14	4.40%	14,947,266.13	3.70%
TOTAL EQUITY SECURITIES	10,112,153.51	4.12%	11,340,253.68	3.67%	12,573,388.14	4.40%	14,947,266.13	3.70%
TOTAL LONG TERM	16,512,320.64	6.37%	17,754,133.68	5.93%	26,501,387.57	7.12%	29,502,943.13	6.22%
CASH & EQUIVALENT:								
U. S. Governments (Non-Gtd)	0.00	0.00%	0.00	0.00%	5,000,000.00	10.10%	5,047,650.00	9.27%
Goldman Sachs	7,782,671.98	6.85%	7,782,671.98	6.85%	46,754,307.07	9.29%	46,754,307.07	9.29%
Commercial Paper	2,000,000.00	7.52%	2,000,000.00	7.52%	13,000,000.00	9.23%	13,000,000.00	9.23%
Treasury Bills	3,294,594.22	6.65%	3,294,594.22	6.65%	0.00	0.00%	0.00	0.00%
TOTAL SHORT TERM	13,077,266.20	6.90%	13,077,266.20	6.90%	64,754,307.07	9.34%	64,801,957.07	9.28%
TOTAL SECURITIES, CASH & EQUIVALENT	\$29,589,586.84	6.61%	\$30,831,399.88	6.34%	\$91,255,694.64	8.70%	\$94,304,900.20	8.32%

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MEDICAL LIABILITY SELF-INSURANCE FUND
SUMMARY OF INCOME FROM INVESTMENTS
(June 1 - 30, 1989)

	CASH	ACCRUED	TOTAL
FROM FIXED INCOME SECURITIES --			
U. S. Treasuries	\$0.00	\$54,246.58	\$54,246.58
Corporate Bonds	10,159.70	24,996.89	35,156.59
Total from Fixed Income Securities	10,159.70	79,243.47	89,403.17
FROM EQUITY SECURITIES --			
Common Stocks	68,212.27	0.00	68,212.27
FROM SHORT TERM INVESTMENTS --			
U. S. Government Agencies - Short Term Notes	0.00	41,506.85	41,506.85
Commercial Paper	65,937.18	(46,651.79)	19,285.39
U. S. Treasury Bills	0.00	0.00	0.00
Goldman-Sachs	356,299.64	37,324.51	393,624.15
Fail Float	0.00	0.00	0.00
Securities Lending	603.48	0.00	603.48
Total From Short Term Investments	422,840.30	32,179.57	455,019.87
TOTAL INCOME FROM INVESTMENTS	501,212.27	111,423.04	612,635.31
Additions or (Deductions):			
Purchase Accrued Interest	0.00	0.00	0.00
Amortization (Discount in Excess of Premium)	5.35	0.00	5.35
NET INCOME FROM INVESTMENTS	\$501,217.62	\$111,423.04	\$612,640.66

INTEREST ACCRUED

	As of 6/30/89	As of 5/31/89	NET
U. S. Treasuries	\$119,890.41	\$65,643.83	\$54,246.58
Corporate Bonds	164,962.68	139,965.79	24,996.89
Convertible Debentures	0.00	0.00	0.00
U. S. Govt. Agencies - Short Term Notes	132,821.92	91,315.07	41,506.85
Commercial Paper	18,448.31	65,100.10	(46,651.79)
U. S. Treasury Bills	0.00	0.00	0.00
Goldman-Sachs	393,624.15	356,299.64	37,324.51
	\$829,747.47	\$718,324.43	\$111,423.04

MEDICAL LIABILITY SELF-INSURANCE FUND

STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS
June 1 - 30, 1989

CASH RECEIPTS

RECEIPTS INCREASING THE FUND:

Net Additions to the Fund	\$2,524,024.00
Income	501,217.62
Gain or Loss on Sales of Securities	629,950.31

Total	<hr/>	\$3,655,191.93
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RECEIPTS FROM SALES OF SECURITIES:

Special Investments	8,500,000.00
Common Stocks	58,310.53

RECEIPTS FROM MATURING SECURITIES AND AMORTIZATION:

Net Amortization on Bonds (Discount in Excess of Premium)	(5.35)
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Total Cash Receipts	<hr/>	12,213,497.11
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CASH ON HAND:

May 31, 1989	0.00
June 30, 1989	0.00

Decrease in Cash Balance (Add)	<hr/>	0.00
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Total Cash Accounted for	<hr/>	<u>\$12,213,497.11</u>
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CASH DISBURSEMENTS

ASSETS PURCHASED:

Common Stocks (2,000 shares)	\$82,335.00
Short Term Investments - Goldman Sachs (Net)	2,131,162.11
Commercial Paper (Net)	10,000,000.00

Total Cash Disbursements	<hr/>	<u>\$12,213,497.11</u>
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SUMMARY

Book Value of Medical Liability Self-Insurance Fund Investments

May 31, 1989 Balance		\$87,600,502.71
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Additions June, 1989:

Net Additions to the Fund	\$2,524,024.00
Realized Net Gain or (Loss) on Security Transactions	629,950.31
Income	501,217.62

	<hr/>	3,655,191.93
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June 30, 1989 Balance	<hr/>	<u>\$91,255,694.64</u>
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MEDICAL LIABILITY SELF-INSURANCE FUND
 SCHEDULE OF SECURITIES PURCHASED
 (JUNE 1 - 30, 1989)

<u>SECURITY</u>	<u>PAR VALUE OR NO. SHARES (2)</u>	<u>TOTAL PRINCIPAL COST</u>	<u>YIELD AT COST</u>
<u>LONG TERM SECURITIES:</u>			
<u>EQUITY SECURITIES:</u>			
<u>COMMON STOCKS:(1)</u>			
Timken	1,000	\$36,830.00	2.50%
TRW	1,000	45,205.00	3.80%
Public Service Enterprise Group (Prior Month Correction)	0	300.00	0.00%
TOTAL EQUITY SECURITIES		<u>82,335.00</u>	<u>3.20%</u>
<u>SHORT TERM SECURITIES:</u>			
Short Term Investment Fund--			
Goldman Sachs (Net)	\$2,131,162.11	2,131,162.11	
Commercial Paper (Net)	10,000,000.00	10,000,000.00	
TOTAL SHORT TERM SECURITIES		<u>12,131,162.11</u>	
TOTAL SECURITIES PURCHASED		<u>\$12,213,497.11</u>	

(1) Yield on Common Stocks based on cost price and dividend rate as of 6/30/89.

(2) Reflects shares actually purchased. Does not reflect shares received as a result of stock splits and dividends subsequent to date of purchase.

MEDICAL LIABILITY SELF-INSURANCE FUND
OTHER CHANGES
(JUNE 1 - 30, 1989)

<u>STOCK SPLITS, DIVIDENDS AND DISTRIBUTIONS</u>	<u>SHS. RECEIVED</u>
Alcan Aluminum Limited 3-2 Split	3,150
Boeing 3-2 Split	550

MEDICAL LIABILITY SELF-INSURANCE FUND
 SCHEDULE OF SECURITIES SOLD, CALLED OR MATURED
 (JUNE 1 - 30, 1989)

<u>SECURITY</u>	<u>NO. OF SHARES</u>	<u>BOOK VALUE OF HOLDINGS</u>	<u>NET SALES PROCEEDS</u>	<u>GAIN OR (LOSS) OVER BOOK VALUE</u>
<u>EQUITY SECURITIES:</u>				
<u>SPECIAL INVESTMENTS:</u>				
Common Trust Fund				
Units	3,260,847	\$8,500,000.00	\$9,106,334.59	\$606,334.59
<u>COMMON STOCKS:</u>				
Motorola	900	35,167.23	50,001.32	14,834.09
Procter & Gamble	300	23,143.30	31,924.93	8,781.63
TOTAL COMMON STOCKS		58,310.53	81,926.25	23,615.72
TOTAL CASH SALES, CALLS, OR MATURITIES		\$8,558,310.53	\$9,188,260.84	\$629,950.31

MEDICAL LIABILITY SELF-INSURANCE FUND
SUMMARY OF SECURITIES TRANSACTIONS
(July 1 - 31, 1989)

REPORT ON SECURITIES TRANSACTIONS. -- The following securities transactions have been made for the Medical Liability Self-Insurance Fund in July, 1989. The Executive Vice Chancellor for Asset Management recommends approval by the Board of Regents of these transactions.

<u>PURCHASES</u>	<u>COST</u> <u>(BOOK VALUE)</u>	<u>YIELD</u> <u>AT COST</u>
<u>DEBT SECURITIES--</u>		
U. S. Govt. Agencies (Non-Gtd.)	\$1,499,250.00	8.46%
Corporate Bonds	1,989,000.00	9.10%
Total Debt Securities	<u>3,488,250.00</u>	<u>8.82%</u>
<u>EQUITY SECURITIES--</u>		
Common Stocks	468,047.00	3.26% (1)
TOTAL ACQUISITIONS	<u><u>\$3,956,297.00</u></u>	<u><u>8.17%</u></u>
<u>SALES</u>	<u>PROCEEDS</u>	<u>GAIN</u> <u>OR (LOSS)</u>
<u>EQUITY SECURITIES--</u>		
Common Stocks	\$81,165.28	\$23,349.66
<u>SHORT TERM INVESTMENTS:</u>		
Short Term Investment Fund--		
Goldman-Sachs (Net)	829,090.51	0.00
Commercial Paper (Net)	2,000,000.00	0.00
Total Short Term Securities	<u>2,829,090.51</u>	<u>0.00</u>
TOTAL DISPOSITIONS	<u><u>\$2,910,255.79</u></u>	<u><u>\$23,349.66</u></u>

- (1) Yield on Common Stocks based on cost price and dividend rate of as 7/31/89.
(2) Reflects shares actually purchased. Does not reflect shares received as a result of stock splits and dividends subsequent to date of purchase.

MEDICAL LIABILITY SELF-INSURANCE FUND
Comparison Summary of Assets

Security	July 31, 1988				July 31, 1989			
	Book Value	Book Yield	Market Value	Market Yield	Book Value	Book Yield	Market Value	Market Yield
LONG TERM SECURITIES:								
DEBT SECURITIES --								
U. S. GOVT. OBLIGATIONS:								
Direct - Treasuries	\$3,927,343.75	8.97%	\$3,879,370.00	9.17%	\$7,394,746.34	9.07%	\$7,934,050.00	7.81%
U. S. GOVT. AGENCIES (Non-Gtd)	0.00	0.00%	0.00	0.00%	1,499,250.00	8.46%	1,515,000.00	8.26%
CORPORATE BONDS	5,443,448.38	10.14%	5,428,475.00	10.22%	8,522,301.87	9.91%	8,848,712.50	9.20%
TOTAL DEBT SECURITIES	9,370,792.13	9.65%	9,307,845.00	9.78%	17,416,298.21	9.43%	18,297,762.50	8.52%
EQUITY SECURITIES								
Common Stocks	10,181,535.63	4.15%	11,301,836.96	3.74%	12,983,619.52	4.49%	16,438,971.63	3.54%
TOTAL EQUITY SECURITIES	10,181,535.63	4.15%	11,301,836.96	3.74%	30,399,917.73	4.49%	34,736,734.13	3.54%
TOTAL LONG TERM	19,552,327.76	6.78%	20,609,681.96	6.47%	30,399,917.73	7.32%	34,736,734.13	6.16%
CASH & EQUIVALENT:								
U. S. Treasury Bills	3,294,594.22	6.65%	3,294,594.22	6.65%	0.00	0.00%	0.00	0.00%
Goldman Sachs	6,046,081.83	7.08%	6,046,081.83	7.08%	45,925,216.56	9.02%	45,925,216.56	9.02%
U. S. Governments (Non-Gtd)	0.00	0.00%	0.00	0.00%	5,000,000.00	10.10%	5,058,600.00	8.22%
Commercial Paper	2,000,000.00	7.52%	2,000,000.00	7.52%	11,000,000.00	9.23%	11,000,000.00	9.23%
TOTAL SHORT TERM	11,340,676.05	7.03%	11,340,676.05	7.03%	61,925,216.56	9.14%	61,983,816.56	8.99%
TOTAL SECURITIES, CASH & EQUIVALENT	\$30,893,003.81	6.88%	\$31,950,358.01	6.67%	\$92,325,134.29	8.54%	\$96,720,550.69	7.98%

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MEDICAL LIABILITY SELF-INSURANCE FUND
SUMMARY OF INCOME FROM INVESTMENTS
(July 1 - 31, 1989)

	CASH	ACCRUED	TOTAL
FROM FIXED INCOME SECURITIES --			
U. S. Treasuries	\$22,868.05	\$33,119.86	\$55,987.91
U. S. Govt. Agencies (Non-Gtd)	0.00	5,556.16	5,556.16
Corporate Bonds	15,697.90	47,028.12	62,726.02
Total from Fixed Income Securities	38,565.95	85,704.14	124,270.09
FROM EQUITY SECURITIES --			
Common Stocks	41,156.05	0.00	41,156.05
FROM SHORT TERM INVESTMENTS --			
U. S. Government Agencies - Short Term Notes	0.00	42,890.41	42,890.41
Commercial Paper	21,319.01	78,129.37	99,448.38
Goldman-Sachs	393,624.15	(38,838.25)	354,785.90
Fail Float	0.00	0.00	0.00
Securities Lending	278.80	0.00	278.80
Total From Short Term Investments	415,221.96	82,181.53	497,403.49
TOTAL INCOME FROM INVESTMENTS	494,943.96	\$167,885.67	\$662,829.63
Additions or (Deductions):			
Purchased Accrued Interest	(3,918.75)	0.00	(3,918.75)
Amortization (Discount in Excess of Premium)	48.78	0.00	48.78
NET INCOME FROM INVESTMENTS	\$491,073.99	\$167,885.67	\$658,959.66

INTEREST ACCRUED

	As of 7/31/89	As of 6/30/89	NET
U. S. Treasuries	\$153,010.27	\$119,890.41	\$33,119.86
U. S. Govt. Agencies (Non-Gtd)	5,556.16	0.00	5,556.16
Corporate Bonds	211,990.80	164,962.68	47,028.12
U. S. Govt. Agencies - Short Term Notes	175,712.33	132,821.92	42,890.41
Commercial Paper	96,577.68	18,448.31	78,129.37
Goldman-Sachs	354,785.90	393,624.15	(38,838.25)
	\$997,633.14	\$829,747.47	\$167,885.67

MEDICAL LIABILITY SELF-INSURANCE FUND

STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS
July 1 - 31, 1989

CASH RECEIPTS

RECEIPTS INCREASING THE FUND:		
Net Additions to the Fund	\$555,016.00	
Gain or (Loss) on Sales of Securities	23,349.66	
Income	491,073.99	
Total		\$1,069,439.65
RECEIPTS FROM SALES OF SECURITIES:		
Common Stocks		57,815.62
RECEIPTS FROM MATURING SECURITIES AND AMORTIZATION:		
Short Term Investment Fund		
Goldman-Sachs (Net)		829,090.51
Commercial Paper		2,000,000.00
Amortization		
(Discout in Excess of Premium)		(48.78)
Total Cash Receipts		3,956,297.00
CASH ON HAND:		
June 30, 1989	0.00	
July 31, 1989	0.00	
Decrease in Cash Balance (Add)		0.00
Total Cash Accounted for		<u>\$3,956,297.00</u>

CASH DISBURSEMENTS

ASSETS PURCHASED:		
U. S. Government Agencies (Non-Guaranteed)		
(\$1,500,000.00 par value)		\$1,499,250.00
Corporate Bonds		
(\$2,000,000.00 par value)		1,989,000.00
Common Stocks		
(18,400 shares)		468,047.00
Total Cash Disbursements		<u>\$3,956,297.00</u>

SUMMARY

Book Value of Medical Liability Self-Insurance Fund Investments

June 30, 1989 Balance		\$91,255,694.64
Additions July, 1989:		
Net Additions to the Fund	\$555,016.00	
Realized Net Gain or (Loss)		
on Security Transactions	23,349.66	
Income	491,073.99	
		1,069,439.65
July 31, 1989 Balance		<u>\$92,325,134.29</u>

MEDICAL LIABILITY SELF-INSURANCE FUND
 SCHEDULE OF SECURITIES PURCHASED
 (JULY 1 - 31, 1989)

<u>SECURITY</u>	<u>PAR VALUE OR NO. SHARES (2)</u>	<u>TOTAL PRINCIPAL COST</u>	<u>YIELD AT COST</u>
<u>LONG TERM SECURITIES:</u>			
<u>DEBT SECURITIES:</u>			
<u>U. S. GOVT. AGENCIES (Non-Gtd.):</u>			
FNMA 8.45% Debs, 7/15/96	\$1,500,000.00	\$1,499,250.00	8.46%
<u>CORPORATE BONDS:</u>			
Tenneco Credit 9% Notes, 7/15/95	1,000,000.00	995,000.00	9.11%
Texas Instruments 9% Notes, 7/15/99	1,000,000.00	994,000.00	9.09%
TOTAL CORPORATE BONDS	2,000,000.00	1,989,000.00	9.10%
TOTAL DEBT SECURITIES	\$3,500,000.00	3,488,250.00	8.82%
<u>EQUITY SECURITIES:</u>			
<u>COMMON STOCKS: (1)</u>			
AMP	700	28,756.00	2.43%
Ashton Tate	3,000	48,250.00	0.00%
Brunswick	5,500	85,892.50	2.31%
Dow Chemical	200	17,116.00	3.74%
Hercules	1,000	47,455.00	4.72%
International Paper	1,500	69,682.50	3.19%
Kmart	1,500	54,495.00	4.51%
Unisys	5,000	116,400.00	4.29%
TOTAL EQUITY SECURITIES		468,047.00	3.26%
TOTAL SECURITIES PURCHASED		\$3,956,297.00	8.17%

- (1) Yield on Common Stocks based on cost price and dividend rate of as 7/31/89.
 (2) Reflects shares actually purchased. Does not reflect shares received as a result of stock splits and dividends subsequent to date of purchase.

MEDICAL LIABILITY SELF-INSURANCE FUND
EQUITIES -- OTHER CHANGES
(JULY 1 - 31, 1989)

<u>STOCK SPLITS, DIVIDENDS AND DISTRIBUTIONS</u>	<u>SHS. RECEIVED</u>
Consolidated Edison of New York 2 - 1 Split	27,900

MEDICAL LIABILITY SELF-INSURANCE FUND
 SCHEDULE OF SECURITIES SOLD, CALLED OR MATURED
 (JULY 1 - 31, 1989)

SECURITY	PAR VALUE OR NO. OF SHARES	BOOK VALUE OF HOLDINGS	NET SALES PROCEEDS	GAIN OR (LOSS) OVER BOOK VALUE
<u>EQUITY SECURITIES:</u>				
<u>COMMON STOCKS:</u>				
Motorola	600	\$23,444.82	\$33,850.87	\$10,406.05
Proctor & Gamble	300	23,143.30	33,724.87	10,581.57
Public Service Enterprise Group	500	11,227.50	13,589.54	2,362.04
TOTAL EQUITY SECURITIES		57,815.62	81,165.28	23,349.66
 <u>SHORT TERM SECURITIES:</u>				
Short Term Investment Fund-- Goldman-Sachs (Net)		829,090.51	829,090.51	0.00
Commercial Paper (Net)		2,000,000.00	2,000,000.00	0.00
TOTAL SHORT TERM SECURITIES		2,829,090.51	2,829,090.51	0.00
TOTAL CASH SALES, CALLS, OR MATURITIES		\$2,886,906.13	\$2,910,255.79	\$23,349.66

V. OTHER TRUST AND SPECIAL FUNDS

OTHER TRUST AND SPECIAL FUNDS

REPORT ON SECURITIES TRANSACTIONS.--The following securities transactions have been made for the Trust and Special Funds not in the Common Trust Fund or Medical Liability Self-Insurance Fund in June, 1989. The Executive Vice Chancellor for Asset Management recommends approval by the Board of Regents of these transactions.

INVESTMENTS ACQUIRED
(June, 1989)

<u>DESCRIPTION AND FUND</u> (DETAILED SCHEDULES FOLLOW)	<u>TOTAL</u> <u>PRINCIPAL COST</u>	<u>YIELD</u> <u>AT COST</u>
<u>PURCHASES</u>		
<u>COMMON STOCKS:</u>		
Ima Hogg Foundation	\$586,287.00	3.49%
Walter Prescott Webb Chair in History	10,267.50	3.70%
	<u>\$596,554.50</u>	<u>3.49%</u>
<u>NET PURCHASE OF SHORT TERM SECURITIES</u>	<u>BOOK VALUE</u>	
Interest on Damage Payments on West Texas Lands	\$81,299.13	
Miscellaneous Charges Allocable to Trust and Special Funds	9,885.44	
Frank B. Cotton Trust Damage Payment	898.48	
Ima Hogg Foundation - Unallocated	17,580.12	
The Robertson Poth Foundation	21,919.34	
Ima Hogg Foundation	30,597.80	
C. L. Lundell & Amelia A. Lundell Charitable Remainder Unitrust	23,500.00	
Leila A. Oliver Charitable Trust	100.00	
Charles A. Stacey Charitable Remainder Unitrust	100.00	
UTMB - G - J. Fredric Mullins, M.D., Charitable Remainder Unitrust	11,559.93	
UTMB - G - Robertson-Poth Charitable Remainder Trust No. 2	200.00	
UTSCC - H - Lillian C. Koehler Annuity Trust	100.00	
Retirement of Indebtedness Accounts	16,356.59	
TOTAL - Net Purchase of Short Term Securities	<u>\$214,096.83</u>	

INVESTMENTS DISPOSED OF
(June, 1989)

DESCRIPTION AND FUND (DETAILED SCHEDULES FOLLOW)	BOOK VALUE	TOTAL NET PROCEEDS	GAIN OR (LOSS)	YIELD AT SALE PRICE
<u>SALES</u>				
<u>COMMON STOCKS:</u>				
Ima Hogg Foundation	\$455,934.50	\$621,176.68	\$165,242.18	1.18%
The Robertson Poth Foundation	11,385.00	11,608.61	223.61	3.79%
Walter Prescott Webb Chair in History	15,577.00	22,551.24	6,974.24	7.10%
Winedale Stagecoach Inn Fund	5,010.00	5,804.30	794.30	3.79%
UTSCC - H - Ann Rife Cox Chair in Gynecology	11,268.00	11,608.62	340.62	3.79%
UTPB - Ellen & Bill Noel Scholarship Fund	5,528.75	5,804.30	275.55	3.79%
G. L. Lundell & Amelia A. Lundell Charitable Remainder Unitrust	9,502.50	23,480.71	13,978.21	7.75%
Victor L. Hand Unitrust	1,847.50	1,979.43	131.93	8.89%
Charles F. & Agnes T. Wiebusch Unitrust	3,695.00	3,958.86	263.86	8.89%
R. D. Woods Unitrust	5,542.50	5,938.30	395.80	8.89%
Charles A. Stacey Charitable Remainder Unitrust	5,542.50	5,938.30	395.80	0.40%
R. D. Woods Unitrust No. 2	29,560.00	31,670.96	2,110.96	8.89%
Wilson and Kathryn Fraser Charitable Remainder Unitrust	19,850.00	19,794.33	(55.67)	8.89%
UTMB - G - Clark W. Thompson Trust	5,353.75	5,804.30	450.55	3.79%
TOTAL - Common Stocks before Gifts	<u>\$585,597.00</u>	<u>777,118.94</u>	<u>\$191,521.94</u>	<u>2.28%</u>
Stocks Received by Gift or Bequest		8,234.14		
TOTAL - All Common Stocks		<u>\$785,353.08</u>		

NET REDUCTION OF
SHORT TERM SECURITIES

	TOTAL NET PROCEEDS (BOOK VALUE)
<u>Temporary Investment of Funds</u>	
to be Added to the Common Trust Fund:	
U. T. System	\$2,316,534.36
U. T. Austin	2,730,220.98
U. T. Medical Branch - Galveston	201,827.47
U. T. System Cancer Center - Houston	1,140,018.96
U. T. Health Science Center - Dallas	566,514.58
U. T. El Paso	32,572.44
U. T. Health Science Center - San Antonio	225,061.99
U. T. Arlington	1,298,471.03
U. T. Health Science Center - Houston	87,426.62
U. T. Dallas	91,367.77
U. T. Permian Basin	28,995.89
U. T. Tyler	13,170.69
U. T. San Antonio	285,778.78
Chancellors Council	11,430.33
TOTAL - Net Reduction of Short Term Securities	<u>\$9,029,391.89</u>

OTHER TRUST AND SPECIAL FUNDS
 SCHEDULE OF SECURITIES PURCHASED
 (June 1 - 30, 1989)

ISSUE AND FUND	NO. SHARES	TOTAL PRINCIPAL COST	YIELD AT COST
<u>STOCK PURCHASES</u>			
<u>IMA HOGG FOUNDATION:</u>			
Amax	5,700	\$147,943.50	3.08%
Columbia Savings & Loan	13,500	145,692.00	2.59%
Inland Steel Industries	3,300	144,639.00	3.19%
Phelps Dodge	2,500	148,012.50	5.07%
		<u>\$586,287.00</u>	<u>3.49%</u>
 <u>WALTER PRESCOTT WEBB CHAIR IN HISTORY:</u>			
B. A. T. Industries, ADR	1,000	<u>\$10,267.50</u>	<u>3.70%</u>

OTHER TRUST AND SPECIAL FUNDS
 SCHEDULE OF SECURITIES SOLD, CALLED OR MATURED
 (June 1 - 30, 1989)

ISSUE AND FUND	NO. SHARES	BOOK VALUE OF HOLDINGS	NET SALES PROCEEDS	GAIN OR (LOSS) OVER BOOK VALUE
<u>STOCK SALES</u>				
<u>IMA HOGG FOUNDATION:</u>				
Andrew	5,000	\$102,500.00	\$102,500.00	\$0.00
Fuqua Industries	4,200	122,136.00	132,211.58	10,075.58
Outboard Marine	5,500	99,566.50	244,301.84	144,735.34
Teledyne	400	131,732.00	142,163.26	10,431.26
		<u>\$455,934.50</u>	<u>\$621,176.68</u>	<u>\$165,242.18</u>
<u>THE ROBERTSON POTH FOUNDATION:</u>				
Pfizer	200	\$11,385.00	\$11,608.61	\$223.61
<u>WALTER PRESCOTT WEBB CHAIR IN HISTORY:</u>				
Pepsico	200	\$5,195.00	\$10,983.63	\$5,788.63
Zayre	400	10,382.00	11,567.61	1,185.61
		<u>\$15,577.00</u>	<u>\$22,551.24</u>	<u>\$6,974.24</u>
<u>WINEDALE STAGECOACH INN FUND:</u>				
Pfizer	100	\$5,010.00	\$5,804.30	\$794.30
<u>U. T. HEALTH SCIENCE CENTER - HOUSTON - ANN RIFE COX CHAIR IN GYNECOLOGY:</u>				
Pfizer	200	\$11,268.00	\$11,608.62	\$340.62
<u>U. T. PERMIAN BASIN - ELLEN & BILL NOEL SCHOLARSHIP FUND:</u>				
Pfizer	100	\$5,528.75	\$5,804.30	\$275.55
<u>C. L. LUNDELL & AMELIA A. CHARITABLE REMAINDER UNITRUST:</u>				
Central & South West	700	\$9,502.50	\$23,480.71	\$13,978.21
<u>VICTOR L. HAND UNITRUST:</u>				
Puget Sound Power & Light	100	\$1,847.50	\$1,979.43	\$131.93

OTHER TRUST AND SPECIAL FUNDS
 SCHEDULE OF SECURITIES SOLD, CALLED OR MATURED (Continued)
 (June 1 - 30, 1989)

ISSUE AND FUND	NO. SHARES	BOOK VALUE OF HOLDINGS	NET SALES PROCEEDS	GAIN OR (LOSS) OVER BOOK VALUE
<u>STOCK SALES (Continued)</u>				
<u>CHARLES F. & AGNES T. WIEBUSCH UNITRUST:</u>				
Puget Sound Power & Light	200	<u>\$3,695.00</u>	<u>\$3,958.86</u>	<u>\$263.86</u>
<u>R. D. WOODS UNITRUST:</u>				
Puget Sound Power & Light	300	<u>\$5,542.50</u>	<u>\$5,938.30</u>	<u>\$395.80</u>
<u>CHARLES A. STACEY CHARITABLE REMAINDER UNITRUST:</u>				
Puget Sound Power & Light	300	<u>\$5,542.50</u>	<u>\$5,938.30</u>	<u>\$395.80</u>
<u>R. D. WOODS UNITRUST NO. 2:</u>				
Puget Sound Power & Light	1,600	<u>\$29,560.00</u>	<u>\$31,670.96</u>	<u>\$2,110.96</u>
<u>WILSON AND KATHRYN FRASER CHARITABLE REMAINDER UNITRUST:</u>				
Puget Sound Power & Light	1,000	<u>\$19,850.00</u>	<u>\$19,794.33</u>	<u>(\$55.67)</u>
<u>U. T. MEDICAL BRANCH - GALVESTON - CLARK W. THOMPSON TRUST:</u>				
Pfizer	100	<u>\$5,353.75</u>	<u>\$5,804.30</u>	<u>\$450.55</u>
<u>STOCKS RECEIVED BY GIFT OR BEQUEST</u>				
<u>ENGINEERING FOUNDATION FRIENDS OF ALEC:</u>				
Oryx Energy	33		<u>\$1,003.16</u>	
<u>SPECTROSCOPIC SURVEY TELESCOPE:</u>				
Southwestern Bell	30		<u>\$1,546.44</u>	

OTHER TRUST AND SPECIAL FUNDS
 SCHEDULE OF SECURITIES SOLD, CALLED OR MATURED (Continued)
 (June 1 - 30, 1989)

<u>ISSUE AND FUND</u>	<u>NO. SHARES</u>	<u>NET SALES PROCEEDS</u>
<u>STOCKS RECEIVED BY GIFT OR BEQUEST (Continued)</u>		
<u>ANNA HUGHES CUNNINGHAM ENDOWED SCHOLARSHIP IN WOMEN'S ATHLETICS:</u>		
Russell	100	<u>\$2,177.42</u>
 <u>GBA CENTURY CLUB:</u>		
A. H. Belo	50	<u>\$1,757.44</u>
 <u>U. T. DALLAS:</u>		
Pepsico	20	<u>\$982.96</u>
 <u>U. T. SYSTEM CANCER CENTER - HOUSTON:</u>		
Occidental Petroleum	10	<u>\$272.74</u>
 <u>U. T. EL PASO:</u>		
Texaco	10	<u>\$493.98</u>

OTHER TRUST AND SPECIAL FUNDS

REPORT ON SECURITIES TRANSACTIONS.--The following securities transactions have been made for the Trust and Special Funds not in the Common Trust Fund or Medical Liability Self-Insurance Fund in July, 1989. The Executive Vice Chancellor for Asset Management recommends approval by the Board of Regents of these transactions.

INVESTMENTS ACQUIRED
(July, 1989)

DESCRIPTION AND FUND (DETAILED SCHEDULES FOLLOW)	PAR VALUE	TOTAL PRINCIPAL COST	YIELD AT COST
<u>PURCHASES</u>			
<u>CORPORATE BONDS:</u>			
Student Loan Funds -			
George W. Brackenridge	\$20,000.00	\$19,900.00	9.11%
College of Engineering Loan Fund	20,000.00	19,900.00	9.11%
Ima Hogg Foundation	250,000.00	248,600.00	9.10%
Marilyn F. and Thomas J. Billings			
Core Preparation Laboratory	17,000.00	16,898.00	9.09%
General Motors Foundation Centennial			
Teaching Fellowship in Mechanical			
Engineering	10,000.00	9,950.00	9.11%
Hayden Head Centennial Professorship	12,000.00	11,940.00	9.11%
Billy & Claude Hocott Centennial			
Distinguished Engineering			
Research Award	5,000.00	4,970.00	9.09%
Frank A. Liddell, Jr. Centennial			
Fellowship in Chemical Engineering	13,000.00	12,935.00	9.11%
Joe and Charleen Magliolo Laboratory			
for Polymer Engineering	11,000.00	10,934.00	9.09%
Marathon Laboratory	17,000.00	16,898.00	9.09%
Mike and Maxine K. Mebane			
Endowed Scholarship	100,000.00	99,450.00	9.10%
Paul D. and Betty Robertson Meek			
Centennial Professorship in			
Chemical Engineering	15,000.00	14,925.00	9.11%
Paul D. and Betty Robertson Meek and			
American Petrofina Foundation			
Centennial Professorship in			
Chemical Engineering	5,000.00	4,975.00	9.11%
Clint W. Murchison, Sr. Chair of			
Free Enterprise	15,000.00	14,910.00	9.09%
Gerard A. Rohlich Regents			
Professorship in Civil Engineering	25,000.00	24,875.00	9.11%
Tenneco Oil Advanced Petrophysics			
Laboratory	10,000.00	9,940.00	9.09%
Curtis T. Vaugahn, Jr. Centennial			
Chair in Astronomy	15,000.00	14,925.00	9.11%
Zarrow Centennial Professorship in			
Petroleum Engineering	10,000.00	9,950.00	9.11%
Leland Barclay Fellowship in			
Engineering	5,000.00	4,975.00	9.11%
UTSCC - H - Elizabeth K. Zoch Unitrust	25,000.00	24,875.00	9.11%
	<u>\$600,000.00</u>	<u>\$596,725.00</u>	<u>9.10%</u>

OTHER TRUST AND SPECIAL FUNDS
INVESTMENTS DISPOSED OF
(July, 1989)

DESCRIPTION AND FUND (DETAILED SCHEDULES FOLLOW)	BOOK VALUE	TOTAL NET PROCEEDS	GAIN OR (LOSS)	YIELD AT SALE PRICE
<u>SALES</u>				
<u>COMMON STOCKS:</u>				
The Robertson Poth Foundation	\$30,513.00	\$43,461.54	\$12,948.54	0.99%
Winedale Stage Coach Inn Fund	9,003.64	10,052.56	1,048.92	7.88%
UTSCC - H - Ann Rife Cox Chair in Gynecology	49,372.00	60,379.97	11,007.97	3.97%
UTHSC - SA - Dr. Witten B. Russ Chair in Surgery	11,495.00	11,608.61	113.61	4.76%
UTPB - Ellen and Bill Noel Scholarship Fund	65,521.50	75,093.50	9,572.00	3.47%
Edgar J. Poth & Gaynelle Robertson Poth Trust	12,067.50	12,226.09	158.59	7.51%
C. L. Lundell & Amelia A. Lundell Charitable Remainder Unitrust	18,610.00	32,418.91	13,808.91	9.01%
Victor L. Hand Unitrust	23,465.00	27,169.09	3,704.09	7.88%
Charles F. & Agnes T. Wiebusch Unitrust	5,635.00	5,804.30	169.30	4.76%
UTMB - G - Libbie Moody Thompson Trust	16,090.00	16,301.45	211.45	7.51%
UTMB - G - Libbie Moody Thompson Memorial Trust	16,090.00	16,301.46	211.46	7.51%
UTMB - G - Robertson - Poth Charitable Remainder Trust	20,112.50	20,376.81	264.31	7.51%
UTMB - G - Clark W. Thompson Trust	24,135.00	24,452.18	317.18	7.51%
TOTAL - Common Stocks before Gifts	<u>\$302,110.14</u>	<u>355,646.47</u>	<u>\$53,536.33</u>	<u>5.30%</u>
Stocks Received by Gift or Bequest		41,023.98		
TOTAL - All Common Stocks		<u>\$396,670.45</u>		

<u>NET REDUCTION OF SHORT TERM SECURITIES</u>	<u>TOTAL NET PROCEEDS (BOOK VALUE)</u>
Damage Payments on West Texas Lands	\$100,000.00
Ima Hogg Foundation	250,000.00
UTA - Rudolf Hermanns UTA Endowment Fund for Excellence	700.00
UTSCC - H - Elizabeth K. Zoch Unitrust	25,000.00
Investments and Trusts Expense Account	10,000.00
Retirement of Indebtedness	770,748.49
TOTAL - Net Reduction of Short Term Securities	<u>\$1,156,448.49</u>

OTHER TRUST AND SPECIAL FUNDS
INVESTMENTS ACQUIRED (Continued)
(July, 1989)

DESCRIPTION AND FUND	TOTAL PRINCIPAL COST	YIELD AT COST
<u>COMMON STOCKS:</u>		
UTMB - G - Cecil H. and Ida M. Green Chair Marine Biomedical Institute	\$12,424.87	0.00%
<u>NET PURCHASE OF SHORT TERM SECURITIES</u>		
<u>Temporary Investments of Funds</u>		
to be Added to the Common Trust Fund--		
U. T. System	\$477,189.75	
U. T. Austin	2,591,454.86	
U. T. Medical Branch - Galveston	5,157,331.60	
U. T. System Cancer Center - Houston	3,023.87	
U. T. Health Science Center - Dallas	2,031,963.49	
U. T. El Paso	223,246.00	
U. T. Health Science Center - San Antonio	6,004.80	
U. T. Arlington	128,536.65	
U. T. Health Science Center - Houston	9,097.72	
U. T. Dallas	37,825.00	
U. T. San Antonio	19,075.00	
U. T. Health Science Center - Tyler	7,667.46	
Mike and Maxine K. Mebane Endowed Scholarship	31,500.00	
Walter Prescott Webb Chair in History Department of Chemistry - Various Donors - Various Purposes	60,800.00	
UTSCC - H - Anne Rife Cox Chair in Gynecology	12,400.00	
UTEP - Frank B. Cotton Trust - Endowment Account	11,600.00	
UTHSC - SA - Dr. Witten B. Russ Chair in Surgery	1,800.00	
UTPB - Ellen & Bill Noel Scholarship Fund Edgar J. Poth & Gaynelle Robertson Poth Trust	2,100.00	
Victor L. Hand Unitrust	34,500.00	
Thomas O. & Thelma Miller Charitable Remainder Trust	12,300.00	
Charles F. and Agnes T. Wiebusch Unitrust	2,000.00	
R. D. Woods Unitrust	4,000.00	
Charles A. Stacey Charitable Remainder Unitrust	6,000.00	
R. D. Woods Unitrust #2	5,800.00	
Wilson and Kathryn Fraser Charitable Remainder Unitrust	31,700.00	
UTMB - G - Libbie Moody Thompson Trust	19,800.00	
UTMB - G - Libbie Moody Thompson Memorial Trust	16,300.00	
UTMB - G - Robertson-Poth Charitable Remainder Trust	16,300.00	
UTMB - G - Clark W. Thompson Trust	20,400.00	
	30,400.00	
TOTAL - Net Purchase of Short Term Securities	\$11,052,116.20	

OTHER TRUST AND SPECIAL FUNDS
SCHEDULE OF SECURITIES PURCHASED
(July 1 - 31, 1989)

ISSUE AND FUND	PAR VALUE	TOTAL PRINCIPAL COST	YIELD AT COST
<u>BON PURCHASES</u>			
<u>STUDENT LOAN FUNDS - GEORGE W. BRACKENRIDGE:</u>			
Tenneco Credit 9% Notes, 7/15/95	\$20,000.00	\$19,900.00	9.11%
<u>COLLEGE OF ENGINEERING LOAN FUND:</u>			
Tenneco Credit 9% Notes, 7/15/95	\$20,000.00	\$19,900.00	9.11%
<u>IMA HOGG FOUNDATION:</u>			
Tenneco Credit 9% Notes, 7/15/95	\$100,000.00	\$99,500.00	9.11%
Texas Instruments 9% Notes, 7/15/99	150,000.00	149,100.00	9.09%
	<u>\$250,000.00</u>	<u>\$248,600.00</u>	<u>9.10%</u>
<u>MARILYN F. AND THOMAS J. BILLINGS CORE PREPARATION LABORATORY:</u>			
Texas Instruments 9% Notes, 7/15/99	\$17,000.00	\$16,898.00	9.09%
<u>GENERAL MOTORS FOUNDATION CENTENNIAL TEACHING FELLOWSHIP IN MECHANICAL ENGINEERING:</u>			
Tenneco Credit 9% Notes, 7/15/95	\$10,000.00	\$9,950.00	9.11%
<u>HAYDEN HEAD CENTENNIAL PROFESSORSHIP:</u>			
Tenneco Credit 9% Notes, 7/15/95	\$12,000.00	\$11,940.00	9.11%
<u>BILLY & CLAUDE HOCOTT CENTENNIAL DISTINGUISHED ENGINEERING RESEARCH AWARD:</u>			
Texas Instruments 9% Notes, 7/15/99	\$5,000.00	\$4,970.00	9.09%

OTHER TRUST AND SPECIAL FUNDS
 SCHEDULE OF SECURITIES PURCHASED (Continued)
 (July 1 - 31, 1989)

ISSUE AND FUND	PAR VALUE	TOTAL PRINCIPAL COST	YIELD AT COST
<u>BOND PURCHASES (Continued)</u>			
<u>FRANK A. LIDDELL, JR. CENTENNIAL FELLOWSHIP IN CHEMICAL ENGINEERING:</u>			
Tenneco Credit 9% Notes, 7/15/95	<u>\$13,000.00</u>	<u>\$12,935.00</u>	<u>9.11%</u>
<u>JOE AND CHARLEEN MAGLIOLO LABORATORY FOR POLYMER ENGINEERING:</u>			
Texas Instruments 9% Notes, 7/15/99	<u>\$11,000.00</u>	<u>\$10,934.00</u>	<u>9.09%</u>
<u>MARATHON LABORATORY:</u>			
Texas Instruments 9% Notes, 7/15/99	<u>\$17,000.00</u>	<u>\$16,898.00</u>	<u>9.09%</u>
<u>MIKE AND MAXINE K. MEBANE ENDOWED SCHOLARSHIP:</u>			
Tenneco Credit 9% Notes, 7/15/95	\$50,000.00	\$49,750.00	9.11%
Texas Instruments 9% Notes, 7/15/99	50,000.00	49,700.00	9.09%
	<u>\$100,000.00</u>	<u>\$99,450.00</u>	<u>9.10%</u>
<u>PAUL D. AND BETTY ROBERTSON MEEK CENTENNIAL PROFESSORSHIP IN CHEMICAL ENGINEERING:</u>			
Tenneco Credit 9% Notes, 7/15/95	<u>\$15,000.00</u>	<u>\$14,925.00</u>	<u>9.11%</u>
<u>PAUL D. AND BETTY ROBERTSON MEEK AND AMERICAN PETROFINA FOUNDATION CENTENNIAL PROFESSORSHIP IN CHEMICAL ENGINEERING:</u>			
Tenneco Credit 9% Notes, 7/15/95	<u>\$5,000.00</u>	<u>\$4,975.00</u>	<u>9.11%</u>
<u>CLINT W. MURCHISON, SR. CHAIR OF FREE ENTERPRISE:</u>			
Texas Instruments 9% Notes, 7/15/99	<u>\$15,000.00</u>	<u>\$14,910.00</u>	<u>9.09%</u>

OTHER TRUST AND SPECIAL FUNDS
 SCHEDULE OF SECURITIES PURCHASED (Continued)
 (July 1 - 31, 1989)

ISSUE AND FUND	PAR VALUE OR NO. OF SHARES	TOTAL PRINCIPAL COST	YIELD AT COST
<u>BOND PURCHASES (Continued)</u>			
<u>GERARD A. ROHLICH REGENTS PROFESSORSHIP IN CIVIL ENGINEERING:</u>			
Tenneco Credit 9% Notes, 7/15/95	\$25,000.00	\$24,875.00	9.11%
<u>TENNECO OIL ADVANCED PETROPHYSICS LABORATORY:</u>			
Texas Instruments 9% Notes, 7/15/99	\$10,000.00	\$9,940.00	9.09%
<u>CURTIS T. VAUGHN, JR. CENTENNIAL CHAIR IN ASTRONOMY:</u>			
Tenneco Credit 9% Notes, 7/15/95	\$15,000.00	\$14,925.00	9.11%
<u>ZARROW CENTENNIAL PROFESSORSHIP IN PETROLEUM ENGINEERING:</u>			
Tenneco Credit 9% Notes, 7/15/95	\$10,000.00	\$9,950.00	9.11%
<u>LELAND BARCLAY FELLOWSHIP IN ENGINEERING:</u>			
Tenneco Credit 9% Notes, 7/15/95	\$5,000.00	\$4,975.00	9.11%
<u>U. T. SYSTEM CANCER CENTER - HOUSTON - ELIZABETH K. ZUCH UNITRUST</u>			
Tenneco Credit 9% Notes, 7/15/95	\$25,000.00	\$24,875.00	9.11%
<u>STOCK PURCHASES</u>			
<u>U. T. MEDICAL BRANCH - HOUSTON - CECIL H. AND IDA M. GREEN CHAIR MARINE BIOMEDICAL INSTITUTE:</u>			
Common Fund	3.07	\$12,424.87	0.00%

OTHER TRUST AND SPECIAL FUNDS
 SCHEDULE OF SECURITIES SOLD, CALLED OR MATURED
 (July 1 - 31, 1989)

ISSUE AND FUND	NO. SHARES	BOOK VALUE OF HOLDINGS	NET SALES PROCEEDS	GAIN OR (LOSS) OVER BOOK VALUE
<u>STOCK SALES:</u>				
<u>THE ROBERTSON POTH FOUNDATION:</u>				
McDonald's	1,400	\$30,513.00	\$43,461.54	\$12,948.54
<u>WINEDALE STAGE COACH INN FUND:</u>				
Southern	370	\$9,003.64	\$10,052.56	\$1,048.92
<u>U. T. SYSTEM CANCER CENTER - HOUSTON - ANN RIFE COX CHAIR IN GYNECOLOGY:</u>				
McDonald's	600	\$13,077.00	\$18,626.37	\$5,549.37
Southern	1,000	25,870.00	27,169.09	1,299.09
Southwest Airlines	500	10,425.00	14,584.51	4,159.51
		<u>\$49,372.00</u>	<u>\$60,379.97</u>	<u>\$11,007.97</u>
<u>U. T. HEALTH SCIENCE CENTER - SAN ANTONIO - DR. WITTEN B. RUSS CHAIR IN SURGERY:</u>				
Aetna Life & Casualty	200	\$11,495.00	\$11,608.61	\$113.61
<u>U. T. PERMIAN BASIN - ELLEN & BILL NOEL SCHOLARSHIP FUND:</u>				
McDonald's	1,500	\$37,364.00	\$46,565.95	\$9,201.95
Public Service Enterprise Group	1,050	28,157.50	28,527.55	370.05
		<u>\$65,521.50</u>	<u>\$75,093.50</u>	<u>\$9,572.00</u>
<u>EDGAR J. POTH & GAYNELLE ROBERTSON POTH TRUST:</u>				
Public Service Enterprise Group	450	\$12,067.50	\$12,226.09	\$158.59
<u>C. L. LUNDELL & AMELIA A. LUNDELL CHARITABLE REMAINDER UNITRUST:</u>				
Texas Utilities	1,000	\$18,610.00	\$32,418.91	\$13,808.91
<u>VICTOR L. HAND UNITRUST:</u>				
Southern	1,000	\$23,465.00	\$27,169.09	\$3,704.09

OTHER TRUST AND SPECIAL FUNDS
 SCHEDULE OF SECURITIES SOLD, CALLED OR MATURED (Continued)
 (July 1 - 31, 1989)

ISSUE AND FUND	NO. SHARES	BOOK VALUE OF HOLDINGS	NET SALES PROCEEDS	GAIN OR (LOSS) OVER BOOK VALUE
<u>STOCK SALES (Continued):</u>				
<u>CHARLES F. & AGNES T. WIEBUSCH UNITRUST:</u>				
Aetna Life & Casualty	100	<u>\$5,635.00</u>	<u>\$5,804.30</u>	<u>\$169.30</u>
<u>U. T. MEDICAL BRANCH - GALVESTON - LIBBIE MOODY THOMPSON TRUST:</u>				
Public Service Enterprise Group	600	<u>\$16,090.00</u>	<u>\$16,301.45</u>	<u>\$211.45</u>
<u>U. T. MEDICAL BRANCH - GALVESTON - LIBBIE MOODY THOMPSON MEMORIAL TRUST:</u>				
Public Service Enterprise Group	600	<u>\$16,090.00</u>	<u>\$16,301.46</u>	<u>\$211.46</u>
<u>U. T. MEDICAL BRANCH - GALVESTON - ROBERTSON POTH CHARITABLE REMAINDER TRUST:</u>				
Public Service Enterprise Group	750	<u>\$20,112.50</u>	<u>\$20,376.81</u>	<u>\$264.31</u>
<u>U. T. MEDICAL BRANCH - GALVESTON - CLARK W. THOMPSON TRUST:</u>				
Public Service Enterprise Group	900	<u>\$24,135.00</u>	<u>\$24,452.18</u>	<u>\$317.18</u>
<u>STOCKS RECEIVED BY GIFT OR BEQUEST:</u>				
<u>THOMAS O. & THELMA MILLER CHARITABLE REMAINDER TRUST:</u>				
American Brands	590		<u>\$40,502.13</u>	
<u>PRESIDENTIAL YOUNG INVESTIGATORS PROGRAM OF THE U. T. DEPARTMENT OF ASTRONOMY:</u>				
MCI Communications	14		<u>\$521.85</u>	

VI. JOHN SEALY MEMORIAL ENDOWMENT FOR
BIOMEDICAL RESEARCH - PART A

JOHN SEALY MEMORIAL ENDOWMENT
FOR BIOMEDICAL RESEARCH - PART A

STATEMENT OF CASH RECEIPTS AND DISBURSEMENTS
(July 1, 1988 - March 31, 1989)

CASH RECEIPTS

RECEIPTS INCREASING THE FUND:		
Net Additions	\$49,679.73	
Gain or (Loss) on Sales of Securities	44,511.58	
Total		\$94,191.31
RECEIPTS FROM SALES OF SECURITIES:		
Common Stocks		445,310.00
RECEIPTS FROM MATURING SECURITIES AND AMORTIZATION:		
Corporate Bonds		505,469.50
Total Cash Receipts		<u>1,044,970.81</u>
CASH ON HAND:		
June 30, 1988	0.02	
March 31, 1989	0.33	
Increase in Cash Balance (Subtract)		<u>(0.31)</u>
Total Cash Accounted for		<u>\$1,044,970.50</u>

CASH DISBURSEMENTS

ASSETS PURCHASED:		
Corporate Bonds		\$548,250.00
Common Stocks (4,500 shares)		175,172.50
AIM Short Term Fund A		321,548.00
Total Cash Disbursements		<u>\$1,044,970.50</u>

SUMMARY

BOOK VALUE OF THE JOHN SEALY MEMORIAL
ENDOWMENT FOR BIOMEDICAL RESEARCH - PART A

June 30, 1988 Balance		\$10,039,657.42
Additions:		
Transfer from Income to Principal	\$49,679.73	
Realized Net Gain or (Loss) on Security Transactions	44,511.58	94,191.31
March 31, 1989 Balance		<u>\$10,133,848.73</u>

JOHN SEALY MEMORIAL ENDOWMENT
FOR BIOMEDICAL RESEARCH - PART A

SUMMARY OF SECURITIES TRANSACTIONS
(July 1, 1988 - March 31, 1989)

	<u>COST (BOOK VALUE)</u>	
<u>PURCHASES:</u>		
<u>DEBT SECURITIES--</u>		
Corporate Bonds	\$548,250.00	
<u>EQUITY SECURITIES--</u>		
Common Stocks	175,172.50	
TOTAL ACQUISITIONS	<u>\$723,422.50</u>	
	<u>PROCEEDS</u>	<u>GAIN OR (LOSS)</u>
<u>SALES, CALLS, OR MATURITIES</u>		
<u>DEBT SECURITIES--</u>		
Corporate Bonds	\$500,000.00	(\$5,469.50)
<u>EQUITY SECURITIES--</u>		
Smithkline Beckman Options	13,299.17	13,299.17
Common Stocks	481,991.91	35,681.91
Total Equity Securities	<u>495,291.08</u>	<u>49,981.08</u>
<u>SHORT TERM SECURITIES:</u>		
AIM Short Term Fund A	165,165.00	0.00
TOTAL DISPOSITIONS	<u>\$1,160,456.08</u>	<u>\$44,511.58</u>

JOHN SEALY MEMORIAL ENDOWMENT
FOR BIOMEDICAL RESEARCH - PART A

SCHEDULE OF SECURITIES PURCHASED
(July 1, 1988 - March 31, 1989)

<u>SECURITY</u>	<u>PAR VALUE OR NO. SHARES</u>	<u>TOTAL PRINCIPAL COST</u>
<u>CORPORATE BONDS</u>		
Interco 8-7/8% Note, 1/15/93	\$350,000.00	\$348,250.00
Sumitomo Bank of New York 9.4% Note, 9/29/93	200,000.00	200,000.00
TOTAL CAPITAL CONTRIBUTIONS	<u>\$550,000.00</u>	<u>548,250.00</u>
<u>EQUITY SECURITIES:</u>		
<u>COMMON STOCKS:</u>		
Aetna	2,500	120,512.50
Bank of New England	2,000	54,660.00
Total Equity Securities		<u>175,172.50</u>
TOTAL ACQUISITIONS		<u>\$723,422.50</u>

JOHN SEALY MEMORIAL ENDOWMENT
FOR BIOMEDICAL RESEARCH - PART A

SCHEDULE OF SECURITIES SOLD, CALLED OR MATURED
(July 1, 1988 - March 31, 1989)

SECURITY	PAR VALUE OR NO. SHARES	BOOK VALUE OF HOLDINGS	NET SALES PROCEEDS	GAIN OR (LOSS) OVER BOOK VALUE
<u>LONG TERM SECURITIES:</u>				
<u>DEBT SECURITIES:</u>				
<u>CORPORATE BONDS:</u>				
Public Service Electric and Gas 9.5% Note, 7/1/15	\$200,000.00	\$201,562.00	\$200,000.00	(\$1,562.00)
GMCA 9-7/8% Note, 11/1/89	50,000.00	51,000.00	50,000.00	(1,000.00)
Phillip Morris 9.25% Note, 12/1/88	250,000.00	252,907.50	250,000.00	(2,907.50)
	<u>\$500,000.00</u>	<u>505,469.50</u>	<u>500,000.00</u>	<u>(5,469.50)</u>
<u>EQUITY SECURITIES:</u>				
<u>OPTIONS:</u>				
Smithkline Beckman	4,500	0.00	13,299.17	13,299.17
<u>COMMON STOCKS:</u>				
RJR Nabisco	2,000	125,640.00	187,893.73	62,253.73
Dun and Bradstreet	6,000	319,670.00	294,098.18	(25,571.82)
		<u>445,310.00</u>	<u>481,991.91</u>	<u>36,681.91</u>
TOTAL COMMON STOCKS		445,310.00	481,991.91	36,681.91
		<u>445,310.00</u>	<u>495,291.08</u>	<u>49,981.08</u>
<u>SHORT TERM SECURITIES:</u>				
AIM Short Term Fund A (Net)		165,165.00	165,165.00	0.00
TOTAL CASH SALES, CALLS, OR MATURITIES		<u>\$1,115,944.50</u>	<u>\$1,160,456.08</u>	<u>\$44,511.58</u>

VII. TRUST FUND REAL ESTATE TRANSACTIONS

LAND AND INVESTMENT REPORT

For Month Ended August 31, 1989

TRUST FUND REAL ESTATE TRANSACTIONS

The following documents have been executed pursuant to the U. T. System Trust Fund Real Estate Policy Statement which was approved at the April 14, 1988, meeting of the Board of Regents. The Executive Vice Chancellor for Asset Management requests the approval of each transaction.

LEASE

1. Component: U. T. Austin

Donor/Fund Name: Judge Oscar H. and Anne Mauzy

Asset ID: 100012

BOR Acceptance Date: August 1989

Use of Funds: Proceeds from the rental or sale of the property are to be used to establish the Oscar and Anne Mauzy Regents Professorship for Educational Research and Development in the College of Education at U. T. Austin. Income earned from the endowment will be used for salary supplementation and in support of educational research, development and school related programs within the College of Education.

Legal Description: 809 Rio Grande
Suite C
Austin, Texas

Tenant: Texas Human Serve Campaign
Lafe Larson
State Director

Terms: Rent: \$235.00
Rent Schedule: 3/1/89-2/28/91
Taxes: By U. T. System
Insurance: N/A
Maintenance: By U. T. System

LEASE

2. Component: U. T. Austin
Donor/Fund Name: Judge Oscar H. and Anne Mauzy
Asset ID: 100012
BOR Acceptance Date: August 1989
Use of Funds: Proceeds from the rental or sale of the property are to be used to establish the Oscar and Anne Mauzy Regents Professorship for Educational Research and Development in the College of Education at U. T. Austin. Income earned from the endowment will be used for salary supplementation and in support of educational research, development and school related programs within the College of Education.
Legal Description: 809 Rio Grande
Suites 201 & 204
Austin, Texas
Tenant: Texas Women's Political Caucus
Ms. Angela Boswell
Terms: Rent: \$425.00
Rent Schedule: 3/1/89-2/28/91
Taxes: By U. T. System
Insurance: N/A
Maintenance: By U. T. System

3. Component: U. T. Austin
Donor/Fund Name: Judge Oscar H. and Anne Mauzy
Asset ID: 100012
BOR Acceptance Date: August 1989
Use of Funds: Proceeds from the rental or sale of the property are to be used to establish the Oscar and Anne Mauzy Regents Professorship for Educational Research and Development in the College of Education at U. T. Austin. Income earned from the endowment will be used for salary supplementation and in support of educational research, development and school related programs within the College of Education.
Legal Description: 809 Rio Grande
Suite 203
Austin, Texas
Tenant: Albert Villegas
3505 Boca Chica
Suite 131
Brownsville, Texas 79521
Terms: Rent: \$525.00
Rent Schedule: 6/1/89 - 11/30/89
Taxes: By U. T. System
Insurance: N/A
Maintenance: By U. T. System

LEASE

4. Component: U. T. Austin

Donor/Fund Name: Judge Oscar H. and Anne Mauzy

Asset ID: 100012

BOR Acceptance Date: August 1989

Use of Funds: Proceeds from the rental or sale of the property are to be used to establish the Oscar and Anne Mauzy Regents Professorship for Educational Research and Development in the College of Education at U. T. Austin. Income earned from the endowment will be used for salary supplementation and in support of educational research, development and school related programs within the College of Education.

Legal Description: 809 Rio Grande
Suite 102
Austin, Texas

Tenant: Jayne Larson
Shelia Woodfin

Terms: Rent: \$390.00
Rent Schedule: 9/1/89-8/31/90
Taxes: By U. T. System
Insurance N/A
Maintenance By U. T. System

September 1, 1989

Dr. James P. Duncan
Executive Vice Chancellor
for Academic Affairs
The University of Texas System
601 Colorado Street
Austin, Texas 78701

Dear Dr. Duncan:

The docket for the October 13, 1989 meeting of the Board of Regents is submitted for your recommendation and presentation to the Board.

I recommend approval.

Sincerely,

A handwritten signature in cursive script, appearing to read "W. H. Nedderman".

W. H. Nedderman
President

WHN:bb

THE UNIVERSITY OF TEXAS AT ARLINGTON
DOCKET FOR OCTOBER 13, 1989 BOARD MEETING
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THE UNIVERSITY OF TEXAS AT ARLINGTON

U. T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

GIFTS FROM PRIVATE DONORS AND FOUNDATIONS

The following nonmonetary gift has been received and is recommended for acceptance by the U. T. Board of Regents:

<u>Donor</u>	<u>Purpose</u>	<u>Amount</u>
1. Ted W. Mayborn 895 Nocona Drive Granbury, Texas 76048	Maps, documents, and newspapers for purpose of Research: Jenkins Garrett Library	\$140,574.00***

THE UNIVERSITY OF TEXAS AT ARLINGTON

U.T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

BUSINESS CONTRACTS FOR SERVICES

(FUNDS COMING IN)

The following contracts have been awarded, have been administratively approved by the Executive Vice Chancellor for Academic Affairs, and are recommended for ratification by the U. T. Board of Regents:

1. Agency: Monsanto Company
Funds: \$5,000.00
Period: August 9, 1989 through August 8, 2064
Title/Description: The University agrees to provide a computer program to Monsanto Company to carry out approximate molecular orbital calculations for the study of molecular structure.
2. Agency: Hercules Bacchus Works
Funds: \$4,995.00
Period: July 7, 1989 through July 6, 2064
Title/Description: Software License Agreement for computer program system to carry out approximate molecular orbital calculations for the study of molecular structure.
3. Agency: Crowley Independent School District
Funds: \$15,000.00
Period: September 1, 1989 through May 31, 1990
Title/Description: For social work field instruction experience to University graduate social work students

(FUNDS GOING OUT)

4. Agency: North Hills Medical Center
Funds: \$3,000.00
Period: September 1, 1989 through May 31, 1990
Title/Description: For the payment of a part-time faculty member's salary
5. Agency: Spigel Properties Inc.
Funds: \$350.00 per month
Period: September 1, 1989 through August 31, 1990
Title/Description: Renewal lease for office space at the Lancaster Kiest Shopping Center for the continuance of the Educational Opportunity Program conducted by the Graduate School of Social Work.
6. Agency: Children's Medical Center of Dallas
Funds: \$24,000.00
Period: September 1, 1989 through May 31, 1990
Title/Description: For the payment of a part-time faculty member's salary
7. Agency: All Saints Episcopal Hospital
Funds: \$7,950.00
Period: September 1, 1989 through May 31, 1990
Title/Description: For the payment of a part-time faculty member's salary

(NO FUNDS INVOLVED)

8. Agency: Dan Dipert Travel Services, Inc.
Funds:
Period: September 1, 1989 through August 31, 1991
Title/Description: Dan Dipert Travel Services, Inc. agrees to provide travel management services for the faculty, staff and students of the University.

THE UNIVERSITY OF TEXAS AT ARLINGTON

U. T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

STANDARD AFFILIATION AGREEMENTS

The agreements recommended for approval by the U. T. Board of Regents have been approved by an attorney of the Office of General Counsel and are based on the model agreement adopted on December 16, 1977.

1. Facility: Child Study Center
Fort Worth, Texas
Date: June 19, 1989
Purpose: To provide undergraduate social work related educational experiences for Undergraduate Social Work Program/UTA students
2. Facility: Counseling & Testing Service/University of Houston
Houston, Texas
Date: July 3, 1989
Purpose: To provide social work related educational experiences for GSSW/UTA students
3. Facility: Edna Gladney Center
Fort Worth, Texas
Date: June 19, 1989
Purpose: To provide undergraduate social work related educational experiences for Undergraduate Social Work Program/UTA students
4. Facility: Tarrant County Department of Human Services
Fort Worth, Texas
Date: June 21, 1989
Purpose: To provide undergraduate social work related educational experiences for Undergraduate Social Work Program/UTA students
5. Facility: Baylor University Medical Center
Dallas, Texas
Date: June 7, 1989
Purpose: To provide undergraduate social work related educational experiences for Undergraduate Social Work Program/UTA students
6. Facility: The Salvation Army
Fort Worth, Texas
Date: June 19, 1989
Purpose: To provide undergraduate social work related educational experiences for Undergraduate Social Work Program/UTA students
7. Facility: Community Council of Greater Dallas
Dallas, Texas
Date: June 19, 1989
Purpose: To provide undergraduate social work related educational experiences for Undergraduate Social Work Program/UTA students

8. Facility: Community Homes for Adults, Inc.
Dallas, Texas
Date: June 26, 1989
Purpose: To provide undergraduate social work related educational experiences for Undergraduate Social Work Program/UTA students
9. Facility: Mental Health Association of Dallas County
Dallas, Texas
Date: June 19, 1989
Purpose: To provide undergraduate social work related educational experiences for Undergraduate Social Work Program/UTA students
10. Facility: Northside Inter-Church Agency, Inc.
Fort Worth, Texas
Date: June 26, 1989
Purpose: To provide undergraduate social work related educational experiences for Undergraduate Social Work Program/UTA students
11. Facility: Juliette Fowler Homes
Dallas, Texas
Date: June 19, 1989
Purpose: To provide undergraduate social work related educational experiences for Undergraduate Social Work Program/UTA students
12. Facility: Lena Pope Home
Fort Worth, Texas
Date: June 19, 1989
Purpose: To provide undergraduate social work related educational experiences for Undergraduate Social Work Program/UTA students
13. Facility: Texoma Medical Center
Denison, Texas
Date: August 4, 1989
Purpose: To provide health care related educational experiences for UTA students
14. Facility: Family Court Services
Dallas, Texas
Date: August 4, 1989
Purpose: To provide social work related educational experiences for GSSW/UTA students
15. Facility: Harris Hospital - HEB
Bedford, Texas
Date: August 4, 1989
Purpose: To provide social work related educational experiences for GSSW/UTA students
16. Facility: Crowley Independent School District
Crowley, Texas
Date: August 4, 1989
Purpose: To provide social work related educational experiences for GSSW/UTA students
17. Facility: The Adult Probation Department of Tarrant County
Ft. Worth, Texas
Date: June 21, 1989
Purpose: To provide undergraduate social work related educational experiences for Undergraduate Social Work Program/UTA students

18. Facility: Denton County Adult Probation
Denton, Texas
Date: August 17, 1989
Purpose: To provide social work related educational experiences for GSSW/UTA students
19. Facility: Grand Prairie Independent School District
Grand Prairie, Texas
Date: August 11, 1989
Purpose: To provide social work related educational experiences for GSSW/UTA students
20. Facility: Carswell Air Force Base
Fort Worth, Texas
Date: August 17, 1989
Purpose: To provide undergraduate social work related educational experiences for Undergraduate Social Work Program/UTA students
21. Facility: The Bridge Association of Ft. Worth, Inc.
Ft. Worth, Texas
Date: June 26, 1989
Purpose: To provide undergraduate social work related educational experiences for Undergraduate Social Work Program/UTA students
22. Facility: Tarrant County Cancer Society
Ft. Worth, Texas
Date: June 19, 1989
Purpose: To provide undergraduate social work related educational experiences for Undergraduate Social Work Program/UTA students
23. Facility: Charlton Methodist Hospital
Dallas, Texas
Date: June 26, 1989
Purpose: To provide undergraduate social work related educational experiences for Undergraduate Social Work Program/UTA students

THE UNIVERSITY OF TEXAS AT ARLINGTON

U. T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

TRADEMARK LICENSE AGREEMENTS

The following agreement has been awarded and is recommended for approval by the U. T. Board of Regents. All product approvals are in accordance with Paragraphs 10.1(C) and (D) and 11.1 of the Trademark Agreement.

1. Licensee: Russell Corporation
Alexander City, Alabama 35010
- Fee - Royalty: \$100.00, 7%
- Period: 5/1/89 - 12/31/91
- Description: Russell Corporation will use several of the University's marks on wearing apparel

THE UNIVERSITY OF TEXAS AT ARLINGTON

U. T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

Contracts and Grants for Research, Development, Clinical
and Educational Services

Since the Docket for the previous meeting of the U. T. Board of Regents, the following activity has taken place with regard to Contracts and Grants for Research, Development, Clinical and Educational Services:

The contracts, grants and agreements for research, development and educational services summarized or listed above have been processed and approved in accordance with the Regents' Rules and Regulations, Part Two, Chapter XI.

- I. Non-Governmental Contracts and Grants (Funds Coming In)
 - a. 1989 AR 1-8 Number of Initial Grant and Contract Awards
\$167,361.00 Value of awards
 - b. 1989 AR 9-10 Number of Fiscal Amendments to Grant and Contract
 Awards (Increasing or Decreasing by \$10,000)
\$ 28,166.00 Total of Increased Funding
\$ None Total of Reduced Funding
- II. Non-Governmental Contracts and Grants (Funds Going Out)
 - a. None Number of Initial Grant and Contract Awards
\$ None Value of Awards
 - b. None Number of Fiscal Amendments to Grant and Contract
 Awards (Increasing or Decreasing by \$10,000)
\$ None Total of Increased Funding
\$ None Total of Reduced Funding
- III. State and Local Government Contracts and Grants (Funds Coming In)
 - a. 1989 AR 11-13 Number of Initial Grant and Contract Awards
\$148,093.00 Value of Awards
 - b. 1989 AR 14-16 Number of Fiscal Amendments to Grant and Contract
 Awards (Increasing or Decreasing by \$10,000)
\$109,623.00 Total of Increased Funding
\$ None Total of Reduced Funding
- IV. State and Local Government Contracts and Grants (Funds Going Out)
 - a. None Number of Initial Grant and Contract Awards
\$ None Value of Awards
 - b. None Number of Fiscal Amendments to Grant and Contract
 Awards (Increasing or Decreasing by \$10,000)
\$ None Total of Increased Funding
\$ None Total of Reduced Funding
- V. Federal Government Contracts and Grants (Funds Coming In)
 - a. 1989 AR 17-27 Number of Initial Grant and Contract Awards
\$541,940.88 Value of Awards
 - b. 1989 AR 28 Number of Fiscal Amendments to Grant and Contract
 Awards (Increasing or Decreasing by \$10,000)
\$ 91,152.00 Total of Increased Funding
\$ None Total of Reduced Funding

VI. Federal Government Contracts and Grants (Funds Going Out)

a. None Number of Initial Grant and Contract Awards
\$ None Value of Awards

b. None Number of Fiscal Amendments to Grant and Contract
\$ None Awards (Increasing or Decreasing by \$10,000)
\$ None of Increased Funding
Total of Reduced Funding

THE UNIVERSITY OF TEXAS AT ARLINGTON

U. T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

AMENDMENTS TO THE 1988-89 BUDGET

The term "rate" for academic institutions is the full-time nine-month base rate and for health institutions is the full-time twelve month base rate; for all other personnel it is the full-time rate, the appointee receiving a proportionate amount depending upon the fraction of time for which he is appointed and the period of his appointment. Source of funds for payment of salaries, unless otherwise shown, is the departmental salaries account.

<u>Item, Department, Title, Name</u>	<u>Effective Date</u>	<u>% Time</u>	<u>No. Mos.</u>	<u>Full-Time Salary</u>	
				<u>Rate</u>	<u>RBC</u>
<u>APPOINTMENTS, PROMOTIONS, AND SALARY INCREASES</u>					
COLLEGE OF LIBERAL ARTS					
Communication					
1. Karin McCallum					434
From: Associate Professor (Tenure)		100	9	34,100	
To: Associate Professor (Tenure) and Acting Chairman	7/16	50	9	40,000	
COLLEGE OF BUSINESS					
Accounting					
Associate Professor (Tenure)					
2. James F. Cook	6/1	50	9	29,000	418
Economics and Community Services Development Center					
3. Marna Carney					435
From: Professor (Tenure)		100	9	50,700	
To: Professor (Tenure) and Acting Chairman	7/16	100	9	50,700	
SCHOOL OF NURSING					
Nursing					
Associate Professor (Tenure)					
4. Mary Lou Bond	8/14	100	9	38,000	444

THE UNIVERSITY OF TEXAS AT ARLINGTON

U. T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

AMENDMENTS TO THE 1989-90 BUDGET

The term "rate" for academic institutions is the full-time nine-month base rate and for health institutions is the full-time twelve month base rate; for all other personnel it is the full-time rate, the appointee receiving a proportionate amount depending upon the fraction of time for which he is appointed and the period of his appointment. Source of funds for payment of salaries, unless otherwise shown, is the departmental salaries account.

<u>Item, Department, Title, Name</u>	<u>Effective Date</u>	<u>% Time</u>	<u>No. Mos.</u>	<u>Full-Time Salary</u>	
				<u>Rate</u>	<u>RBC</u>
<u>APPOINTMENTS, PROMOTIONS, AND SALARY INCREASES</u>					
COLLEGE OF BUSINESS					
Dean of Business and Economics					
1. Walter E. Mullendore					002
From: Dean of Business and Professor (Tenure)		100	12	87,200	
To: Dean of Business and Professor (Tenure)	9/1	100	12	89,000	
Accounting					
Professor (Tenure)					
2. Harley M. Courtney					003
From: Professor (Tenure)		100	9	53,700	
To: Professor (Tenure)	9/1	100	9	57,700	

THE UNIVERSITY OF TEXAS AT ARLINGTON

U. T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

OTHER FISCAL ITEMS

In accordance with Article 6252-9b, Vernon's Texas Civil Statutes, the financial disclosure statement for President Nedderman is as follows:

FINANCIAL STATEMENT

Filed Pursuant to Article 6252-9b,
Tex. Rev. Civ. Stat. Ann.

For Calendar Year Ending December 31, 198 8

CHECK ONE:

Candidate for (Office) _____

Elected official (Office) _____

Appointed official (Agency) _____

Executive head (Agency) _____

INSTRUCTIONS: Please type when filling out this form. Attach pages of this size if additional space is needed on any response and identify each response by the part to which it relates. Whenever you are required to identify an individual or business entity, indicate the corresponding city and state.

INDIVIDUAL REQUIRED TO FILE: Wendell Herman Nedderman (Full Name)

Address 4165 Shady Valley Arlington, Tx. (City) (State) (817) 273-2101 (Telephone Number—Optional)

LIST ALL SOURCES OF OCCUPATIONAL INCOME

Name and Address of Employer The University of Texas at Arlington (City) Texas (State)

Position Held President

Name and Address of Employer _____ (City) _____ (State)

Position Held _____

If Self-employed:
Name and Address of Business _____ (City) _____ (State)

Nature of Occupation _____

This financial statement must include the financial activity of the person required to file the statement and the financial activity of his spouse and dependent children over which he had actual control for the preceding calendar year. A person's natural child, adopted child, or stepchild is his or her "dependent child" if the person provides over 50 percent of the child's support during the calendar year. [Art. 6252-9b, §§4(a), 2(4)]

SPOUSE: Betty Ann Nedderman (Full Name)

Address 4165 Shady Valley Arlington (City) Texas (State)

LIST ALL SOURCES OF OCCUPATIONAL INCOME

Name and Address of Employer _____ (City) _____ (State)

Position Held _____

If Self-employed:
Name and Address of Business _____ (City) _____ (State)

Nature of Occupation _____

DEPENDENT CHILD 1 None

Address _____ (Full Name)

(City) (State)

LIST ALL SOURCES OF OCCUPATIONAL INCOME

Name and Address of Employer _____

(City) (State)
If Self-employed:
Nature of Occupation _____

DEPENDENT CHILD 2 _____

Address _____ (Full Name)

(City) (State)

LIST ALL SOURCES OF OCCUPATIONAL INCOME

Name and Address of Employer _____

(City) (State)
If Self-employed:
Nature of Occupation _____

DEPENDENT CHILD 3 _____

Address _____ (Full Name)

(City) (State)

LIST ALL SOURCES OF OCCUPATIONAL INCOME

Name and Address of Employer _____

(City) (State)
If Self-employed:
Nature of Occupation _____

PLEASE IDENTIFY FOR EACH OF THE FOLLOWING PARTS WHETHER THE INFORMATION PERTAINS TO THE INDIVIDUAL FILER, SPOUSE, DEPENDENT CHILD 1, DEPENDENT CHILD 2, etc. UNLESS OTHERWISE NOTED, INDICATE CATEGORY OF MONETARY VALUE AS FOLLOWS: CATEGORY A—less than \$1,000; CATEGORY B—\$1,000 to \$4,999.99; CATEGORY C—\$5,000 or more.

Part I. Retainers

Identify any person, business entity or organization from whom you or a business in which you have substantial interest¹ have received a fee as a retainer for future services in case of need (as opposed to a fee for services on a matter specified at the time of contracting for the fee). Report only retainer fees in which the amount of work performed does not equal or exceed the value of the retainer during the reporting period. Specify if the fee was received by you or by a business in which you have substantial interest. Indicate the appropriate category of value for each retainer fee. [Art. 6252-9b, §4(c)(1)]

Source of Fee _____ Received by _____ Category _____

None

See Reverse Side for Footnote

PLEASE IDENTIFY FOR EACH OF THE FOLLOWING PARTS WHETHER THE INFORMATION PERTAINS TO THE INDIVIDUAL FILER, SPOUSE, DEPENDENT CHILD 1, DEPENDENT CHILD 2, etc. UNLESS OTHERWISE NOTED. INDICATE CATEGORY OF MONETARY VALUE AS FOLLOWS: CATEGORY A—less than \$1,000; CATEGORY B—\$1,000 to \$4,999.99; CATEGORY C—\$5,000 or more.

Part II. Stock

Identify by name and check the appropriate category of the number of shares of stock of any business entity held or acquired. Report the category of number of shares as follows: Category A—less than 100, Category B—100 to 499, Category C—500 or more. If the stock is sold, check whether there was a net gain or net loss realized from the sale and indicate the category of the amount of gain or loss realized. [Art. 6252-9b, §4(c)(2)]

Name of Business Entity	Held/acquired by	Category of Shares	Net Gain	If sold: Net Loss	Category
Forestwood Bank	Wendell H. Nedderman	C			
M Corp	Wendell H. Nedderman Betty A. Nedderman	B			
Fidelity Utilities Income	Wendell H. Nedderman Betty A. Nedderman	C			
Putnam Premier Investment Trust	Wendell H. Nedderman Betty A. Nedderman	C			
Zwag Total Return Fund	Wendell H. Nedderman Betty A. Nedderman	C			

Part III. Bonds, Notes, Commercial Paper

List all bonds, notes, and other commercial paper² held or acquired. If the item is sold, check whether there was a net gain or net loss realized from the sale and indicate the category of the amount of gain or loss realized. [Art. 6252-9b, §4(c)(3)]

Description	Held/acquired by	Net Gain	If sold: Net Loss	Category
Merrill Lynch Municipal Investment Trust Units	Wendell H. Nedderman Betty A. Nedderman			
City S & L (CD) San Angelo	Wendell H. Nedderman Betty A. Nedderman		X	B
Sunbelt S & L (CD) Arlington	Wendell H. Nedderman Betty A. Nedderman	X		B
Guardian S & L (CD) Arlington	Wendell H. Nedderman Betty A. Nedderman			

See Reverse Side for Footnote

Page 3

PLEASE IDENTIFY FOR EACH OF THE FOLLOWING PARTS WHETHER THE INFORMATION PERTAINS TO THE INDIVIDUAL FILER, SPOUSE, DEPENDENT CHILD 1, DEPENDENT CHILD 2, etc.

Part IV. Interest, Dividends, Royalties, Rents

Identify each source and category of amount of income derived from interest, dividends, royalties, and rents that is in excess of \$500.00 per source. Indicate the type of income as being either interest, dividends, royalties, or rents. NOTE: FOR THIS PART ONLY, INDICATE CATEGORY OF VALUE AS FOLLOWS: CATEGORY A—\$500.001 to \$999.99; CATEGORY B—\$1,000 to \$4999.99; CATEGORY C—\$5,000 or more. [Art. 6252-9b, §4(c)(4)]

Source	Type	Received by	Category
Regal Development (Enochs No. 1)	Gas Royalty	Wendell H. Nedderman A Betty A. Nedderman	
Sunbelt Savings & Loan	Interest	Wendell H. Nedderman B Betty A. Nedderman	
Guardian S & L	Interest	Wendell H. Nedderman B Betty A. Nedderman	
Merrill Lynch Municipal Trust Units	Interest	Wendell H. Nedderman B Betty A. Nedderman	
Merrill Lynch CMA	Interest	Wendell H. Nedderman A Betty A. Nedderman	

Part V. Personal Liabilities

Identify each person or financial institution to whom a personal note or notes for a total financial liability in excess of \$1,000 existed at any time during the calendar year. NOTE: FOR THIS PART ONLY, INDICATE CATEGORY OF VALUE AS FOLLOWS: CATEGORY A—\$1,000.01 to \$4,999.99; CATEGORY B—\$5,000 or more. [Art. 6252-9b, §4(c)(5)]

Source	Money Owed by	Category
MBank Mid Cities	Wendell H. Nedderman	B
Fidelity Union Life	Wendell H. Nedderman	A

PLEASE IDENTIFY FOR EACH OF THE FOLLOWING PARTS WHETHER THE INFORMATION PERTAINS TO THE INDIVIDUAL FILER, SPOUSE, DEPENDENT CHILD 1, DEPENDENT CHILD 2, etc. UNLESS OTHERWISE NOTED, INDICATE CATEGORY OF MONETARY VALUE AS FOLLOWS: CATEGORY A—less than \$1,000; CATEGORY B—\$1,000 to \$4,999.99; CATEGORY C—\$5,000 or more.

Part VI. Beneficial Interests

Describe all beneficial interests¹ which were held or acquired in real property (including your homestead) and in business entities. If the interest in the property or business entity was sold, check whether there was a net gain or net loss realized from the sale and indicate the category of the amount of gain or loss realized. [Art. 6252-9b, §4(c)(6)]

REAL PROPERTY HELD OR ACQUIRED:

A description of real property is required to be reported by number of lots or number of acres, as applicable, in each county and the name of each county.

Description of Beneficial Interest	Held/acquired by	Net Gain	If sold: Net Loss	Category
House - homestead Tarrant County	Wendell H. Nedderman Betty A. Nedderman			
Horizon Estates (3 lots) El Paso	Wendell H. Nedderman			
MLH Properties	Wendell H. Nedderman			

BUSINESS ENTITIES HELD OR ACQUIRED:

"Business entity" means a sole proprietorship, partnership, firm, corporation, holding company, joint stock company, receivership, trust, or any other entity recognized in law through which business for profit is conducted.

Description of Beneficial Interest	Held/acquired by	Net Gain	If sold: Net Loss	Category
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None

See Reverse Side for Footnote

PLEASE IDENTIFY FOR EACH OF THE FOLLOWING PARTS WHETHER THE INFORMATION PERTAINS TO THE INDIVIDUAL FILER, SPOUSE, DEPENDENT CHILD 1, DEPENDENT CHILD 2, etc. UNLESS OTHERWISE NOTED, INDICATE CATEGORY OF MONETARY VALUE AS FOLLOWS: CATEGORY A—less than \$1,000; CATEGORY B—\$1,000 to \$4,999.99; CATEGORY C—\$5,000 or more.

Part VII. Gifts

Identify any person, business entity, or other organization which has given gifts of money or property to you, your spouse, or your dependent children, the total value of which exceeds \$250. Describe each gift. Do not include gifts received from persons related within the second degree of consanguinity or affinity and campaign contributions which were reported as required by law. [Art. 6252-9b, §4(c)(7)]

Source of Gift	Brief Description of Gift	Received by
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None

Part VIII. Trusts

Identify the source of all income received as beneficiary of a trust and indicate the appropriate category of the amount received. Identify each asset from which income in excess of \$500 was received by the beneficiary, if the identity is known. [Art. 6252-9b, §4(c)(8)]

Source (Trust Name)	Beneficiary	Category	Assets, if known
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None

PLEASE IDENTIFY FOR EACH OF THE FOLLOWING PARTS WHETHER THE INFORMATION PERTAINS TO THE INDIVIDUAL FILER, SPOUSE, DEPENDENT CHILD 1, DEPENDENT CHILD 2, etc. UNLESS OTHERWISE NOTED. INDICATE CATEGORY OF MONETARY VALUE AS FOLLOWS: CATEGORY A--less than \$1,000; CATEGORY B--\$1,000 to \$4,999; CATEGORY C--\$5,000 or more.

Part IX. Corporate Assets and Liabilities

Identify by description and category of amount all assets and liabilities of any corporation in which 50 percent or more of the outstanding stock was held, acquired or sold. [Art. 6252-9b, §4(c)(9)]

Corporation	Brief Description of Assets and Liabilities	Stock Held, Acquired or Sold by	Category
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None

Part X. Positions

List all boards of directors of which the person is a member and executive positions which he holds in corporations, firms, partnerships and proprietorships, stating the name of each organization and the position held. [Art. 6252-9b, §4(c)(10)]

Name of Organization	Position Held	Position Held by
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MBank Mid Cities	Member, Advisory Board	Wendell H. Nedderman
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PLEASE IDENTIFY FOR EACH OF THE FOLLOWING PARTS WHETHER THE INFORMATION PERTAINS TO THE INDIVIDUAL FILER, SPOUSE, DEPENDENT CHILD 1, DEPENDENT CHILD 2, etc.

Part XI. Honoraria*

List honoraria¹ received by source, including name, address, and amount. Also report the expenses you incurred in performing the services for which you received each honorarium; identify each expense by purpose and amount.

<u>Source and Address</u>	<u>Honoraria</u>		<u>Expenses Incurred</u>	
		<u>Amount</u>	<u>Purpose of Expense</u>	<u>Amount</u>
None				

Part XII. Benefits*

List benefits¹ derived from functions in honor or appreciation of the recipient by source, including name, address, and amount.

<u>Source of Benefit and Address</u>	<u>Amount</u>
None	

See Reverse Side for Footnotes

VERIFICATION

I do solemnly swear that the foregoing Financial Statement, filed herewith, is in all things true and correct, and fully shows all information required to be reported by me pursuant to Tex. Rev. Civ. Stat. Ann. art. 6252-9b (Vernon Supp. 1987).

Wendell Zeldeman
Signature of Affiant

Sworn to and subscribed before me by Wendell Zeldeman this the 29TH day of March
19 89 to certify which, witness my hand and seal of office.

Judith Ann Stout
Signature of officer administering oath

JUDITH ANN STOUT
Print name of officer administering oath

Notary Public
Title of officer administering oath

See Reverse Side for Footnote

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THE UNIVERSITY OF TEXAS AT AUSTIN

Office of the President

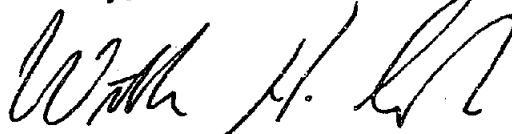
August 30, 1989

Dr. James P. Duncan
Executive Vice Chancellor
for Academic Affairs
The University of Texas System
O. Henry Hall 301
601 Colcrado Street
Austin, Texas 78701

Dear Dr. Duncan:

The docket for the October 13, 1989, meeting of the Board of Regents is submitted for your recommendation and presentation to the Board.

Sincerely,



William H. Cunningham
President

WHC:je
Enclosures

THE UNIVERSITY OF TEXAS AT AUSTIN

U.T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

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THE UNIVERSITY OF TEXAS AT AUSTIN

U. T. BOARD OF REGENTS' MEETING

October 13, 1989

GIFTS FROM PRIVATE DONORS AND FOUNDATIONS

The following gifts have been received and are recommended for acceptance by the U. T. Board of Regents:

<u>Donor</u>	<u>Purpose</u>	<u>Amount</u>
1. The Brown Foundation Katherine B. Dobelman Executive Director Post Office Box 130646 Houston, Texas 77219	McDonald Observatory for the Spectroscopic Survey Telescope	\$100,000.00
2. E. I. du Pont de Nemours & Company Incorporated John T. Lund Vice Chairman and Executive Director Wilmington, Delaware 19898	The University for grants	146,500.00
3. Ford Motor Company Walter L. Winterbottom Manager Material Systems Reliability Post Office Box 2053 Dearborn, Michigan 48121-2053	College of Engineering for research	34,000.00
4. *Glitsch, Inc. Post Office Box 660053 Dallas, Texas 75266-0053	Center for Energy Studies for the Separations Research Program	48,000.00
5. The William and Flora Hewlett Foundation William F. Nichols Treasurer 525 Middlefield Road Suite 200 Menlo Park, California 94025-3495	Lyndon B. Johnson School of Public Affairs for the U.S.-Mexican Policy Studies	100,000.00

*No letter of transmittal from the donor.

THE UNIVERSITY OF TEXAS AT AUSTIN
Gifts from Private Donors and Foundations
U. T. Board of Regents' Meeting October 13, 1989

<u>Donor</u>	<u>Purpose</u>	<u>Amount</u>
6. *International Business Machines Corporation Post Office Box 4001 Southbury, Connecticut 06488	The University, matching employee contributions	\$ 37,805.44
7. The Burdine Johnson Foundation Martha L. Mattox Secretary to the Trustees 760 Southpark One Building 1701 Directors Boulevard Austin, Texas 78744-1066	Department of Music in the College of Fine Arts for the 1989 Kodaly Summer Course	28,000.00
8. Johnson & Johnson Susan Greger One Johnson & Johnson Plaza New Brunswick, New Jersey 08933	College of Pharmacy for research	60,000.00

*No letter of transmittal from the donor.

THE UNIVERSITY OF TEXAS AT AUSTIN
 Gifts from Private Donors and Foundations
 U. T. Board of Regents' Meeting October 13, 1989

<u>Donor</u>	<u>Purpose</u>	<u>Amount</u>
9. *The Lyndon Baines Johnson Foundation 2313 Red River Austin, Texas 78705	Lyndon B. Johnson School of Public Affairs for: General Fund: Dean's Salary Supplement 2,500 Curriculum Support 4,000 Newhouse Fellowships 2,000 LBJ Foundation Supplement Fellowships 2,000 Armand Hammer Fellowships 5,200 Frank C. Erwin, Jr. Fellowships 1,425 Student Activities 11,480 LBJ Internships 8,000 Moody Fellowships 8,550 Richardson Fund: Chairholder's Salary 27,232 Strauss Fellowships 14,107 Wasserman Fund 23,479	\$109,973.00
10. Oryx Energy Company James E. Roberts Vice President Production Division Post Office Box 830936 Richardson, Texas 75083-0936	Departments of Chemical, Mechanical and Petroleum Engineering in the College of Engineering	47,000.00
11. Phillips Petroleum Foundation, Inc. B. M. Thompson Bartlesville, Oklahoma 74004	College of Engineering	26,500.00
12.*Sid W. Richardson Foundation 309 Main Street Fort Worth, Texas 76102	The University for: Pforzheimer Library 500,000 Marine Science Institute 20,000	520,000.00

*No letter of transmittal from the donor.

THE UNIVERSITY OF TEXAS AT AUSTIN
 Gifts from Private Donors and Foundations
 U. T. Board of Regents' Meeting October 13, 1989

<u>Donor</u>	<u>Purpose</u>	<u>Amount</u>
13. Texas Engineering Experiment Station Carol J. Cantrell Texas A&M University System College Station, Texas 77843-3124	Department of Aerospace Engineering and Engineering Mechanics in the College of Engineering for the Offshore Technology Research Center	\$ 34,033.00
14. Texas Engineering Experiment Station Carol J. Cantrell Texas A&M University System College Station, Texas 77843-3124	Department of Aerospace Engineering and Engineering Mechanics in the College of Engineering for the Offshore Technology Research Center	34,033.00
15. Texas Instruments Incorporated Samuel W. Webster, Jr. Manager University Affairs Post Office Box 655303 Dallas, Texas 75265	Department of Chemical Engineering in the College of Engineering for research	60,000.00
16. Uniden Corporation of America Itaru Tsukagoshi President 4700 Amon Carter Boulevard Fort Worth, Texas 76155	College of Engineering	250,000.00

The following nonmonetary gifts have also been received:

17. Alliant Computer Systems Corporation Ronald H. Gruner President One Monarch Drive Littleton, Massachusetts 01460	Computation Center, Two FX/4-3 parallel vector computer systems	438,300.00***
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***Noncash gift - other. The amount stated is the estimated value on the date of receipt by The University of Texas at Austin.

THE UNIVERSITY OF TEXAS AT AUSTIN
 Gifts from Private Donors and Foundations
 U. T. Board of Regents' Meeting October 13, 1989

<u>Donor</u>	<u>Purpose</u>	<u>Amount</u>
18. Hewlett Packard Barbara D. Williams Equipment Gift Coordinator Post Office Box 10301 Palo Alto, California 94303-0890	Department of Electrical and Computer Engineering in the College of Engineering Equipment	\$ 26,410.00***
19.*Mr. and Mrs. Fred L. Kaplan Route 12, Box 300 New Braunfels, Texas 78123-1601	General Libraries Recordings of popular music from the 1930s-1950s	35,000.00***
20. Mary Kay Cosmetics, Inc. Richard C. Bartlett President 8787 Stemmons Freeway Dallas, Texas 75247-3713	Marine Science Institute Analytical equipment	30,000.00***
21.*M. Phyllis Williams 1604 Gaston Avenue Austin, Texas 78703	General Libraries Barker Texas History Center Roger John Williams Papers	36,200.00***

*No letter of transmittal from the donor.

***Noncash gift - other. The amount stated is the estimated value on the date of receipt by The University of Texas at Austin.

THE UNIVERSITY OF TEXAS AT AUSTIN

U.T. BOARD OF REGENTS' MEETING

October 13, 1989

BUSINESS CONTRACTS FOR SERVICES

(FUNDS COMING IN)

The following contracts have been awarded, have been administratively approved by the Executive Vice Chancellor for Academic Affairs, and are recommended for ratification by the U. T. Board of Regents:

1. Agency: Ella H. Bellinger
Funds:
Period: February 1, 1989 through December 31, 1989
Title/Description: To extend expiration date from June 30, 1989 to December 31, 1989. The contract amount remains the same
2. Agency: City of Austin
Funds: Based on the average water usage during the December, January and February billing periods or actual water consumption, whichever is lower
Period: July 7, 1989 through July 6, 1990
Title/Description: To install piping and metering necessary to avoid wastewater charges on evaporative losses in the power plant cooling towers
3. Agency: Collecting Bank, N.A. of Travis County
Funds: \$15,616
Period: August 1, 1989 through July 31, 1992
Title/Description: To lease the office space located in Red River Building at 3208 Red River, Austin, Texas
4. Agency: Dobie, Ltd.
Funds: Per diem rate of \$16.56 per camper and a per diem rate of \$47.50 per counselor
Period: June 4, 1989 through July 21, 1989
Title/Description: To rent quarters and provide meals for campers and counselors participating in the Longhorn Swimming Camps

THE UNIVERSITY OF TEXAS AT AUSTIN
Business Contracts for Services
U.T. Board of Regents' Meeting October 13, 1989

5. Agency: Dobie, Ltd.
Funds: Per diem rate of \$16.56 per camper
and per diem rate of \$9.50 per
counselor
Period: July 16, 1989 through August 4,
1989
Title/Description: To rent quarters and provide meals for
campers and counselors participating in
the Women's Volleyball Camp
6. Agency: First City of Austin
Funds:
Period: June 23, 1989 through September 1,
1991
Title/Description: To extend the termination date from
September 1, 1989 to September 1, 1991.
The contract amount remains the same
7. Agency: United States Swimming, Inc.
Funds: \$6,000
Period: Effective February 28, 1989
Title/Description: To host the United States Swimming Junior
Olympic Long Course Championships - West

(FUNDS GOING OUT)

8. Agency: Gulf Energy Pipeline Company
Funds: Fifteen Cents per MMBtu
Period: June 1, 1989 through June 30, 1989 and
thereafter on a month to month basis until
cancelled by either party
Title/Description: To receive and transport gas for The
University

The University of Texas at Austin
U. T. Board of Regents' Meeting
October 13, 1989
Business Contracts for Services (Continued)

MINOR CONSTRUCTION PROJECTS - As authorized by U.T. Board of Regents' Rules and Regulations, Part Two, Chapter VIII, Section 2 (Projects previously approved by the Board of Regents and/or those for Minor Repair and Remodeling for \$300,000.00 or less.)

1. Contractor: American Restoration, Inc.
Amount: \$5,996.00
Source of Funds: Physical Plant - Building Maintenance - MO&E
14-8640-1050
Date: June 7, 1989
Project Title: Wood Panel Installation Texas Swim Center
Brief Description: Install architectural wood panels as indicated in drawings.

2. Contractor: American Restoration, Inc.
Amount: \$6,000.00
Source of Funds: CBA - Waterproofing, 36-0204-1460
Date: June 28, 1989
Project Title: Waterproofing South Wall, College of Business Administration
Brief Description: Install a waterproofing membrane to the exterior south section of the building and inject waterproofing material from inside the building as a back-up system.

3. Contractor: American Restoration, Inc.
Amount: \$6,000.00
Source of Funds: CBA - Waterproofing, 36-0204-1460
Date: June 28, 1989
Project Title: Waterproofing South Wall, College of Business Administration
Brief Description: Install a waterproofing membrane to the exterior south section of the building and inject waterproofing material from inside the building as a back-up system.

4. Contractor: American Restoration, Inc.
Amount: \$26,000.00
Source of Funds: WHI - Drainage Ditch, 36-0204-1630
Date: August 4, 1989
Project Title: Drainage Channel, Whitaker Field
Brief Description: Reshape the existing ditch, re-sod existing ditch to match new profile, install concrete head wall at inlet complete with toe plate and provide documentation for final grade.
5. Contractor: Aus-Tex Plumbing, Heating & Air
Amount: \$42,220.00
Source of Funds: Simkins - Other Expenses, 28-0247-0050
Date: June 15, 1989
Project Title: Replacement of 108 Fan-Coil Air Conditioning Units, Simkins Hall Dormitory.
Brief Description: Replace all of the Simkins Hall Dormitory fan-coil units in the dormitory rooms, plus several other selected units.
6. Contractor: Bastrop Acoustical
Amount: \$3,000.00
Source of Funds: MAI - DP Education Center Renovation, 36-0204-1410
Date: June 15, 1989
Project Title: New Suspended Acoustic Tile Ceilings, Main Building 26 and Corridor
Brief Description: To install tile ceilings as part of the renovation of Room 26 of the Main Building into a Data Processing Education Center.
7. Contractor: J. M. Boyer, Inc.
Amount: \$1,261,719.00
Source of Funds: Utility - Group D - Energy Conservation Projects, 14-8670-1068
Date: August 7, 1989
Project Title: Energy Conservation Measures: Music Recital Hall, Pharmacy Building, Parlin Hall, and Calhoun Hall
Brief Description: Convert to variable air volume or replace air handlers. Install variable speed chilled water pumping. Replace induction boxes. Provide closed secondary piping loop to induction boxes and other energy conservation measures.
8. Contractor: Braun and Butler Construction, Inc.
Amount: \$180,000.00
Source of Funds: ENS - Renovate Basement, 36-0688-8913
Date: July 6, 1989
Project Title: South Basement Remodeling, Engineering Science Building
Brief Description: Remodel South Basement of Engineering Science Building to convert an existing machine shop to academic/research usage.

9. Contractor: Evans Interiors, Inc.
Amount: \$3,960.00
Source of Funds: WEL - 4th Floor Remodeling, 36-0204-1560
Date: July 10, 1989
Project Title: Recarpeting Access Flooring, Welch Hall
Brief Description: Remove existing access flooring carpeting and replace with new.
10. Contractor: Evans Interiors, Inc.
Amount: \$17,500.00
Source of Funds: MAI - Renovate Room 22, 36-0204-1550
Date: June 28, 1989
Project Title: Replace Access Flooring, Main Building, Room 22
Brief Description: Replace access flooring throughout room as part of general renovation of room to accommodate new use.
11. Contractor: Floors and Interiors, Inc.
Amount: \$5,738.00
Source of Funds: BEL - Room 322 Renovation, 36-0204-1540
Date: July 5, 1989
Project Title: Ceramic Tile, Belmont Hall
Brief Description: Furnish and install tile floor and base in Rooms 324A and 324AA of Belmont Hall.
12. Contractor: M. W. Morgan Construction, Inc.
Amount: \$185,900.00
Source of Funds: Dana X. Bible Academic Center (Bellmont) Hall Remodeling, 36-9049-1000
Date: July 25, 1989
Project Title: Renovation for D. X. Bible Academic Center, Belmont Hall, Phase II
Brief Description: Renovate existing classroom 212W, men's and women's restrooms 212B and 212C, and lounge 212 into an athletic academic center.
13. Contractor: Tower Performance of Texas, Inc.
Amount: \$56,071.40
Source of Funds: Utility Plant - MO&E, 18-2000-0050
Date: March 2, 1989
Project Title: Cooling Tower Repairs at Chilling Station No. 2
Brief Description: Chilling Station No. 2 contains refrigeration equipment whose output total is 7,000 tons, located on the ground and basement floors. A three-section cooling tower is located on the roof of the building. Each cooling tower section has its respective basin and two fans on the deck. Section No. 1, East tower and Section No. 2, middle tower, are both to be reworked.

14. Contractor: Wheeler Coatings, Inc.
Amount: \$87,176.00
Source of Funds: Parking & Traffic - Administration -
Construction of Parking Lots, 28-3025-0080
Date: June 21, 1989
Project Title: 1989 Parking Lot Maintenance Program,
Campus
Brief Description: Furnish all material, labor and equipment
necessary to perform maintenance including
asphalt patching and preparation,
sealcoating, pavement markings and wheel-
stops for 20 parking lots.
15. Contractor: Young and Pratt, Inc.
Amount: \$928,623.00
Source of Funds: Energy Conservation Projects, 14-8670-1063,
14-8670-1064, 14-8670-1065; Governor's
Energy Management Office, 36-9050-1001;
DOE, 36-9050-7101
Date: June 27, 1989
Project Title: Energy Conservation Measures in R. L. Moore
Hall and Harry Ransom Center
Brief Description: Modify cold deck controls, convert air handlers
to variable air volume, and various other
Energy Conservation modifications.
16. Contractor: Young and Pratt, Inc.
Amount: \$1,092,846.00
Source of Funds: Utility - Group B - Energy Conservation
Projects, 14-8670-1066
Date: July 12, 1989
Project Title: Energy Conservation Measures: Ernest
Cockrell, Jr. Hall, Engineering Teaching
Center, Fine Arts Building, Performing Arts
Center, and Texas Swim Center
Brief Description: Modify cold deck controls, convert air handlers
to variable air volume, change air filters to
disposable pleated type, and various other
energy conservation modifications.

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THE UNIVERSITY OF TEXAS AT AUSTIN

U. T. BOARD OF REGENTS' MEETING

October 13, 1989

TRADEMARK LICENSE AGREEMENTS

The following agreements have been awarded and are recommended for approval by the U. T. Board of Regents. All products are in accordance with Paragraphs 10.1(C) and (D) and 11.1 of the Trademark Agreement.

1. Licensee: Applied Graphics, Inc.
Atlanta, Georgia 30340
Fee - Royalty: \$50.00, 6%
Period: 01/01/89 - 12/31/91
Description: Floor and auto mats.
2. Licensee: Attitudez, Inc.
Tamarac, Florida 33321
Fee - Royalty: \$50.00, 6%
Period: 06/01/89 - 12/31/91
Description: Novelties/collectables, which includes novelty eyeglass retainers.
3. Licensee: Avtex International, Inc.
Pollocksville, North Carolina 28573
Fee - Royalty: \$100.00, a continuing royalty of 7% for Category A items and a continuing royalty of 6% for Category B items
Period: 06/01/89 - 12/31/91
Description: Category A includes wearing apparel, all types of clothing, footwear, hats/caps and hoisery. Category B includes novelties/collectables, ceramic and glass products.
4. Licensee: B L T Sportswear
Largo, Florida 34640
Fee - Royalty: \$100.00, 7%
Period: 07/01/89 - 12/31/91
Description: Wearing apparel, which includes all types of clothing, hats/caps, footwear, and hoisery.
5. Licensee: Bee-Bop Printing
Austin, Texas 78701
Fee - Royalty: \$100.00, 7%
Period: 07/01/89 - 12/31/91
Description: Screenprinted apparel, various types and styles.
6. Licensee: Blue Streak Company
Killeen, Texas 76543
Fee - Royalty: \$50.00, 6%
Period: 07/01/89 - 12/31/91
Description: Novelties/collectables.

7. Licensee: Briarton Sportswear, Inc.
Colorado Springs, Colorado 80918
Fee - Royalty: \$100.00, 7%
Period: 07/01/89 - 12/31/91
Description: Wearing apparel, which includes but it not limited to embroidered men's dress shirts.
8. Licensee: Countryside Products Company
Reynoldsburg, Ohio 43068
Fee - Royalty: \$50.00, 6%
Period: 04/01/89 - 12/31/91
Description: Plastic athletic squeeze bottled known as Chug-A-Jugs.
9. Licensee: Crable Sportswear, Inc.
Cincinnati, Ohio 45242
Fee - Royalty: \$100.00, 7%
Period: 05/01/89 - 12/31/91
Description: Wearing apparel, which includes all types of clothing.
10. Licensee: Global Trade Network
d/b/a Sports Time Corporation
Toronto, Ontario CANADA M6B 2E4
Fee - Royalty: \$50.00, 6%
Period: 08/01/89 - 12/31/91
Description: Clocks of various styles and sizes.
11. Licensee: Heirloom Needlecraft, Inc.
Fenton, Missouri 63026
Fee - Royalty: \$50.00, 6%
Period: 06/01/89 - 12/31/91
Description: Needlecraft kits.
12. Licensee: Henry Silverman Jewelers, Inc.
El Paso, Texas 79912
Fee - Royalty: \$50.00, 6%
Period: 07/01/89 - 12/31/91
Description: Class rings, fashion rings, and other emblematic jewelry.
13. Licensee: Image One, Inc.
El Dorado, Arkansas 71731
Fee - Royalty: \$100.00, 7%
Period: 07/01/89 - 12/31/91
Description: Wearing apparel, which includes all types of shirts.
14. Licensee: Lomas Bank USA
Wilmington, Delaware 19801
Fee - Royalty: \$800.00, 250.00 per calendar quarter
Period: 07/01/89 - 12/31/91
Description: Credit cards, i.e.; "The Longhorn Card" issued to members of the Ex-Students' Association of The University of Texas at Austin.

15. Licensee: MBK Enterprises
 Austin, Texas 78731
 Fee - Royalty: \$50.00, 6%
 Period: 07/01/89 - 12/31/91
 Description: Towels.
16. Licensee: Paramount Cap Manufacturing Company
 Bourbon, Missouri 65441
 Fee - Royalty: \$100.00, 7%
 Period: 07/01/89 - 12/31/91
 Description: Wearing apparel, which includes all types of clothing, hats/caps, footwear, and hosiery.
17. Licensee: Rossmor Industries, Inc.
 Twinsburg, Ohio 44087
 Fee - Royalty: \$100.00, 7%
 Period: 07/01/89 - 12/31/91
 Description: Wearing apparel, which includes all types of clothing, headwear, knitted headwear, footwear, hosiery, and knitted slipper sox.
18. Licensee: S S Designs, Inc.
 Winter Haven, Florida 33880
 Fee - Royalty: \$100.00, 7%
 Period: 07/01/89 - 12/31/91
 Description: Wearing apparel, which includes all types of clothing.
19. Licensee: Silver Bear, Inc.
 Atlanta, Georgia 30629
 Fee - Royalty: \$50.00, 6%
 Period: 05/01/89 - 12/31/91
 Description: Notebooks, binders, and other paper products.
20. Licensee: Sunburst Designs
 Cary, North Carolina 27511
 Fee - Royalty: \$50.00, 6%
 Period: 08/01/89 - 12/31/91
 Description: Novelties/collectables, which includes hand-painted logos on framed glass wall hangings.
21. Licensee: TASC0 Industries
 Dallas, Texas 75229
 Fee - Royalty: \$100.00, a continuing royalty of 7% for Category A items and a continuing royalty of 6% for Category B items
 Period: 07/01/89 - 12/31/91
 Description: Category A includes wearing apparel, all types of clothing and hats/caps. Category B includes novelties/collectables.
22. Licensee: The Belisle Company
 Woodland, Washington 98674
 Fee - Royalty: \$50.00, 6%
 Period: 07/01/89 - 12/31/91
 Description: "Auto Emblem" decals for autos.

23. Licensee: Top Promotion Sportswear
Middleton Wisconsin 53562
Fee - Royalty: \$100.00. 7%
Period: 08/01/89 - 12/31/91
Description: Wearing apparel, which includes all types of clothing and caps.
24. Licensee: Triro Incorporated
College Station, Texas
Fee - Royalty: \$100.00, a continuing royalty of 7% for Category A items and a continuing royalty of 6% for Category B items.
Period: 07/01/89 - 12/31/91
Description: Category A includes wearing apparel, which includes all types of clothing, hats/caps, footwear, and hosiery. Category B includes Novelties and collectables, which includes but is not limited to: glass and ceramic products, sports related accessories, and other novelties and collectables.
25. Licensee: Wincraft Incorporated
Winona, Minnesota 55987
Fee - Royalty: \$00.00, 6%
Period: 01/01/88 - 12/31/91
Description: Novelties/collectables, which includes pennants, banners, team logo buttons, seat cushions, spirit strips, mugs/tankards, can holders, lapel pins, and key chains.

THE UNIVERSITY OF TEXAS AT AUSTIN

U.T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

CONTRACTS OR GRANTS OVER \$500,000

(FUNDS COMING IN)

The following contracts or grants in an amount over \$500,000, have been awarded, have been administratively approved by the Executive Vice Chancellor for Academic Affairs, and are recommended for approval by the U. T. Board of Regents.

1. Grantor: Department of the Navy/Space & Naval Warfare
Systems Command
No.: N00039-88-C-0043 - Modification P00014
New funds: \$5,426,500 additional
Current Period: 12/7/87 - 12/31/90
Title/Description: ARL:SPAWAR Omnibus Contract
2. Grantor: Department of the Navy/Space & Naval Warfare
Systems Command
No.: N00039-88-C-0043 - Modification P00015
New funds: \$569,460 additional
Current Period: 12/7/87 - 12/31/90
Title/Description: ARL:SPAWAR Omnibus Contract
3. Grantor: Gas Research Institute
No.: 5088-215-1771
New funds: \$1,730,333.
Current Period: 7/1/89 - 2/29/92
Title/Description: Atlas of Major Gas Reservoirs: Central and
Eastern Gulf Coast and Midcontinent
4. Grantor: Department of Energy
No.: DE-FG21-88MC25031 - Amendment A002
New funds: \$2,500,000 additional
Current Period: 9/1/89 - 8/30/90
Title/Description: Secondary Natural Gas Recovery: Targeted
Technology Applications for Infield Reserve
5. Grantor: Howard Hughes Medical Institute
No.: Grant executed 5/22/89
New funds: \$1,000,000.
Current Period: 6/1/89 - 5/31/94
Title/Description: Undergraduate Initiative in Biological Sciences
6. Grantor: California Institute of Technology/Jet Propulsion
Laboratory
No.: 958122 - Modification No. 3
New funds: \$1,006,000 additional
Current period: 6/1/89 - 9/30/95
Title/Description: Determination and Interpretation of Ocean
Surface Topography Using TOPEX/Poseidon
Altimeter Data

The University of Texas at Austin
Contracts or Grants over \$500,000
UT Regents' Meeting October 13, 1989

7. Grantor: The Commission on the Bicentennial of the
United States Constitution; The Library of
Congress
No.: Agreement dated 6/1/89
New funds: \$789,800.
Current period: 6/1/89 - 5/31/92
Title/Description: To coordinate development and production of an
Encyclopedia of the United States Congress

(FUNDS GOING OUT)

None

THE UNIVERSITY OF TEXAS AT AUSTIN

U.T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

FOREIGN CONTRACTS AND GRANTS FOR RESEARCH,
DEVELOPMENT AND EDUCATIONAL SERVICES

(FUNDS COMING IN)

The following items have been awarded and are recommended for approval by the U.T. Board of Regents:

1. Country: West Germany
Business/Entity: Various Donors - Deutsche Texaco, A.G.
No.: Research Participation Agreement
New funds: \$35,000.
Current period: 1/1/89 - 12/31/89
Title/Description: Wellbore Stability in Deviated Boreholes

2. Country: Japan
Business/Entity: Various Donors - Japan National Oil Corporation
No.: Research Participation Letter Agreement -
Amendment No. 1
New funds: \$25,000.
Current period: 1/1/89 - 12/31/89
Title/Description: Wellbore Stability in Deviated Boreholes

3. Country: Norway
Business/Entity: Various Donors - Norsk Hydro, A.S.
No.: Research Participation Agreement / Contract
K206.725.
New funds: \$35,000.
Current period: 1/1/89 - 12/31/89
Title/Description: Wellbore Stability in Deviated Boreholes

4. Country: Great Britain
Business/Entity: Various Donors - British Petroleum
No.: Research Participation Agreement - Extension
New funds: \$20,000.
Current period: 1/16/89 - 1/15/90
Title/Description: Stimulation, Logging and Formation Damage

5. Country: Japan
Business/Entity: Various Donors - Japan National Oil Corporation
No.: Research Participation Agreement
New funds: \$20,000.
Current period: 1/16/89 - 1/15/90
Title/Description: Stimulation, Logging and Formation Damage

The University of Texas at Austin
Foreign Contracts and Grants for Research, Development & Educational Services
UT Regents' Meeting October 13, 1989

6. Country: Norway
Business/Entity: Various Donors - Institute for Energy
Technology, Kjeller
No.: Memorandum of Agreement for Continued Support
of Research
New funds: \$10,000.
Current period: 1/1/89 - 12/31/89
Title/Description: Center for Enhanced Oil & Gas Recovery Research
(Joint CEOGRR Project)

7. Country: Venezuela
Business/Entity: Various Donors - Intevep, S.A.
No.: Memorandum of Agreement for Continued Support
of Research
New funds: \$30,000.
Current period: 1/1/89 - 12/31/89
Title/Description: Center for Enhanced Oil & Gas Recovery Research
(Joint CEOGRR Project)

8. Country: Canada
Business/Entity: Canadian Heart Foundation
No.: Grant letter dated 5/16/89
New funds: \$19,137.53
Current period: 7/1/89 - 6/30/91
Title/Description: Probing the Molecular Elasticity of Cardiac
Muscle: Biophysical Studies of the Structural
Protein Titin

9. Country: Japan
Business/Entity: Various Donors - Sony Corporation
No.: Grant letter dated 4/13/89
New funds: \$8,000.
Current period: 6/1/89 - 5/31/90
Title/Description: Research of Microwave Integrated Circuits

10. Country: Switzerland
Business/Entity: Ares Trading, S.A.
No.: Sponsored Research Agreement
New funds: \$90,000.
Current period: 6/30/89 - 6/30/90
Title/Description: Research on Peptides

11. Country: Switzerland
Business/Entity: Ares Trading, S.A.
No.: Patent License Agreement
New funds: None
Current Period: Effective 5/1/88
Title/Description: Patent Rights/Technology Rights Related to
Licensed Subject Matter Developed at UT-Austin

The University of Texas at Austin
Foreign Contracts and Grants for Research, Development & Educational Services
UT Regents' Meeting October 13, 1989

12. Country: West Germany
Business/Entity: E. Merck
No.: Patent License Agreement
New funds: None
Current period: 5/1/89 - 4/30/99
Title/Description: Patent Rights/Technology Rights Related to
Licensed Subject Matter Developed at UT-Austin

13. Country: West Germany
Business/Entity: E. Merck
No.: Sponsored Research Agreement
New funds: \$20,048.50
Current period: 1/1/89 - 12/31/89
Title/Description: Liquid Crystals Based on Staffanes

(FUNDS GOING OUT)

None

THE UNIVERSITY OF TEXAS AT AUSTIN

U.T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

CONTRACTS AND GRANTS FOR RESEARCH, DEVELOPMENT, CLINICAL
AND EDUCATIONAL SERVICES

Since the Docket for the previous meeting of the U.T. Board of Regents, the following activity has taken place with regard to Contracts and Grants for Research, Development, Clinical and Educational Services:

The contracts, grants and agreements for research, development and educational services summarized or listed below have been processed and approved in accordance with the Regents' Rules and Regulations, Part Two, Chapter XI.

- I. Non-Governmental Contracts and Grants (Funds Coming In)
- a. 1989 A 1 - 84A Number of Initial Grant and Contract Awards
\$ 2,466,194.42 Value of Awards
- b. 1989 A 85 - 102 Number of Fiscal Amendments to Grant and Contract Awards (Increasing or Decreasing by \$10,000)
\$ 752,708.00 Total of Increased Funding
\$ None Total of Reduced Funding
- II. Non-Governmental Contracts and Grants (Funds Going Out)
- a. 1989 A103 - 111H Number of Initial Grant and Contract Awards
\$ 178,359.00 Value of Awards
- b. None Number of Fiscal Amendments to Grant and Contract Awards (Increasing or Decreasing by \$10,000)
None Total of Increased Funding
None Total of Reduced Funding
- III. State and Local Government Contracts and Grants (Funds Coming In)
- a. 1989 A112 - 153 Number of Initial Grant and Contract Awards
\$ 1,361,565.00 Value of Awards
- b. 1989 A154 - 162 Number of Fiscal Amendments to Grant and Contract Awards (Increasing or Decreasing by \$10,000)
\$ 659,966.00 Total of Increased Funding
None Total of Reduced Funding
- IV. State and Local Government Contracts and Grants (Funds Going Out)
- a. 1989 A163 - 195 Number of Initial Grant and Contract Awards
\$ 600,221.00 Value of Awards
- b. 1989 A196 - 213 Number of Fiscal Amendments to Grant and Contract Awards (Increasing or Decreasing by \$10,000)
\$ 646,439.00 Total of Increased Funding
\$ None Total of Reduced Funding
- V. Federal Government Contracts and Grants (Funds Coming In)
- a. 1989 A214 - 252 Number of Initial Grant and Contract Awards
\$ 2,917,019.00 Value of Awards
- b. 1989 A253 - 314 Number of Fiscal Amendments to Grant and Contract Awards (Increasing or Decreasing by \$10,000)
\$ 5,365,365.00 Total of Increased Funding
\$ None Total of Reduced Funding
- VI. Federal Government Contracts and Grants (Funds Going Out)
- a. 1989 A315 - 319 Number of Initial Grant and Contract Awards
\$ 213,028.00 Value of Awards
- b. 1989 A320 - 322 Number of Fiscal Amendments to Grant and Contract Awards (Increasing or Decreasing by \$10,000)
\$ 67,432.24 Total of Increased Funding
\$ None Total of Reduced Funding

THE UNIVERSITY OF TEXAS AT AUSTIN

U. T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

AMENDMENTS TO THE 1988-89 OPERATING BUDGET

The term "rate" for academic institutions is the full-time nine-month base rate and for health institutions is the full-time twelve month base rate; for all other personnel it is the full-time rate, the appointee receiving a proportionate amount depending upon the fraction of time for which he is appointed and the period of his appointment. Source of funds for payment of salaries, unless otherwise shown, is the departmental salaries account.

<u>Item, Department, Title, Name</u>	<u>Effective Date</u>	<u>% Time</u>	<u>Full-time Salary</u>		<u>RBC</u>
			<u>No. Mos.</u>	<u>Rate</u>	
<u>APPOINTMENTS, PROMOTIONS, AND SALARY INCREASES</u>					
COLLEGE OF ENGINEERING					
Mechanical Engineering					
Professor (Tenure)					
1. Tien, John K	7/01	0	09	126,000	2388
2. Wehring, Bernard W	6/19	0	09	70,200	2386

TRANSFERS OF FUNDS

RBC

PLANT FUNDS

3. Amount of Transfer: \$180,000 2397

From: Repair Projects (\$154,000), General University
Equipment-Unallocated (\$26,000)

To: ENS-Renovate Basement

To fund the second phase of the Engineering Science Building-
Renovation of Basement

4. Amount of Transfer: \$110,000 2398

From: Auxiliary Enterprises Administration - Unallocated

To: Women's Athletics - Belmont Floors

To fund renovations to the 7th level of Belmont/Women's Athletics.

THE UNIVERSITY OF TEXAS AT DALLAS

OFFICE OF THE PRESIDENT

August 25, 1989

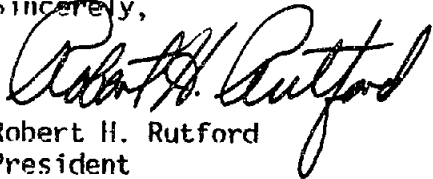
Dr. James Duncan
Executive Vice Chancellor for
Academic Affairs
The University of Texas System
Austin, Texas 78713-7759

Dear Dr. Duncan:

The docket for the October 13, 1989, meeting of the Board of Regents is submitted for your recommendation and presentation to the Board.

I recommend approval.

Sincerely,


Robert H. Rutford
President

lc

Enclosure

THE UNIVERSITY OF TEXAS AT DALLAS
DOCKET FOR OCTOBER 13, 1989 MEETING

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THE UNIVERSITY OF TEXAS AT DALLAS

U.T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

GIFTS FROM PRIVATE DONORS AND FOUNDATIONS

The following gifts have been received and are recommended for acceptance by the U.T. Board of Regents:

<u>Donor</u>	<u>Purpose</u>	<u>Amount</u>
1. Creed L. Ford Jr. Trustee for the Lillian and Royal W. B. Cowan Charitable Remainder Trust 12801 N. Central Expressway Suite 360 Dallas, Texas 75243	Aerospace Heritage Foundation	\$131,972.00
2. Excellence in Education Foundation Erik Jonsson, President 3300 Republic Bank Tower Dallas, Texas 75201	Erik Jonsson School of Engineering and Computer Science	\$2,027,904.00

THE UNIVERSITY OF TEXAS AT DALLAS

U.T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

BUSINESS CONTRACTS FOR SERVICES

(FUNDS COMING IN)

The following contracts have been awarded, have been administratively approved by the Executive Vice Chancellor for Business Affairs, and are recommended for ratification by the U.T. Board of Regents:

1. Agency: Citrus Systems, Inc.
Funds: \$725.98
Period: May 3, 1989 through June 30, 1989
Title/Description: To Lease Space of 824.61 square feet in the office building known as Advanced Technology Innovation Center

2. Agency: Morrison Incorporated
Funds: Percentage varies for individual items
Period: January 1, 1989 through December 31, 1989
Title/Description: To provide food service management and operations on the campus

THE UNIVERSITY OF TEXAS AT DALLAS

U.T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

STANDARD UTILITY EASEMENTS

The following easement has been approved by the Office of General Counsel and is recommended for approval by the U.T. Board of Regents:

1. Grantee: Enserch Corporation
c/o Lone Star Gas Company
Location: Dallas and Collin Counties,
John W. Cartis Survey,
Abstract No. 348 and
John Clay Survey,
Abstract No. 313
and Abstract No. 223
Funds: \$10.00 cash and other good and
valuable consideration to Grantor.
Date: August 1, 1989 until abandonment.
Description: Ten Foot wide pipeline easement beginning
at the intersection of the South curving
back of curb line, of Drive "A" with the
East right-of-way line of Waterview Parkway;
thence northeastward 15.49 feet along the
South curving back of curb line to the end
of said curve, then North 15.04 feet;
thence Northeastward 82.83 feet; thence
Northeastward 78.74 feet; thence North
63.71 feet; thence Southeast 21.87 feet;
thence South 12.57 feet; thence Southwest
87.51 feet; thence Northwest 10.00 feet;
thence Northeast 85.02 feet; thence
Northeast 6.91 feet; thence Northwestward
9.50 feet; Thence South 64.78 feet; then
Southwestward 74.53 feet; thence Southwest-
ward 85.40 feet; thence South 15.92 feet;
thence Southwestward 17.53 feet; thence
Northwest 13.70 feet to the Place of
Beginning.

THE UNIVERSITY OF TEXAS AT DALLAS

U.T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

TRADEMARK LICENSE AGREEMENTS

The following agreements have been awarded and are recommended for approval by the U.T. Board of Regents. All product approvals are in accordance with Paragraphs 10.1(C) and (D) and 11.1 of the Trademark Agreement.

1. Licensee: Russell Corporation
Fee - Royalty: Alexander City, Alabama 35010
\$300, 7%
Period: 5/01/89 - 12/31/91
Description: Wearing apparel, which includes all types of clothing, hats/caps, footwear and hosiery.

2. Licensee: Telecable of Richardson
Fee - Royalty: None
Period: 7/13/89
Description: To install, operate and maintain cable, junction boxes and other facilities incidental or related to provision of services to tenants in Waterview Park Apartment Project

THE UNIVERSITY OF TEXAS AT DALLAS

U.T. BOARD OF REGENTS' MEETING

October 13, 1989

PARKING AND TRAFFIC REGULATIONS

The following changes to Parking and Traffic Regulations as set forth below in congressional style are recommended for approval by the U. T. Board of Regents. They have been approved by the Executive Vice Chancellor for Business Affairs and the Office of General Counsel and are based on model regulations approved by that office. The regulations supersede all prior Parking and Traffic Regulations.

TABLE OF CONTENTS

- I. Quick Reference to Parking and Traffic Regulations and Other General Restrictions
 - II. General Provisions
 - III. Definitions
 - IV. General Traffic Regulations
 - V. Parking Regulations
 - VI. Parking Permits
 - VII. Driving and Parking Offenses
 - VIII. Enforcement
- [~~IX~~-----Car-pooling]

SECTION I
QUICK REFERENCE TO PARKING AND TRAFFIC REGULATIONS
AND OTHER GENERAL RESTRICTIONS

1. Permits Required for Access and [&] Parking (visitor excepted). [-]
 - A. The University of Texas at Dallas parking permits are self-adhering and are NOT transferable. This applies to both four-wheel and two-wheel vehicles.
 - B. only vehicles conspicuously displaying proper University of Texas at Dallas permits (as specified in Section VI infra) may enter and park on the campus (as shown by the boundaries on map accompanying regulations). Special parking areas are provided for the handicapped and for two-wheel vehicles. Two-wheel vehicles may park only in areas designated for such vehicles. [Monday-through-Friday-from-7:00-a.m.-to-10:00-p.m.--(Motorcycles,-mopeds-and-motor-scooters-may-park-only-in-areas-designated-for-such-vehicles-as-specified-in-Section-IV;-infra)].
2. Display of Permits: Parking permits for all vehicles must be clearly visible and properly affixed to vehicle(s) as described in Section VI, #3. Decals which are taped, partially adhered or decals affixed by unauthorized materials will subject the holder to a citation. [Additionally,-the permit-will-be-revoked-and-the-holder-may-lose-all-parking-privileges (Section-VII;-infra)].
3. REMOVAL OF PERMITS: Permits (decals) shall be removed when there is a change of [vehicle] ownership, when association with the University is terminated, when a replacement permit decal has been issued [to-take-the place-of-a-previously-issued-permit], or upon expiration or revocation (Section VI, #4).
4. Classes of Permits: See Section VI, #5 [E].
 - Class A: Orange - Reserved faculty, staff
 - Class B: Orange - Complimentary, designated by President
 - Class C: Gold - Student, faculty, staff
 - Class D: Green - General parking, student, faculty, staff
 - Class E: Blue - Disabled/handicapped
 - Class F: Red - Student Housing
 - Class G: Silver - Designated alumni
 - Class H: Two-wheeled vehicles - color varies each year.
 - Class I: Vendors, salespersons, technical representatives and other servicing personnel.
5. Permit Restrictions: [Section-VI-]
 - A. General parking permits are available for student, faculty, staff. General parking areas are those where "green" bumpers are placed.
 - B. All two-wheel vehicles must be parked in those areas specially designated for two-wheel vehicles; exception - bicycles should be parked in any of the bicycle racks provided by the University, in specified areas.

- C. Disabled students, faculty and staff who qualify for handicapped parking privileges in accordance with Section VI must obtain a handicapped parking permit before parking in specially designated Handicapped Parking Areas.
- D. A number of RESERVED parking areas exist. All vehicles parking in RESERVED parking areas MUST display proper permit.
- E. Spaces marked for VISITOR parking are restricted to individuals who are NOT currently affiliated with The University of Texas at Dallas. These spaces shall not be utilized by students, faculty or staff.
- F. Student resident parking permits are restricted for students who reside in housing provided on The University of Texas at Dallas property. Authorized parking is housing parking lot and student general parking.
- G. Back-in parking upon The University of Texas at Dallas property is prohibited.
- H. Use of campus sidewalks and service drives is prohibited by unauthorized vehicles. Authorized University vehicles may use sidewalks and other appropriate areas for repairs and emergency operations. The Chief of Police, in cooperation with the Director of Physical Plant, will designate approved routes, special limitations and restrictions for service and delivery vehicles.
6. Loading Zone Permits: Permission to utilize the loading dock areas must be obtained from the University Police Traffic Control Station. Permission is granted for a time block of 15 minutes. Any vehicle parked in excess of the 15 minutes will be subject to receiving a parking violation citation. When [the] control station[s] is closed, call 2331 for assistance. [A loading-zone-permit-is-required-to-use-these-spaces/areas--Permits (normally-valid-for-15-minutes)-may-be-obtained-from-the-guards-at-the traffic-control-stations.]
7. Bicycles are not allowed in buildings. [BICYCLES--MOPEDS-AND-SKATES- Bicycles-and-mopeds-must-be-operated-in-accordance-with,-and-skaters-must conform-to,-the-ordinances-of-the-City-of-Richardson,-the-specific applicable-provisions-of-these-regulations,-all-provisions-of-these regulations-concerning-parking-restrictions-and-traffic-control-IV-and applicable-state-law:]
8. Parking Improperly: A vehicle shall not be parked on a street where angle parking is required, with the back of the vehicle toward the curb, nor shall a vehicle be parked opposite the flow of traffic in the traffic lane where parallel parking is required. Parking wholly within the marked boundaries of the parking space is required at all times. Vehicles shall not be parked in a manner that obstructs walkways, driveways, ramps, loading docks, marked crosswalks or inflicts damage to shrubbery, trees, grass, grounds or structures. Additionally, no vehicle may park on any unmarked or unimproved ground area which has not been marked or designated for parking. Parking is not allowed where prohibited by signs either permanently or temporarily posted, red or yellow curbs, or other markings on streets and parking lots. The fact that other vehicles are parked improperly shall not constitute an excuse for parking with any part of the vehicle extending beyond the marked boundaries of the parking space. [PARKING-LOTS--On-occasion-during-the-year,-certain-parking-lots-may-be closed-upon-recommendation-of-the-Chief-of-Police-or-Parking-and-Security Committee-and-approval-by-the-President-of-the-University:]

9. Posted Signs: Posted signs, whether permanent or temporary, must be obeyed at all times and take precedence over painted curbs, pavement markings and designations shown on any University maps. [~~SPEED LIMIT: The speed limit on all parts of the campus, whether on streets or in parking areas, is 30 mph unless otherwise posted. (Section IV, infra).~~]
10. Parking Lots: On occasion during the year, certain parking lots may be closed upon recommendation by the Chief of Police and approval by the President of the University. [~~POSTED SIGNS: Posted signs, whether permanent or temporary, must be obeyed at all times and take precedence over painted curbs, pavement markings and designations shown on any University maps.~~]
11. Speed Limit: The speed limit on through streets is 30 mph unless otherwise posted. The speed limit in all parking lots and service drives is 15 mph. (Section IV, #8) [~~PARKING IMPROPERLY: A vehicle shall not park on a street or parking lot where angle parking is required, with the back of the vehicle toward the curb or stop bumper, nor shall a vehicle be parked opposite the flow of traffic in the traffic lane where parallel parking is required. Parking wholly within the marked boundaries of the parking space is required at all times. Vehicles shall not park in a manner that obstructs walkways, driveways, ramps, loading docks, marked crosswalks, or inflicts damage to shrubbery, trees, grass, grounds or structures. Additionally, no vehicle may park on any unmarked or unimproved ground area which has not been marked or designated for parking.~~]
12. University of Texas at Dallas permits will be honored in all parking lots from 10 PM - 7 AM week nights as well as 1 PM Saturday thru 7 AM Monday. EXCEPTION: Continuous Enforcement, Reserved Areas, Handicapped, Visitor and Student Housing where signs and/or markings designate said restrictions. [~~REGISTRATION OF VEHICLES: Alternate vehicles may be registered by students, faculty or staff upon separate application and by paying the required additional fee. Only one vehicle may enter or park on campus at the same time. (Section VI, infra).~~]
13. ENFORCEMENT AND IMPOUNDMENTS: Failure to abide by these regulations may be the basis for disciplinary action against students, faculty and staff [and faculty/staff] (Section V, infra). Upon notice, violators may be subject to impoundment of their vehicle(s) pending payment of overdue charges (Section VIII, infra). Students may also be barred from readmission and have grades, degree, refunds or official transcripts withheld pending payment of overdue charges (Section VIII, infra). Vehicles may also be impounded for specific impound violations.
14. APPEAL OF CITATION: University parking and traffic citations may be appealed, within seven (7) [15] calendar days from date of citation by filing a "Citation Appeal" form with the University Police Decal [Sales] Office, (see Section VIII for detailed procedures). Court Appearance citations are handled by the appropriate county state or municipal court.
15. Visitors: Official visitors are those who conduct [important] business with or who render a [an-important] service to the University and who are not otherwise eligible for [annual] parking privileges [permits] (Section IV, infra). [~~VISITORS: Visitors must obtain permits when parking on campus. Official Visitors may obtain temporary parking permits from the guards at the traffic control stations. These permits entitle the holder to park only in a space designated "Official Visitor". Permits must be conspicuously displayed on the driver's side of the vehicle. (Section VI, infra).~~]

16. PEDESTRIAN RIGHTS AND DUTIES: Pedestrians must obey all traffic control devices and signs. They have the right-of-way at marked crosswalks, in at intersections and on sidewalks extending across a service drive, building entrance or driveway. Pedestrians crossing a street at any point other than within a marked crosswalk or within an unmarked crosswalk at an intersection shall yield the right-of-way to all vehicles on said street. Pedestrians shall not leave the curb or other place of safety and walk or run into the path of a vehicle which is so close that it is impossible for the driver to yield. Pedestrians may cross an intersection diagonally only where permitted by special pavement marking. No person shall stand in the roadway for the purpose of soliciting a ride.
17. INOPERABLE VEHICLES: If a vehicle becomes inoperable while on the UTD campus, the driver of said vehicle shall notify the University Police [~~a telephone call shall be placed to the University Police Department at 2331-~~]. The police will either render assistance or authorize temporary parking. Temporary parking shall not exceed twenty-four (24) [24] hours and the vehicle must be parked so as not to create an obstruction or hazard.
18. Auditing Students: Special students, part-time students, and auditing students are required to purchase parking permits.
19. Responsibility for Parking Permits: The individual who purchases a parking permit will be responsible for parking violations by any vehicle bearing the permit.
20. Animals on Campus: With the exception of animals involved in approved University research projects and seeing-eye dogs, animals will not be permitted in any University building. If an animal is walked upon the service malls or areas of the inner campus, it shall be on a leash and the owner shall be responsible for cleaning up after the animal.
21. Visitor Parking areas may NOT be utilized by UTD students, faculty or staff at any time. See Section IV for permit.
22. Skating on Campus: Roller skating or skateboarding on the inner campus or within buildings is prohibited.
23. Refunds: See Section VI, #8.

SECTION II
GENERAL PROVISIONS

Pursuant to the authority granted by Sections 51.201 et seq., 54.005, 54.503, 65.31 and 70.01 et seq., of Title 3 of the Texas Education Code, the Board of Regents of The University of Texas System has promulgated Parking and Traffic Regulations to regulate and control parking and traffic and the use of parking facilities, to provide for the issuance of vehicle identification [insignia] and insignia (parking permit), and to provide for jurisdiction over offenses. This booklet contains those regulations and procedures applicable to any person who walks or drives and parks a [motor] vehicle [or-bicycle] on the campus of The University of Texas at Dallas. These rules and regulations are supplementary to the statutes of the State of Texas which govern pedestrians and the use of motor vehicles and bicycles.

The operation of a motor vehicle or bicycle on The University of Texas at Dallas campus is a PRIVILEGE granted by the University and is not an inherent right of any faculty/staff member or student. All faculty, staff and students who have [motor] vehicles in their possession or control for use, operation or parking on the University campus must purchase a parking permit at [register-said vehicle-with] the University Police Decal [Sales] Office. [Registration-of-a motor-vehicle-does-not-guarantee-a-parking-place-on-campus-]

The licensed University Police Officers are vested with all the powers, privileges and immunities of peace officers and are empowered to enforce all applicable laws and the UTD Parking and Traffic Regulations.

University Police Guards are a vital, noncommissioned part of the UTD Police Department. Their duties include but are not limited to: 1) facilities security, 2) escort services, 3) motorist assistance, 4) communications support, 5) manning information booths, 6) providing parking control for special events, and 7) enforcement of parking rules and regulations.

The University is not responsible for fire, theft, damage to, or loss of any vehicle parked or operated on the University campus. No bailment is created by granting [of] any parking or operating privileges regarding a vehicle on any property owned, leased or otherwise controlled by the University.

~~[The-administration-and-enforcement-of-the-regulations-contained-in-this-booklet are-as-follows:]~~

~~[UNIVERSITY-POLICE: The-University-Police-have-the-responsibility-and-the-legal authority-for-the-enforcement-of-the-Parking-and-Traffic-Regulations-listed-in this-booklet.--University-police-officers-may-issue-University-citations-or Court-Appearance-citations-ENFORCEABLE-IN-COURT.--(See-Section-VIII)--On special-occasions-and-emergencies--such-parking-limitations-may-be-imposed-by the-Chief-of-the-University-Police-as-are-required-by-the-conditions-which prevail.--When-conditions-warrant-such-action--the-Chief-may-waive-parking limitations:]~~

The administration and enforcement of the regulations contained in this booklet are under the Committee on Parking and Security. The Chairperson for this Committee is the Vice President for Business Affairs with nine voting members and two nonvoting members, ex officio. Five of the voting members shall be appointed from the voting membership of the General Faculty, two shall be appointed from the University staff and two shall be students. The term of office shall be for two years, effective September 1 to August 31.

The University reserves the right to enforce parking and traffic regulations:

1. through the issuance of University citations and the collection of administrative enforcement charges for offenses;
2. through the impoundment of vehicles interfering with the movement of vehicular or pedestrian traffic or blocking a sidewalk, ramp, loading dock, crosswalk, entrance, exit, fire lane or aisle and through the impoundment of vehicles for unpaid charges after proper notice as provided by these regulations;
3. by suspension or revocation of permits;
4. by requiring either the vehicle owner or the person whose parking permit is displayed on [who-has-registered] the vehicle [with the-University-Becal-Sales-Office] to appear in court or at a University hearing for nonpayment of outstanding charges;
5. by barring the readmission and withholding the grades, degrees, refunds and official transcripts of any student for nonpayment of outstanding charges;
6. by disciplinary action against employees or students who fail to abide by these regulations;
7. by denying parking permits to those with unpaid [overdue] charges;
8. by the issuance of Court Appearance Citations requiring an appearance in the appropriate state or municipal court; [and]
9. by [such] other methods [as-are] commonly employed by city governments or state agencies in control of traffic regulation enforcement.

Proof of the fact that any parking or traffic control device, sign, parking meter, signal or marking was actually in place at any location on campus of The University of Texas at Dallas, shall constitute prima facie evidence that the same is official and was installed under the authority of applicable law and these regulations.

When any person is charged with having stopped, parked and left standing a [motor] vehicle on [the] campus [of-The-University-of-Texas-at-Dallas], in violation of any provision of the UTD Parking and Traffic Regulations [of-The-University-of-Texas-at-Dallas], proof that said vehicle [was], at the date of the offense, was bearing a valid UTD [University-of-Texas-at-Dallas] parking permit shall constitute prima facie evidence that said vehicle was then and there stopped, parked and left standing by the holder of the parking permit. However, if the vehicle does not bear a valid UTD [University-of-Texas-at-Dallas] parking permit, proof that said vehicle at the date of the offense alleged, was owned by an individual is prima facie proof that said vehicle was then and there stopped, parked and left standing by said individual.

The University assumes no responsibility for any vehicle or any duty to protect any vehicle or its contents at anytime the vehicle is operated or parked on the campus.

The University may deem a [motor] vehicle [including-a-motorcycle;-a-motor-scooter;-or-moped-or-bicycle] parked on the University campus for more than 48 hours without prior authorization to be abandoned and may dispose of such vehicle as provided in Section 10.6 of Chapter VII, Part Two of the Regents' Rules and Regulations, and Article 4477-9a Section 5.01, Vernon's Texas Civil Statutes.

SECTION III
DEFINITIONS

The following defined terms are in addition to the definitions and terms of the Texas Motor Vehicle Laws which SHALL apply on the campus.

[BIYCLE-means-every-device-propelled-by-human-power-upon-which-any-person-may ride;-having-two-tandem-wheels-either-of-which-is-more-than-fourteen-(14)-inches in-diameter:]

CAMPUS - property under the control of The University of Texas at Dallas.

[CAR-POOL-is-a-private-motor-vehicle-occupied-by-two-or-more-persons-traveling together:]

[CROSSWALK:--Any-portion-of-a-roadway-at-an-intersection-or-elsewhere-distinctly indicated-for-pedestrian-crossing-by-lines-or-other-markings-on-the-surfaces:]

DRIVER - Any [Every] person who drives, operates or is in actual physical control of a vehicle.

EMPLOYEE - Any person employed by The University of Texas at Dallas regardless of whether the person is employed with salary or without salary. This includes those persons employed by other agencies who perform services on campus.

GENERAL PARKING - any lined parking space where stop bumpers are "green" in color.

[MOTORCYCLE-means-every-motor-vehicle-having-a-saddle-for-the-use-of-the-rider and-designed-to-travel-on-not-more-than-three-(3)-wheels-in-contact-with-the ground-but-excluding-a-tractor:]

[MOTOR-DRIVEN-CYCLE-means-every-motorcycle;-including-every-motor-scooter;-with a-motor-which-produces-not-to-exceed-5-brake-horsepower-(brake-horsepower developed-by-a-prime-mover;-as-measured-by-a-brake-applied-to-the-driving shaft);-and-every-bicycle-with-motor-attached:]

[MOTOR-VEHICLE-means-every-vehicle-which-is-self-propelled:]

OFFICIAL TRAFFIC-CONTROL DEVICES - All signs, signals, markings, and devices not inconsistent with the act placed or erected by authority of a public body or official having jurisdiction for the purpose of regulating, warning, or guiding traffic.

OWNER - [means] any person who holds the legal title of a vehicle or who has the legal right of possession thereof, or the legal right of control of said vehicle.

[PARK-OR-PARKING-means-the-standing-of-a-vehicle;-whether-occupied-or-not; otherwise-than-temporarily-for-the-purpose-of-and-while-actually-engaged-in loading-or-unloading-merchandise-or-passengers:]

PARKING FACILITY - [means-any-public-or-private] property [used-for-restricted and/or-paid-parking-of-vehicles] of The University of Texas at Dallas, restricted for and/or designed to provide parking for UTD permit holders and visitors. [This-includes-a-restricted-portion-or-portions-of-an-otherwise-unrestricted parking-facility:]

[PARKING-FACILITY-OWNER-means-any-operator-or-owner-(including-any-lessee; employee;-or-agent-thereof)-or-a-parking-facility:]

PARKING PERMIT - permits sold by The University of Texas at Dallas authorizing a vehicle displaying said permit to park upon the campus.

PROOF OF OWNERSHIP is required on all vehicles when registering more than one vehicle. Proof of ownership is determined by one of the following documents: 1) car title, 2) license renewal certificate, 3) insurance card (must state the year and make of the vehicles), 4) official bill of sale.

RESIDENT STUDENT - any person duly registered, enrolled and attending classes at The University of Texas at Dallas and residing in housing placed upon University property.

STUDENT - any person duly registered, enrolled and attending classes at The University of Texas at Dallas (including special students, part-time students and auditing students).

TOWING COMPANY - [means] any individual, corporation, partnership, or association engaged in the business of towing vehicles for [or-with-the expectation-of] compensation for the towing, storage, or repair of vehicles [The-term-towing-company-includes-the-owner;-operator;-employee;-or-agent-of-a towing-company;-but-does-not-include-cities;-counties;-or-other-political subdivisions-of-the-state:].

VEHICLE - includes automobiles, buses, trucks, trailers, motorcycles, motor scooters, motorbikes, mopeds, bicycles and tractors.

VISITOR - a person who is neither a student nor an employee of The University of Texas at Dallas.

[All-other-words-and-phases-unless-otherwise-defined-in-this-booklet-will-be used-in-accordance-to-definitions-in-the-Texas-Laws-Relating-to-Uniform-Act; V-6-5-6781d--Uniform-Act-Regulating-Traffic-on-Highways:]

SECTION IV
GENERAL TRAFFIC REGULATIONS

1. The purpose of these regulations is to provide for the safety and welfare of students, faculty, staff and visitors and to provide for the control of traffic and parking.
2. If any part of these parking and traffic regulations is held to be invalid, such invalidity shall not affect other provisions which can be given effect without the invalid provision, and to this end the provisions of these regulations are declared to be severable.
3. The provisions of these regulations SHALL be cumulative of all other laws.
4. On special occasions and in emergencies, the Chief of Police may impose temporary parking and traffic control restrictions. These temporary restrictions shall have all the force of other written and approved regulations and shall be subject to the same penalties.
5. It SHALL be a violation to commit any act prohibited by these regulations or to fail to do any act required by these regulations.
6. The operation of a vehicle shall be restricted to the campus drives, streets and parking lots.
7. Vehicles operated or parked on the campus must comply with all State Laws, and the Parking and Traffic Regulations of The University of Texas at Dallas.
8. The speed limit on roads and streets of the campus is 30 miles per hour unless otherwise posted. The speed limit on all parking lots and service drives is 15 miles per hour, unless otherwise specified.
9. The driver of any vehicle involved in a collision shall immediately stop at the scene of such accident or collision, and by the quickest means of communication report such accident or collision to the University Police Department.
10. No person shall fail or refuse to comply with any lawful order or direction of any University police officer invested by law with the authority to direct, control and regulate traffic.
11. Any person who willfully or through negligence causes damage to The University of Texas at Dallas property shall be liable for any damage done to said property.
12. All vehicles operated or parked upon the campus shall properly display a current parking permit (Visitors refer to Item No. 22, this section).
13. All vehicles are required to stop completely at each STOP sign, and then proceed cautiously without creating a hazard to vehicles not required to stop.
14. Bicycles shall not be operated on pedestrian walkways or sidewalks. Operation of bicycles is regulated by Texas Motor Vehicle Laws and the provisions of these Regulations.
15. The operator of a vehicle shall yield right-of-way to pedestrians in crosswalks.

16. Pedestrians shall cross streets at designated marked pedestrian crosswalks.
 - A. Every pedestrian crossing a roadway at any point other than within a marked crosswalk or within an unmarked crosswalk at an intersection shall yield the right-of-way to all vehicles upon the roadway Section 78a, Article 6701d, Vernon's Texas Civil Statutes.
 - B. Pedestrians shall not leave the curb or other place of safety and walk or run into the path of a vehicle which is so close that it is impossible for the driver to yield.
 - C. Pedestrians may cross an intersection diagonally only where permitted by special pavement marking.
 - D. No person shall stand in the roadway for the purpose of soliciting a ride.
17. No operator of a vehicle shall drive beyond a barricaded area, or where prohibited by temporary or permanent signs and no operator or person shall remove such barricades or signs. Exception: University employees whose job is to deliver, install or pick up such barricades or temporary signs upon request by the Chief of Police.
18. No driver or operator of any vehicle shall back any vehicle into an intersection or back upon any shoulder or any traffic lane.
19. No person driving a vehicle shall cross, drive in or on a sidewalk, parking lot, driveway or entrances at an intersection for the purpose of making a right or left turn from one street or roadway to another street or roadway as a shortcut or to avoid any traffic control device.
20. No person in control or possession of a motor vehicle shall bring the same to a sudden start or stop in a parking lot or street, or accelerate or race the motor so as to cause a loud noise in a manner calculated to disturb the person or persons present.
21. No person may throw or deposit any trash, litter or other waste on any parking area, street or sidewalk.
22. Visitors are those individuals who conduct business with or who render a service to the University and who are not otherwise eligible for parking privileges. Persons who will be on campus for the purpose of using UTD facilities should contact the University Police for parking information.
23. Color of traffic arteries and curbs are in compliance with the Texas State Department of Highway Specifications. The colors used are red, white and yellow. Red designates no parking at any time; white identifies crosswalks, and stop lines; yellow designates warning, no parking, traffic button lanes and double rows of buttons are no passing zones.
24. [B-] BICYCLE RULES: Bicycles ridden on campus must observe the general traffic rules as well as the following:
 - A. [1-] Bicycles must not interfere with the passage of pedestrians.
 - B. [2-] Bicycles may be ridden only in areas which are open to vehicle traffic.
 - C. [3-] Bicycles may be walked in areas which are open to pedestrian traffic.

D.[4:] Bicycles are not allowed in buildings, not even for parking or storage.

E.[5:] Bicycles may be parked only in the bicycle racks provided.

E.[6:] Violation of bicycle regulations are considered traffic violations. Violators will be subject to the same enforcement fees.

[A:---TRAFFIC-RULES:

1:--Pedestrian-traffic-shall-have-the-right-of-way-at-all-times:

2:--The-speed-limit-on-all-parts-of-the-campus-is-30-miles-per-hour-unless otherwise-posted:

3:--Every-vehicle-is-required-to-stop-completely-at-each-STOP-sign;-pause briefly;-and-then-proceed-with-caution:]

SECTION V
PARKING REGULATIONS

1. The various classes of parking permits and their eligibility requirements, privileges and limitations are described in detail in Section VI.
2. Parking and Traffic Regulations are in effect at all times.
~~[On-University-holidays;-only-those-parking-restrictions-which-regulate parking-at-all-times-shall-remain-in-effect.]~~
3. Failure to abide by parking and traffic regulations may be the basis for disciplinary action against students and employees. Students may be subjected to penalties ranging from disciplinary probation to expulsion from the Institution as outlined in Section 3.13 of the Rules and Regulations of the Board of Regents of The University of Texas System, Chapter VI, Part One. Employees may be subjected to disciplinary penalties including termination of employment as outlined in Section D8-130.0 of the HANDBOOK OF OPERATING PROCEDURES. When an employee has accumulated three or more unpaid parking or traffic citations [violations] and/or charges of \$25.00 [\$15.00] or more, the employee's Dean, Director or Administrative Official shall be notified by Parking and Traffic Administration. ~~[When-a-student-is-placed-on-the-bar-list-for-unpaid parking-or-traffic-violations;-the-Vice-President-for-Administration-and Student-Services-shall-be-notified-by-Parking-and-Traffic-Administration.]~~
4. General Parking Regulations
 - A. Only vehicles which have been duly registered with the University Police Decal Office and display a valid parking permit issued by the University Police Decal Office may park on campus.
 - B. Parking is restricted to lined parking spaces. Vehicles shall be parked within the boundaries of the designated lined spaces. The fact that other vehicles are parked improperly shall not constitute an excuse for parking with any part of the vehicle over the line.
 - C. Bicycles shall not be parked anywhere except in the bicycle parking areas.
 - D. Parking is not allowed where prohibited by signs either permanently or temporarily posted, red or yellow curbs, or other markings on streets and parking lots.
 - E. Parking is prohibited on any lawn, curb, sidewalk, or any area not designated as a parking space or lot, or in any restricted or unauthorized area.
 - F. It is prohibited to park a vehicle in any place where it may create a traffic hazard or where it blocks or impedes pedestrian or vehicular traffic.
 - G. Parking a vehicle on campus for more than twenty-four (24) continuous hours requires approval of the University Police Department. Vehicles which are the property of the University are exempt.

- H. When four-wheel vehicles are parked diagonally or perpendicular to a curb, the front wheels must be within twelve (12) inches of the face of the curb.
- I. Parking spaces designated for the handicapped may be used only by persons who have a parking permit for that space.
- J. Vehicles blocking an aisle, fire lane, entrance or exit or creating a traffic hazard may be impounded, relocated or immobilized without further notice and at the expense of the violator. The University shall not, nor shall any of its authorized personnel, be liable or assume any responsibility for any loss or damage suffered because of such impoundment, immobilization or relocation.
- K. Passenger vehicles or trucks shall not be parked in spaces reserved for motorcycles or bicycles.
- L. Motorcycles, motor scooters, motorbikes or bicycles shall not be parked in spaces designated for passenger vehicles or trucks.
- M. Vehicles shall not be parked so as to obstruct the entrance or exit of a building.
- N. Vehicles shall not be parked in any area other than that designated by the permit on vehicle. Exception, Section I, Item 12 Quick Reference Parking and Traffic Regulations.
- O. On special occasions and during emergency periods, the Chief of Police may prohibit or extend parking and traffic regulations or any portion thereof.
- P. No person shall stop, park or leave standing any vehicle within an intersection, on a crosswalk, or on a sidewalk.
- Q. Parking in loading zones and service drives is restricted to a maximum time limit of 15 minutes and will be allowed only for the actual process of loading and unloading equipment, supplies and merchandise. Police Parking Pass is required.
- R. Student Housing parking is restricted to vehicles displaying Student Housing Parking Permit. Student vehicles bearing Student Housing Permit may park on campus in General Parking areas.

SECTION VI
PARKING PERMITS

1.[A-] FACULTY AND STAFF: A parking permit will be issued upon application to the Decal Sales Office located in Founders North, Room 1.116 [1-202]. Permits will be issued to the vehicle for which the faculty or staff member, spouse or parent is the registered owner. If the registered owner is other than above, a) [(1)] the owner or person in custody of the vehicle must be either related to or domiciled with the applicant, and b) [(2)] the owner or person in custody of the vehicle must not be otherwise eligible for a University Parking Permit.

Faculty/Staff members may register no more than two additional vehicles for a total of three vehicles, providing all vehicles are owned by the applicant or another person as specified above. Upon separate application and payment of required fees (proof of ownership of all vehicles is required when registering more than one vehicle) and with the understanding that only one vehicle may be parked on campus at the same time, parking permits will be issued.

2.[B-] STUDENTS: A parking permit will be issued for a vehicle owned by the student, spouse, parent, or guardian. If the registered owner is other than above, a) [(1)] the owner or person in custody of the vehicle must be either related to or domiciled with the applicant, and b) [(2)] the owner or person in custody of the vehicle must not be otherwise eligible for a University Parking Permit. Students may register two additional motor vehicles, motorcycles, or motor scooters upon separate application and payment of the required fee to the Decal Sales Office. However, only one vehicle may be operated or parked upon the campus at the same time. In addition, student must present a paid fee receipt for the current semester. (Proof of ownership of all vehicles is required when registering more than one vehicle.)

3.[C-] DISPLAY OF PERMITS: Permit(s) must be displayed on the vehicle to which it was issued. The entire surface of the permit[s] (decal[s]) shall be affixed on the vehicle according to the instructions furnished on the decal. Taping or otherwise affixing a small portion the decal will result in citations being issued.

4.[D-] REMOVAL OF PERMITS: Permits (decals) shall be removed:

- A.[1-] whenever there is a change of vehicle ownership;
- B.[2-] when association with the University is terminated;
- C.[3-] when a replacement permit (decal) has been issued to take the place of a previously issued permit (decal); or
- D.[4-] upon expiration or revocation of the permit or decal.

5.[E-] CLASSES OF PERMITS AND ANNUAL FEES: 9/1 thru 8/31

A. STUDENTS

[1--GREEN-Permit-(Decal)]

1) GREEN - General Parking

[a--Student-General-Parking]

- a)[1] Fall for entire year, \$40.50
- b)[2] Spring for rest of year, \$35.00 [\$24-50]
- c)[3] Summer, \$20.00 [\$14-00]
- d)[4] Fee per semester, \$20.00 [\$14-00]

- [b. ~~Student-Motorcycle-Special-Parking;--same-as-Student-General Parking~~
- c. ~~Faculty/Staff-General-Parking;--\$48:00-prorated-as-follows: \$4:00-per-month~~
- d. ~~Faculty/Staff-Motorcycle-Special-Parking;--same-as-Faculty/Staff General-Parking]~~

2) GOLD - Close-in Parking;

- a) Fall for entire year, \$83.00
- b) January for rest of year, \$55.00
- c) May for rest of year, \$30.00
- [2. ~~RED-Permit-(Decal)---Staff-at-Administration-Building-or Physical-Plant;--Same-fee-as-GREEN-Faculty/Staff-General-Parking]~~

3) MOTORCYCLE Special Parking, same as GREEN

- [3. ~~GREEN-Permit-(Decal)---Close-in-Parking;--optional-for-faculty; staff-and-graduate-students-appointed-50%-or-more-as-teaching-or research-assistants;--\$83:00-prorated-as-follows:--\$7:00-per month:]~~

4. RED - Student Housing, same as GREEN

- [4. ~~ORANGE-Permit-(Decal)---Administrative;--optional-for-those designated-by-the-President;--\$148:00-prorated-as-follows:--\$12:00 per-month:]~~

5. Additional decals as authorized, \$5.00 each

- [5. ~~BLUE-Permit-(Decal)]~~
- a. ~~Student-Handicapped/Disabled-Parked;--\$40:50-prorated-same-as Student-General-Parking~~
- b. ~~Faculty/Staff-Handicapped/Disabled-Parking;--\$48:00-prorated same-as-Faculty/Staff-General-Parking]~~

6. Handicapped/Disabled/Disabled Vet - no charge. Check with Decal Office for requirements.

- [6. ~~Any-vehicle-bearing-a-Disabled-Vets-license-plate;--no-fee-for parking]~~

- [7. ~~Additional-decals-so-authorized-by-the-Rules-and-Regulations; \$5:00-each]~~

B. FACULTY/STAFF

- 1) ORANGE - Administrative: optional for those designated by the President; \$148.00, prorated at \$12.00/ month
- 2) GOLD - Optional close-in parking; \$83.00, prorated at \$7.00 per month
- 3) GREEN - General Parking, \$48.00, prorated at \$4.00 per month
- 4) MOTORCYCLE Special Parking, same as GREEN
- 5) Additional decals as authorized, \$5.00 each
- 6) Handicapped/Disabled/Disabled Vet - no charge. Check with Decal Office for requirements.

Permits (Decals)

Motorcycle Parking Permit (decal) will be honored only after it has been properly and completely affixed on the front fender of the vehicle so that it may be readily seen when viewed from the front of the vehicle. [NOTE:--If no front fender is available, mount permit on right front fork so that it may be readily seen when viewed from the front of the vehicle.] Permit[s] (decal[s]) may not be affixed by tape or any other unauthorized method. Permit (decal) attached only by a portion of the decal will subject the vehicle to a parking violation citation. The permit (decal) is not transferable and must be affixed to the vehicle for which it was issued. [A fee of \$5.00 AND the remnants of the old permit are required for any permit (decal) replacement.]

Parking:

- 1)[a:] Motorcycles and Motor Scooters shall park ONLY in designated parking areas. [A current permit (decal) is required Monday through Friday 7:00 A.M. to 10:00 P.M.]
- 2)[b:] Mopeds (with a total engine displacement of less than 50cc) may park in either motorcycle designated areas or in those designated bicycle racks [located outside the traffic control stations Monday through Friday from 7:00 A.M. to 10:00 P.M].

NOTE: Motorcycles, Motor Scooters and Mopeds shall NOT be parked or otherwise secured in unauthorized places, e.g., sidewalks, posts, railings, trees, etc. Neither may these vehicles use spaces marked for passenger cars or trucks.

Operation: Motorcycles, Motor Scooters and Mopeds shall NOT be ridden or operated on pedestrian walkways or sidewalks. On University streets, operators shall comply with ALL traffic control devices, e.g., one-way signs, stop signs, etc.

"Disabled" plates and devices:

All disabled veterans whose vehicles display the specially designed license plates issued by the State Department of Highways and Public Transportation with the words "DISABLED VET" printed thereon in accordance with the provisions of Vernon's Annotated Texas Civil Statutes Article 6675a-5e.1 and all permanently disabled persons whose vehicles display the specially designed symbols, tabs or other devices (to include a vehicle in which a temporarily disabled person identification card is placed in the lower left-hand side of the front windshield) issued by the State Department of Highways and Public Transportation with the word "DISABLED" printed thereon in accordance with the provisions of Vernon's Annotated Texas Civil Statutes Article 6675a-53.1 are exempt from parking permit fees and from parking meter fees and time limits. These exemptions permit parking only in spaces where parking would otherwise be appropriate and do not permit the parking of a vehicle at a place where parking is restricted or prohibited.

- 6.[F:] PAYMENT OF FEES: When an application is made for a permit, the fee charged will be for a complete year or for the entire unexpired portion of the University's permit year. Students may choose to purchase permits by the semester. Payment of the fee must be made before the permit will be issued.

7. [G:] REPLACEMENT CHARGES: Any time replacement permits are issued there will be a \$5.00 charge made. Remnants of old permits are to be returned at that time. [~~If remnants are not returned, replacement permit(s) will be at the REGULAR RATE.~~]

8. [H:] REFUNDS: A request for refund will not be honored unless it is filed with the Decal Sales Office during the University's fiscal year in which payment for the permit was made. A request for refund must be accompanied by the remnants of the appropriate permit. [~~Refunds will be made to members of the faculty and staff upon request and only in the event service is terminated by a resignation or leave of absence without pay. The refund will be based on a prorated refund schedule. A request for a refund will not be honored when a person's privilege to park and drive on campus has been suspended nor if outstanding charges or other debts remain unpaid. Requests for refunds of the entire amount paid will be subject to a \$7.50 administrative and handling charge.~~]

A. Faculty/Staff - Made upon request and only in the event service is terminated by a resignation or leave of absence without pay. The refund will be based on a prorated refund schedule. A request for a refund will not be honored when a person's privilege to park and drive on campus has been suspended nor if outstanding charges or other debts remain unpaid. Requests for refunds of the entire amount paid will be subject to a \$7.50 administrative and handling charge.

B. Students - decals are non-refundable, exceptions:

- 1) When classes do not make and/or the reason for withdrawal lies with the University.
- 2) When returned to the Decal Office within three (3) working days following regular registration.

Full refund on above; if decal package is opened or damaged, a \$7.50 administrative fee will be assessed.

9. [I:] OTHER TYPES OF PERMITS ISSUED:

A. [1:] New faculty and staff members may obtain a free temporary parking permit from the Personnel Office during orientation. These temporary permits expire 7 [~~one-week~~] days after the employee's first payday.

B. [2:] Individuals who have registered a vehicle but find it necessary to use a non-registered vehicle for not more than two weeks may obtain a temporary replacement vehicle permit from the Decal Sales Office. The registration decal number of the vehicle being temporarily replaced is required for issuance of this permit. After 2 [~~three~~] permits have been issued during an academic year, a fee of \$5.00 will be charged for each additional permit.

C. [3:] Emergency Access Passes for Handicapped or Disabled Persons: Provisions have been made for temporary UTD handicapped/disability parking for individuals who will be handicapped or disabled for a limited time. They are as follows:

- 1) [a:] One Day Pass - A person who becomes disabled may receive an emergency one day pass from the gate guard upon request. This emergency pass will allow sufficient time to clear requests through the Decal Office [~~Student-Health-Services~~].

2)[b:] Seven Day Pass [Ten] - A disabled person who needs to apply for a disabled symbol at the county tax office may obtain a 7 [10] day parking pass from the Decal Sales Office. This 7 [10] day pass will allow sufficient time to clear requests through the county tax office.

3)[c:] Sixty Day Pass - Applications for emergency parking (not to exceed 60 days) for disabled individuals must be reviewed by the Decal Office [Student-Health-Services]. Each application must be accompanied by a medical report signed by an attending physician stating the disability of the individual. EXCEPTION: Medical proof may be waived by the Decal Office [Student-Health Service] personnel when in their opinion those individuals making a request meet the requirements of being temporarily handicapped or disabled.

D. Decal holders who find it necessary to bring a vehicle on campus which does not have a decal may obtain a one day pass at the Guard Control Station.

SECTION VII
DRIVING AND PARKING OFFENSES

A late penalty fee of \$7.50 will be assessed to each ticket that is not paid, appealed or otherwise cleared within seven (7) calendar days of the date on the citation. The University Police Department is not responsible for a ticket being removed from a vehicle after said ticket has been placed on the vehicle by an officer.

Every person receiving a University citation shall remit the amount of the charge to the Bursar's Office. Payment must be received within 7 calendar days after issuance of the citation, to avoid a late charge.

Class A -

Enforcement Fee:

- A. Students - \$15.00 each violation
- B. Faculty/Staff - \$20.00 each violation

Violation Code 1001 - Parking without a permit

Enforcement fees will be assessed for each violation of parking a vehicle on campus without a valid parking permit. The student, faculty or staff person will be given the opportunity to purchase a decal in lieu of the FIRST No Campus Permit ticket. This purchase is to be done at the University Police Decal Office within seven (7) calendar days from date the ticket was issued. Each subsequent violation for parking any vehicle without a valid parking permit is subject to the full enforcement fee.

Class B -

Enforcement Fee: \$25.00
\$25.00 each violation, OR suspension/cancellation of parking privileges by UTD Parking and Security Committee or both.

Code 2001 - Displaying a fraudulent or fictitious parking permit.

Code 2002 - Obtaining a parking permit through fraud or false pretenses.

Code 2003 - Obtaining a parking permit for another person through fraud or false pretenses.

Code 2004 - Displaying a permit which has been canceled or revoked.

Code 2005 - Displaying a parking permit which has been reported lost or stolen.

Code 2006 - Removing immobilization device from an immobilized vehicle.

Code 2007 - Altering parking permit

Class C -

Enforcement Fee:

\$10.00 first violation
15.00 second violation
20.00 third violation
25.00 fourth and subsequent violations, suspension/cancellation of parking privileges by UTD Parking and Security Committee or both.

Violation:

- Code 3001 - Driving on sidewalks, walkways, or over curbs or upon lawns or grass areas.
- Code 3002 - Operating or parking a vehicle on campus while barred (impounding violation).
- Code 3003 - Exceeding posted speed limit.
- Code 3004 - Failure to stop or disregarding stop sign.
- Code 3005 - Leaving the scene of an accident.
- Code 3006 - Displaying expired license plates (moving vehicle only).
- Code 3007 - Displaying expired inspection sticker (moving vehicle only).
- Code 3008 - No inspection sticker (moving vehicle only).
- Code 3009 - Other moving violations of the Texas Motor Vehicle Laws.
- Code 3010 - Failure of vehicle to yield right-of-way to pedestrians in crosswalk.
- Code 3011 - Parking beyond barricades, or in a barricaded area.

Class D -

Enforcement Fee:

\$ 8.00 each up to and including 5th violation
10.00 each citation beginning with 6th violation

Violation:

- Code 4001 - Back-in Parking
- Code 4002 - Parking and/or storing bicycle inside a building.
- Code 4003 - Parking in a loading zone or other restricted zones without authorization OR in EXCESS of time limit.
- Code 4004 - Failure to display parking permit.
- Code 4005 - Parking in an area not designated a proper parking area.

Code 4006 - Parking permit improperly displayed or affixed.

Code 4007 - Failure to park within the lines of a designated space.

Code 4008 - Parking in an area not designated by permit.

Code 4009 - Other violations of UTD Parking and Traffic Regulations.

Code 4010 - Parking in a Reserved area.

Code 4011 - Parking where prohibited by signs or markings.

In addition to the classes of violations referenced in this section, licensed officers of the University Police may issue traffic citation for any violations as defined in the Texas Motor Vehicle Laws.

[A---OFFENSES---Police may issue citations for but are not limited to the following offenses:

- 1---Parking along curbs bordered in yellow:
- 2---Parking in areas not designated for the decal issued:
- 3---Parking in loading zones:
- 4---Parking in driveways:
- 5---Forging or altering a permit, or using a forged or altered permit:
- 6---Committing any of the following acts with the intention of providing any person with parking to which he or she is not entitled under these regulations:
 - a---Transferring a permit
 - b---Affixing a permit to a vehicle other than that for which it was issued:
 - c---Failing to destroy a permit when required to do so by these regulations:
 - d---Parking or driving a vehicle to which there has been affixed a permit other than that issued for such vehicle, with knowledge thereof:
- 7---Blocking crosswalk
- 8---Failing to park within the lines of individually marked spaces:
- 9---Altering, damaging, moving, or ignoring University traffic signs or markings:
- 10---Failing to display parking permit in prescribed manner or location:
- 11---Failing to obey requests and/or directions of a University police officer:
- 12---Parking in a backed-in position:
- 13---Failing to stop before passing stop sign:
- 14---Operating motor vehicle in prohibited direction:
- 15---Driving on sidewalks:
- 16---Failing to yield right-of-way to pedestrians:
- 17---Driving vehicle into barricaded area or removing barricades:
- 18---Violating any of the provisions of the official Texas Motor Vehicle Laws Booklet:
- 19---Driving in an imprudent manner:

B:---IMPOUND-VIOLATIONS:---Offenses-designated-impound-violations:

1:---When-an-unauthorized-vehicle-is-parked-in-a-reserved-space-or-facility;
marked-by-a-readable-sign(s)-specifying-who-may-park-there;-prohibiting
all-others:---if-a-facility;-the-sign(s)-are-at-all-entrances:

2:---When-the-owner-or-operator-of-an-unauthorized-vehicle-has-actually
received-notice-from-the-parking-facility-owner-that-the-vehicle-will
be-towed-away-if-it-is-not-removed:

3:---When-an-unauthorized-vehicle-is:

a:---obstructing-an-entrance-to-a-building-or-parking-facility

b:---obstructing-an-exit-of-a-building-or-parking-facility

c:---obstructing-a-fire-lane

d:---obstructing-an-aisle-of-a-parking-facility

e:---parked-within-a-marked-crosswalk-or-intersection

f:---abandoned-(Section-10:6-of-Chapter-VII;-Part-Two-of-the-Regents'
Rules-and-Regulations):]

SECTION VIII
ENFORCEMENT

1.[A:] PARKING AND TRAFFIC CITATIONS: University Police are authorized to issue two types of citations for violation of University parking and traffic regulations:

A.[1:] UNIVERSITY CITATIONS - Those handled by the University, subject to University administrative enforcement charges and a right to appeal within the University (Paragraph 5 [E] below).

B.[2:] COURT APPEARANCE (CA) CITATIONS - Those issued by the University Police constituting a summons to appear in either municipal court or a justice court.

2.[B:] POLICY WITH RESPECT TO COURT APPEARANCE (CA) CITATIONS: The University reserves the right to issue a CA citation for any violation. It is the general policy of the University, however, to issue CA citations at the University's option as follows:

A.[1:] for moving violations;

B.[2:] for any violation when the individual's driving or parking privilege has been suspended (see Paragraph 5 [E] below); or

C.[3:] when an individual receives a University citation and all reasonable attempts at collection have failed.

3.[E:] PROCEDURE FOR UNIVERSITY CITATIONS: University citations are issued for offenses described in Section VII. [The administrative enforcement charges are:]

~~1. \$5.00 for a vehicle with a current valid permit (decals);~~

~~2. \$15.00 for a vehicle not displaying a current permit (decals). In this case, the student, faculty or staff member will be given the opportunity to register the vehicle in lieu of the first "no decal" citation enforcement fee within 15 calendar days from the date of citation. If the vehicle is registered as a second or third vehicle, the enforcement fee will be reduced to \$5.00.~~

~~If the charge is not paid within 15 calendar days after issuance of the citation, a \$7.50 late charge will be assessed.~~

~~Every person receiving a University citation shall remit the amount of the charge to the Bursar's Office. Payment must be received within 15 calendar days after issuance of the citation, to avoid a late charge.~~

If a person desires to appeal a University citation, he/she shall comply with Paragraph 4 [D] below within 7 [10] calendar days after date [day] of issuance of the citation.

Requests for exceptions to the above referenced days will be considered on an individual basis, if mitigating or unusual circumstances exist. Such requests shall be submitted in writing to the Decal Sales Office and shall detail the reason(s) such a request is being made. Requests based solely on alleged non-receipt of a copy of the citation will not be honored.

Unpaid charges for parking offenses are recorded either in the name of the person in whose name the vehicle is registered with some official state

motor vehicle registration department or agency, or in the name of the person who has registered the vehicle with the Decal Sales Office.

NOTE: If a student has the same family name and/or home address as the registered owner, then the unpaid charges will also be recorded in the student's name. Unpaid charges for other offenses (such as moving violations) may be recorded in the name of the person [driving-said vehicle;] who has registered it with the University Decal Sales Office, or in the name of the registered owner.

When Court Appearance citations are issued, the University citation[s] for that offense will be cancelled. Failure to discharge CA citations may result in the issuance of a warrant for the arrest of such person.

Persons with unpaid charges recorded in their names shall be ineligible to receive parking permits while such charges remain unpaid. To obtain a permit, a person shall either pay the charges or timely request a University hearing, paying one month's parking fee until the hearing date.

4.[B-] IMPOUNDMENT OF VEHICLES, BARRING OF READMISSION AND WITHHOLDING OF GRADES, DEGREES, OFFICIAL TRANSCRIPTS AND REFUNDS: When unpaid charges are recorded, the Decal Sales Office shall send a certified mail, return receipt requested letter to the owner of the vehicle.

Such letter shall state that unless a) [~~1~~] payment of all accumulated charges is made within 7 [15] calendar days after the date of such letter or b) [~~2~~] a written request is made within 7 [10] calendar days to appear before a University hearing committee concerning impoundment of the vehicle in case of faculty, staff, student or visitor, or for barring of readmission and/or withholding of grades, degree, official transcripts and refunds in the case of students as authorized in the Regents' Rules and Regulations, Part One, Chapter VI, Section 9 and state law, the appropriate enforcement action will take place without further notice.

Such a hearing is not an appeal of the Parking and Traffic citations but is a limited hearing to allow the individual an opportunity to show that the vehicle in question was not owned, registered or used by the individual or to show that the individual receiving notice of impending sanctions does not have unpaid Parking and Traffic charges.

At such hearing, which will be held after a reasonable period of time, the person requesting the hearing will assume the burden of showing why the appropriate enforcement action should not take place.

If timely payment is not received and either the registered owner or the person who has registered the vehicle with the University has failed to appear at the requested University impoundment hearing or has appeared and has failed to meet his or her burden of proof, the vehicle may be impounded pending payment of all outstanding charges, including towing and storage charges, without further notice.

If timely payment is not received from a student and the student has failed to appear at the requested hearing or has appeared and has failed to meet his or her burden of proof, the student may be barred from readmission and grades, degrees, official transcripts and refunds may be withheld, without further notice. Additionally, the vehicle registered to the student may be subject to impoundment.

5.[E-] APPEALS FROM UNIVERSITY CITATION(S): Any person who has received a

University citation may file a request to have the citation reviewed. This appeal must be filed with the Decal Sales Office no not later than 7 [10] calendar days after the citation was issued. If an appeal is not filed within this time the citation is deemed final.

Appeals shall be prepared in writing on the "Citation Appeal" form provided by the Decal Sales Office. Appeals may be based solely on the written statement or the appealing party may also request a personal appearance. Failure to request a personal appearance or failure to appear at the hearing (as requested) will result in the decision being rendered on the basis of the written statement (including any supporting material submitted) and the information as shown on the citation(s).

Each "Citation Appeal" form will be reviewed by the Appeals Committee for a decision and the appealing party will be notified, in writing, of the decision. The Appeals Committee may order the payment of administrative enforcement charge in whole or in part or the cancellation of such charge(s). If the appeal is denied and the appealing party fails to pay the charge(s) or to request a review of the appeal (see Paragraph 6 [F] below) within 7 [10] calendar days after date of notification, a \$7.50 late charge will be assessed on the citation(s).

6.[F:] REVIEW OF APPEAL FROM UNIVERSITY CITATION(S): Any person who has appealed a University citation(s) and who is not satisfied with the decision by the Appeals Committee, may have the decision reviewed by the University Parking and Traffic Appeals Panel. Such request shall be submitted in writing to the Traffic Appeal Panel Office within 7 [10] calendar days from the date of the original decision.

This request shall set forth the grounds on which the appealing party believes the decision was improper or inequitable. Such written request shall be submitted in an original plus 5 legible copies (reproduced copies are acceptable) and include the following:

- A. [1:] citation number(s);
- B. [2:] license number of vehicle(s);
- C. [3:] date of notification of original decision;
- D. [4:] date review requested; and
- E. [5:] printed or typed name, address, and signature of person requesting the review.

Each review will be considered by a University Appeals Panel consisting of faculty, staff and student members. The Panel may uphold the decision of the Parking and Traffic Administration or they may reverse the decision in whole or in part, as deemed appropriate.

The decision of the University Appeals Panel shall be final.

7.[6:] SUSPENSION OF PRIVILEGE TO DRIVE AND PARK ON CAMPUS: The loss of the privilege of driving or parking a motor vehicle on campus shall commence 7 [15] days from the date the Decal Sales [after-the-Parking-and-Traffic] Office mails a letter to the person, at the address of such person as shown in the records of that office, stating that such person's privilege of driving or parking a motor vehicle on campus has been suspended. Such letter shall state the term of the suspension and will give notification of possible impoundment for parking offenses committed during the period of suspension and shall state the reason for such suspension.

Any person who (a) forges or alters a permit; (b) uses a forged or altered

permit; (c) transfers a permit, affixes a permit to a vehicle other than for which it was issued, or fails to destroy a permit when required to do so by these regulations with the intention of providing any person with parking privileges he/she is not entitled to under these regulations; (d) provides false information to obtain a parking permit with knowledge thereof; or (e) parks or drives a vehicle to which there has been affixed a permit which the registered owner, or regular driver, did not purchase, shall lose the privilege of driving or parking on the University campus for six months. While a person's privilege of driving or parking a vehicle on campus is suspended, it is unlawful (1) for that person to drive or park any motor vehicle on the campus (2) for any person to drive or park a vehicle registered in the name of such person on the campus.

If a person whose privilege of driving or parking on campus has been suspended receives a University citation by reason of having a vehicle on campus during the period of his/her suspension, the period of suspension shall be extended so that it expires twelve months from the date the person received such additional citation. In addition, the violation of the suspension shall be reported to the Vice President for Administration and Student Services if the person is a student, or to the appropriate Dean, Director or Administrative Official for possible disciplinary action if the person is a faculty or staff member.

A person receiving notice that his/her privilege of driving or parking a vehicle on campus has been suspended may appeal the suspension within 7 [fifteen] calendar days on the grounds that the imposition of such suspension is improper or will create serious and substantial hardship. No [Such] appeal shall be considered if there are any unpaid citations outstanding at the time such appeal is filed, unless special arrangements are made with the University Appeals Panel.

- 8.[H-] DESTRUCTION OF PERMIT WHEN SUSPENDED: Every person receiving notice that his/her privilege of driving or parking on the campus has been suspended shall remove the parking permit(s) from every vehicle registered in his/her name and return the remnants thereof to the Decal Sales Office within 7 [fifteen] calendar days after date of such notice. Failure to do so shall be reported to the Vice President for Administration and Student Services if the person is a student, or to the appropriate Dean, Director or Administrative Official, if the person is a faculty or staff member.
- 9.[I-] ELIGIBILITY TO OBTAIN NEW PERMIT DURING PERIOD OF SUSPENSION: A person whose privilege of driving and parking on the campus is suspended and not reinstated, shall be ineligible to receive a parking permit of any type during the period of suspension.
- 10.[J-] TWO VEHICLES ON CAMPUS: When an applicant who has registered two vehicles is found to have both vehicles parked on campus at the same time in violation of the Alternate Vehicle Policy (Section VI, infra), the following shall apply:
- A.[1-] First Offense - The Decal Sales Office shall notify the permit holder that he/she has violated these regulations and that any further violation will result in the loss of the permit for the alternate vehicle. Additionally any citation(s) which may have been issued are due and payable.
- B.[2-] Second Offense - The Decal Sales Office shall notify the permit holder that he/she has again violated these regulations. Such written notice will inform the permit holder that he/she must

surrender the permit from the alternate vehicle in addition to making payment for any citation(s) which may have been issued for this offense. Additionally, the permit holder shall not be able to register an alternate vehicle for the remainder of the current permit year. Failure to abide by this second notice will subject the permit holder to all enforcement sanctions including those described in Section V, item #3.

11.[K-] IMPOUNDMENT: The term "impoundment" includes towing, removal, immobilization, and storage of the vehicle in question. In addition to any charge that may be levied by the University for an offense resulting in removal or immobilization, the owner of an impounded vehicle must also pay a commercial wrecker service fee and storage charges. When a IMPOUND VIOLATION occurs and the owner or driver of the vehicle appears on the scene before the arrival of the wrecker, the vehicle will not be impounded. If the owner or driver appears on the scene after the arrival of the wrecker and the wrecker driver has made a hookup, the following will apply:

- A.[1-] the vehicle will not be impounded; and
- B.[2-] the owner or driver will be expected to pay the wrecker a fee, in lieu of towing.

If the vehicle is impounded, the registered owner or permit holder will be sent notice at the address on file with the Decal Sales Office.

[SECTION-IX
CAR-POOLING

- 1:--A car-pool may be formed by at least two persons who will travel to The University of Texas at Dallas together.--The car-pool may use any vehicle which displays a currently valid permit (decal).--In order to secure a no-cost car-pool vehicle card it will be necessary for all participants (both drivers and riders) to present themselves as a group to the Decal Sales Office.--Each of the drivers should know the permit numbers of the vehicles he/she will drive.--These permit numbers will be registered to the car-pool card.
- 2:--Properly registered vehicles displaying the car-pool card on the driver's side of the dashboard and occupied by two or more persons will be permitted to park in the close-in parking area.
- 3:--The car-pool card remains the property of The University of Texas at Dallas and will be confiscated for violation of these car-pool regulations.
- 4:--A replacement for a lost or stolen car-pool card will be issued only after completion of the appropriate police report and payment of \$15.00 replacement fee.--Use of a lost or stolen car-pool card will result in cancellation of parking privileges for the remainder of the year.]

THE UNIVERSITY OF TEXAS AT DALLAS

U. T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

Contracts and Grants for Research, Development, Clinical
and Educational Services

Since the Docket for the previous meeting of the U. T. Board of Regents, the following activity has taken place with regard to Contracts and Grants for Research, Development, Clinical and Educational Services:

The contracts, grants and agreements for research, development and educational services summarized or listed above have been processed and approved in accordance with the Regents' Rules and Regulations, Part Two, Chapter XI.

- I. Non-Governmental Contracts and Grants (Funds Coming In)
- a. 1989 D 1-7 Number of Initial Grant and Contract Awards
\$ 233,984 Value of Awards
- b. 1989 D 8-10 Number of Fiscal Amendments to Grant and Contract Awards (Increasing or Decreasing by \$10,000)
\$ 160,092 Total of Increased Funding
None Total of Reduced Funding
- II. Non-Governmental Contracts and Grants (Funds Going Out)
- a. None Number of Initial Grant and Contract Awards
None Value of Awards
- b. None Number of Fiscal Amendments to Grant and Contract Awards (Increasing or Decreasing by \$10,000)
None Total of Increased Funding
None Total of Reduced Funding
- III. State and Local Government Contracts and Grants (Funds Coming In)
- a. 1989 D 11 Number of Initial Grant and Contract Awards
\$ 4,075 Value of Awards
- b. None Number of Fiscal Amendments to Grant and Contract Awards (Increasing or Decreasing by \$10,000)
None Total of Increased Funding
None Total of Reduced Funding
- IV. State and Local Government Contracts and Grants (Funds Going Out)
- a. 1989 D 12-13a Number of Initial Grant and Contract Awards
\$ 532,000 Value of Awards
- b. None Number of Fiscal Amendments to Grant and Contract Awards (Increasing or Decreasing by \$10,000)
None Total of Increased Funding
None Total of Reduced Funding
- V. Federal Government Contracts and Grants (Funds Coming In)
- a. 1989 D 14-18 Number of Initial Grant and Contract Awards
\$ 541,901 Value of Awards
- b. 1989 D 19-22 Number of Fiscal Amendments to Grant and Contract Awards (Increasing or Decreasing by \$10,000)
\$ 156,709 Total of Increased Funding
None Total of Reduced Funding
- VI. Federal Government Contracts and Grants (Funds Going Out)
- a. 1989 D 23 Number of Initial Grant and Contract Awards
\$ 50,944 Value of Awards
- b. None Number of Fiscal Amendments to Grant and Contract Awards (Increasing or Decreasing by \$10,000)
None Total of Increased Funding
None Total of Reduced Funding

THE UNIVERSITY OF TEXAS AT DALLAS
 AMENDMENTS TO THE 1988-89 OPERATING BUDGET

U.T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

The term "rate" for academic institutions is the full-time nine-month base rate and for health institutions is the full-time twelve month base rate; for all other personnel it is the full-time rate, the appointee receiving a proportionate amount depending upon the fraction of time for which he is appointed and the period of his appointment. Source of funds for payment of salaries, unless otherwise shown, is the departmental salaries account.

Item, Department, Title, Name	Effective Date	% Time	Full-time Salary		RBC
			No. Mos.	Rate	
<u>APPOINTMENTS, PROMOTIONS, AND SALARY INCREASES</u>					
CURRENT RESTRICTED					
School of Engineering and Computer Science					
Professor					
1. Grover C. Wetsel, Jr. (Tenure)	06/01/89	100	9	65,000	D141

TRANSFER OF FUNDS

SPECIAL ITEMS

RBC NO.

Student Salary Supplement

2. Amount of Transfer -- \$ 405,755

D148

FROM: Institutional Programs Maintenance and Operation

TO: Teaching Assistants (365,180)
Research Assistants (40,575)

To provide Funds for Student Salary Supplement.

THE UNIVERSITY OF TEXAS AT EL PASO

EL PASO, TEXAS

SEPTEMBER 5, 1989

Dr. James P. Duncan, Executive Vice
Chancellor for Academic Affairs
The University of Texas System
601 Colorado Street
Austin, Texas 78701

Dear Dr. Duncan:

The docket for the October 13, 1989 Board of Regents is submitted
for your recommendation and presentation to the Board.

I recommend approval.

Sincerely,



Diana S. Natalicio
President

THE UNIVERSITY OF TEXAS AT EL PASO

U. T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

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THE UNIVERSITY OF TEXAS AT EL PASO

U. T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

BUSINESS CONTRACTS FOR SERVICES

(FUNDS COMING IN)

The following contracts have been awarded, have been administratively approved by the Executive Vice Chancellor for Academic Affairs, and are recommended for ratification by the U. T. Board of Regents:

1. Agency: Magnolia Coca-Cola Bottling Company
Funds: Percentage varies for individual items
Period: September 1, 1989 through August 31, 1991
Title/Description: Contractor agrees to conduct the operations of vending machines dispensing food, drink or other products or services.

2. Agency: AVS Food Services, Inc.
Funds: Percentage varies for individual items
Period: September 1, 1989 through August 31, 1991
Title/Description: Contractor agrees to conduct the operations of vending machines dispensing food, drink or other products or services.

The University of Texas at El Paso
U. T. Board of Regents' Meeting
October 13, 1989
Business Contracts for Services (Continued)

MINOR CONSTRUCTION PROJECTS, as authorized by U. T. Board of Regents' Rules and Regulations, Part II, Chapter VIII, Section 2 (Projects previously approved by the Board of Regents and/or those for Minor Repair and Remodeling for \$300,000.00 or less.)

1. Contractor: Matyear Construction Company
Amount: \$133,575.00
Source of Funds: Parking and Traffic Account
683-95-400-70
Date: August 16, 1989
Project Title: Old Physical Plant Parking Facility
Brief Description: Removal of existing asphaltic concrete paving, concrete slabs, chain link fence and concrete masonry/sheet metal building in order to construct an asphaltic concrete parking lot on completed flexible base course. Provide an appropriate drainage system and construct a parking area illumination system.
2. Contractor: Frontier Roofing Company of El Paso, Inc.
Amount: \$61,496.00
Source of Funds: Permanent University Funds
683-12-617-98
Date: June 26, 1989
Project Title: Re-roofing Metallurgy Building
Brief Description: Removal of existing tile roof. Install base and surface modified membranes and re-install clay tiles over rigid insulation. Damaged clay tiles will be replaced with new like tiles.
3. Contractor: Frontier Roofing Company of El Paso, Inc.
Amount: \$299,979.00
Source of Funds: Permanent University Funds and Institutional Funds
683-95-460-70
Date: August 18, 1989
Project Title: Re-roofing the Special Events Center
Brief Description: Attach a base membrane with fasteners on existing roof on which the final membrane is torched on sloped areas. Flat portion of roof same as above except an additional membrane is applied between the base and final membrane.
4. Contractor: Frontier Roofing Company of El Paso, Inc.
Amount: \$149,501.00
Source of Funds: Auxiliary Funds - Special Events Center
Roof Repair
683-95-460-70
Date: August 9, 1989
Project Title: Re-roofing Union Building-East
Brief Description: Removal of existing gravel surfacing from the built-up roof. Installation of two homogeneous plies (SBS copolymer rubber modified asphalt bitumen roofing membranes, secured to a prepared substrate with hot asphalt.

The University of Texas at El Paso
U. T. Board of Regents' Meeting
October 13, 1989
Business Contracts for Services (Continued)

5. Contractor: Matyear Construction Company, Inc.
Amount: \$134,484.00
Source of Funds: Permanent University Funds
683-12-627-94
Date: July 7, 1989
Project Title: Elevator Renovation - Education Building
Brief Description: Removing and replacing elevator door
panels, operators and associated items,
signal equipment refinishing interior or
existing cars, addition of controller
devices to the existing controllers and
installation of a new fire alarm panel.
Provide full maintenance for twelve (12)
months after completion of project.

THE UNIVERSITY OF TEXAS AT EL PASO

U. T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

STANDARD AFFILIATION AGREEMENTS

The agreements recommended for approval by the U. T. Board of Regents have been approved by an attorney of the Office of General Counsel and are based on the model agreement adopted on December 16, 1977.

1. Facility: Pebble Creek Nursing Center
11608 Scott Simpson
El Paso, Texas
Date: July 19, 1989
Purpose: To provide nursing services in respect to allied health science related educational experiences for Allied Health Science Students.
2. Facility: Medical Center Hospital
P. O. Drawer 7239
Odessa, Texas
Date: July 14, 1989
Purpose: To provide health care-general and acute medical care nursing related educational experiences for Nursing Allied Health students.

THE UNIVERSITY OF TEXAS AT EL PASO

U. T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

TRADEMARK LICENSE AGREEMENTS

The following agreements have been awarded and are recommended for approval by the U. T. Board of Regents. All product approvals are in accordance with Paragraphs 10.1(C) and (D) and 11.1 of the Trademark Agreement.

1. Licensee: Briarton Sportswear, Inc.
5035 North Academy Blvd.
Colorado Springs, Colorado 80918
Fee - Royalty: \$100.00, 7%
Period: 05/01/89 - 12/31/91
Description: Wearing apparel, which includes embroidered men's dress shirts.
2. Licensee: Galt Sand Company
2525 18th Street S.W.
Cedar Rapids, Iowa 52404
Fee - Royalty: \$50.00, 7%
Period: 04/01/89 - 12/31/91
Description: Wearing apparel, which includes sweats, t-shirts, tank tops/shirts, and shorts.
3. Licensee: Color Board Manufacturing Company
13740 Harbor
Waco, Texas 76712
Fee - Royalty: \$50.00, 6%
Period: 08/01/89 - 12/31/91
Description: Bulletin Boards
4. Licensee: Top Promotion Sportswear
6621 Century Avenue
Middleton, Wisconsin 53562
Fee - Royalty: \$100.00, 7%
Period: 08/01/89 to 12/31/91
Description: Wearing apparel, which includes all types of clothing and caps.

THE UNIVERSITY OF TEXAS AT EL PASO

U. T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

CONTRACTS AND GRANTS FOR RESEARCH, DEVELOPMENT, CLINICAL
AND EDUCATIONAL SERVICES

Since the Docket for the previous meeting of the U. T. Board of Regents, the following activity has taken place with regard to Contracts and Grants for Research, Development, Clinical and Educational Services:

The contracts, grants and agreements for research, development and educational services summarized or listed above have been processed and approved in accordance with the Regents' Rules and Regulations, Part Two, Chapter XI.

- I. Non-Governmental Contracts and Grants (Funds Coming In)
 - a. 1989 EP 1-14 Number of Initial Grant and Contract Awards
\$440,622.00 Value of Awards
- II. State and Local Government Contracts and Grants (Funds Coming In)
 - a. 1989 EP 15-17 Number of Initial Grant and Contract Awards
\$ 76,809.25 Value of Awards
- III. Federal Government Contracts and Grants (Funds Coming In)
 - a. 1989 EP 18-40 Number of Initial Grant and Contract Awards
\$577,326.93 Value of Awards

THE UNIVERSITY OF TEXAS AT EL PASO

U. T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

AMENDMENTS TO THE 1989-90 BUDGET

The term "rate" for academic institutions is the full-time nine-month base rate and for health institutions is the full-time twelve month base rate; for all other personnel it is the full-time rate, the appointee receiving a proportionate amount depending upon the fraction of time for which he is appointed and the period of his appointment. Source of funds for payment of salaries, unless otherwise shown, is the departmental salaries account.

<u>Item, Department, Title Name</u>	<u>Date</u>	<u>Time</u>	<u>Mos.</u>	<u>Rate</u>	<u>RBC</u>
<u>APPOINTMENTS, PROMOTIONS, AND SALARY INCREASES</u>					
GENERAL ADMINISTRATION AND STUDENT SERVICES					
Office of Budget and Asset Management/Office of the Controller					
Office of Budget and Asset Management					
1. Cynthia Villa					
From: Assistant Director of Budget and Asset Management		100	12	27,234	277
To: Director of Financial Services	6/1	100	12	32,332	
Financial Aid Office					
2. Linda Gonzalez-Hensgen					
From: Assistant Director		100	12	24,684	310
To: Director	7/1	100	12	30,000	
PHYSICAL PLANT OPERATION AND MAINTENANCE					
Campus Security					
Chief of Police					
3. William E. Rodriguez					
	6/19	100	12	39,000	304
RESIDENT INSTRUCTION					
COLLEGE OF LIBERAL ARTS					
Sociology & Anthropology and Social Work					
Associate Professor (Tenure)					
4. James L. White					
	6/1	57	9	26,150	309
COLLEGE OF NURSING AND ALLIED HEALTH					
Office of the Dean					
Interim Dean					
5. Dorothy Corona					
	6/1	100	12	68,688	322

The UNIVERSITY of TEXAS of the PERMIAN BASIN

August 30, 1989

Dr. James P. Duncan
Executive Vice Chancellor for
Academic Affairs, Ad Interim
The University of Texas System
601 Colorado Street
Austin, Texas 78701

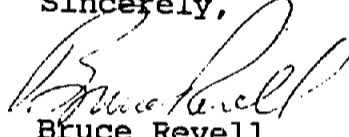
Attention: Mr. Frank Graydon
Budget Director

Dear Mr. Graydon:

The docket for the October 13, 1989 meeting of the Board of Regents is submitted for your recommendation and presentation to the Board.

I recommend approval.

Sincerely,



Bruce Revell
Chief Business Officer

BR:jb

THE UNIVERSITY OF TEXAS OF THE PERMIAN BASIN

U. T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

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THE UNIVERSITY OF TEXAS OF THE PERMIAN BASIN

U. T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

FEEES AND MISCELLANEOUS CHARGES

The following items are recommended for acceptance by the U. T. Board of Regents:

1. Incidental Fee for Seminar Courses

The seminar courses being offered for career ladder credit and similarly formatted courses offered as a service to the community are frequently multi-disciplined and always include various topics. Several faculty members are involved in teaching each of these courses each semester. Because several topics are discussed in each course, there is no formal text for any of these courses. Faculty members provide photocopies of lecture material to students at each class meeting.

We are requesting permission to charge students a \$10.00 incidental fee for each course taught in this special seminar format. The fee would be used to cover the cost of photocopying the large amount of lecture material needed for each semester.

THE UNIVERSITY OF TEXAS AT SAN ANTONIO

San Antonio, Texas

August 31, 1989

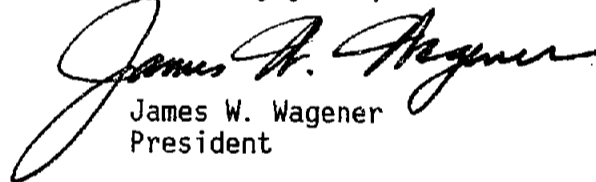
Dr. James P. Duncan
Executive Vice Chancellor
for Academic Affairs
THE UNIVERSITY OF TEXAS SYSTEM
601 Colorado Street
Austin, Texas 78701

Dear Dr. Duncan:

The docket for the October 13, 1989 meeting of the Board of Regents
is submitted to you for recommendation and presentation to the Board.

I recommend approval.

Sincerely yours,


James W. Wagener
President

THE UNIVERSITY OF TEXAS AT SAN ANTONIO
DOCKET FOR OCTOBER 13, 1989 MEETING

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Contracts and Grants for Research, Development, Clinical and Educational Services.....	SA-6
Trademark License Agreements.....	SA-8

THE UNIVERSITY OF TEXAS AT SAN ANTONIO

U.T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

GIFTS FROM PRIVATE DONORS AND FOUNDATIONS

The following gift has been received and is recommended for acceptance by the U.T. Board of Regents:

<u>Donor</u>	<u>Purpose</u>	<u>Amount</u>
The following nonmonetary gift has been received:		
1. The Xerox Corporation 185 Albany Street Cambridge, Massachusetts	Kurzweil Reading Machine donated to the Office of Student Services for use by visually impaired students	\$29,800.00*

*Noncash gift - other. The amount stated is the estimated value on the date of receipt by the institution.

THE UNIVERSITY OF TEXAS AT SAN ANTONIO

U.T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

BUSINESS CONTRACTS FOR SERVICES

(FUNDS COMING IN)

The following contracts have been awarded, have been administratively approved by the Executive Vice Chancellor for Academic Affairs, and are recommended for ratification by the U.T. Board of Regents:

1. Agency: Alamo Area Council of Governments (AACOG)
Funds: \$44,500
Period: April 1, 1989 through December 31, 1989
Title/Description: Law enforcement training at The University of Texas at San Antonio

Institute of Texan Cultures

2. Agency: Alamo Public Telecommunications Council
Funds: \$135,717.97
Period: September 1, 1989 until terminated in writing by either party
Title/Description: To increase the annual rental fee from the existing agreement for space leased to the Council for KLRN, the local public television station

(FUNDS GOING OUT)

3. Agency: Karen Muennink
Funds: \$1,000
Period: May 31, 1989 through January 30, 1990
Title/Description: To provide assistance for The Institute's production of the 1989 Texas Folklife Festival Commemorative Poster

MINOR CONSTRUCTION PROJECTS, as authorized by U.T. Board of Regents' Rules and Regulations, Part II, Chapter VIII, Section 2 (Projects previously approved by the Board of Regents and/or those for Minor Repair and Remodeling for \$300,000 or less.)

1. Contractor: Jud Plumbing & Heating Co., Inc.
Amount: \$1,455
Source of Funds: Local Education and General Income
Date: July 10, 1989 until completion
Project Title: Small Animal Lab Building
Brief Description: Duct work addition

The University of Texas at San Antonio
U.T. Board of Regents' Meeting
October 13, 1989
Business Contracts for Services
Minor Construction Projects (Continued)

2.	Contractor:	Consolidated Interior Systems, Inc.
	Amount:	\$76,886
	Source of Funds:	PUF Bond Proceeds
	Date:	June 30, 1989 until completion
	Project Title:	Arts Building
	Brief Description:	Replace acoustical wall paneling

THE UNIVERSITY OF TEXAS AT SAN ANTONIO

U.T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

Contracts and Grants for Research, Development, Clinical
and Educational Services

Since the Docket for the previous meeting of the U.T. Board of Regents, the following activity has taken place with regard to Contracts and Grants for Research, Development, Clinical and Educational Services:

The contracts, grants and agreements for research, development and educational services summarized or listed above have been processed and approved in accordance with the Regents' Rules and Regulations, Part Two, Chapter XI.

- I. Non-Governmental Contracts and Grants (Funds Coming In)
- a. 1989 SA 1-2 Number of Initial Grant and Contract Awards
\$ 96,740 Value of Awards
- b. None Number of Fiscal Amendments to Grant and Contract
 Awards (Increasing or Decreasing by \$10,000)
\$ None Total of Increased Funding
\$ None Total of Reduced Funding
- II. Non-Governmental Contracts and Grants (Funds Going Out)
- a. None Number of Initial Grant and Contract Awards
\$ None Value of Awards
- b. None Number of Fiscal Amendments to Grant and Contract
 Awards (Increasing or Decreasing by \$10,000)
\$ None Total of Increased Funding
\$ None Total of Reduced Funding
- III. State and Local Government Contracts and Grants (Funds Coming In)
- a. 1989 SA 3-5 Number of Initial Grant and Contract Awards
\$ 290,234 Value of Awards
- b. None Number of Fiscal Amendments to Grant and Contract
 Awards (Increasing or Decreasing by \$10,000)
\$ None Total of Increased Funding
\$ None Total of Reduced Funding
- IV. State and Local Government Contracts and Grants (Funds Going Out)
- a. 1989 SA 6-13 Number of Initial Grant and Contract Awards
\$ 954,400 Value of Awards
- b. None Number of Fiscal Amendments to Grant and Contract
 Awards (Increasing or Decreasing by \$10,000)
\$ None Total of Increased Funding
\$ None Total of Reduced Funding
- V. Federal Government Contracts and Grants (Funds Coming In)
- a. 1989 SA 14-19 Number of Initial Grant and Contract Awards
\$ 400,294 Value of Awards
- b. 1989 SA 20-21 Number of Fiscal Amendments to Grant and Contract
 Awards (Increasing or Decreasing by \$10,000)
\$ 426,250 Total of Increased Funding
\$ None Total of Reduced Funding

The University of Texas at San Antonio
U.T. Board of Regents' Meeting
October 13, 1989
Contracts and Grants for Research,
Development, Clinical and Educational
Services (Continued)

VI. Federal Government Contracts and Grants (Funds Going Out)

a.	<u>None</u>	Number of Initial Grant and Contract Awards
	<u>\$ None</u>	Value of Awards
b.	<u>None</u>	Number of Fiscal Amendments to Grant and Contract Awards (Increasing or Decreasing by \$10,000)
	<u>\$ None</u>	Total of Increased Funding
	<u>\$ None</u>	Total of Reduced Funding

THE UNIVERSITY OF TEXAS AT SAN ANTONIO

U.T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

TRADEMARK LICENSE AGREEMENTS

The following agreements have been awarded and are recommended for approval by the U.T. Board of Regents. All product approvals are in accordance with Paragraphs 10.1(C) and (D) and 11.1 of the Trademark Agreement.

1. Licensee: Galt Sand Company
Cedar Rapids, Iowa 52404
Fee - Royalty: \$50, 7%
Period: April 1, 1989 through December 31, 1991
Description: Wearing apparel, which includes sweats, t-shirts, tank tops/shirts and shorts
2. Licensee: Russell Corporation
Alexander City, Alabama 35010
Fee - Royalty: \$100, 7%
Period: May 1, 1989 through December 31, 1991
Description: Wearing apparel, which includes all types of clothing

THE UNIVERSITY OF TEXAS AT TYLER

Office of the President

August 31, 1989


Dr. James P. Duncan
Executive Vice Chancellor
for Academic Affairs
The University of Texas System
601 Colorado Street
Austin, Texas 78701

Dear Dr. Duncan:

The docket for the October 13, 1989, meeting of the Board of Regents is submitted for your recommendation and presentation to the Board.

I recommend approval.

Sincerely,


George B. Hamm
President

GFH:INW

THE UNIVERSITY OF TEXAS AT TYLER

DOCKET

U. T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

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THE UNIVERSITY OF TEXAS AT TYLER

U. T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

FEEES AND MISCELLANEOUS CHARGES
INCIDENTAL FEES

Approval is recommended for the following incidental fee for the 1989-90 academic year. The fee has been administratively approved by the Executive Vice Chancellor for Academic Affairs.

- | | |
|-----------------|---|
| 1. Fee: | Field Experience and Student Teaching Fee |
| Amount: | \$50 |
| Effective Date: | 8/30/89 |
| Description: | Nonrefundable, one-time fee to defray costs of speech and hearing tests, supervisory travel, maintenance and operation costs, and wages associated with the School of Education and Psychology's student teaching and field experience program. |

THE UNIVERSITY OF TEXAS AT TYLER

U. T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

BUSINESS CONTRACTS FOR SERVICES

(FUNDS COMING IN)

The following contracts have been awarded, have been administratively approved by the Executive Vice Chancellor for Academic Affairs, and are recommended for ratification by the U. T. Board of Regents:

1. Agency: McFarland Physical Therapy Services
Tyler, Texas
Funds: \$24,000 plus 22 percent of gross revenues from \$120,000 to \$170,000 and 25 percent of gross revenues over \$170,000.
Period: 9/1/89 - 8/31/90
Title/Description: Clinical Physical Therapy Contract
2. Agency: Sister Cities International
Alexandria, Virginia
Funds: 50 percent of net profits
Period: 7/19/89 - 8/31/94
Title/Description: To formalize continuing cooperative agreement

THE UNIVERSITY OF TEXAS AT TYLER

U. T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

CONTRACTS AND GRANTS FOR RESEARCH, DEVELOPMENT,
CLINICAL, AND EDUCATIONAL SERVICES

Since the Docket for the previous meeting of the U.T. Board of Regents, the following activity has taken place with regard to Contracts and Grants for Research, Development, Clinical, and Educational Services:

The contracts, grants, and agreements for research, development, clinical, and educational services summarized or listed below have been processed and approved in accordance with the Regents' Rules and Regulations, Part Two, Chapter XI.

- I. Non-Governmental Contracts and Grants (Funds Coming In)
 - a. 1989 TA 1 Number of Initial Grant and Contract Awards
\$13,350 Value of Awards
- II. b. None Number of Fiscal Amendments to Grant and Contract Awards (Increasing or Decreasing by \$10,000)
\$ None Total of Increased Funding
\$ None Total of Reduced Funding
- III. State and Local Government Contracts and Grants (Funds Coming In)
 - a. 1989 TA 2 Number of Initial Grant and Contract Awards
\$13,000 Value of Awards
 - b. None Number of Fiscal Amendments to Grant and Contract Awards (Increasing or Decreasing by \$10,000)
\$ None Total of Increased Funding
\$ None Total of Reduced Funding
- IV. State and Local Government Contracts and Grants (Funds Going Out)
 - a. 1989 TA 3 Number of Initial Grant and Contract Awards
\$40,000 Value of Awards
 - b. None Number of Fiscal Amendments to Grant and Contract Awards (Increasing or Decreasing by \$10,000)
\$ None Total of Increased Funding
\$ None Total of Reduced Funding
- V. Federal Government Contracts and Grants (Funds Coming In)
 - a. None Number of Initial Grant and Contract Awards
\$ None Value of Awards
 - b. None Number of Fiscal Amendments to Grant and Contract Awards (Increasing or Decreasing by \$10,000)
\$ None Total of Increased Funding
\$ None Total of Reduced Funding
- VI. Federal Government Contracts and Grants (Funds Going Out)
 - a. None Number of Initial Grant and Contract Awards
\$ None Value of Awards
 - b. None Number of Fiscal Amendments to Grant and Contract Awards (Increasing or Decreasing by \$10,000)
\$ None Total of Increased Funding
\$ None Total of Reduced Funding

THE UNIVERSITY OF TEXAS AT TYLER

U. T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

AMENDMENTS TO THE 1988-89 OPERATING BUDGET

The term "rate" for academic institutions is the full-time nine-month base rate; for all other personnel it is the full-time twelve-month rate, the appointee receiving a proportionate amount depending upon the fraction of time for which he is appointed and the period of his appointment. Source of funds for payment of salaries, unless otherwise shown, is the departmental salaries account.

TRANSFER OF FUNDS

RBC

RESIDENT INSTRUCTION

Faculty Salaries

Unallocated Faculty Salaries

1. Amount of Transfer - \$195,269

97

From: Various E&G Accounts

To: Unallocated Faculty Salaries

To transfer funds from various sources to provide funds for Summer Session Salaries.

Faculty Salaries

Summer Session Salaries

2. Amount of Transfer - \$556,891

100

From: Unallocated Faculty Salaries (\$196,891)

Restricted Funds:

Robert R. Muntz Fund (\$300,000)

Sam A. Lindsey Fund (\$ 60,000)

To: E&G Summer Session Salaries (\$196,891)

Restricted Funds:

Summer Session Salaries (\$300,000)

Staff Benefits (\$60,000)

To provide for the 1989 Summer Session faculty salaries.

THE UNIVERSITY OF TEXAS SOUTHWESTERN MEDICAL CENTER AT DALLAS

5323 Harry Hines Boulevard, Dallas, Texas 75235

Dr. Charles B. Mullins
Executive Vice Chancellor
for Health Affairs
The University of Texas System
601 Colorado Street
Austin, Texas 78701

Dear Dr. Mullins:

The Docket for the October 13, 1989 meeting of the Board of Regents is submitted for your recommendation and presentation to the Board.

I recommend approval.

Sincerely,



Kern Wildenthal, M.D., Ph.D.
President

pm

THE UNIVERSITY OF TEXAS SOUTHWESTERN MEDICAL CENTER AT DALLAS
DOCKET FOR OCTOBER 13, 1989 BOARD MEETING

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THE UNIVERSITY OF TEXAS SOUTHWESTERN MEDICAL CENTER AT DALLAS

U.T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

GIFTS FROM PRIVATE DONORS AND FOUNDATIONS

The following gifts have been received and are recommended for acceptance by the U.T. Board of Regents:

	<u>Donor</u>	<u>Purpose</u>	<u>Amount</u>
1.	Hoechst-Roussel Pharmaceuticals Inc. Route 202-206 North Somerville, NJ 08876	Neonatal meningitis research	\$ 35,000.00
2.	Susan G. Komen Foundation 6820 LBJ Freeway Suite 130 Dallas, TX 75240	Breast cancer research	\$ 30,960.00
3.	NCNB Texas Trustee of the Harry S. Moss Heart Trust 350 North St. Paul Dallas, TX 75283	Heart research. Final payment on pledge of \$750,000	\$200,000.00
4.	*Miss Bette Perot Communities Foundation of Texas 12377 Merit Dr., Suite 1600 Dallas, TX 75251	Quarterly payment for Programs 1 and 2 of Perot Family Gift	\$201,250.00
5.	*Miss Bette Perot Communities Foundation of Texas 12377 Merit Dr., Suite 1600 Dallas, TX 75251	Scholarship Program of Perot Family Gift	\$105,000.00
6.	Southwestern Medical Fdn. 300 N. Ervay, Suite 1601 Dallas, TX 75201	Support for nine Chilton Summer Fellows	\$ 45,000.00
7.	Southwestern Medical Fdn. 300 N. Ervay, Suite 1601 Dallas, TX 75201	Biochemistry research from Virginia Lazenby O'Hara Fund	\$ 85,000.00
8.	Southwestern Medical Fdn. 300 N. Ervay, Suite 1601 Dallas, TX 75201	AIDS research	\$100,000.00
9.	Southwestern Medical Fdn. 300 N. Ervay, Suite 1601 Dallas, TX 75201	Psychiatry research from Ella McFadden Trust Fund	\$ 70,000.00
10.	Southwestern Medical Fdn. 300 N. Ervay, Suite 1601 Dallas, TX 75201	Psychiatry research from McFadden Fund and Hobitzelle Foundation	\$130,000.00
11.	Southwestern Medical Fdn. 300 N. Ervay, Suite 1601 Dallas, TX 75201	Final payment on annual grant for 1988-89	\$392,500.00

*No letter of transmittal from donor

GIFTS - continued

12.	The Sweetheart Ball P.O. Box 12187 Dallas, TX 75225	Cardiology research	\$ 80,000.00
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THE UNIVERSITY OF TEXAS SOUTHWESTERN MEDICAL CENTER AT DALLAS

U.T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

BUSINESS CONTRACTS FOR SERVICES

(FUNDS COMING IN)

The following contracts have been awarded, have been administratively approved by the Executive Vice Chancellor for Health Affairs, and are recommended for ratification by the U.T. Board of Regents:

1. Agency: Hawkins Apothecary, Inc.
Funds: \$24,990
Period: May 1, 1989 through April 30, 1991
Title/Description: Lease of 833 square feet of space in the Aston Center for use as a pharmacy

(FUNDS GOING OUT)

2. Agency: Dallas Independent School District
Funds: \$15,554.76
Period: September 1, 1988 through August 31, 1989
Title/Description: Continuation lease for space on the Gabe P. Allen Elementary School campus for a Neighborhood Health Clinic
3. Agency: Dallas Independent School District
Funds: \$17,225.88
Period: September 1, 1988 through August 31, 1989
Title/Description: Continuation lease for space on the campus of Pinkston High School for a Neighborhood Health Clinic
4. Agency: Dallas Independent School District
Funds: \$17,225.88
Period: September 1, 1988 through August 31, 1989
Title/Description: Continuation lease for space on the campus of Carver Elementary School for a Neighborhood Health Clinic
5. Agency: John D. and Catherine T. MacArthur Foundation
Funds: \$556,486.80
Period: April 1, 1989 through March 31, 1994
Title/Description: University will lease Level C (22,380 square feet) of the Frito Lay Tower at Exchange Park for use as office space
6. Agency: Super Club North America Corporation
Funds: \$45,717.84
Period: December 1, 1988 through May 31, 1990
Title/Description: Lease of 4,689 square feet of space at Addison Park Place for use as a medical laboratory

THE UNIVERSITY OF TEXAS SOUTHWESTERN MEDICAL CENTER AT DALLAS

U.T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

STANDARD AFFILIATION AGREEMENTS

The agreements recommended for approval by the U.T. Board of Regents have been approved by an attorney of the Office of General Counsel and are based on the model agreement adopted on December 16, 1977.

1. Facility: Fort Worth Progressive Rehabilitation Center, Inc.
Fort Worth, Texas
Date: April 17, 1989
Purpose: To provide physical therapy/rehabilitation educational experiences for UT Southwestern students

2. Facility: Southern Methodist University
Dallas, Texas
Date: April 10, 1989
Purpose: To provide SMU law students with an externship program in a medical research and health care setting at UT Southwestern

THE UNIVERSITY OF TEXAS SOUTHWESTERN MEDICAL CENTER AT DALLAS

U.T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

CONTRACTS OR GRANTS OVER \$500,000

(FUNDS COMING IN)

The following contracts or grants in an amount over \$500,000 have been awarded, have been administratively approved by the Executive Vice Chancellor for Health Affairs, and are recommended for approval by the U.T. Board of Regents.

1. Grantor: Texas Department of Human Services
No.: 051-01-P-00, Amendment 6
New Funds: \$1,561,838
Current Period: September 1, 1989 through August 31, 1990
Title/Description: Annual extension of contract for the Division of Maternal Health and Family Planning
2. Grantor: Department of Health and Human Services
National Institute of Arthritis and
Musculoskeletal and Skin Diseases
No.: 5 P01 AR09989-25
New Funds: \$764,394
Current Period: September 1, 1989 through August 31, 1990
Title/Description: Immunologic Aspects of the Rheumatic Diseases. (Continued support)
3. Grantor: Department of Health and Human Services
National Institute of Arthritis and
Musculoskeletal and Skin Diseases
No.: 5 P50 AR39169-03
New Funds: \$734,784
Current Period: September 1, 1989 through August 31, 1990
Title/Description: Specialized Center of Research in Rheumatoid Arthritis. (Continued support)
4. Grantor: Department of Health and Human Services
National Heart, Lung, and Blood Institute
No.: 5 P01 HL20948-13
New Funds: \$2,115,247
Current Period: July 1, 1989 through June 30, 1990
Title/Description: Molecular Basis of Cholesterol Metabolism. (Continued support)

(FUNDS GOING OUT)

5. Grantor: School Land Board/General Land Office
No.: IAC(90-91) 0023
New Funds: \$5,000,000
Current Period: September 1, 1989 through August 31, 1991
Title/Description: University will buy all of its natural gas requirements from gas produced from School Land Board properties

THE UNIVERSITY OF TEXAS SOUTHWESTERN MEDICAL CENTER AT DALLAS

U.T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

FOREIGN CONTRACTS AND GRANTS FOR RESEARCH,
DEVELOPMENT AND EDUCATIONAL SERVICES

(FUNDS COMING IN)

The following item has been awarded and is recommended for approval by the U.T. Board of Regents:

1. Country: Japan
Business/Entity: Kanegafuchi Chemical Industry Co., Ltd.
No.: None
New Funds: \$15,000
Current Period: June 18, 1988 through June 17, 1989
Title/Description: Supplemental agreement to extend the research period for an additional year. (Original agreement docketed December 3, 1987, HD-8, Item 24)

THE UNIVERSITY OF TEXAS SOUTHWESTERN MEDICAL CENTER AT DALLAS

U.T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

Contracts and Grants for Research, Development, Clinical
and Educational Services

Since the Docket for the previous meeting of the U.T. Board of Regents, the following activity has taken place with regard to Contracts and Grants for Research, Development, Clinical and Educational Services:

The contracts, grants and agreements for research, development and educational services summarized or listed below have been processed and approved in accordance with the Regents' Rules and Regulations, Part Two, Chapter XI.

- I. Non-Governmental Contracts and Grants (Funds Coming In)
 - a. 1989 HD 1-132 Number of Initial Grant and Contract Awards
\$3,953,491.14 Value of Awards
 - b. 1989 HD 133 Number of Fiscal Amendments to Grant and Contract
 Awards (Increasing or Decreasing by \$10,000)
\$ 10,000 Total of Increased Funding
\$ None Total of Reduced Funding
- II. Non-Governmental Contracts and Grants (Funds Going Out)
 - a. 1989 HD 134-135 Number of Initial Grant and Contract Awards
\$ 2,000 Value of Awards
 - b. 1989 HD 136 Number of Fiscal Amendments to Grant and Contract
 Awards (Increasing or Decreasing by \$10,000)
\$ 10,554 Total of Increased Funding
\$ None Total of Reduced Funding
- III. State and Local Government Contracts and Grants (Funds Coming In)
 - a. 1989 HD 137-145 Number of Initial Grant and Contract Awards
\$ 252,167 Value of Awards
 - b. 1989 HD 146 Number of Fiscal Amendments to Grant and Contract
 Awards (Increasing or Decreasing by \$10,000)
\$ 78,764 Total of Increased Funding
\$ None Total of Reduced Funding
- IV. State and Local Government Contracts and Grants (Funds Going Out)
 - a. 1989 HD 147-149 Number of Initial Grant and Contract Awards
\$ 448,419 Value of Awards
 - b. 1989 HD 150-151 Number of Fiscal Amendments to Grant and Contract
 Awards (Increasing or Decreasing by \$10,000)
\$ 46,026 Total of Increased Funding
\$ None Total of Reduced Funding
- V. Federal Government Contracts and Grants (Funds Coming In)
 - a. 1989 HD 152-223 Number of Initial Grant and Contract Awards
\$9,532,953 Value of Awards
 - b. 1989 HD 224-233 Number of Fiscal Amendments to Grant and Contract
 Awards (Increasing or Decreasing by (10,000)
\$ 685,566.88 Total of Increased Funding
\$ 174,725 Total of Reduced Funding
- VI. Federal Government Contracts and Grants (Funds Going Out)
 - a. 1989 HD 234 Number of Initial Grant and Contract Awards
\$ 58,932 Value of Awards
 - b. 1989 HD 235-236 Number of Fiscal Amendments to Grant and Contract
 Awards (Increasing or Decreasing by \$10,000)
\$ 159,121.41 Total of Increased Funding
\$ None Total of Reduced Funding

THE UNIVERSITY OF TEXAS SOUTHWESTERN MEDICAL CENTER AT DALLAS

U.T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

AMENDMENTS TO THE 1988-89 OPERATING BUDGET

The term "rate" is the full-time twelve-month rate, the appointee receiving a proportionate amount depending upon the fraction of time for which he is appointed and the period of his appointment. Source of funds for payment of salaries, unless otherwise shown, is the departmental salaries account.

TRANSFERS OF FUNDS

RBC

VARIOUS DEPARTMENTS

1. Amount of Transfer: \$663,176 422

From: Southwestern Medical School Faculty Salaries
Family Practice & Community Medicine (\$69,000)
Pharmacology (\$57,000)
Unallocated Faculty Salaries (\$500,868)

Southwestern Allied Health Sciences School
Faculty Salaries
Health Care Sciences (\$20,450)
Unallocated Faculty Salaries (\$15,858)

To: Southwestern Medical School Faculty Salaries
Obstetrics and Gynecology (\$117,515)
Psychiatry (\$200,000)
Radiology (\$309,353)

Southwestern Allied Health Sciences School
Faculty Salaries
Allied Health Education (\$17,333)
Physical Therapy (\$18,975)

Changes necessary to reflect properly the current operating budget for fiscal year 1988-89.

THE UNIVERSITY OF TEXAS MEDICAL BRANCH AT GALVESTON
GALVESTON, TEXAS 77550

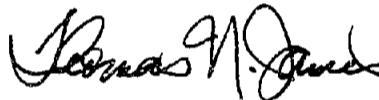
Charles B. Mullins, M.D.
Executive Vice Chancellor
for Health Affairs
The University of Texas System
601 Colorado Street
Austin, Texas 78701

Dear Dr. Mullins:

The docket for the October 13, 1989 meeting of the Board of Regents is submitted for your recommendation and presentation to the Board.

I recommend approval.

Sincerely,



Thomas N. James, M.D.
President

THE UNIVERSITY OF TEXAS MEDICAL BRANCH AT GALVESTON

DOCKET FOR THE OCTOBER 13, 1989 MEETING

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THE UNIVERSITY OF TEXAS MEDICAL BRANCH AT GALVESTON

U. T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

BUSINESS CONTRACTS FOR SERVICES

(FUNDS COMING IN)

The following contracts have been awarded, have been administratively approved by the Executive Vice Chancellor for Health Affairs, and are recommended for ratification by the U. T. Board of Regents:

1. Agency: Galveston County Health District
Funds: As per contract
Period: July 1, 1989 through June 30, 1990
Title/Description: For UTMB's School of Nursing to provide adult health education at GCHD's work site clinics
2. Agency: Galveston County Health District
Funds: None
Period: July 26, 1989 until termination
Title/Description: For UTMB to appoint a GCHD Volunteer Coordinator to the staff of the UTMB Department of Social Work who will provide information to Galveston County AIDS patients regarding the social services available to them and to provide referrals for those patients who are interested in the available county services

(FUNDS GOING OUT)

3. Agency: Bone Bank Foundation, Inc.
Funds: As per contract
Period: June 1, 1989 through May 31, 1990
Title/Description: For the Foundation to provide certain services to properly and more efficiently process certain human tissue from the bodies of donors for the purpose of distribution and transplant to proper recipients by UTMB
4. Agency: CSC Credit Services, Inc., whose parent company is Computer Sciences Corporation
Funds: As per contract
Period: August 21, 1989 until termination
Title/Description: To provide certain collection services for the University Diabetes Supplies Center
5. Agency: Coopers & Lybrand
Funds: \$61,746.00
Period: August 10, 1989 until completion
Title/Description: To provide professional consulting services in relation to assessment of the future compensation needs at UTMB

THE UNIVERSITY OF TEXAS MEDICAL BRANCH AT GALVESTON
U. T. Board of Regents' Meeting
October 13, 1989
Business Contracts for Services (Continued)

MINOR CONSTRUCTION PROJECTS, as authorized by U. T. Board of Regents' Rules and Regulations, Part II, Chapter VIII, Section 2 (Projects previously approved by the Board of Regents and/or those for Minor Repair and Remodeling for \$300,000.00 or less.)

1. Contractor: Ark Wrecking Company of Oklahoma, Inc.
Amount: \$36,200.00
Source of Funds: Medical Branch Plant Funds
Date: July 6, 1989
Project Title: Water tank and fuel storage area
Brief Description: Demolition
2. Contractor: Binswanger Glass Company
Amount: \$822.00
Source of Funds: Medical Branch Plant Funds
Date: June 20, 1989
Project Title: Microbiology Building
Brief Description: Entrance door revisions
3. Contractor: Certified Abatement Systems, Inc.
Amount: \$21,564.00
Source of Funds: Medical Branch Institutional Project Funds
Date: July 18, 1989
Project Title: Jennie Sealy Hospital
Brief Description: Asbestos removal
4. Contractor: Charter Roofing Company
Amount: \$58,242.00
Source of Funds: Medical Branch Institutional Project Funds
Date: July 12, 1989
Project Title: John Sealy Hospital, Operating Room Section
Brief Description: Emergency roof repairs
5. Contractor: Desco Coatings of Houston
Amount: \$4,985.00
Source of Funds: Medical Branch General Institutional Expense
Date: August 2, 1989
Project Title: Mary Moody Northen Pavilion
Brief Description: Repair epoxy wall and floor
6. Contractor: Hiller International Corporation
Amount: \$18,400.00
Source of Funds: Medical Branch Institutional Project Funds
Date: April 12, 1989
Project Title: Moody Memorial Library
Brief Description: Installation of the Halon System
7. Contractor: Olshan Demolishing Company, Inc.
Amount: \$257,700.00
Source of Funds: Medical Branch Plant Funds
Date: July 7, 1989
Project Title: Laundry Building
Brief Description: Demolition

THE UNIVERSITY OF TEXAS MEDICAL BRANCH AT GALVESTON
U. T. Board of Regents' Meeting
October 13, 1989
Business Contracts for Services (Continued)

Minor Construction Projects (Continued)

8. Contractor: E. A. Young Company
Amount: \$148,000.00
Source of Funds: Medical Branch Educational and General Funds
Date: July 28, 1989
Project Title: Texas Department of Corrections Housing Buildings
Brief Description: Repair and maintenance

THE UNIVERSITY OF TEXAS MEDICAL BRANCH AT GALVESTON

U. T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

STANDARD AFFILIATION AGREEMENTS

The agreements recommended for approval by the U. T. Board of Regents have been approved by an attorney of the Office of General Counsel and are based on the model agreement adopted on December 16, 1977.

1. Facility: Clinical Associates, Inc.
Houston, Texas
Date: July 20, 1989
Purpose: To provide physical therapy students from UTMB with certain educational opportunities
2. Facility: CPC Cypress Point Hospital
Houston, Texas
Date: July 20, 1989
Purpose: To provide occupational therapy students from UTMB with certain educational opportunities
3. Facility: D'Youville College
Buffalo, New York
Date: August 18, 1989
Purpose: To provide occupational therapy students from D'Youville College with certain educational opportunities at UTMB
4. Facility: HealthSouth Rehabilitation Center
Humble, Texas
Date: July 20, 1989
Purpose: To provide occupational therapy students from UTMB with certain educational opportunities
5. Facility: Mainland Center Hospital
Texas City, Texas
Date: May 17, 1989
Purpose: To provide health profession students from UTMB's School of Allied Health Sciences with certain educational opportunities
6. Facility: San Jose State University
San Jose, California
Date: August 18, 1989
Purpose: To provide occupational therapy students from San Jose State University with certain educational opportunities at UTMB
7. Facility: Sister's of Charity of the Incarnate Word
Houston, Texas
Date: July 20, 1989
Purpose: To provide health information management students from UTMB with certain educational opportunities
8. Facility: Southeast Texas EMS Instructors
Eagle Lake, Texas
Date: May 27, 1989
Purpose: To provide emergency medical technician students of Southeast Texas EMS with certain educational opportunities at UTMB

THE UNIVERSITY OF TEXAS MEDICAL BRANCH AT GALVESTON

U. T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

Contracts and Grants for Research, Development, Clinical
and Educational Services

Since the Docket for the previous meeting of the U. T. Board of Regents, the following activity has taken place with regard to Contracts and Grants for Research, Development, Clinical and Educational Services:

The contracts, grants and agreements for research, development and educational services summarized or listed above have been processed and approved in accordance with the Regents' Rules and Regulations, Part Two, Chapter XI.

- I. Non-Governmental Contracts and Grants (Funds Coming In)
- | | | |
|----|----------------------|---|
| a. | <u>1989 G 1-27</u> | Number of Initial Grant and Contract Awards |
| | <u>\$ 455,605.63</u> | Value of Awards |
| b. | <u>1989 G 28-42</u> | Number of Fiscal Amendments to Grant and Contract Awards (Increasing or Decreasing by \$10,000) |
| | <u>\$ 296,548.82</u> | Total of Increased Funding |
| | <u>\$ None</u> | Total of Reduced Funding |
- II. Non-Governmental Contracts and Grants (Funds Going Out)
- | | | |
|----|----------------|---|
| a. | <u>None</u> | Number of Initial Grant and Contract Awards |
| | <u>\$ None</u> | Value of Awards |
| b. | <u>None</u> | Number of Fiscal Amendments to Grant and Contract Awards (Increasing or Decreasing by \$10,000) |
| | <u>\$ None</u> | Total of Increased Funding |
| | <u>\$ None</u> | Total of Reduced Funding |
- III. State and Local Government Contracts and Grants (Funds Coming In)
- | | | |
|----|----------------------|---|
| a. | <u>1989 G 43-44</u> | Number of Initial Grant and Contract Awards |
| | <u>\$ 60,574.00</u> | Value of Awards |
| b. | <u>1989 G 45-46</u> | Number of Fiscal Amendments to Grant and Contract Awards (Increasing or Decreasing by \$10,000) |
| | <u>\$ 275,000.00</u> | Total of Increased Funding |
| | <u>\$ 16,730.00</u> | Total of Reduced Funding |
- IV. State and Local Government Contracts and Grants (Funds Going Out)
- | | | |
|----|--------------------|---|
| a. | <u>1989 G 47</u> | Number of Initial Grant and Contract Awards |
| | <u>\$ 8,406.00</u> | Value of Awards |
| b. | <u>None</u> | Number of Fiscal Amendments to Grant and Contract Awards (Increasing or Decreasing by \$10,000) |
| | <u>\$ None</u> | Total of Increased Funding |
| | <u>\$ None</u> | Total of Reduced Funding |
- V. Federal Government Contracts and Grants (Funds Coming In)
- | | | |
|----|-----------------------|---|
| a. | <u>1989 G 48-57</u> | Number of Initial Grant and Contract Awards |
| | <u>\$ 767,573.00</u> | Value of Awards |
| b. | <u>1989 G 58-100</u> | Number of Fiscal Amendments to Grant and Contract Awards (Increasing or Decreasing by \$10,000) |
| | <u>\$5,555,751.00</u> | Total of Increased Funding |
| | <u>\$ None</u> | Total of Reduced Funding |

THE UNIVERSITY OF TEXAS MEDICAL BRANCH AT GALVESTON
U. T. Board of Regents' Meeting
October 13, 1989
Contracts and Grants for Research, Development,
Clinical and Educational Services (Continued)

- VI. Federal Government Contracts and Grants (Funds Going Out)
- a. None Number of Initial Grant and Contract Awards
\$ None Value of Awards
- b. None Number of Fiscal Amendments to Grant and Contract
Awards (Increasing or Decreasing by \$10,000)
\$ None Total of Increased Funding
\$ None Total of Reduced Funding

THE UNIVERSITY OF TEXAS MEDICAL BRANCH AT GALVESTON

U. T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

AMENDMENTS TO THE 1988-89 BUDGET

The term "rate" for academic institutions is the full-time nine-month base rate and for health institutions is the full-time twelve month base rate; for all other personnel it is the full-time rate, the appointee receiving a proportionate amount depending upon the fraction of time for which he is appointed and the period of his appointment. Source of funds for payment of salaries, unless otherwise shown, is the departmental salaries account.

Item, Department, Title, Name	Effective Date	% Time	Full-time Salary		RBC
			No. Mos.	Rate	
<u>APPOINTMENTS, PROMOTIONS, AND SALARY INCREASES</u>					
GENERAL ADMINISTRATION; UNIVERSITY HOSPITALS; MEDICAL SERVICES, RESEARCH AND DEVELOPMENT PLAN					
Office of the Executive Vice President for Administration and Business Affairs; Office of Hospital Affairs; MSRDP Business Affairs Office					
1. E. J. Pederson					439
From:	Executive Vice President for Administration & Business Affairs (Chief Business Officer)		100	12	\$108,120
To:	Executive Vice President (Chief Operating Officer)	6-01-89	100	12	114,000
Source of Funds:					
State:	\$ 45,600	Office of the Executive Vice President for Administration and Business Affairs Non-Teaching Salaries			
	45,600	Office of Hospital Affairs Non-Teaching Salaries			
Other:	<u>22,800</u>	MSRDP Grant			
	<u>\$114,000</u>	Total Salary			
SCHOOL OF MEDICINE					
Neurology					
2. John R. Calverley					506
From:	Warmoth Professor of Neurology and Chairman (Tenure)		100	12	151,000
To:	Warmoth Professor of Neurology and Chairman (Tenure)	6-01-89	100	12	155,800
Source of Funds:					
State:	\$105,364	Neurology Faculty Salaries			
Other:	<u>10,561</u>	Charlotte Warmoth Professorship in Neurology			
	\$115,925	Total Salary			
Augmentation:	<u>39,875</u>	MSRDP Fund			
	<u>\$155,800</u>	Total Compensation			

THE UNIVERSITY OF TEXAS MEDICAL BRANCH AT GALVESTON
 U. T. Board of Regents' Meeting
 October 13, 1989

<u>Item, Department, Title, Name</u>	<u>Effective Date</u>	<u>% Time</u>	<u>No. Mos.</u>	<u>Full-time Salary</u>	
				<u>Rate</u>	<u>RBC</u>
<u>APPOINTMENTS, PROMOTIONS, AND SALARY INCREASES (Continued)</u>					
SCHOOL OF MEDICINE (Continued)					
Preventive Medicine and Community Health					
3. Fernando M. Trevino					505
From: Associate Professor (Tenure)		100	12	\$ 58,850	
To: Associate Professor (Tenure)	6-01-89	100	12	73,850	
Source of Funds:					
State:	\$30,896	PM&CH Faculty Salaries			
Other:	<u>37,069</u>	DHHS Grant			
	<u>\$73,850</u>	Total Salary			
LIBRARIES					
Medical Branch Library					
Acting Director of Library Services					
4. Harvey Bunce, III	5-18-89	WOS	12	81,400	571

THE UNIVERSITY OF TEXAS MEDICAL BRANCH AT GALVESTON
U. T. Board of Regents' Meeting
October 13, 1989

TRANSFER OF FUNDS

RBC

UNIVERSITY HOSPITALS

5. Amount of Transfer: \$412,000.00 572

From: Unallocated Appropriations - Hospitals

To: Emergency Services Maintenance and Operation

To provide funds for the continued operation for the remainder of this fiscal year.

TEXAS DEPARTMENT OF CORRECTIONS HOSPITAL

6. Amount of Transfer: \$250,000.00 503

From: Unallocated Appropriations - TDC Hospital

To: TDC Dietary Maintenance and Operation

To provide funds for the continued operation for the remainder of this fiscal year.

THE UNIVERSITY OF TEXAS MEDICAL BRANCH AT GALVESTON
U. T. Board of Regents' Meeting
October 13, 1989

TRANSFER OF FUNDS (Continued)

RBC

7. Amount of Transfer: \$1,185,000.00

504

From: Administrative Charges to Trust Funds

To: University Advancement Development (\$70,000)
Administrative Expense - President (\$60,000)
Administrative Expense - Executive Vice President (\$60,000)
Administrative Expense - Institutional Support (\$100,000)
Administrative Travel Expense - Executive Vice President (\$75,000)
University Patent Expense (\$70,000)
University Rental and Operations (\$350,000)
Institutional Programs and Development (\$300,000)
Substance Abuse Programs (Start-up costs) (\$100,000)

The University of Texas
Health Science Center at Houston



John C. Ribble, M.D., *Interim President*

P.O. Box 20036
Houston, Texas 77225
(713)792-4975

September 5, 1989

Charles B. Mullins, M.D.
Executive Vice Chancellor for Health Affairs
The University of Texas System
601 Colorado Street
Austin, Texas 78701

Dear Dr. Mullins:

The docket for the October 13, 1989 Meeting of the Board of Regents is submitted for your recommendation and presentation to the Board.

I recommend approval.

Sincerely,

A handwritten signature in cursive script that reads "John C. Ribble".

John C. Ribble, M.D.

/mr

THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT HOUSTON

U. T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

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THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT HOUSTON

U. T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

BUSINESS CONTRACTS FOR SERVICES

The following contracts have been awarded, have been administratively approved by the Executive Vice Chancellor for Business Affairs, and are recommended for ratification by the U. T. Board of Regents:

1. Agency: Doctors Center Medical Professional Building
Funds: \$155,610, 1st-60th month and \$202,293,
61st-120th month
Period: September 1, 1989 through August 31, 1999
Title/Description: To lease approximately 93,366 square feet for
medical research, classroom facilities,
laboratories, general offices and consulting
purposes

2. Agency: Houston Medical Center Professional Building
Amendment No. 2
(Formerly Travis Centre Professional Building)
Funds: \$14,521.28 per month
Period: As each suite is ready to be occupied, as early
as April 15, 1989 through August 31, 1993
Title/Description: To lease approximately 7,892 additional square
feet of space for general medical offices,
clinics, and medically related consulting
purposes to accommodate the Dermatology and
Neurology Clinics from Hermann Professional
Building
The purpose of this amendment is to add
approximately 7,892 square feet of space to
increase the total square footage to 77,807 by
June 15, 1989.

THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT HOUSTON

U. T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

STANDARD AFFILIATION AGREEMENTS

The agreements recommended for approval by the U. T. Board of Regents have been approved by an attorney of the Office of General Counsel and are based on the model agreement adopted on December 16, 1977.

1. Facility: Center for Psychiatric Medicine
Date: April 24, 1989
Purpose: Health Care Educational Experience Program established primarily for the benefit of students in the Medical School, Department of Psychiatry and Behavioral Sciences
2. Facility: Diagnostic Center Hospital of Texas, Inc.
Date: d/b/a Diagnostic Center Hospital
June 14, 1989
Purpose: Health Care Educational Experience Program established primarily for the benefit of students in the Allied Health Sciences School

HARRIS COUNTY PSYCHIATRIC CENTER

3. Facility: Louisiana State University
Date: November 17, 1987
Purpose: Health Care Educational Experience Program established primarily for the benefit of students of Louisiana State University

THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT HOUSTON

U. T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

CONTRACTS OR GRANTS OVER \$500,000

(FUNDS COMING IN)

The following contracts or grants in an amount over \$500,000, have been awarded, have been administratively approved by the Executive Vice Chancellor for Business Affairs, and are recommended for approval by the U. T. Board of Regents.

1. Grantor: Centers for Disease Control
No.: 5 T15 OH07085-12
New Funds: \$587,537
Current Period: July 1, 1989 through June 30, 1990
Title/Description: Southwest Center for Occupational Health & Safety

2. Grantor: National Heart, Lung, and Blood Institute
No.: 5 R01 HL40072-02
New Funds: \$566,610
Current Period: July 1, 1989 through June 30, 1990
Title/Description: Cont. of Trial of Antihypertensive Interventions

THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT HOUSTON

U. T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

Contracts and Grants for Research, Development, Clinical
and Educational Services

Since the Docket for the previous meeting of the U. T. Board of Regents, the following activity has taken place with regard to Contracts and Grants for Research, Development, Clinical and Educational Services:

The contracts, grants and agreements for research, development and educational services summarized or listed above have been processed and approved in accordance with the Regents' Rules and Regulations, Part Two, Chapter XI.

- I. Non-Governmental Contracts and Grants (Funds Coming In)
 - a. 1989 HH 1-33 Number of Initial Grant and Contract Awards
\$1,129,785 Value of Awards
 - b. None Number of Fiscal Amendments to Grant and Contract Awards (Increasing or Decreasing by \$10,000)
None Total of Increased Funding
\$ None Total of Reduced Funding
- II. Non-Governmental Contracts and Grants (Funds Going Out)
 - a. 1989 HH 34 Number of Initial Grant and Contract Awards
\$ 17,000 Value of Awards
 - b. None Number of Fiscal Amendments to Grant and Contract Awards (Increasing or Decreasing by \$10,000)
\$ None Total of Increased Funding
\$ None Total of Reduced Funding
- III. State and Local Government Contracts and Grants (Funds Coming In)
 - a. 1989 HH 35-43 Number of Initial Grant and Contract Awards
\$1,288,064 Value of Awards
 - b. 1989 HH 44 Number of Fiscal Amendments to Grant and Contract Awards (Increasing or Decreasing by \$10,000)
\$ 300,000 Total of Increased Funding
\$ None Total of Reduced Funding
- IV. State and Local Government Contracts and Grants (Funds Going Out)
 - a. 1989 HH 45-46 Number of Initial Grant and Contract Awards
\$ 7,843 Value of Awards
 - b. None Number of Fiscal Amendments to Grant and Contract Awards (Increasing or Decreasing by \$10,000)
\$ None Total of Increased Funding
\$ None Total of Reduced Funding
- V. Federal Government Contracts and Grants (Funds Coming In)
 - a. 1989 HH 47-114 Number of Initial Grant and Contract Awards
\$7,406,652 Value of Awards
 - b. 1989 HH 115-116 Number of Fiscal Amendments to Grant and Contract Awards (Increasing or Decreasing by \$10,000)
\$ 53,652 Total of Increased Funding
\$ 119,821 Total of Reduced Funding
- VI. Federal Government Contracts and Grants (Funds Going Out)
 - a. 1989 HH 117-122 Number of Initial Grant and Contract Awards
\$ 260,462 Value of Awards
 - b. None Number of Fiscal Amendments to Grant and Contract Awards (Increasing or Decreasing by \$10,000)
\$ None Total of Increased Funding
\$ None Total of Reduced Funding

HARRIS COUNTY PSYCHIATRIC CENTER

REAPPOINTMENTS TO THE MEDICAL STAFF FOR 1989-1990
RECOMMENDED BY THE EXECUTIVE COMMITTEE

JUNE 6, 1989

Physician Name	Area of Care*	Status/Cat.*	Privileges* Recommended	Privileges* Requested	Comments
Alexander, W. F.	Adult	Active/II			
Armstrong, D. L.	Child/Adolescent	Active/II			
Boutros, N. N.	Adult	Active/III	LP, EEG	LP, EEG	
Clothier, J. L.	Adult	Active/II	LP	LP	
Cooper, A. B.	Adult	Courtesy			
Cowan, K. A.	Adult	Courtesy			
Creson, D. L.	Adult	Courtesy			
Faillace, L. A.	Adult	Courtesy			
Freundlich, I.M.	Adult	Affiliate	IDR	IDR	
Garza-Trevino, E.	Adult	Active/II			
Griffiths, M.D.	Child/Adolescent	Affiliate	LP	LP	
Gynn, R. W.	Adult	Active/II			
Harper, R. A.	Child/Adolescent	Active/II			
Harris, J. H.	Adult	Affiliate	IDR	IDR	
Hauser, D. E.	Adult	Affiliate	LP, ECT	LP, ECT, SI	Will be proctored in SI
Hollister, L. E.	Substance Abuse	Active/III			
Hornsby, L. G.	Child/Adolescent	Active/II			
Hugg, T. W.	Child/Adolescent	Courtesy			
Jackson, H.	Adult	Affiliate	IDR	IDR	
Krajewski, K. J.	Substance Abuse	Active/II			
Lesem, M. D.	Adult	Active/II	ECT, SI, LP	ECT, SI, LP	
Lesser, J. M.	Adult	Courtesy			
Lewis, K. L.	Child/Adolescent	Courtesy		BT	Behavior therapy is not a special privilege and can be done on a consult basis.
MacKenzie, K.R.	Adult	Courtesy			
Peabody, C. A.	Adult	Active/II	ECT	ECT	
Phillips, J. M.	Adult	Affiliate	IDR	IDR	
Reed, K.	Adult	Courtesy	ECT, SI, LP	ECT, SI, LP	
Reilly, E. L.	Substance Abuse	Active/II	EEG	EEG	
Shah, N. N.	Adult	Active/II			
Stockwell, D. J.	Substance Abuse	Affiliate		ECT, LP	Does not meet current minimum criteria.

*See attached glossary for definition of terms.

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<u>Physician Name</u>	<u>Area of Care*</u>	<u>Status/Cat.</u>	<u>Privileges* Recommended</u>	<u>Privileges* Requested</u>	<u>Comments</u>
Stone, M. A.	Adult	Active/II	ST	ST	
Strahilevitz, A.	Child/Adolescent	Courtesy			
Stuart, H. J.	Adult	Affiliate			
Swann, A. C.	Adult	Courtesy			
Varnér, R. V.	Adult	Active/II			
Velek, M.	Adult	Courtesy			

*See attached glossary for definition of terms.

HH-8

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HARRIS COUNTY PSYCHIATRIC CENTER
 REPRIVILEGING OF PSYCHOLOGISTS
 SEPTEMBER 1, 1989, THROUGH AUGUST 31, 1990

RECOMMENDED BY THE EXECUTIVE COMMITTEE
 JUNE 6, 1989

Name of Psychologist	Privileges Recommended	Privileges Requested
Ewing-Cobbs, L.	Psychological Evaluation; Neuropsychological Evaluation; Psychoeducational Evaluation	Same
Hays, J. R.	Individual Psychotherapy; Group Therapy; Family Psychotherapy; Couples Therapy; Sex Therapy; Psychological Evaluation; Neuropsychological Evaluation; Psychoeducational Evaluation	Same
Loveland, K. A.	Neuropsychological Evaluation; Psychoeducational Evaluation; Developmental	Same
McLaughlin, E. J.	Individual Psychotherapy; Group Therapy; Couples Therapy; Psychological Evaluation; Neuropsychological Evaluation	Same

 PRIVILEGING OF PSYCHOLOGISTS (PROVISIONAL)
 SEPTEMBER 1, 1989, THROUGH AUGUST 31, 1990

RECOMMENDED BY THE EXECUTIVE COMMITTEE
 JUNE 6, 1989

Kelly, J. F.	Individual Psychotherapy; Group Therapy; Family Psychotherapy; Couples Therapy; Hypnotherapy; Psychological Evaluation; Neuropsychological Evaluation; Psychoeducational Evaluation	Same
Lachar, D.	Individual Psychotherapy; Group Therapy; Family Psychotherapy; Couples Therapy; Psychological Evaluation; Psychoeducational Evaluation	Same

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HARRIS COUNTY PSYCHIATRIC CENTER

PROVISIONAL APPOINTMENTS TO THE MEDICAL STAFF FOR 1989-1990
 RECOMMENDED BY THE EXECUTIVE COMMITTEE
 JUNE 6, 1989

<u>Physician Name</u>	<u>Area of Care*</u>	<u>Status/Cat.*</u>	<u>Privileges* Recommended</u>	<u>Privileges* Requested</u>	<u>Comments</u>
Gruber, N.P.	Adult	Active/I	LP, ECT, SI	LP, ECT, SI	
Loubser, P. G.	Adult	Affiliate	AN	AN	
Strahilevitz, M.	Adult	Courtesy	ECT	ECT	
Tancredi, L. R.	Adult	Courtesy			

*See attached glossary for definition of terms.

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GLOSSARY OF TERMS
HARRIS COUNTY PSYCHIATRIC CENTER MEDICAL STAFF
CREDENTIALING AND PRIVILEGING RECOMMENDATIONS
1989-90 MEDICAL STAFF YEAR

Psychiatric disorders are those conditions which are defined in the Diagnostic and Statistical Manual of Mental Disorders, Third Edition Revised, or any subsequent editions.

Psychiatric care is the examination, diagnosis, and treatment of psychiatric disorders.

Substance Abuse care is the examination, diagnosis, and treatment of primary disorders defined in the Diagnostic and Statistical Manual of Mental Disorders, Third Edition, Revised, in the subset of numeric code listings from 291.00 through code 292.90, and related codes in any subsequent editions of the Manual.

Child and Adolescent psychiatric care is the examination, diagnosis, and treatment of primary disorders as defined in "Disorders Usually First Evident in Infancy, Childhood or Adolescence," Diagnostic and Statistical Manual of Mental Disorders, Third Edition, Revised, as applied to children through the age of 18 or developmentally delayed patients whose primary diagnosis matches those DSM-III-R criteria.

Active Staff shall consist of those physicians who desire appointment to the Active Staff, who are members of the full-time or part-time salaried UTHSCH-MS psychiatric faculty, and who meet the credentialing and privileging criteria for the requested area of care. Each appointee to the Active Staff shall agree to assume all the functions and responsibilities of appointment to the Active Staff, including, where appropriate, provision of psychiatric patient care, emergency service care, consultation, teaching assignments, research assignments, and administrative duties. Each Active Staff appointee shall be entitled, in the Area of Care to which he or she is appointed, to admit and discharge patients, examine, diagnose, order treatment, chart, consult, refer, and otherwise manage course of treatment of psychiatric disorders, to vote, to hold office (when applicable), serve on Medical Staff committees, and to serve as chairman of such committees. They shall be required to attend Medical Staff and Area of Care meetings.

Courtesy Staff shall consist of those physicians who desire appointment to the Courtesy Staff, and who are full-time or part-time salaried members of the UTHSCH-MS psychiatric faculty, and who meet the credentialing and privileging criteria for the requested area of care. Courtesy Staff appointees shall agree to assume all the functions and responsibilities of appointment to the Courtesy Staff, including, where appropriate, provision of psychiatric patient care, emergency service care, consultation, teaching assignments, and research assignments. Courtesy Staff

appointees shall be entitled to admit and discharge, examine, diagnose, order treatment, chart, consult, refer, and otherwise manage the course of treatment of psychiatric patients, to serve on Medical Staff committees without vote. The Courtesy Staff are encouraged, but not required, to attend Medical Staff meetings.

Affiliate Staff shall consist of physician specialists who are members of the UTHSCH-MS faculty and who are appointed to HCPC for the specific purpose of providing consultation in diagnosis and treatment, examination of patients, treatment of patients, and the administration of clinical services in the appointed area of care, as privileged.

Category I of the Medical Staff is provisional status, assigned to all Active Medical Staff members for their first year of appointment.

Category II of the Medical Staff is nonprovisional status; Category II Active Medical Staff members may serve as proctors for provisional staff members.

Category III of the Active Medical Staff is nonprovisional status; Category III members hold certification from a specialty or subspecialty board recognized by the American Board of Medical Specialties in an area other than or in addition to psychiatry; may provide specialty or subspecialty consultation to Category I and II Active Staff.

Privileges are those professional services whose practice is confined to Medical Staff members who document required training and current competence in their performance of the following:

- ECT Prescription (ECT)
- EEG Interpretation (EEG)
- Hypnotherapy (HT)
- Lumbar Puncture (LP)
- Sedative Interview (SI)
- Sex Therapy (ST)
- Anesthesia for ECT (AN)
- Interpretation of Diagnostic Radiology (IDR)

HARRIS COUNTY PSYCHIATRIC CENTER
EVALUATION OF THE
1988-89 UTILIZATION REVIEW PLAN

EFFECTIVE DATE: JULY 14, 1989

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1989-90 ANNUAL EVALUATION
HARRIS COUNTY PSYCHIATRIC CENTER
QUALITY ASSURANCE PLAN

The 1989-90 Quality Assurance Plan for the Harris County Psychiatric Center proposed evaluation based on the objectives of the plan: "(1) to implement monitors for quality and appropriateness of patient care in every patient care area to provide useful information regarding that area, by January 1, 1988; (2) to implement action plans for each monitor that reveals a problem or potential problem, so that by August 1, 1988, no problem is documented without an action plan as of that date; (3) to document the dissemination of excellent patient care practices found through quality assurance activities by August 1, 1988."

Evaluation of the performance on these objectives was presented to the Clinical Administrative Committee in January, 1988, showing that of 147 indicators covering all the required patient care areas, 40 showed no problems, or problem resolution, or commendation on performance. At that point in time, 107 indicators ("monitors") continued to show data indicating problematic performance, and were routinely monitored and evaluated regarding actions taken. (See attached summary report.)

In addition to the evaluation of the objectives, the evaluation of the Quality Assurance Plan was to be based on recommendations from reviewers, and requirements of standards current at the time of the plan's evaluation. The survey conducted by the Joint Commission on Accreditation of Healthcare Organizations in December, 1988, and the development of standards in the 1989 Accreditation Manual for Hospitals provided further evaluation and revision information for the scope of the program. Organizational changes within the hospital impacted the organization of the program.

SCOPE. Recommendations from the JCAHO survey included the addition of monitoring and evaluation of the quality and appropriateness of nuclear medicine services. Additionally, the need for patient safety (risk management) tracking is expressly stated in the 1989 standards and other official publications of the Joint Commission. Risk management includes occurrence tracking in patient safety, infection control, employee health, and other indicators previously not included in the quality assurance program.

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ORGANIZATION. Concurrent with the receipt and review of JCAHO recommendations, the Medical Staff of the hospital began a review of the Medical Staff organization. As a result of the growth of the Medical Staff, members determined that division into clinical departments was appropriate, with the appointment of a Chief for each department. As a result, the revised Quality Assurance Plan for 1989-90 describes an organization based on monitoring and evaluation of quality and appropriateness of patient care by each clinical department at a monthly meeting for quality assurance, in place of the monthly meeting of the entire Medical Staff for the same purpose.

EFFECTIVENESS. Operation of the quality assurance program according to the 1989-90 plan resulted in development of indicators adequate for the scope of patient care activity in the hospital, except in aspects of nuclear medicine and emergency services, ECT. Due to the time required to set up indicators with department heads and Medical Staff, track records for these indicators were inadequate for surveyor conclusions. Review by the Department of Quality and Standards led to the conclusions that data flow was successfully developed, implemented, and maintained as planned, and the quality assurance calendar was successfully adhered to except for the clinical department reports. Evaluation of effectiveness will follow the 1989-90 operation of the quality assurance program, and will be based on longer data trackings and actions by Areas of Care.

REVISIONS. Revisions in the Quality Assurance Plan are made to change the scope and organization of the program as suggested from both internal and external sources. The objectives of the program are restated in the 1989-90 plan to take these changes into account.

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HARRIS COUNTY PSYCHIATRIC CENTER
UTILIZATION REVIEW PLAN
OF THE QUALITY ASSURANCE PROGRAM
1989-90

EFFECTIVE DATE: APRIL 26, 1988

REVISED: JULY 14, 1989

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EVALUATION OF THE
1988-89 UTILIZATION REVIEW PLAN

Under the 1987-88 Utilization Review Plan, lengths of stay by physician and unit were reviewed regularly by the Clinical Administrative Committee, Medical Staff Organization, and Medical Staff Executive Committee. No trends requiring action were found.

Through the Medical Records Committee, peer reviews of continued stays were instituted in November, 1988, to provide peer input into the documentation of continued stays. Less than 3% of the reviews (14 out of 324) indicated that documentation did not support medical necessity or placement necessity. This percentage is inflated by ambiguity in the data collection form from November through January reviews, so the actual percentage of cases of inadequate documentation is less than 3%.

Due to the change in Medical Staff organization from one department to three clinical departments (Adult, Child and Adolescent, Substance Abuse), the Utilization Review Plan and Procedures were revised. Revisions place peer review responsibility in the clinical department, followed by review by Chief of the Department as needed, and, in specified cases, by the Medical Director or designee.

Revision is also made in the area of discharge planning, to account for indicators now in place in Clinical Social Services and Nursing Services.

HARRIS COUNTY PSYCHIATRIC CENTER

UTILIZATION REVIEW PLAN

OF THE QUALITY ASSURANCE PROGRAM

1989-90

PURPOSE	<p>The utilization review program of the Harris County Psychiatric Center provides the capability for systematic collection and review of data reflecting the allocation of resources, in order to allocate these resources in the most appropriate, cost-effective way available. The program is responsible for addressing underutilization or overutilization of resources, and timeliness of delivery of services.</p>
RESPONSIBILITY	<p>Utilization review activities are the direct responsibility of the Center's administration and its Medical Staff. They are responsible for monitoring the activities conducted for utilization review and for reviewing utilization data. They are authorized to take appropriate action to achieve the program's purpose.</p>
ADMINISTRATIVE RESPONSIBILITY	<p>The administration is responsible for providing sufficient resources to implement a utilization review program that provides data necessary for the administration and the Medical Staff to fulfill their review and action obligations. The administration is responsible for participation in utilization review through its own review of data, trends, and recommendations for corrective action. It is responsible for reporting utilization review activities, as a component of quality assurance, to the Governing Body on a regular basis.</p>
MEDICAL STAFF RESPONSIBILITY	<p>The Medical Staff is responsible for reviewing utilization data pertinent to its functions on a regular basis, and making recommendations for action as appropriate. It is responsible for considering relevant utilization review data in the appointment/reappointment and privileging/reprivileging of applicants to the Medical Staff, and privileging/reprivileging nonindependent practitioners.</p>

QUALITY AND
STANDARDS
RESPONSIBILITY

Quality and Standards staff members are responsible for continuous collection of utilization review data, including the forwarding of cases to peer review when Medical Staff-approved criteria are not met. Staff members respond to requests for information from the Clinical-Administrative Committee and the Medical Staff, including the aggregation and trending of data from utilization review indicators. The Quality and Standards staff is represented on all Medical Staff committees and hospital committees, and integrates data from quality assurance and risk management functions as a part of its operational activity.

CONFLICT OF
INTEREST

The conflict-of-interest policy in utilization review activities precludes the involvement of a staff member in a case review if that staff member is a member of the treatment team in the case under review. Physicians have no financial conflict of interest.

CONFIDENTIALITY

All utilization reviews are confidential, confined to the Quality and Standards staff, the attending physician, and a peer reviewer at the peer review level. Written summaries do not contain identifying information. Results of peer review are documented in a secure data base with restricted access. Individual summary data will be forwarded to the Chief of the Clinical Department at the time of reappointment and reprivilaging of a Medical Staff member, and will be treated with the same confidentiality as all other parts of the applicant's file and records of the meetings.

ADMISSION REVIEW

Within one working day following the admission, Quality and Standards staff members will review at least 10% of admissions documentation to determine the appropriateness of this level of care, using the criteria approved by the Medical Staff. A notice is forwarded to the Attending Physician regarding any question of appropriateness of admission, and utilization review procedures will be followed until the question is resolved.

CONTINUED STAY
REVIEW

Each program at the hospital has a length of stay determined by problem and/or procedure, and approved by the Medical Staff (Appendix A). Using these lengths of stay, the Quality and Standards staff review all cases of continued stay reaching 14 days beyond the unit's LOS, to determine the documented justification for continued stay at this level of care. Criteria for review of continued stay are approved by the Medical Staff. The reviewing staff member follows the utilization review procedures for continued stay reviews (Appendix B). All charts will be reviewed at 30 day intervals following the first continued stay review.

PROBLEM
IDENTIFICATION

In addition to utilization concerns identified through admission and continued stay reviews, concerns are identified by methods including, but not limited to, data from quality assurance indicators, results of peer reviews, committee findings, and reviews by external agencies.

CONCURRENT REVIEW

Concurrent utilization review of use of resources and timeliness of their delivery is conducted on a selective basis, determined by the quality assurance indicator description, or as a result of analysis and evaluation. Utilization issues that impact immediately on patient treatment will be assigned to concurrent review indicators. Issues that are more usefully reviewed in aggregate and studied for trends will be reviewed retrospectively by Quality and Standards staff.

RETROSPECTIVE

Within one month after a patient's discharge, the chart will be reviewed for all utilization and other quality assurance indicators. The resulting data on the hospital's utilization of resources will be evaluated on a monthly basis by the chiefs and directors of the departments involved, and on a bimonthly basis by the Clinical-Administrative Committee and the Medical Staff Executive Committee or the Management Staff. These evaluations will also study the impact of utilization review on the use of resources.

DISCHARGE
PLANNING

Continued stay reviews include criteria for discharge planning. Criteria are approved by the Clinical-Administrative Committee and Medical Staff Executive Committee, and are an attachment to the Utilization Review Plan (Appendix C). The criteria are also included in the Clinical Procedures Manual of the Medical Staff Bylaws, Rules, and Regulations. The prescribed procedures are the mechanism for initiating and continuing discharge planning. Retrospective indicators (Nursing Services and Clinical Social Services) are used to monitor and evaluate discharge planning.

PEER REVIEW

Any unresolvable utilization review problem found in admission or continued stay review, concurrent or retrospective utilization, monitoring, or related activities, is forwarded by the Medical Staff Coordinator to be assigned for peer review, is returned to the Medical Staff Coordinator and summarized for confidential use in authorized committee activities. Reports contain only coded information. Results are used by the Chiefs of Clinical Departments.

ANNUAL REVIEW

The functioning and impact of utilization review activities are reviewed annually as a part of the facility's quality assurance program review. The written evaluation is included in the next annual plan.

APPENDIX A

UTILIZATION REVIEW PLAN ADDENDUM
LENGTHS OF STAY FOR UTILIZATION REVIEW

<u>UNIT</u>	<u>LOS AS OF 9/27/88</u> <u>IN DAYS</u>
1B	3
1C	45
1D	45
1E	45
2B	21
2C	21
2D	35
2E	21
3B	19
3C	28
3D	35
3E	30

APPENDIX B

UTILIZATION REVIEW PLAN
CRITERIA FOR ADMISSION AND CONTINUED STAY

- 1.0 The admitting physician will document at the time of admission the medical necessity for the patient's hospitalization. The documentation will meet the following criteria:
 - 1.1 Current behaviors/symptomatology that are major criteria for the primary diagnosis, as listed in DSM-III.
 - 1.2 Current precipitating incident and/or current referral from the outpatient or other inpatient level of care, including name of referring physician or clinician.
 - 1.3 Rationale for this level of care, as illustrated by one or more of the following conditions:
 - 1.3.1 The patient poses an actual or imminent danger to self, others and/or property due to behavioral manifestations of a mental disorder.
 - 1.3.2 The patient needs continuous skilled observation and evaluation available only in the hospital setting.
 - 1.3.3 Due to the mental disorder, the patient is unable to function at a lower level of care.
- 2.0 If the patient stay exceeds the unit LOS, the physician will document the need for continued stay by substantiating one or more of the following criteria:
 - 2.1 Improvement is noted, but is insufficient for discharge to a lower level of care.
 - 2.2 No improvement is noted; changes in treatment are documented to effect improvement.
 - 2.3 Maximum level of hospital benefits has been reached, but appropriate level of care placement is unavailable at this time.
- 3.0 In the event the attending physician finds that a patient does not meet the admission or continued stay criteria, he will document the reasons for the patient requiring another level of care, the efforts being made to achieve this placement, and the estimated date of discharge.

APPENDIX C

UTILIZATION REVIEW PLAN
REVIEW OF ADMISSIONS AND CONTINUED STAY

- 1.0 Within one working day following a patient's admission, a Quality and Standards staff member will review the admission documentation, using the Medical Staff criteria for justification of admission.
 - 1.1 If the documentation meets the Medical Staff criteria for admissions, the reviewer will assign the next date for review.
 - 1.2 If the documentation fails to support the admission, the reviewer will send a written request to the attending physician for required information to be placed in the chart in a Progress Note.
- 2.0 If the documentation is not complete within two working days following the date of the request, the Quality and Standards staff member reviewing the chart will request that the Medical Staff Coordinator have a Peer Reviewer review the chart for justification of admission.
 - 2.1 Documentation of the review process, including a description of requirements, will be forwarded to a peer in the same Clinical Department by the Medical Staff Coordinator.
- 3.0 The Peer Reviewer is responsible for reviewing the admission documentation.
 - 3.1 If the Peer Reviewer finds the admission justified, he returns the request indicating his conclusion, and the next review date is set.
 - 3.2 If the admission cannot be justified by documentation or by consultation with the attending physician followed by added documentation, the Medical Staff Coordinator forwards the case to the Chief of the Clinical Department.
 - 3.2.1 The Medical Director or designee will review cases attended by Chiefs of Clinical Departments.
 - 3.3 If the Chief finds the admission justified, he returns the peer review form indicating his conclusion, and the next review date is set.

SUBJECT: REVIEW OF ADMISSION
AND CONTINUED STAY JUSTIFICATIONS

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- 3.4 If the admission cannot be justified by documentation or by consultation with the attending physician followed by added documentation, the Chief will require discharge within two days of the decision.
- 4.0 On each continued stay review date, the Quality and Standards reviewer checks the chart for justification of continued stay, using the criteria approved by the Medical Staff, following the same procedures as those used for admission review.
- 5.0 Patients who are not discharged within 14 days after the program's length of stay will be referred for peer review and will be reviewed at 30 day intervals thereafter until discharge.

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HARRIS COUNTY PSYCHIATRIC CENTER

1989-90

HOUSTON, TEXAS

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HARRIS COUNTY PSYCHIATRIC CENTER

QUALITY ASSURANCE PLAN

1.0 INTRODUCTION

Texas Senate Bill 1295 authorized the establishment of the Harris County Psychiatric Center, specifies that the Center be accredited by and operate in accordance with standards of the Joint Commission on Accreditation of Health Care Organizations.

2.0 AUTHORITY

2.1 Upon the recommendation of the President of The University of Texas Health Science Center at Houston, and upon request of the Executive Vice Chancellor for Health Affairs of The University of Texas system, the Director of the Harris County Psychiatric Center is charged with the responsibility to develop and implement a Quality Assurance Program.

2.2 The Director authorizes the Clinical Administrative Committee and the Department of Quality and Standards and charges them with the responsibility of developing and maintaining quality assurance activities within the Harris County Psychiatric Center, in cooperation with the Medical Staff Organization and the hospital administration.

2.3 The Clinical Administrative Committee shall have the authority to request and/or perform such information gathering functions as may be necessary to the discharge of its responsibilities.

3.0 DEFINITION

The Quality Assurance Program at the Harris County Psychiatric Center is established as an objective, systematic, ongoing and comprehensive assessment of the quality and appropriateness of patient care and its outcomes, through an integrated, interdisciplinary system of aggregating data, studying trends, taking appropriate action, monitoring, and evaluating.

4.0 PURPOSE

4.1 The purpose of the Quality Assurance Program shall be to enhance the quality of patient care within available resources and achievable goals of the Harris County Psychiatric Center.

4.2 The Program shall provide systematic information flow regarding defined aspects of patient care to appropriately coordinate efforts toward resolution of identified adverse trends, and promotion of activities that are found to positively impact on patient care.

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4.3 The flow of information shall be centrally integrated through the Clinical Administrative Committee in order to identify problems or positive methods in patient care, to prevent duplication of effort, to facilitate early awareness by administration and clinical staff members of pertinent findings of the quality assurance system, and to appropriately report to the governing body.

4.4 The plan will be evaluated at least annually, and revised according to internal and external evaluation requirements. The written evaluation will be a part to the revised plan.

5.0 ORGANIZATION

The 1989-90 organization of the quality assurance program for the Harris County Psychiatric Center is shown in the attached table of components and information flow, as revised through evaluative review. As central clearing house, the Clinical Administrative Committee receives, reviews, and forwards information, and provides feedback to and from all components of the program. Members of the Clinical Administrative Committee are the President and Vice President of the Medical Staff, the clinical department Chiefs, Chief of Psychology Services, Director of Residency Training, the Administrative Director or designate, and Assistant Administrative Director. The Director of Quality and Standards is staff to the committee. With this membership, the committee is the pivotal point for involvement of both clinical and administrative staffs in the provision of integrated hospital-wide quality assurance.

6.0 SCOPE

As shown in the organization and information flow chart, the scope of quality assurance activities covers all aspects of patient care. The scope of quality assurance activities includes at least:

6.1 Medical Staff reviews of drug usage, medical records, utilization review, patient safety, pharmacy and therapeutics, infection control, patient assessment, diagnosis and treatment, and other major aspects of care of concern to the Medical Staff in each clinical department, as shown in indicators.

6.2 Monitoring and evaluation of the quality and appropriateness of diagnostic radiology, nuclear radiology, special diagnostic studies, special treatment procedures, emergency services, and consulting services.

6.3 All hospital department quality and appropriateness monitoring and evaluation: diagnostic radiology, dietary, nursing, pathology and laboratory, activities therapies, and clinical social services.

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6.4 Relevant findings of quality assurance activities will be considered in the monitoring and evaluation of the quality of patient care provided by individuals who are not permitted to practice independently, and in the appraisal of competence of all those individuals.

6.5 Relevant findings of quality assurance activities will be considered in the reappraisal/reappointment of medical staff members and the renewal or revision of the clinical privileges of independent and nonindependent practitioners.

7.0 MECHANISMS

7.1 Two basic mechanisms are utilized for systematic monitoring and evaluation of patient care. First, the Patient Care Indicator is originated, monitored, and evaluated by the Chief of the responsible clinical department, or by the head of the service department. All indicators will be developed through the use of a Patient Care Indicator Description form, which requires that the department follow the ten-step model for quality and appropriateness monitoring and evaluation (See example, Appendix A). The originating Chief or Department Head is responsible for forwarding copies of all indicator descriptions to the Department of Quality and Standards for approval by the Clinical Administrative Committee, and for reporting on all indicators on the schedule specified in the Medical Staff Quality Assurance Calendar (Appendix B).

The second basic mechanism is a set of concurrent data collection instruments including, but not limited to, reports from the occurrence tracking system, records of consults, and other regular reports of patient care activity. Occurrences involving patient safety may be reported through Infection Control Committee or Safety Committee to the Chief(s) of the clinical department(s) and/or Clinical Administrative Committee, as designated by the hospital's Occurrence Tracking system.

7.2 The mechanism for recording and integrating data for trend study in quality assurance/risk management is the QUEST integrated quality assurance data base, maintained by the Department of Quality and Standards. Reports from the data base are forwarded by Quality and Standards staff to the responsible clinical Department Chief or Department Head for use in regular monthly review and evaluation. The Chief or Head is also responsible for taking appropriate action, as indicated by findings in the aggregated and trended data reports. Evaluations and actions are reported regularly to the Clinical Administrative Committee (Appendix C).

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7.3 Actions are recorded on a Quality Assurance Narrative Analysis form (see example, Appendix C) or in minutes of clinical department meetings. Actions are based on overall analysis of current indicator data and the specific conclusions drawn from analysis. The action may be toward problem resolution, or dissemination of a practice that has proved to be superior to prior practices in an important aspect of care. The narrative analysis, with relevant data and minutes of meetings as required, is forwarded to the Department of Quality and Standards for review by the Clinical Administrative Committee and, when appropriate, action by the committee. Clinical department meeting minutes are also forwarded.

7.4 Committee reports, which may include indicators meeting the same specifications as department/area indicators, are forwarded through the Department of Quality and Standards and scheduled on the agenda of the monthly meetings of the Clinical Administrative Committee. Information appropriate for clinical departments is forwarded to the departmental monthly meeting. Minutes of the Clinical Administrative Committee integrate the findings of quality assurance activities in the hospital and are reported to the Medical Staff Executive Committee monthly.

7.5 Quality assurance activities are summarized from the Medical Staff Executive Committee minutes and forwarded by the Director of the Harris County Psychiatric Center to the President, UTHSC-H, for review by the Governing Body and response to Harris County Psychiatric Center from the Governing Body.

8.0 QUALITY ASSURANCE RESPONSIBILITIES

The Clinical Administrative Committee and the Department of Quality and Standards, is responsible for overseeing the following:

8.1 Monitoring and evaluating activities required by the program are performed appropriately and effectively;

8.2 Status of identified problems is tracked to assure improvement or resolution;

8.3 Necessary information is communicated among departments/services when patient care can be improved in more than one department/service.

9.0 OBJECTIVES

The objectives of the 1989-90 quality assurance program are: (1) to match the scope of the quality assurance program to the range of clinical services provided, and their important aspects of care; (2) to continue uninterrupted information flow to and from the Clinical Administrative Committee from the entire

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scope of the program; (3) to assure continuous tracking by integrated data of each important aspect of care; (4) to contribute useful data on clinical performance for recredentialing, reprivileging, and other periodic evaluations of independent and nonindependent practitioners, and (5) to evaluate problem resolution in light of problems documented.

10.0 EVALUATION

During the last month of the Medical Staff year, the Department of Quality and Standards will provide to the Clinical Administrative Committee a summary of data collected on each important aspect of care studied during the year, Quality and Standards departmental activities required to produce the data, and an assessment of the objectives for the year.

The Clinical Administrative Committee will make recommendations regarding the quality assurance program after review of the submitted report. The Department of Quality and Standards is responsible for submitting any proposed revisions of the quality assurance plan and program to the Medical Executive Committee prior to finalizing the revised plan.

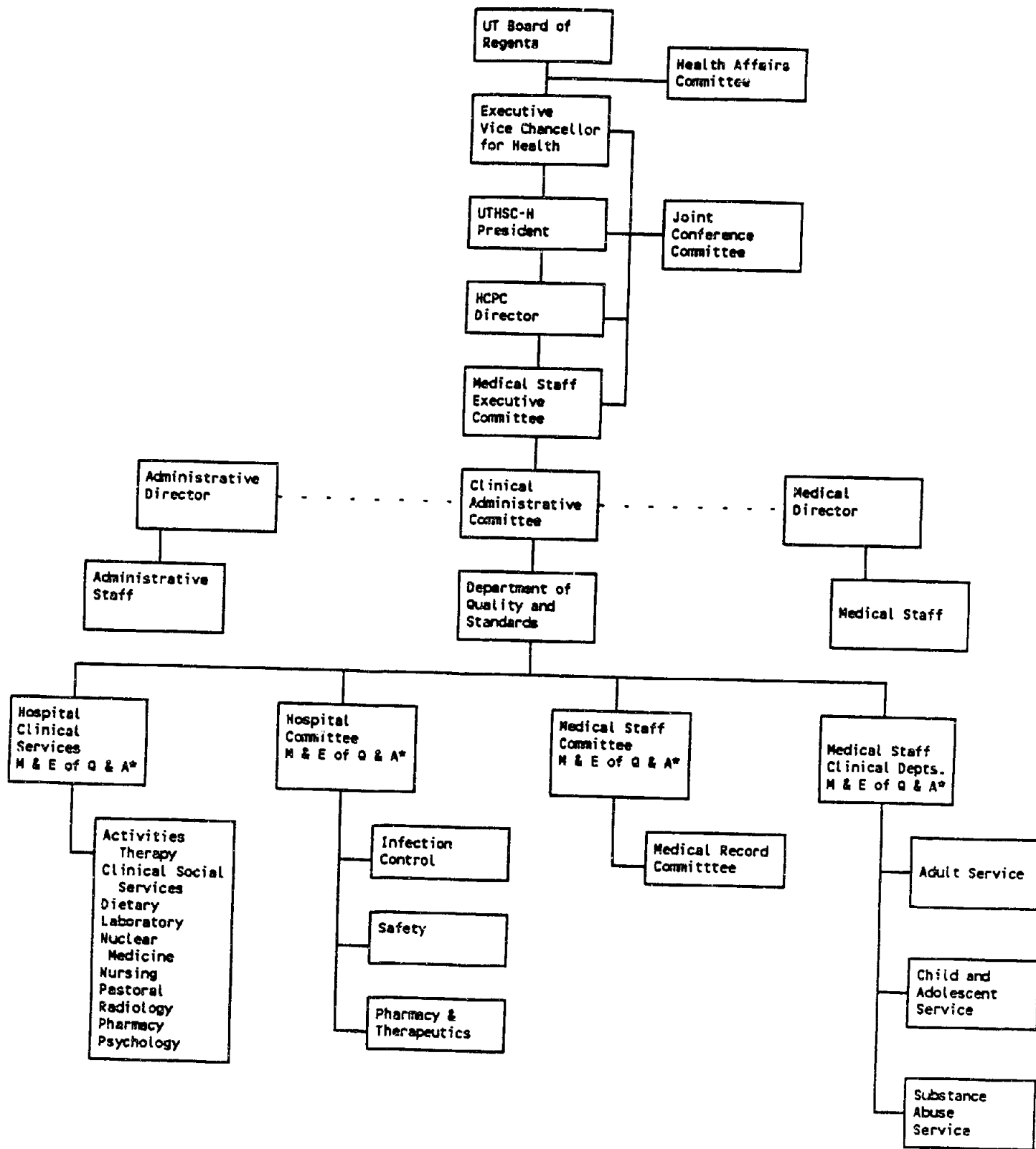
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HARRIS COUNTY PSYCHIATRIC CENTER
 QUALITY ASSURANCE PROGRAM
 ANNUAL EVALUATION REPORT, JANUARY, 1989

DEPARTMENT/SERVICE		RESOLUTIONS/COMMENDATIONS	UNRESOLVED ISSUES
ACTIVITY THERAPY	5		Improvement was shown in the third quarter of the QA year; percentages dropped in the fourth quarter following major staffing and structure changes. All indicator areas are problematical, reflecting changes and low staffing levels. (5)
CLINICAL SOCIAL SERVICES	4		Below-threshold performance on all indicators in the third quarter of the QA year led to intensified efforts in supervision. Fourth quarter results will be reported after the end of the QA year. (4)
DIETARY SERVICES	8	THRESHOLDS ON ALL INDICATORS WERE MET OR SURPASSED IN SEPTEMBER AND DECEMBER REPORTS. (8)	
EMERGENCY SERVICES	0		INDICATORS MUST BE IMPLEMENTED.
INFECTION CONTROL	1	RATE ABOVE THRESHOLD DURING LAST	
LABORATORY SERVICES	4	CONSISTENT SPECIMEN COLLECTION, MINIMAL VARIATIONS IN SPLIT SAMPLES NO PROBLEMS IN SPECIMEN MATCHING, TURNAROUND TIME AS REQUIRED. (4)	MONITORING AND EVALUATION ON CAUSES OF UNCLEAR LAB ORDERS.
MEDICAL STAFF			
USE OF PRIVILEGES 6 DOCUMENTATION 3		THRESHOLDS MET DURING LAST QUARTER.	CONTINUE WORK TOWARD RESOLUTION.
DRUG UTILIZATION REVIEW 5		THRESHOLDS MET/EXCEEDED IN FOUR CATEGORIES.	CONTINUE WORK TOWARD RESOLUTION. CONTINUE TO MONITOR ANTIPSYCHOTICS.
TEST UTILIZATION 7		APPROPRIATE USE INCREASED IN THIRD QUARTER; USE REDUCED 50%. NEW LAB SERVICES CONTRACTED FOR COST EFFECTIVENESS.	CONTINUE TO MONITOR AND EVALUATE.
UTILIZATION REVIEW 1		PEER REVIEW IMPLEMENTED. (14)	CONTINUE PEER REVIEWS. (7)
NURSING SERVICES	5	DOCUMENTATION OF NURSING CARE EXCEEDED THRESHOLD CONSISTENTLY; OTHER INDICATORS EXCEEDED 90% AND STEADILY MOVED TOWARD 100%. (4)	CONTINUE TO MONITOR AND EVALUATE. STAFF TRAINING AND QUALITY INDICATORS TO BE PURSUED. (1)

PASTORAL SERVICES	6	EXACT DOCUMENTATION ON INDICATORS PROVIDED ABILITY TO TAKE ACTION ON PROBLEMS; NEED FOR ADDED STAFF DOCUMENTED WITH RESULTS. (1)	MONITOR AND EVALUATE EFFECTS OF ADDITION OF STAFF; CONTINUE SELECTED INDICATORS. (5)
PHARMACY SERVICES	5	100% RECORD IN CRASH CART STOCK, AVAILABILITY OF MEDS IN FORMULARY, AND PREVENTIVE INTERVENTIONS ON MEDICATION ORDERS. (3)	CONTINUE TO MONITOR AND EVALUATE; IMPROVE MEDICATION ORDER TRANSCRIPTION AND MISSING DOSE RATE. (2)
RADIOLOGY SERVICES	2	NO RADIOLOGY ON PREGNANT PATIENTS, 100% RECORD. ALL UNJUSTIFIED ORDERS CLARIFIED. (2)	ADDITIONAL INDICATORS REQUIRED. CONTINUE AND EXPAND INTERVENTION INDICATOR.
UNIT INDICATORS	60		IMPLEMENT OR CONTINUE.
VOLUME INDICATORS	13	CLINICAL JUSTIFICATION FOR VOLUME REQUESTED AS NEEDED.	CONTINUE TO MONITOR AND EVALUATE.
SECLUSIONS			SEPARATE FROM SECLUSION; MONITOR. INDICATOR(S) MUST BE IMPLEMENTED.
RESTRAINTS			INDICATOR(S) MUST BE IMPLEMENTED.
CODE BLUE			INDICATOR(S) MUST BE IMPLEMENTED.
ELOPEMENT			INDICATOR(S) MUST BE IMPLEMENTED.
PATIENT INJURIES			INDICATOR(S) MUST BE IMPLEMENTED.
MED/TX COMPLICATIONS			INDICATOR(S) MUST BE IMPLEMENTED.
AWOL			INDICATOR(S) MUST BE IMPLEMENTED.
EQUIPMENT FAILURE/PTS			INDICATOR(S) MUST BE IMPLEMENTED.
PHYSICAL CONTACT/PTS			INDICATOR(S) MUST BE IMPLEMENTED.
VERBAL AGGRESSION/PTS			INDICATOR(S) MUST BE IMPLEMENTED.
SUICIDE ATTEMPTS			INDICATOR(S) MUST BE IMPLEMENTED.
COMPLAINTS/PT			INDICATOR(S) MUST BE IMPLEMENTED.
COMPLIMENTS/PT			INDICATOR(S) MUST BE IMPLEMENTED.
PEER REVIEWS			
CONTINUED STAY		163 PERFORMED 4TH QTR	CONTINUE TO DEVELOP/USE SYSTEM.
READMISSION REVIEW		20 PERFORMED QA YEAR	CONTINUE TO DEVELOP/USE SYSTEM.
MEDICAL RECORD REVIEW		239 PERFORMED QTRS 3,4	CONTINUE TO DEVELOP/USE SYSTEM.

HARRIS COUNTY PSYCHIATRIC CENTER QUALITY ASSURANCE PROGRAM COMPONENTS



* Monitoring and Evaluation of Quality and Appropriateness

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APPENDIX A FOR 1989-90
HARRIS COUNTY PSYCHIATRIC CENTER
QUALITY ASSURANCE INDICATOR DESCRIPTION

1. CARE AREA - Name the unit or service where this indicator will be used.

2. IMPORTANT ASPECT OF CARE - Name an aspect of clinical care that makes a difference in the patient's treatment.

3. INDICATOR - Describe in detail one element of this aspect of care that discriminates quality (include all required characteristics).

4. THRESHOLD FOR EVALUATION -

5. SOURCE OF THRESHOLD -

6. METHOD OF MONITORING - List data source, sample size, who will collect data.

7. MONITORING/EVALUATION - Name staff position responsible for monitoring, taking action and summarizing reports; reporting interval.

APPENDIX B FOR 1988-89 QA PLAN
HARRIS COUNTY PSYCHIATRIC CENTER
QUALITY ASSURANCE REPORTING CALENDAR *
1988-89

SEPTEMBER	OCTOBER	NOVEMBER	DECEMBER
<p>MONTHLY REPORTS: Medical Staff Indicators Patient Safety/Safety Comm Utilization Review/Medical Records Comm</p> <p>BIMONTHLY REPORTS: Pharmacy and Therapeutics Comm</p> <p>QUARTERLY REPORTS: Dietary Services Nursing Services Pharmacy Services</p>	<p>MONTHLY REPORTS: Medical Staff Indicators Patient Safety/Safety Comm Utilization Review/Medical Records Comm</p> <p>BIMONTHLY REPORTS: Infection Cntrl/Infection Cntrl Comm</p> <p>QUARTERLY REPORTS: Activity Therapy Services Psychology Services Clinical Social Services Child & Adolescent Services</p>	<p>MONTHLY REPORTS: Medical Staff Indicators Patient Safety/Safety Comm Utilization Review/Medical Records Comm</p> <p>BIMONTHLY REPORTS: Pharmacy and Therapeutics Comm</p> <p>QUARTERLY REPORTS: Pastoral Services Pathology and Lab Services Radiology Services Substance Abuse Services</p>	<p>MONTHLY REPORTS: Medical Staff Indicators Patient Safety/Safety Comm Utilization Review/Medical Records Comm</p> <p>BIMONTHLY REPORTS: Infection Cntrl/Infection Cntrl Comm</p> <p>QUARTERLY REPORTS: Dietary Services Nursing Services Pharmacy Services</p>
JANUARY	FEBRUARY	MARCH	APRIL
<p>MONTHLY REPORTS: Medical Staff Indicators Patient Safety/Safety Comm Utilization Review/Medical Records Comm</p> <p>BIMONTHLY REPORTS: Pharmacy and Therapeutics Comm</p> <p>QUARTERLY REPORTS: Activity Therapy Services Psychology Services Clinical Social Services Child & Adolescent Services</p>	<p>MONTHLY REPORTS: Medical Staff Indicators Patient Safety/Safety Comm Utilization Review/Medical Records Comm</p> <p>BIMONTHLY REPORTS: Infection Cntrl/Infection Cntrl Comm</p> <p>QUARTERLY REPORTS: Pastoral Services Pathology and Lab Services Radiology Services Substance Abuse Services</p>	<p>MONTHLY REPORTS: Medical Staff Indicators Patient Safety/Safety Comm Utilization Review/Medical Records Comm</p> <p>BIMONTHLY REPORTS: Pharmacy and Therapeutics Comm</p> <p>QUARTERLY REPORTS: Dietary Services Nursing Services Pharmacy Services Adult Services</p>	<p>MONTHLY REPORTS: Medical Staff Indicators Patient Safety/Safety Comm Utilization Review/Medical Records Comm</p> <p>BIMONTHLY REPORTS: Infection Cntrl/Infection Cntrl Comm</p> <p>QUARTERLY REPORTS: Activity Therapy Services Psychology Services Clinical Social Services Child & Adolescent Services</p>
MAY	JUNE	JULY	AUGUST
<p>MONTHLY REPORTS: Medical Staff Indicators Patient Safety/Safety Comm Utilization Review/Medical Records Comm</p> <p>BIMONTHLY REPORTS: Pharmacy and Therapeutics Comm</p> <p>QUARTERLY REPORTS: Pastoral Services Pathology and Lab Services Radiology Services Substance Abuse Services</p>	<p>MONTHLY REPORTS: Adult Services Child & Adolescent Services Substance Abuse Services Patient Safety/Safety Comm</p> <p>BIMONTHLY REPORTS: Infection Control Comm Medical Records Comm</p> <p>QUARTERLY REPORTS: Dietary Services Nursing Services Pharmacy Services</p>	<p>MONTHLY REPORTS: Adult Services Child & Adolescent Services Substance Abuse Services Patient Safety/Safety Comm</p> <p>BIMONTHLY REPORTS: Pharmacy & Therapeutics Utilization Review</p> <p>QUARTERLY REPORTS: Activity Therapy Services Psychology Services Clinical Social Services</p>	<p>MONTHLY REPORTS: Adult Services Child & Adolescent Services Substance Abuse Services Patient Safety/Safety Comm</p> <p>BIMONTHLY REPORTS: Infection Cntrl Comm Medical Records Comm</p> <p>QUARTERLY REPORTS: Pastoral Services Pathology and Lab Services Radiology Services</p>

* Special reports can be scheduled through the Dept. of Quality and Standards

Revised: June, 1989

QUALITY ASSURANCE NARRATIVE ANALYSIS

DATE _____ DEPARTMENT/SERVICE/COMMITTEE _____

OVERALL ANALYSIS:
INDICATOR(S):

SPECIFIC CONCLUSIONS:

ACTION:

HCPC 80148 S

HCCPC

Medical
staff
bylaws

The University of Texas, Harris County Psychiatric Center

Houston, Texas
Revised: June 1989

HARRIS COUNTY PSYCHIATRIC CENTER
 MEDICAL STAFF BYLAWS, RULES AND REGULATIONS
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BYLAWS, RULES AND REGULATIONS
OF THE
ORGANIZED MEDICAL STAFF
OF THE
THE UNIVERSITY OF TEXAS
HARRIS COUNTY PSYCHIATRIC CENTER

PREAMBLE

Pursuant to appropriation bill riders in the 67th and 68th Texas Legislatures, the Texas Department of Mental Health and Mental Retardation was appropriated funds to construct a 125-bed psychiatric hospital in Houston. Subsequently, the Harris County agreed to fund another 125 beds and have joined the project with TDMHMR. Pursuant to Senate Bill 1295 in the 69th Legislature, TDMHMR, Harris County, the Mental Health and Mental Retardation Authority of Harris County (MHMRA), and the Board of Regents of The University of Texas System were authorized to enter into leases, sublease, and operating agreement whereby the U.T. Board of Regents would manage and operate the psychiatric hospital to be named the Harris County Psychiatric Center. Such leases, subleases, and operating agreements were entered into and the U.T. Board of Regents delegated the general authority to manage the day-to-day operations of the Center to the University of Texas Health Science Center at Houston, (UTHSCH). The U.T. Board of Regents also agreed that The University of Texas System and The University Science Center at Houston, consistent with the Rules and Regulations of the Board, would manage and operate the hospital through a Director appointed by the Board. Under the operating agreement, the Director "shall be the chairman of the U.T. Health Science Center's Department of Psychiatry or a person nominated by the Chairman and recommended by the President" of the Health Science Center to the Board of Regents.

The management objective is to fulfill the mission of the Harris County Psychiatric Center. As provided in the operating agreements: The Texas Board of Mental Health and Mental Retardation on behalf of TDMHMR, the Commissioner's Court on behalf of Harris County, the Board of Trustees of MHMRA on behalf of MHMRA, and the Board of Regents recognize and agree that the Harris County Psychiatric Center has been established with the mission of caring for mentally ill persons of Harris County and with the uniquely special and additional missions of The University of Texas Health Science Center at Houston of conducting research into causes and cures of mental illness and the education of professionals in the care of the mentally ill, all in accordance with the legislative intent of S.B. 1295. The Harris County Psychiatric Center shall be operated pursuant to the provisions of laws, including S.B. 1295, and any amendments thereto; any riders to General Appropriations

Acts of the Legislature, ...the Rules and Regulations of the Board of Regents of the University of Texas System; and the Harris County Psychiatric Center Admission, Discharge, and Transfer Policy Statement, and any amendments thereto..." (HCPC Leases, Sublease, and Operating Agreement, December 5, 1985)

The Medical Staff of the Harris County Psychiatric Center is responsible for the quality of medical care and treatment rendered and must assume this responsibility subject to the bylaws, and the Rules and Regulations of the Board of Regents of The University of Texas System, the governing body of the hospital. The cooperation of organized Medical Staff, the Hospital Administration, and the Board of Regents is necessary in order to fulfill the HCPC's obligation to its patients in providing patient care, education and research.

The physicians practicing in the Harris County Psychiatric Center hereby organize themselves in conformity with these bylaws, and rules and regulations hereinafter stated.

ARTICLE I
NAME

The name of this organization is the Medical Staff of the Harris County Psychiatric Center (herein referred to as the "Medical Staff.")

ARTICLE II
ORGANIZATION SUMMARY

ARTICLE II - PART A: ORGANIZATION SUMMARY:

The Medical Staff is an organizational Unit of the Harris County Psychiatric Center (HCPC) to which physicians may be appointed to Membership by the U.T. Board of Regents. An "Organization Summary" prepared by the HCPC for distribution to new Applicants and Appointees is attached as Appendix "A" and contains a general description of (a) the interrelationships among the HCPC, the Medical Staff, and the various Units thereof, (b) of the Medical Staff's organization and procedures as established by these Bylaws, and (c) the policies of the HCPC relating to medical practice.

ARTICLE III
PURPOSES

ARTICLE III - PART A: PURPOSES

The purposes of the Medical Staff are:

- (a) To ensure that all patients treated in any service of the HCPC shall receive care, regardless of race, ethnicity, religion, creed, sex, sexual orientation, age, national origin, physical handicap, or other basis protected by law, or ability to pay;
- (b) To strive for quality patient care through an ongoing, objective review and evaluation of the collective care provided, and each member's performance in the delivery of that care;
- (c) To provide an appropriate educational and scientific setting to promote continuous advancement in professional knowledge into the causes and cures of mental illnesses, and the education of professionals in the care of the mentally ill;
- (d) To provide a mechanism for affording consultation to the governing body in defining the services which may be made available within the HCPC, and the privileges which may be awarded for the provision of such services;
- (e) To provide a mechanism by which physicians participate in the overall planning process of the HCPC, particularly with respect to the identification of community needs and the appropriate role for the HCPC in meeting such needs;
- (f) To provide a mechanism for the submission of recommendations to the governing body concerning the qualifications for specific privileges, if any, which practitioners may be appropriately awarded for the provision of approved services within the HCPC;
- (g) To provide a mechanism for the development of standards of care, for the acquaintance of physicians with such standards of care, for the monitoring of the performance of physicians in relation to such standards of care and for the institution of corrective action with respect to deviations of such persons from such standards of care, with the safety and interests of patients taking precedence over all other concerns; and
- (h) To provide a means whereby issues concerning the organized Medical Staff and the hospital may be discussed by representatives of the organized

Medical Staff, U.T. Board of Regents, and hospital administration.

ARTICLE IV
DEFINITIONS

ARTICLE IV - PART A: DEFINITIONS

(a) The following definitions shall apply to terms used in these bylaws:

"Board" means the Board of Regents of The University of Texas System which has been mandated to serve as the governing body of HCPC pursuant to Senate Bill 1295, Acts of the Sixty-Ninth Legislature.

"Child and Adolescent care" shall be defined as the examination, diagnosis, and treatment of psychiatric disorders as defined in "Disorders Usually First Evident in Infancy, Childhood or Adolescence," Diagnostic and Statistical Manual of Mental Disorders, Third Edition, Revised, or any subsequent additions, as applied to children through the age of 18 or developmentally delayed patients whose primary diagnosis matches those DSM-IIIR criteria.

"Dean" means the Dean of the UTHSCH-MS.

"Director" means the chief executive officer of the HCPC who is appointed by the U.T. Board of Regents to manage and operate the Harris County Psychiatric Center consistent with the Rules and Regulations of the Board. The Director is referred to in the 1985, HCPC Leases, Subleases, and Operating Agreement.

"Executive Committee" means the Executive Committee of the Medical Staff.

"Governing Body" means the Board of Regents of the University of Texas System.

"HCPC" means the Harris County Psychiatric Center, Houston, Texas.

"Hospital Administration" means the Director, the Administrative Director, and the Medical Director, and their designees.

"JCAHO" means the Joint Commission on Accreditation of Healthcare Organizations.

"Leases, Sublease, and Operating Agreement" means those leases, sublease, and operating agreement entered into by the Texas Department of Mental Health and Mental Retardation, Harris County, the Mental Health and Mental Retardation Authority of Harris County, and the U.T. Board of Regents pursuant to Senate Bill 1295, Acts of the 69th Legislature of the state of Texas.

"Medical Director" means that Medical Staff physician appointed by the Director to fulfill medico-administrative duties as delegated by the Director.

"Medical Staff" means all physicians who are credentialed and privileged to treat patients in one or more clinical departments of the hospital;

"Office of General Counsel" or OGC means the Office of General Counsel of the University of Texas System.

"Office of Health Affairs" means the Office of Health Affairs of the Chancellor's Office of UTS.

"Physician" shall be interpreted to be an individual who has received a doctorate of medicine and who currently holds a full license or permit issued by the State of Texas to practice medicine.

"Psychologist" shall be interpreted to be an individual who has received a doctorate in psychology and who is currently fully licensed by the State of Texas to practice psychology.

"Psychiatric Disorders" are those conditions which are defined in the Diagnostic and Statistical Manual of Mental Disorders, Third Edition, Revised, or any subsequent editions.

"Psychiatric Care" is the examination, diagnosis, and treatment of psychiatric disorders.

"President" means the President of the Medical Staff.

"Substance Abuse care" shall be defined as the examination, diagnosis, and treatment of psychiatric disorders as defined in the Diagnostic and Statistical Manual of Mental Disorders, Third Edition, Revised, in the subset of numerical code listings from code 291.00 through code 292.90, and related codes in any subsequent edition of the manual.

"UTHSCH" means The University of Texas Health Science Center at Houston.

"UTHSCH-MS" means The University of Texas Health Science Center at Houston Medical School.

"UTS" means The University of Texas System Administration.

- (b) Whenever a personal pronoun is used, it shall be interpreted to refer to persons of either gender.

ARTICLE V
STATUS AND CATEGORIES OF THE MEDICAL STAFF

All appointments to the Medical Staff shall be made by the Board, and shall include status and category in the clinical department of appointment.

ARTICLE V - PART A: ACTIVE STAFF STATUS

The Active Staff shall consist of those physicians who desire appointment to the Active Staff, and who are members of the full-time or part-time UTHSCH-MS salaried faculty of the Department of Psychiatry and Behavioral Sciences/~~psychiatric/faculty~~, and who meet the credentialing and privileging criteria for the requested Medical Staff clinical department as defined elsewhere in policies approved by the Executive Committee of the Medical Staff. Each appointee to the Active Staff shall agree to assume all the functions and responsibilities of appointment to the Active Staff, including, where appropriate, service patients, emergency service care, consultation, teaching assignments, research assignments, and administrative duties. Active Staff appointees shall be entitled to admit and discharge patients, examine, diagnose, order treatment, chart, consult, refer, and otherwise manage inpatient psychiatric care, to vote, to hold office (when applicable), serve on Medical Staff committees, and to serve as chairman of such committees. They shall be required to attend Medical Staff meetings. Each Active Medical Staff member shall be appointed to one of the Active Staff Categories as defined in Article V, Part A, Sections 1, 2, and 3.

Article V - Part A:

Section 1. Active Staff Category I:

Category I appointments to the Active Medical Staff are provisional appointments, approved for all Active Medical Staff members for the first year of their appointment. The practice of Category I Active Staff shall be proctored during the one year provisional period pursuant to proctoring policies and procedures approved by the Executive Committee. Category I staff may not serve as proctors. Category I Staff are expected to seek consultation from Affiliate Staff or

from Category III Active Staff or as otherwise provided for in these Bylaws, Rules and Regulation in the diagnosis and treatment of complicated non-psychiatric, medical and surgical conditions.

Article V - Part A:

Section 2. Active Staff Category II:

Category II appointments to the Active Medical Staff are nonprovisional appointments, not requiring proctoring unless required by the Chief of the clinical department in order to evaluate an Active Staff member's current competency for specific clinical privileges requested by the Active Staff member. Category II Active Staff may serve as proctors. Category II Staff are expected to seek consultation from Affiliate Staff or from Category III Active Staff or as otherwise provided for in these Bylaws, Rules and Regulation in the diagnosis and treatment of complicated non-psychiatric, medical and surgical conditions.

Article V - Part A:

Section 3. Active Staff Category III:

Category III appointments to the Active Medical Staff are nonprovisional appointments, not requiring proctoring unless required by the Chief of the clinical department in order to evaluate an Active Staff member's current competency for specific clinical privileges requested by the Active Staff member. Category III applicants to the Medical Staff must hold certification from a specialty or subspecialty board recognized by the American Board of Medical Specialties in an area other than or in addition to psychiatry. Category III Active Staff may serve as proctors and may provide specialty and subspecialty consultation in their area of certification to Category I and II Active Staff. Category III Staff are expected to seek consultation from Affiliate Staff or from Category III Active Staff or as otherwise provided for in these Bylaws, Rules and Regulation in the diagnosis and treatment of complicated non-psychiatric, medical and surgical conditions outside their specialty or subspecialty area of board certification.

ARTICLE V - PART B: COURTESY STAFF STATUS

The Courtesy Staff shall consist of those physicians who desire appointment to the Courtesy Staff, and who are salaried faculty members of the UTHSCH-MS Department of Psychiatry and Behavioral Sciences ~~psychiatric/faculty~~. Courtesy Staff appointees shall agree to assume all the functions and responsibilities of appointment to the Courtesy Staff, including, where appropriate, service patients, emergency service care, consultation, teaching assignments, and research assignments. Courtesy Staff appointees shall be entitled to admit and discharge, examine, diagnose, order treatment, chart, consult, refer, and otherwise

manage inpatient psychiatric care, to serve on Medical Staff committees, but shall not vote. Members of the Courtesy Staff are expected to seek consultation from Affiliate Staff or Category III Active Staff or as otherwise provided for in these Bylaws, Rules and Regulations in the diagnosis and treatment of complicated, non-psychiatric, medical and surgical conditions. The Courtesy Staff are encouraged, but not required to attend Medical Staff meetings.

ARTICLE V - PART C: AFFILIATE STAFF STATUS

The Affiliate Staff shall consist of physician specialists who are members of the UTHSCH-MS faculty, with or without salary, and who are appointed to HCPC for the specific purpose of providing consultation in the diagnosis and treatment of patients, examination of patients, treatment of patients, and the administration of clinical services. Appointment to the Affiliate Staff does not entitle the appointees to admit patients, to vote or to hold staff offices. Such appointees may serve on Medical Staff committees, but are not required to attend staff meetings.

ARTICLE VI
ORGANIZATION OF THE MEDICAL STAFF

ARTICLE VI - PART A: GENERAL

Section 1. Medical Staff Year:

For the purpose of these bylaws the Medical Staff year commences on the 1st day of September and ends on the 31st day of August each year.

ARTICLE VI - PART B: OFFICERS OF THE MEDICAL STAFF

The officers of the Medical Staff shall be the President of the Medical Staff, the Vice President of the Medical Staff, and committee chairmen. The Medical Director shall be the President of the Medical Staff. The Vice President shall be a physician elected from among the Active Staff Appointees. Failure to maintain such status shall immediately create a vacancy in the office involved.

ARTICLE VI - PART B:

Section 1. The President of the Medical Staff

The President of the Medical Staff shall:

- (a) act on behalf of the Medical Staff as its chief spokesman and shall coordinate and cooperate with Hospital Administration in matters of mutual concern involving the hospital;
- (b) call, preside at and be responsible for the agenda of all regular meetings of the Medical Staff and of the Executive Committee of the Medical Staff;
- (c) appoint committee chairmen and members to all standing and special Medical Staff committees;

- (d) serve as ex officio member of all Medical Staff committees other than the Executive Committee, without vote;
- (e) represent the views, policies, needs and grievances of the Medical Staff and report on the medical activities of the staff to the Hospital Administration, the Director, and the President of UTHSCH; and
- (f) receive the policies of the Board and present them to the Medical Staff and report to the Director and the President of UTHSCH on the performance and maintenance of quality with respect to the delegated responsibility of the Medical Staff to provide medical care.

ARTICLE VI - PART B:

Section 2. Vice President of the Medical Staff:

The Vice President of the Medical Staff shall:

- (a) assume all the duties and have the authority of the President of the Medical Staff in the event of the President's temporary inability to perform due to illness, being out of the community or being unavailable for any other reason;
- (b) serve as chairman of the Clinical Administrative Committee Executive/Committee of the Medical Staff;
- (c) perform such duties as are assigned to him by the President.

ARTICLE VI - PART B:

Section 3. Terms of Appointed Officers:

- (a) President of the Medical Staff. The appointment of the President of the Medical Staff shall continue unless and until the Director withdraws his approval.
- (b) Vice President of the Medical Staff. The Vice President of the Medical Staff shall be elected by the Active Medical Staff annually.

ARTICLE VI - PART B:

Section 4. Removal of Officers:

Officers of the Medical Staff serve at the pleasure of the President of the Medical Staff who may remove any Medical Staff officer with or without cause. Such removal will be effective when approved by the Director.

ARTICLE VI - PART B:

Section 5. Vacancies in Office:

If there is a vacancy in the office of the President of the Medical Staff prior to the expiration of the President's term, the Director may appoint the Vice President of the Medical Staff or another Medical Staff member to assume the duties and authority of the President of the Medical Staff for the remainder of the unexpired term. If there is a vacancy in any other office, the President of the Medical Staff shall appoint another Active Staff appointee to serve out the

remainder of the unexpired term. Such appointment will be effective when approved by the Director.

ARTICLE VI - PART C: MEETINGS OF THE MEDICAL STAFF

Section 1. Regular Staff Meetings:

The Medical Staff shall hold regular meetings monthly during each year, on dates set at the beginning of the Medical Staff year by the President of the Medical Staff, for the purpose of reviewing and evaluating the quality and appropriateness of services, committee reports, and recommendations, and to act on any other matters placed on the agenda by the President of the Medical Staff.

ARTICLE VI - PART C: MEETINGS OF THE MEDICAL STAFF

Section 2. Annual Staff Meeting:

The Medical Staff shall hold an annual staff meeting in September of each year, on a date set by the President of the Medical Staff, for the purpose of reviewing and evaluating service and committee reports and recommendations, election and appointment of officers for the ensuing year, and acting on any other matters placed on the agenda by the President on the Medical Staff.

ARTICLE VI - PART C:

Section 2. Special Staff Meetings:

Special meetings of the Medical Staff may be called at any time by the Board, the President of UTHSCH, the President of the Medical Staff, a majority of the Executive Committee or a petition signed by not less than one-fourth of the voting staff. In the event that it is necessary for the staff to act on a question without being able to meet, the voting staff may be presented with the question by mail and their votes returned to the President of the Medical Staff by mail. Such a vote shall be binding so long as the question is voted on by a majority of the staff eligible to vote.

ARTICLE VI - PART C:

Section 3. Quorum:

The presence of twenty-five percent of the active staff of the HCPC eligible to vote shall constitute a quorum for any regular or special meeting of the Medical Staff. This quorum must exist for any action to be taken. A quorum once having been found, the business of the meeting may continue and all actions taken shall be binding even though less than a quorum exists at a later time in the meeting.

ARTICLE VI - PART C:

Section 4. Agenda:

The agenda at any regular Medical Staff meeting shall be, at the discretion of the President of the Medical Staff:

- (a) Call to order
- (b) Acceptance of the minutes of the last regular and of all intervening special meetings of the Medical

Staff and the minutes of the Medical Staff Executive Committee since the last meeting of the Medical Staff

- (c) Report from the Board
- (d) Report of The Joint Conference Committee
- (e) Report of the President of UTHSCH
- (f) Report from the Director
- (g) Report from Hospital Administration
- (h) Report of The President of the Medical Staff
- ~~(i) Report of the Clinical Administrative Committee~~
- ~~(j) Committee Reports~~
- ~~(k) Review of Quality Assurance activities~~
- (i) Unfinished business
- (j) New business
- (k) Adjournment

All important actions of the Executive Committee shall be included in the President's report to the Medical Staff at any regular or any special meeting called for this purpose.

ARTICLE VI - PART D: COMMITTEE MEETINGS

Section 1. Committee Meetings:

All committees of the Medical Staff shall meet at least quarterly, unless otherwise specified, at a time set by the chairman of the committee. The agenda for the meeting and its general conduct shall be set by the chairman.

ARTICLE VI - PART D:

Section 2. Special Committee Meetings:

- (a) A special meeting of any committee may be called by or at the request of the chairman or by the President of the Medical Staff, or by a petition signed by not less than one-fourth of the members of the committee.
- (b) In the event that it is necessary for a committee to act on a question without being able to meet, the voting members may be presented with the question, in person or by mail, and their vote returned to the chairman of the committee. Such a vote shall be binding so long as the question is voted on by a majority of the committee.

ARTICLE VI - PART D:

Section 3. Quorum:

The presence of twenty-five percent of the total membership of the committee eligible to vote at any regular or special meeting shall constitute a quorum for all actions. A quorum once having been found, the business of the meeting may continue and all actions taken shall be binding even though less than a quorum exists at a later time at the meeting.

ARTICLE VI - PART D:

Section 4. Minutes:

Minutes of each meeting of each committee shall be prepared and shall include a record of the attendance of members, of the recommendations made, of the action

taken on each matter, and the time of adjournment. The minutes shall be signed by the presiding officer and copies thereof shall be promptly forwarded to the Executive Committee and to any committee otherwise specified elsewhere in these bylaws. Each committee shall maintain a permanent file of the minutes of each of its meetings in the office of the Director of Quality and Standards of the HCPC.

ARTICLE VI - PART E: PROVISIONS COMMON TO ALL MEETINGS

Section 1. Notice of Meetings:

Notice of all special meetings of the Medical Staff and committees shall be made by memorandum at least five days in advance of such meetings. Such notice shall be deemed to constitute actual notice to the persons concerned. The attendance of any individual at any meeting shall constitute a waiver of that individual's notice of said meeting.

ARTICLE VI - PART E:

Section 2. Attendance Requirements:

- (a) Each Active Staff appointee shall be required to attend the annual meeting of the Medical staff unless excused in writing by the Medical Director and at least fifty percent of all special ~~regular~~ Medical Staff meetings and applicable committee meetings in each year, but is expected to attend all meetings. Each Active Staff who serves as an attending physician as hereinafter defined in the Medical Staff Rules and Regulations shall be expected to attend all regular monthly meetings of the Medical Staff clinical department to which appointed, but is required to attend at least fifty percent of all such meetings. The failure of any person to meet the foregoing regular staff meeting and other attendance requirements shall constitute cause for review by the Executive Committee for consideration of disciplinary action. Reinstatement of an appointment that has been relinquished because of absence from the required number of staff meetings shall be made only upon application, and all such applications shall be processed in the same manner as applications for initial appointment ~~privileges~~.
- (b) Any person appointed to the Medical Staff whose clinical work is scheduled for discussion at a regular review meeting shall be so notified and shall be expected to attend such meeting. If such individual is not otherwise required to attend the meeting, the chairman shall give him advance written notice of the time and place of the meeting at which his attendance is expected.
- (c) The chairman of the applicable committee shall notify the Executive Committee of the failure of an individual to attend any meeting with respect to which a Medical Staff member's attendance is

required in accordance with (b) above. Unless excused by the Executive Committee upon showing of good cause, such failure shall result in an automatic suspension of all or such portion of the individual's privileges as the Executive Committee may direct. Such suspension shall remain in effect until the matter is resolved. In all other cases, if the individual shall make a timely request for postponement supported by an adequate showing that his absence will be unavoidable, the presentation may be postponed by the chairman or by the Executive Committee if the chairman is the individual involved, until not later than the next regularly scheduled meeting. Otherwise, the pertinent clinical information shall be presented and discussed as scheduled.

- (d) Persons appointed to the Courtesy and Affiliate categories of the Medical Staff shall be expected to attend and participate in staff and committee meetings unless unavoidably prevented from doing so but shall not be required to do so as a condition of continued staff appointment.

ARTICLE VI - PART E:

Section 3. Rules of Order:

Wherever they do not conflict with these Bylaws, the current, revised Robert's Rules of Order shall govern all meetings and elections.

ARTICLE VI - PART E:

Section 4. Voting:

Any individual who, by virtue of position, attends a meeting in more than one capacity shall be entitled to only one vote.

ARTICLE VII
CLINICAL SERVICES

ARTICLE VII - PART A: CLINICAL SERVICES

Section 1. Organization:

- (a) Until //such //time //as //clinical //services //are departmentalized //at //the //HCPC, //the //ongoing monitoring//and//evaluation//of//the//quality//and appropriateness//of//the//care//and//treatment//provided to //patients //within //the //HCPC //will //be //formally reported //to //the //Medical //Staff //as //a //whole //at //the regularly //scheduled //monthly //meetings //by //the Clinical-Administrative //Committee //which //will develop //quality //and //appropriateness //monitors //in cooperation //with //the //areas //of //care //Chiefs. //Reports will //cover //the //following //areas //of //care:

Clinical services are departmentalized at HCPC. Medical Staff clinical departments at HCPC include the following:

- (a) Adult Psychiatric Service Care

- (b) Child/Adolescent Psychiatric Service Care
- (c) Substance Abuse Service Care
- (b) The ~~///Clinical/Administrative///~~ Committee ~~///~~ shall consist ~~///of///~~ Medical ~~///Staff///~~ and ~~///administrative~~ representatives ~~/as/hereinafter/defined/in/later~~ sections ~~/of/these/bylaws/~~. The Medical Staff clinical departments committee shall review hospital quality assurance and quality and appropriateness activities and shall report to the regularly scheduled monthly meeting of the Clinical Administrative Committee Medical Staff, pursuant to Section 33 of the Leases, Sublease, and Operating Agreement, in regard to the implementation of a Quality Assurance Program.

ARTICLE VII - PART A:

Section 2. Review of Care:

- (a) The medical care within each Medical Staff clinical department ~~area/of/care~~ shall be evaluated on a retrospective, concurrent and/or prospective basis for the primary purpose of quality assurance and to contribute to the continuing education of the members of the Medical Staff in that Medical Staff clinical department ~~area/of/care~~. The organization, scope, mechanisms, and objectives of the quality assurance program shall be described in a written, current quality assurance plan that is available to all Medical Staff members as hereinafter provided for.
- (b) Each clinical department shall hold monthly meetings to consider findings from the ongoing monitoring and evaluation of the quality and appropriateness of the care and treatment provided to patients. A record that includes the resultant conclusions, recommendations, and actions taken shall be maintained.
- (c) In discharging these functions, a report shall be prepared for the Clinical Administrative Committee by the chief of each Medical Staff clinical department ~~area/of/care~~ on each department ~~area~~ ~~of///care~~ and presented to the Clinical Administrative Committee ~~Medical/Staff/as/a~~ whole on a monthly basis detailing the analysis of patient care, and to the Executive Committee whenever further investigation and appropriate action involving any individual practicing in the department ~~area/of/care~~ are indicated. Summary reports shall be filed with the President of the University of Texas Health Science Center.

ARTICLE VII - PART A:

Section 3. Chiefs:

- (a) The Director shall appoint a chief for each Medical Staff clinical department ~~area of/care~~ who shall be an appointee to the Active Staff and who

- is qualified by training and administrative ability for the position.
- (b) The Director shall appoint a chief for the psychology service who shall be a full-time faculty member in the UTHSCH-MS Department of Psychiatry and Behavioral Sciences. The chief of psychology services shall serve on the Clinical Administrative Committee and shall be responsible for monitoring and evaluating the quality and appropriateness of psychology services and reporting to the Clinical Administrative Committee at least quarterly.
 - (c) Removal of a chief during his term of appointment may be effected by the Director.

ARTICLE VII - PART A:

Section 4. Functions of Chiefs of Medical Staff Clinical Departments:

Each chief shall:

- (a) Be responsible for the organization of all activities and for the general administration of the Medical Staff clinical department area/of care;
- (b) Be a member of the Executive Committee, giving guidance on the overall medical policies of the hospital and making specific recommendations and suggestions regarding patient care in the Medical Staff clinical department area/of care;
- (c) Review the professional performance of all individuals with clinical privileges in the clinical department area/of care and report and recommend thereon to the Executive Committee Credentials/Committee as part of the reappointment process and at such other times as may be indicated;
- (d) Be responsible for enforcement within the clinical department area/of care of the hospital policies and procedures and the Medical Staff bylaws, rules and regulations;
- (e) Be responsible for implementation within the clinical department area/of care of actions taken by the Board, the President of UTHSCH, the Director, the President of the Medical Staff and the Executive Committee;
- (f) Make recommendations to the Executive Committee Credentials/Committee concerning the appointment, reappointment and delineation of clinical privileges for all applicants seeking privileges in the clinical department area/of care while considering peer recommendations from Medical Staff for Medical Staff applicants and peer recommendations from the Chief of the Psychology Service for psychology applicants;
- (g) Be responsible for the establishment, implementation and effectiveness of the teaching,

- education and research program in the clinical department area;
- (h) Assure that the quality and appropriateness of patient care provided within the department are monitored and evaluated;
 - (i) Recommend to the Executive Committee qualifications for privilege delineation in the clinical department;
 - (j) Recruit and assign Medical Staff members in the clinical department in consultation with the Medical Director;
 - (k) Communicate actions of the Executive Committee to the clinical department and communicate needs of the clinical department to the Executive Committee;
 - (l) Report and recommend to Hospital Administration when necessary with respect to matters affecting patient care in the clinical department area, including personnel, supplies, special regulations, protocols and techniques;
 - (m) Assist the Hospital Administration in the preparation of annual reports and such budget planning pertaining to the clinical department area as may be required by the Hospital Administration, the President of UTHSCH, or the Board;
 - (n) Appoint a vice chief when appropriate; and
 - (o) Delegate to a vice chief of the clinical department area such duties as he deems appropriate.

ARTICLE VIII
COMMITTEES OF THE MEDICAL STAFF

ARTICLE VIII - PART A: APPOINTMENT

Section 1. Chairmen:

- (a) Appointment of all committee chairmen, unless otherwise provided for in these bylaws, will be approved by the President of the Medical Staff. All chairmen shall be selected from among persons appointed to the Active Staff.
- (b) Such appointments will be made by the President of the Medical Staff to begin with the Medical Staff year.

ARTICLE VIII - PART A:

Section 2. Members:

- (a) Members of each committee, except as otherwise provided by these bylaws, shall be appointed yearly by the President of the Medical Staff not more than 10 days after the end of the Medical Staff year, with no limitation in the number of terms they may serve. All appointed members may

- be removed and vacancies filled by the President of the Medical Staff at his discretion.
- (b) The Hospital Administration and the President of the Medical Staff or their respective designees shall be members, ex officio without vote, unless specifically named as a committee member, on all committees.

ARTICLE VIII - PART B: EXECUTIVE COMMITTEE

Section 1. Composition:

- (a) The Executive Committee shall consist of the officers of the Medical Staff, ~~and~~ the chief of each ~~Medical staff clinical department area / of care~~ and ~~two other~~ members at large appointed from the Active Staff by the President of the Medical Staff.
- (b) The Executive Committee members at large shall be appointed by the President of the Medical Staff at the annual Medical Staff meeting. The at-large members shall be eligible for reappointment.
- (c) The ~~Vice~~ President of the Medical Staff shall be chairman of the Executive Committee.
- (d) The Director shall attend, and Hospital Administration and a representative of the Board may attend, meetings of the Executive Committee and participate in its discussions, but without vote.
- (e) An Assistant Administrative Director and the Director of Quality and Standards shall serve as staff to the Executive Committee for purposes of facilitating credentialing, privileging, and peer review but may not vote.

ARTICLE VIII - PART B:

Section 2. Duties:

The duties of the Executive Committee shall be:

- (a) to represent and to act, without requirement of subsequent approval, on behalf of the Medical Staff, in all matters between meetings of the Medical Staff, subject only to any limitations imposed by these bylaws;
- (b) to coordinate the activities and general policies of the various ~~units~~ **clinical departments**;
- (c) to receive and act upon Medical Staff committee and other unit reports, and to make recommendations concerning them to Hospital Administration, the President of the Health Science Center; and the Joint Conference Committee;
- (d) to implement policies of the Medical Staff which are not the responsibility of the chiefs;
- (e) to recommend action to the President of the Medical Staff on matters of a medico-administrative and hospital management nature;

- (f) to ensure that the Medical Staff is kept abreast of the Joint Commission on Accreditation of Healthcare Organizations accreditation program, Medical Staff requirements for compliance with regulatory and accrediting agency standards, and informed of the accreditation status of the hospital;
- (g) to take steps to ensure the enforcement of hospital and Medical Staff rules in the best interest of patient care and of the hospital on the part of all persons who hold appointment to the Medical Staff, and to make recommendations to the President of UTHSCH on actions described in ARTICLE XI (HEARING AND APPEAL PROCEDURES);
- (h) to review situations involving questions of the clinical competence, patient care and treatment or case management of any persons who hold appointments to the Medical Staff;
- (i) to be responsible to the Board for the general quality of medical care rendered to patients in the hospital;
- (j) to review the bylaws, rules and regulations of the Medical Staff at least once a year and recommend such changes thereto as may be necessary or desirable;
- (k) to determine minimum continuing education requirements for appointees to the staff; and
- (l) to make a report to the President of the Health Science Center on each applicant for Medical Staff appointment and clinical privileges.

In any instance where a member of the Executive Committee has a conflict of interest in any matter involving another Medical Staff applicant or appointee which comes before the Executive Committee, or in any instance where a member of the Executive Committee brought the complaint against that appointee, that member shall not participate in the discussion or vote on the matter and shall be absent himself from the meeting during that time, although he may be asked and may answer any questions concerning the matter before leaving.

The chairman of the Executive Committee, his representative and such members of his committee as may be necessary shall be available to meet with the Board of Regents on all recommendations that the Executive Committee may make. Between meetings of the Executive Committee, an ad hoc committee composed of the officers of the staff and the Director shall be empowered to act in situations of urgent or confidential concern where not prohibited by these bylaws.

ARTICLE VIII - PART B:

Section 3. Meetings, Reports and Recommendations:

The Executive Committee shall meet at least once each month or more often if necessary to transact pending

business. The Quality and Standards Department will maintain reports of all meetings, which reports shall include the minutes of the various committees of the staff. Copies of all minutes and reports of the Executive Committee shall be transmitted to the President of the Medical Staff routinely as prepared, and important actions of the Executive Committee shall be reported to the staff as a part of the Report of the President of the Medical Staff at each regular staff meeting. Recommendations of the Executive Committee shall be transmitted through the Director to the President of the Health Science Center who may transmit them to the Board. Recommendations of the Executive Committee not so transmitted to the Board of Regents may, at the direction of the Executive Committee, be transmitted by the Chairman of the Executive Committee to the Joint Conference Committee.

ARTICLE/VIII//PART/C//CREDENTIALS/COMMITTEE

Section/1//Composition/

////The/Credentials/Committee/shall/consist/of/at/least
three/physicians/who/are/appointees/to/the/Active
Staff,/one/of/which/will/be/appointed/Chairman/by/the
President/of/the/Medical/Staff////The/Director/of
Quality/and/Standards,/and/an/Assistant/Administrative
Director/shall/serve/as/staff/to/the/committee/and/be
responsible/for/maintenance/of/the/Credentialing/files/

ARTICLE/VIII//PART/C/

Section/2//Duties/

////The/duties/of/the/Credentials/Committee/shall/be/

////(a)//to/review/the/credentials/of/all/applicants,/to
make/such/investigations/of/and/interview
applicants/as/may/be/necessary,/and/to/make
recommendations/for/appointment/and/delineation/of
clinical/privileges/in/compliance/with/these
bylaws/

////(b)//to/make/a/report/to/the/Executive/Committee/on
each/applicant/for/Medical/Staff/appointment/and
clinical/privileges/

////(c)//to/review/as/questions/arise/all/information
available/regarding/the/professional/and/clinical
competence/of/persons/currently/appointed/to/the
Medical/Staff,/their/care/and/treatment/of
patients/and/case/management,/and/as/a/result/of
such/review/to/make/recommendations/to/the
Executive/Committee/for/the/granting,/reduction/or
withdrawal/of/promotions,/privileges/
reappointments,/and/changes/in/the/assignment/of
appointees/to/the/various/areas/of/care/within/the
HCP/

////(d)//to/review/reports/concerning/the/clinical
privileges/of/Medical/Staff/appointees/referred/by
any/other/Medical/Staff/function,/the/President/of
the/Medical/Staff,/Hospital/Administration/or/the

Board and to make such recommendations as provided by these bylaws.

//////In any instance where a member of the Credentials Committee has a conflict of interest in any matter involving an applicant or appointee to the staff which comes before the Credentials Committee that member shall not participate in the discussion or voting on the matter and shall be absent himself from the meeting during that time, although he may be asked and answer any questions concerning the matter before leaving.
//////The chairman of the Credentials Committee, the chairman's representative or such members of the committee as are deemed necessary shall be available to meet with the Executive Committee on all recommendations that the Credentials Committee may make.

ARTICLE VIII - PART C:

Section 3. Meetings, Reports and Recommendations:

//////The Credentials Committee shall be appointed and convene as often as necessary to accomplish its duties and shall maintain a permanent record of its proceedings and shall report its recommendations to the Executive Committee.

ARTICLE VIII - PART C: JOINT CONFERENCE COMMITTEE

Section 1. Composition:

The Joint Conference Committee shall consist of the President of the Medical Staff, the Director, the Executive Vice Chancellor for Health Affairs, and the President of the UTHSCH. The HCPC Administrative Director and the Dean of UTHSCH-MS shall serve as ex-officio members without vote. The chairman shall be the Executive Vice Chancellor for Health Affairs.

ARTICLE VIII - PART C:

Section 2. Duties:

The Joint Conference Committee shall be a forum for the discussion of matters of hospital policy and practice, especially those pertaining to patient care and compliance with JCAHO accreditation requirements, and shall provide medico-administrative liaison with the Medical Staff, the Board and Hospital Administration. The committee shall perform such duties as shall be given it by the Board and shall also have the following specific duties:

- (a) It may make recommendations to the President of the Health Science Center regarding any communications, requests or policy recommendations presented by the Medical Staff or the Hospital Administration.
- (b) It shall receive and consider reports on the work of the Medical Staff and make such recommendations to the Board as the committee considers to be in the best interest of the hospital.

- (c) It shall receive and consider issues that may arise in the planning and management of the Medical Staff including, but not limited to: development, implementation, and evaluation of a detailed plan for emergency preparedness.
- (d) The Joint Conference Committee shall be responsible for acquisition and maintenance of JCAHO accreditation and shall periodically receive reports on the hospital status concerning compliance with JCAHO requirements and recommendations to resolve any conditions of non-compliance.

ARTICLE VIII - PART C:

Section 3. Meetings, Reports and Recommendations:

The Joint Conference Committee shall meet at least three times each year or more often as deemed necessary to conduct business and shall transmit written reports of its activities to the President of the Health Science Center, Health Affairs Office, the Board, and the Executive Committee.

ARTICLE VIII - PART D: CLINICAL ADMINISTRATIVE COMMITTEE

Section 1. Composition:

The Clinical Administrative Committee shall consist of the President of the Medical Staff (the Medical Director of the hospital), Vice President of the Medical Staff, the chiefs of the Medical Staff clinical departments, the chief of the psychology service, the Administrative Director or his designee, and an Assistant Administrative Director. The HCPC Director of Quality and Standards, the HCPC Chief post-graduate medical resident, and the UTHSCH-MS Department of Psychiatry and Behavioral Sciences Post-graduate Medical Education Director shall be ex-officio members without a vote. The Vice-President of the Medical Staff shall serve as chairman of the Clinical Administrative Committee.

Section 2. Duties:

- (1) Develop and recommend to the Executive Committee, a hospital-wide quality assurance plan to coordinate, supplement, and review the quality assurance activities of units of the Medical Staff and HCPC. The quality assurance plan shall include the mechanisms for defining and reviewing Medical Staff indicators, the functions of utilization review, infection control, safety, pharmacy and therapeutics, and peer review, and support service quality and appropriateness review including that of psychological services. The Clinical Administrative committee, further, shall:
 - (a) Supervise the quality assurance program implemented pursuant to such plan;
 - (b) Receive, review, and act upon those quality assurance reports brought before it;

- (c) At least annually, review the objectives, scope, organization, and effectiveness of the quality assurance plan and recommend revisions; and
- (d) Act in such matters as may be assigned to it by the Executive Committee, the President of the Medical Staff, or Hospital Administration.
- (e) Serve as the body responsible for ensuring compliance with the Health Care Quality Assurance Act and with the reporting requirements of the Texas Medical Practice Act.
- (f) Resolve identified quality of care problems when appropriate and report information to the **Executive Committee Board** through the Director and the President of the Health Science Center.

{2} // Resolve identified quality of care problems when appropriate and report information on quality and appropriateness to the regularly scheduled monthly meeting of the Medical Staff.

ARTICLE VIII - PART E: MEDICAL RECORDS COMMITTEE:

Section 1. Composition:

The Medical Records Committee shall consist of at least three Medical Staff appointees, the Director of Medical Records, the Director of Nursing, and a representative from Hospital Administration.

Section 2. Duties:

The Medical Records Committee shall:

- (1) Make recommendations to the Executive Committee for the establishment of standards within the Policies of the HCPC and the Bylaws of the Medical Staff for the timely maintenance of complete medical records including all requirements of applicable regulatory and accrediting agencies;
- (2) Review, analyze, and evaluate the quality of medical records in the HCPC;
- (3) Initiate corrective action to assure approved standards are fulfilled, and recommend to the Executive Committee any formal disciplinary or educational action which may be deemed appropriate when corrective action of the Medical Records Committee fails to achieve approved standards.
- (4) Assure that each medical record, or a representative sample of records, reflects the diagnosis, results of diagnostic tests, therapy rendered, condition and in-hospital progress of the patient, and condition of the patient at discharge as part of the review function;
- (5) Assure that the medical record standards provide for an adequate medico-legal document;

- (6) The Medical Records Committee shall also review and approve, without requirement of subsequent approval, all staff and hospital policies relating to forms, formats, filing, indexing, the use of microfilming, if appropriate, retention and availability, and recommend methods of enforcement thereof and changes therein;
- (7) The Committee shall also make recommendations on the operation of the medical library including the purchase or deletion of medical periodicals, books, texts, etc.; and
- (8) Perform all other duties assigned to it by the Medical Staff.

ARTICLE VIII - PART E:

Section 3. Meetings, Reports and Recommendations:

- (a) The Medical Records Committee shall report monthly to the Executive Committee, and shall maintain a permanent record of its findings, proceedings and actions.
- (b) The Committee shall report (with or without recommendation) to the Executive Committee for its consideration and appropriate action any situation involving questions of the clinical competency, patient care and treatment or case management of any individual appointed to the Medical Staff.
- (c) The Committee shall report (with or without recommendation) to the Executive Committee for its consideration and appropriate action any situation within the jurisdiction of the committee involving questions of professional ethics, infraction of hospital or Medical Staff bylaws or rules or unacceptable conduct on the part of any individual appointed to the Medical Staff.

ARTICLE VIII - PART F: CREATION OF STANDING COMMITTEES

The Executive Committee of the Medical Staff may, by resolution, and without amendment of these bylaws, establish an ad hoc committee to perform one or more staff functions. In the same manner the Executive Committee may by resolution dissolve or rearrange any ad hoc committee structure, duties or composition as needed, to better perform the Medical Staff functions. Any function required to be performed by these bylaws which is not assigned to a standing or special committee shall be performed by the Executive Committee.

ARTICLE VIII - PART G: SPECIAL COMMITTEES

Special committees shall be created and their members and chairman shall be appointed by the President of the Medical Staff as required. Such committees shall conform their activities to the purpose for which they were appointed, and shall report to the Executive Committee, the Clinical Administrative Committee, or the Medical Staff as a whole as indicated at the time of the appointment of the special committee.

DUE PROCESS PROCEDURES
ARTICLE IX
APPOINTMENT TO THE MEDICAL STAFF

ARTICLE IX - PART A: QUALIFICATIONS FOR APPOINTMENT

Appointment to the Medical Staff shall be extended only to professionally competent individuals who continuously meet the qualifications, standards and requirements set forth in these bylaws. All individuals practicing medicine in this hospital, unless excepted by specific provisions of these bylaws, or rules and regulations must first have been appointed to the Medical Staff. Only physicians who

(a) hold a current license or permit to practice in this state; (b) currently hold a faculty appointment at the UTHSCH-MS; (c) are located within the geographic service area of the hospital, close enough to provide timely care for their patients; (d) can document their background, experience, training and demonstrated competence, their adherence to the ethics of their profession, their good reputation and character, their ability to work harmoniously with others sufficiently to convince the hospital that all patients treated by them in the hospital will receive quality care and that the hospital and its Medical Staff will be able to operate in an orderly manner, and (e) obtain a letter of recommendation from the President of the Medical Staff of the HCPC or a chief of a Medical staff clinical department ~~area of care~~ at HCPC shall be qualified for appointment to the Medical Staff. The word "character" is intended to include the applicant's mental and emotional stability. No individual shall be entitled to appointment to the Medical Staff or to the exercise of particular clinical privileges in the hospital merely by virtue of the fact that (a) he is licensed to practice any profession in this or any other state, (b) he is a member of any particular professional organization, or (c) he had in the past, or currently has, Medical Staff appointment or privileges in another hospital. No Medical Staff applicant or Medical Staff member shall be denied appointment or privileges on the basis of sex, race, creed, color or national origin.

ARTICLE IX - PART B: CONDITIONS OF APPOINTMENT

Section 2. Duration of Initial Provisional Appointment:

All initial ~~Provisional~~ Appointments to the Medical Staff, regardless of the status or category of the staff to which the appointment is made, shall be provisional for a period of ~~twelve~~ six months ~~//or//until~~ requirements ~~//for//Provisional//Appointment//are//satisfied~~ and ~~Provisional//Appointment//is//approved//by//the//Board//of~~ Regents, ~~//whichever//comes//first~~. Initial Provisional Appointment is ~~granted~~ initiated ~~//by//the//written~~ recommendation ~~//of//the//Chairman//of//the//Department//of~~

Psychiatry, UTMSCHS, or by the Director by written letter to the Medical Director with a copy to the applicant's department chief and the Administration, and a copy filed in the applicant's record and copied to the Chairman of the Credentials Committee. Initial Provisional Appointments carry functions and responsibilities of the category of Medical Staff status applied for. During the term of this initial provisional appointment, the person receiving this initial provisional appointment shall be evaluated by the chief of the Medical Staff clinical department area or areas of care in which he has appointment, and by the relevant committees of the Medical Staff and the hospital as to clinical competence and general behavior and conduct in the hospital. Conditions of Article IX - Part F apply to Initial Provisional Appointment. Nonprovisional appointment, after the initial provisional period, shall be conditioned on an evaluation of the factors to be considered for appointment set forth in ARTICLE IX, PART E of these bylaws. The twelve month provisional period shall also apply to clinical privileges granted to physicians and psychologists during the first twelve months of practice of any such privileges.

ARTICLE IX - PART B:

Section 2. Rights and Duties of Appointees:

Initial/provisional/appointment/to/the/Medical/Staff shall confer on the appointee only such functions and responsibilities as are concomitant with the category of Medical Staff applied for. Provisional appointment to the Medical Staff shall confer on the appointee only such functions and responsibilities as are concomitant with the category of Medical Staff status applied for, and only such clinical privileges as have been applied for by the applicant and granted by the Board and shall require that each appointee assume such reasonable duties and responsibilities as the Board and the Medical Staff shall require.

ARTICLE IX - PART B:

Section 3. Proctoring During Provisional Staff Appointment:

Provisional appointees to the Medical Staff shall be proctored during the twelve month provisional period. The chief of the Medical Staff clinical department to which the staff member is appointed shall serve as or shall appoint a nonprovisional staff member to serve as proctor for each provisional appointee. In the case of any chief of a Medical staff department who is appointed provisionally, the Medical Director shall appoint a nonprovisional staff member to serve as proctor. The Medical Staff Executive Committee shall approve policies and procedures for the conduct and reporting of proctoring in each Medical Staff Clinical Department. The reports of proctoring will be retained in the staff member's file.

ARTICLE IX - PART B:

Section 4. Conversion of Provisional to Non-Provisional Appointment:

- (1) The Board of Regents delegates to the Executive Committee the authority to convert a provisional appointment and provisional clinical privileges to a nonprovisional appointment and nonprovisional privileges at the end of the twelve month provisional term based on a satisfactory performance based appraisal of the provisional staff member. Such performance based appraisals shall include reports of proctoring during the provisional period, recommendations of the chief of the appointee's Medical Staff clinical department, and those factors identified in Article X - Part A: Section 2, otherwise pertaining to reappointment.
- (2) When a provisional appointment is converted to a nonprovisional appointment by the Executive Committee, the nonprovisional appointment shall continue until the next annual reappointment cycle and shall, thereafter, be subject to annual reappraisal and reappointment, as outlined in Article X, thereby assuring that each medical staff member's appointment is considered by the Board of Regents no less frequently than every two years.
- (3) When the Executive Committee determines not to convert a provisional appointment to a nonprovisional appointment at the end of the twelve month provisional term, the Executive Committee shall so recommend to the Board of Regents pursuant to Article X, Part A of these Bylaw and subject to appeal by the appointee as hereinafter provided for.

ARTICLE IX - PART C: APPLICATION FOR INITIAL APPOINTMENT AND CLINICAL PRIVILEGES

Section 1. Information:

Applications for appointment to the Medical Staff shall be in writing, and shall be submitted on forms prescribed by the **Executive Committee Credentials Committee**. These forms shall be obtained from the President of the Medical Staff, through the Medical Staff Services office. The application shall require detailed information concerning the applicant's professional qualifications including:

- (a) the names and complete addresses of at least three physicians or other practitioners, as appropriate, who have had recent extensive experience in observing and working with the applicant and who can provide adequate information pertaining to the applicant's current clinical competence and character;

- (b) the names and complete addresses of the chairman of each department of any hospital or other institution at which the applicant worked or trained;
- (c) information as to whether the applicant's Medical Staff appointment or clinical privileges have ever been reassigned, denied, revoked, suspended, reduced or not renewed at any other hospital or health care facility;
- (d) information as to whether membership in local, state or national professional societies or license to practice any profession in any state, or his narcotic license has ever been suspended, modified or terminated. The submitted application shall be accompanied by the applicant's original Texas Physician Permit or its current renewal, original Texas Controlled Substances Registration Certificate or its current renewal, and original DEA Registration Certificate or its current renewal. A true and readable photocopy of the above will be accepted.
- (e) information as to whether the applicant has currently in force professional liability insurance coverage, the name of the insurance company, the amount and classification of such coverage;
- (f) information concerning applicant's professional liability claims and litigation experience;
- (g) a consent to the release of information from present and past professional liability insurance carriers; and from any person, agency, or institution named by the applicant in the application.
- (h) information on the applicant's physical and mental health;
- (i) information as to whether the applicant has ever been named as a defendant in a criminal action and details about any such instance;
- (j) information on the citizenship and visa status of the applicant;
- (k) a signed record of signature and initials form; and
- (l) other information as the Board may require.

ARTICLE IX - PART C:

Section 2. Undertakings:

Every application for staff appointment or reappointment shall be signed by the applicant and shall contain:

- (a) the applicant's specific acknowledgement of his obligation upon appointment to the Medical Staff to provide continuous care and supervision to all patients within the hospital for whom he has responsibility;

- (b) an agreement to abide by all bylaws and policies of the hospital, including all bylaws, rules and regulations of the Medical Staff as shall be in force from time to time during the time he is appointed to the Medical Staff;
- (c) an agreement to accept committee assignments and such other reasonable duties and responsibilities as shall be assigned to him by the Board and the Medical Staff;
- (d) an agreement to provide the hospital, upon request or without request, current information regarding all questions on the application form at any time, new or updated information that is pertinent to any question on the application form;
- (e) a statement that the applicant has received and read a copy of the bylaws of the hospital and bylaws, rules and regulations of the Medical Staff as are in force at the time of his application and that he has agreed to be bound by the terms thereof in all matters relating to consideration of his application without regard to whether or not he is granted appointment to the Medical Staff or clinical privileges;
- (f) a statement of his willingness to appear for personal interviews in regard to his application; and
- (g) a statement that any misrepresentation or misstatement in, or omission from the application whether intentional or not, shall constitute cause for automatic and immediate rejection of the application resulting in denial of appointment and clinical privileges. In the event that an appointment has been granted prior to the discovery of such misrepresentation, misstatement or omission, such discovery may result in summary dismissal from the Medical Staff, at the discretion of the Executive Committee.

ARTICLE IX - PART C:

Section 3. Burden of Providing Information:

The applicant shall have the burden of producing adequate information for a proper evaluation of his competence, character, ethics and other qualifications, and of resolving any doubts about such qualifications. He shall have the burden of providing evidence that all the statements made and information given on the application are factual and true. Until the applicant has provided all information requested by the hospital, the application will be deemed incomplete and will not be processed.

ARTICLE IX - PART C:

Section 4. Authorization to Obtain Information:

The following statements, which shall be included on the application form and which form a part of these bylaws, are express conditions applicable to any

Medical Staff applicant, any appointee to the Medical Staff and to all others having or seeking clinical privileges in the hospital. By applying for appointment, reappointment or clinical privileges, the applicant expressly accepts these conditions during the processing and consideration of his application, whether or not he is granted appointment or clinical privileges. This acceptance also applied during the time of any appointment or reappointment.

(a) Immunity:

To the fullest extent permitted by law, the applicant or appointee releases from any and all liability, and extends absolute immunity to the hospital, its authorized representatives and any third parties as defined in subsection (c) below, with respect to any acts, communications or documents, recommendations or disclosures involving the applicant or appointee, concerning the following:

- (1) applications for appointment or clinical privileges, including temporary privileges;
- (2) evaluations concerning reappointment or changes in clinical privileges;
- (3) proceedings for suspension or reduction of clinical privileges or for revocation of Medical Staff appointment, or any other disciplinary sanction;
- (4) summary suspension;
- (5) hearings and appellate reviews;
- (6) medical care evaluations;
- (7) utilization reviews;
- (8) other activities relating to the quality of patient care or professional conduct;
- (9) matters or inquiries concerning the applicant's or appointee's professional qualifications, credentials, clinical competence, character, mental or emotional stability, physical condition, ethics or behavior; or
- (10) any other matter that might directly or indirectly have an effect on the individual's competence, on patient care or on the orderly operation of this or any other hospital or health care facility.

The foregoing acts, communications and documents, shall be privileged to the fullest extent permitted by law. Such privilege shall extend to the hospital and its authorized representatives, and to any third parties.

(b) Authorization to obtain Information:

The applicant or appointee specifically authorizes the hospital and its authorized representatives to consult with any third party who may have information bearing on the applicant's or

appointee's professional qualifications, credentials, clinical competence, character, mental or emotional stability, physical condition, ethics, behavior or any other matter reasonably having a bearing on the applicant's or appointee's satisfaction of the criteria for initial and continued appointment to the Medical Staff. This authorization also covers the right to inspect or obtain any and all communications, reports, records, statements, documents, recommendations or disclosures of said third parties that may be material to such questions. The applicant or appointee also specifically authorizes said third parties to release said information to the hospital and its authorized representatives upon request.

(c) Definitions:

- (1) As used in this section, the term "hospital and its authorized representatives" means the hospital organization and any of the following individuals who have any responsibility for obtaining or evaluating the applicant's credentials, or acting upon the applicant's or appointee's application or conduct in the hospital; the members of its Board and their appointed representatives; Hospital Administration or its designees; other hospital employees; consultants to the hospital; the hospital's attorney and his associates or designees; and all appointee to the Medical Staff who have any responsibility for obtaining or evaluating the applicant's or appointee's credentials, or acting upon his application or conduct in the hospital.
- (2) As used in this section, the term "third parties" means all individuals, including appointees to the hospital's Medical Staff, and appointees to the Medical Staff of other hospitals or other physicians or health practitioners, nurses or other organizations, associations, partnerships and corporations or government agencies, whether hospitals, health care facilities or not, from whom information has been requested by the hospital or its authorized representatives.

ARTICLE IX - PART D: CLINICAL PRIVILEGES

Section 1. General:

Each individual who has been granted an appointment to the Medical Staff of the hospital and each psychologist shall be entitled to exercise only those clinical privileges specifically granted by the Board, except as stated in Bylaws approved by the Board. The clinical privileges recommended

to the Board shall be based upon the applicant's education, training, experience, demonstrated current clinical competence and judgment, references and other relevant information, including an appraisal by the chief of the Medical staff clinical department ~~area~~ // ~~of~~ // ~~care~~ in which such privileges are sought. No Medical Staff applicant or Medical Staff member shall be denied clinical privileges on the basis of sex, race, creed, color, or national origin. The applicant shall have the burden of establishing his qualifications for and competence to exercise the clinical privileges he requests. Recommendations of the Medical staff clinical department ~~area~~ // ~~of~~ // ~~care~~ in which privileges are sought shall be forwarded to the ~~Executive~~ Committee ~~Credentials~~ // // ~~Committee~~ and thereafter processed as a part of the initial application for staff appointment.

ARTICLE IX - PART D:

Section 2. Physicians and Psychologists Under Contract with Hospital:

- (a) The Director in consultation with and with approval of the President of UTHSCH shall have the authority from time to time to enter into contracts or employment relationships with physicians or psychologists for the performance of certain services, including those in medico-administrative positions. All physicians functioning pursuant to such contracts or employment relationships shall obtain and maintain staff appointment and clinical privileges, in accordance with the provisions of these bylaws, and rules and regulations. All psychologists functioning pursuant to such contracts or employment relationships shall obtain and maintain clinical privileges in accordance with the provisions of these bylaws, and rules and regulations.
- (b) If a question concerning clinical competence arises that may affect the physician's staff appointment or physician's or psychologist's clinical privileges during the term of the contract, that question shall be processed in the same manner as would pertain to any other Medical Staff appointee. If a modification of privileges or appointment resulting from such action is sufficient to prevent the physician or psychologist from performing his contractual

- duties, the contract shall automatically terminate.
- (c) Clinical privileging or Medical Staff appointment that results from a contract or employment shall be valid only during the term of the contract. In the event that the contract or employment expires or is terminated, the clinical privileges and Medical Staff appointment resulting from the contract or employment shall automatically expire at the time the contract or employment expires or terminates. This expiration of clinical privileges and Medical Staff appointment or the termination or expiration of the contract itself shall not entitle the physician or psychologist to any hearing or appeal, unless there is a specific provision to the contrary in the contract. In the event that only a portion of the physician's clinical privileges are covered by the contract or employment, only that portion shall be affected by the expiration or termination of the contract or employment.
- (d) Specific contractual or employment terms shall in all cases be controlling in the event of an actual or apparent conflict with the provisions of these bylaws.

ARTICLE IX - PART E: PROCEDURE FOR PROVISIONAL APPOINTMENT

Section 1. Submission of Application:

The application for Medical Staff appointment and the application for physician's or psychologist's privileges shall be submitted by the applicant to the President of the Medical Staff or his designee. After collecting references and other information or materials deemed pertinent, the President or his designee shall determine the application to be complete and transmit the application and all supporting materials to for evaluation by the chief of the Medical Staff clinical department to which the applicant has applied ~~Credentials/Committee~~ for evaluation. It is the responsibility of the applicant to ensure that his application is complete, including adequate responses from references. An incomplete application will not be processed.

ARTICLE IX - PART E:

Section 2. Initial Credentialing

Procedure:

Upon receipt of the completed application for appointment the President of the Medical Staff ~~Credentials/Committee~~ shall:

- (a) ~~furnish // a // copy // of // the // application // to // the // appropriate // area // of // care // chiefs // concerned // and // request // responses // at // its // next // meeting //~~ post the name of the applicant on the bulletin board so that each appointee to the Medical Staff may have an opportunity to submit to his office the

Committee, in writing, information bearing on the applicant's qualifications for staff appointment.

- (b) In addition, any person currently holding an appointment to the Medical Staff shall have the right to appear in person before the Executive Committee Credentials Committee to discuss in private and in confidence any concerns he may have about the applicant.

ARTICLE IX - PART E:

Section 3. Clinical Department Area Chief Procedures:

The chief of each Medical Staff clinical department area of care in which the applicant seeks clinical privileges shall provide the Executive Credentials Committee with specific written recommendations for delineating the applicant's clinical privileges. These recommendations shall be made a part of the Credentials Committee's report. As part of the process of making this recommendation, the chief has the right to meet with the applicant to discuss any aspect of his application, his qualifications and his requested clinical privileges.

ARTICLE IX - PART E:

Section 4. Subsequent Credentialing Procedure:

- (a) The Executive Credentials Committee shall examine the evidence of the character, professional competence, qualifications, prior behavior and ethical standing of the applicant and shall determine, through information contained in references given by the applicant and from other sources available to the committee, including an appraisal from the chief of the Medical Staff clinical department area of care in which privileges are sought, whether the applicant has established and meets all of the necessary qualifications for the staff status and category and clinical privileges requested by him.
- (b) As part of this process, the Executive Credentials Committee may require a physical and mental examination of the applicant by a physician or physicians satisfactory to the Committee and shall require that the results be made available for the committee's consideration.
- (c) If, after considering the recommendations of the clinical department/areas concerned, the Executive Credentials Committee's recommendation for appointment is favorable, the Executive Credentials Committee shall recommend provisional service assignment and provisional clinical privileges.
- (d) As part of the process of making its recommendation, the Executive Credentials Committee shall have the right to require the

applicant to meet with the Committee to discuss any aspect of his application, his qualifications and his clinical privileges.

ARTICLE IX - PART E:

Section 5. Executive Credentials Committee Report:

- (a) Not later than 60 ~~120~~ days from its receipt of the completed application, the **Executive Credentials** Committee shall make a written report and recommendation with respect to the applicant to the Board, through the Director and the President of the UTHSCH.
- (b) If the recommendation of the **Executive Credentials** Committee is delayed longer than 60 ~~120~~ days, the Director shall send a letter to the applicant, with a copy to the Board and the President of the UTHSCH explaining the delay.

ARTICLE IX - PART E:

Section 6. Subsequent Action On The Application:

- (a) When the recommendation of the **Executive Credentials** Committee is favorable to the applicant, the Executive Committee through the Director and the President of the UTHSCH shall promptly forward it, together with all supporting documentation, to the Board. All recommendations to appoint must also specifically recommend the clinical privileges to be granted, which may be qualified by any probationary conditions relating to such clinical privileges.
- (b) When the recommendation of the **Executive Credentials** Committee is to defer the application for further consideration it must be followed up within 30 days by a subsequent recommendation to the Board through the Director and President of the UTHSCH for appointment to the Medical Staff with specified clinical privileges, or for rejection of the application for staff appointment.
- (c) When the recommendation of the **Executive Credentials** Committee is adverse to the applicant in respect to either appointment to the staff or clinical privileges requested, it shall be forwarded to the Director who shall promptly so notify the applicant in writing, return receipt requested. The Director shall then hold the application until after the applicant has exercised or has been deemed to have waived his right to a hearing as provided in Article XI. At the time the applicant has been deemed to have waived his right to a hearing, the Director through the President of the UTHSCH shall forward the recommendation of the **Executive Credentials** Committee, together with all supporting documentation, to the Board. If the applicant requests a hearing, the recommendation of the

Hearing Panel shall be made to the Executive Credentials Committee.

- (d) The Director shall promptly notify the applicant, in writing, return receipt requested, of the Hearing Panel's final recommendation.

ARTICLE IX - PART F: SPECIAL REQUIREMENTS

Section 1. Special Requirements:

Special requirements of supervision and reporting may be imposed by the Director on any individual granted ~~initial~~ provisional appointment. Such appointments shall be immediately terminated by the Director upon notice of any failure by the individual to comply with such special conditions.

ARTICLE IX - PART F:

Section 2. Termination of Provisional Appointment:

- (a) The Director or his designee, may at any time, after asking for a recommendation of the chief of the Medical staff clinical department ~~area~~/of care responsible for the individual's supervision, terminate an individual's ~~initial~~ provisional appointment. The functions and responsibilities shall then be terminated when the physician's in-patients are discharged from the hospital. However, where it is determined that the care or safety of such patients would be endangered by continued treatment by the individual, a summary termination of temporary appointment may be imposed and such termination shall be immediately effective.
- (b) The appropriate chief or, in his absence, the Director, shall assign to a Medical Staff appointee responsibility for the care of such terminated individual's patients until they are discharged from the hospital, giving consideration wherever possible to the wishes of the patient in the selection of the substitute.
- (c) The granting of any provisional appointment prior to ratification by the Board is a courtesy on the part of the hospital. Neither the granting, denial or termination of such appointments prior to ratification by the Board shall entitle the individual concerned to any of the procedural rights provided in these bylaws with respect to hearing appeals.
- (d) Provisional appointment shall be automatically terminated at such time as the Executive Credentials Committee recommends unfavorably with respect to the applicant's appointment to the staff.

ARTICLE IX - PART G: EMERGENCY CLINICAL PRIVILEGES

- (1) In an emergency involving a particular patient, a physician who is not currently appointed to the Medical Staff may be permitted by the Director to exercise clinical privileges to act in such

- emergency using all necessary facilities of the hospital, including calling for any consultation necessary or desirable.
- (2) Similarly, in an emergency involving a particular patient, a physician currently appointed to the Medical Staff may be permitted by the Director to act in such emergency by exercising clinical privileges not specifically assigned to him.
 - (3) When the emergency situation no longer exists, such physician must request the temporary privileges necessary to continue to treat the patient. In the event such temporary privileges are denied or he does not request such privileges, the patient shall be assigned by the President of the Medical Staff or his designee to an appropriate physician currently appointed to the Medical Staff.
 - (4) For the purpose of this section, an "emergency" is defined as a condition which could result in serious or permanent harm to a patient or in which the life of a patient is in immediate danger and any delay in administering treatment would add to that harm or danger.

ARTICLE X
ACTIONS AFFECTING MEDICAL STAFF APPOINTMENTS
AND CLINICAL PRIVILEGES

ARTICLE X - PART A: PROCEDURE FOR REAPPOINTMENT

Section 1. Application:

The reappointment and repriviliging of all Medical Staff members and the repriviliging of all psychologists shall be required as of the first day of September of each medical staff year *Legislative Biennium*. The reappointment and repriviliging process shall begin six months prior to this date. Each current appointee and current psychologist who wishes to be reappointed to the Medical Staff and/or repriviliged shall be responsible for submitting the completed reappointment or repriviliging application form. The application shall be submitted to the President of the Medical Staff or his designee by the date specified in a reappointment and repriviliging notice. Failure to submit an application by that date may result in automatic expiration of the appointee's appointment and clinical privileges at the end of the then current Medical Staff year, at the discretion of the Executive Committee.

ARTICLE X - PART A:

Section 2. Factors to be Considered:

Each recommendation concerning reappointment of a person currently appointed to the Medical Staff or a change in staff status or category, where applicable, shall be based upon such appointee's:

- (a) professional ethics, clinical competence and clinical judgment in the treatment of patients;
- (b) attendance at Medical Staff meetings and participation in staff duties;
- (c) compliance with the hospital bylaws and policies and with Medical Staff bylaws and rules and regulations, including continued faculty appointment to the UTHSCH-MS, continued licensure to practice in this State, and maintenance of DEA and/or other appropriate licensure, certification or registry;
- (d) behavior in the hospital and his cooperation with medical and hospital personnel;
- (e) use of the hospital's facilities for his patients and his general attitude toward patients, the hospital and its personnel;
- (f) physical and mental health;
- (g) capacity to satisfactorily treat patients; and
- (h) satisfactory completion of such continuing education requirements as may be imposed by law, this hospital or applicable accreditation agencies; and
- (i) Such quality assurance reports as substantiate his satisfactory performance.

ARTICLE X - PART A:

Section 3. Service Procedure:

- (a) No later than three months prior to the end of the current appointment period, the President of the Medical Staff shall send to the Credentials Committee chief of each Medical Staff clinical department the list of those appointees desiring reappointment and repriviliging.
- (b) No later than 15 days after receipt of complete applications, the chief of each area shall provide at the Executive Credentials Committee meeting the list of individuals recommended for reappointment in the same Medical Staff status and category with the same clinical privileges they then hold. In addition, each chief shall provide individual recommendations, and the reasons therefore, for any changes recommended in staff status, in staff category, in clinical privileges, or for non-reappointment both for those who applied for changes and those who did not.
- (c) Recommendations for increase or decrease of clinical privileges by the chief shall be based upon relevant recent training and upon observation of patient care provided, review of the records of patients treated in this or other hospitals, and review of all other records of the Medical Staff which evaluate the appointee's participation in the delivery of medical care.
- (d) Reappointment as the Medical Director (President of the Medical Staff) and granting of privileges shall require the action and recommendation of the Director. Reappointment as chiefs of Medical Staff clinical departments ~~areas/of/care~~ and granting of their privileges shall also require the actions and recommendation of the Director.

ARTICLE X - PART A:

Section 4. Committee Procedure:

- (a) The Executive Credentials Committee, after receiving recommendations from the chief of each clinical department ~~area~~, shall review all pertinent information available, including all information provided from quality assurance, other committees or functions of the Medical Staff and from hospital management for the purpose of determining its recommendations for staff appointment, for change in staff status or category, and for the granting of clinical privileges for the ensuing year.
- (b) The Executive Credentials Committee may require that a person currently seeking reappointment and/or repriviliging procure a physical and/or mental examination by a physician or physicians satisfactory to the Committee either as part of the reapplication process or during the

appointment year to aid it in determining whether clinical privileges should be granted or continued and make results available for the Committee's consideration. Failure of the person seeking reappointment and/or repriviliging to procure such an examination within a reasonable time after being requested to do so in writing by the Executive Credentials Committee shall constitute a voluntary relinquishment of all Medical Staff and clinical privileges until such time as the Executive Credentials Committee has received the examination results and has had a reasonable opportunity to evaluate them and make a recommendation thereon.

- (c) The Executive Credentials Committee shall prepare a list of persons currently holding appointment and privileges recommended for reappointment and/or repriviliging without change in staff status or category and clinical privileges. This list shall be considered an application to the hospital by each person on the list for reappointment to the Medical Staff and for clinical privileges for the ensuing, Medical Staff year. Recommendations for non-reappointment and for changes in status, category, or privileges, with supporting data and reasons attached, shall be handled individually.
- (d) The Executive Credentials Committee shall transmit its report and recommendations to the Board through ~~the //Executive //Committee/~~ the Director and the President of the UTHSCH in time for the Board to consider reappointments at its final scheduled meeting in the Medical Staff year. ~~in/the/second/year/of/each/biennium. Any/Medical Staff/member/reappointed/by/the/Board/pursuant/to this/reappointment/process/shall/be/considered/to have//completed//the//period//of//provisional appointment.~~ Where non-reappointment, non-promotion of an eligible appointee, or a further limitation in clinical privileges is recommended, the reason for such recommendation shall be stated, documented and included in the report. This report shall not be transmitted to the Board until the affected staff appointee has exercised or has been deemed to have waived his right to a hearing as provided in ARTICLE XI.

ARTICLE X - PART A:

Section 5. Meeting with Affected Individual:

If, during the processing of a particular individual's reappointment, it becomes apparent to the Executive Credentials Committee or its chairman that the committee is considering a recommendation that would deny reappointment, deny a requested change in staff status, category, or clinical privileges, or reduce

clinical privileges, the chairman shall notify the individual of the general tenor of the possible recommendation and ask him if he desires to meet with the Committee prior to any final recommendation by the Committee. At such meeting, the affected individual shall be informed of the general nature of the evidence supporting the action contemplated and shall be invited to discuss, explain or refute it. The interview shall not constitute a hearing and none of the procedural rules provided in these bylaws with respect to hearings shall apply nor shall minutes of the discussion in the meeting be kept. However, the committee shall indicate as part of its report to the Board whether such a meeting occurred.

ARTICLE X - PART A:

Section 6. Procedure Thereafter:

Any recommendation by the Executive // ~~Credentials~~ Committee denying reappointment, denying a requested change in staff status, category, or clinical privileges or recommending reduction of existing clinical privileges shall entitle the affected individual to the procedural rights provided in ARTICLE XI. The President of the Medical Staff shall then promptly notify the individual of the recommendation by certified mail, return receipt requested. The recommendation shall not be forwarded to the Board until the individual has exercised or has been deemed to have waived his right to a hearing as provided in ARTICLE XI, after which the Board shall be given the committee's final recommendation and shall act on it. Any action or recommendation made by the Board, by the Executive Committee, or by the Executive ~~Credentials~~ Committee that adversely affects the clinical privileges of any physician for a period longer than 30 days including acceptance of the surrender of clinical privileges of a physician under investigation relating to possible incompetence or improper professional conduct, or in return for not conducting an investigation, shall be reported to the Clinical Administrative Committee which shall exercise its responsibility to report such actions to the Texas State Board of Medical Examiners pursuant to Article VIII-Part E:Section2(e) of these Bylaws. The name of the physician and the nature and circumstances of the adverse action or recommendation shall be reported to the Clinical Administrative Committee for communication to the Texas State Board of Medical Examiners.

ARTICLE X - PART B: PROCEDURES FOR REQUESTING INCREASE IN CLINICAL PRIVILEGES

Section 1. Application for Increased Clinical Privileges:

Whenever, during the term of his appointment to the Medical Staff or his contract, an individual desires to increase his clinical privileges, he shall apply in writing to the President of the Medical Staff on a form

approved by the **Executive Credentials** Committee. The application shall state in detail the specific additional clinical privileges desired and the applicant's relevant recent training and experience which justify increased privileges. This application will be transmitted by the President to the **Executive Credentials** Committee and the appropriate **Medical Staff clinical department area // of // care** chief. Thereafter, it will be processed in the same manner as an application for initial clinical privileges if the request is made during the term of appointment, or as a part of the reappointment application if the request is made at that time.

ARTICLE X - PART B:

Section 2. Factors to be Considered:

Recommendations for an increase in clinical privileges made to the Board shall be based upon relevant training, observation of patient care provided, review of the records of patients treated in this or other hospitals and review of all other records and information from applicable services of the Medical Staff which evaluate the individual's participation in the delivery of medical care that justify increased privileges. The recommendation for such increased privileges may carry with it such requirements for supervision or consultation for such period of time as is thought necessary.

ARTICLE X - PART C: PROCEDURE FOR ACTIONS INVOLVING CLINICAL COMPETENCE

Section 1. Grounds for Action:

Whenever, on the basis for information and belief, the Director, the President of the Medical Staff, the chief of a **Medical Staff clinical department an/area/of care**, the chairman or a majority of any Medical Staff committee, or the Board has cause to question, with respect to an individual holding a current Medical Staff appointment, or with respect to any licensed psychologist privileged by the Medical Staff:

- (a) clinical competence;
- (b) care or treatment of a patient or patients or management of a case; or
- (c) known or suspected violation of the bylaws or policies of the hospital, or the bylaws, rules or regulations of the Medical Staff relating to professional activity, a written request for an investigation of the matter shall be addressed to the **Executive Credentials** Committee making specific reference to the activity or conduct which gave rise to the request.

ARTICLE X - PART C:

Section 2. Investigative Procedure:

The **Executive Credentials** Committee shall meet as soon after receiving the request as practicable and if, in the opinion of the **Executive Credentials** Committee:

- (a) the request for investigation contains information sufficient to warrant a recommendation, the **Executive Credentials** Committee, at its discretion, shall make one, with or without a personal interview with the appointee; or
- (b) the request for investigation does not at that point contain information sufficient to warrant a recommendation, the **Executive Credentials** Committee shall immediately investigate the matter, appoint a subcommittee to do so, or, if it is deemed necessary, appoint an investigating committee. This investigating committee shall consist of three persons, any of whom may or may not hold appointments to the Medical Staff. This committee shall not include partners or associates of the affected individual or of any members of the **Executive Credentials** Committee. The **Executive Credentials** Committee, its subcommittee or the Investigating Committee, if used, shall have available to them the full resources of the Medical Staff and the hospital to aid in their work, as well as the authority to use outside consultants as required. The individual with respect to whom an investigation has been requested shall have an opportunity to meet with the investigating committee before it makes its report. At this meeting (but not, as a matter of right, in advance of it) the individual shall be informed of the general nature of the evidence supporting the investigation requested and shall be invited to discuss, explain or refute it. This interview shall not constitute a hearing, and none of the procedural rules provided in these bylaws with respect to hearings shall apply. A summary of such interview shall be made by the investigating committee and included with its report to the **Executive Credentials** Committee. If a subcommittee or Investigating Committee was used, the **Executive Credentials** Committee may accept, modify or reject the recommendation it receives from the Committee.

ARTICLE X - PART C:

Section 3. Suspension of Privileges:

At any time during the investigation the **Executive Credentials** Committee, with the approval of the Director, may suspend all or part of the clinical privileges of the person being investigated. This suspension shall be deemed to be administrative in nature, for the protection of hospital patients. It shall remain in effect during the investigation only, shall not indicate the validity of the charges and shall remain in force, without appeal, during the course of the investigation. If such a suspension is placed

into effect, the investigation shall be completed within 30 days of the suspension or reasons for the delay shall be transmitted to the Board so that it may consider whether the suspension should be lifted.

ARTICLE X - PART C:

Section 4. Procedure Thereafter:

- (a) In acting after the investigation, the Executive Credentials Committee may (1) issue a written warning, (2) issue a letter of reprimand, (3) impose terms of probation, (4) impose a requirement for consultation, (5) recommend reduction of clinical privileges, (6) recommend suspension of clinical privileges for a term, or (7) recommend revocation of staff appointment.
- (b) Any recommendation by the Executive Credentials Committee for reduction of clinical privileges, for suspension of clinical privileges for a term of a month or more after the Executive Credentials Committee acts, or for revocation of staff appointment shall entitle the affected individual to the procedural rights provided in ARTICLE XI. Such a recommendation shall be forwarded to the Director who shall promptly notify the affected individual by certified mail, return receipt requested. The Director shall then hold the recommendation until after the individual has exercised or has been deemed to have waived his right to a hearing as provided in ARTICLE XI. At the time the individual has been deemed to have waived his right to a hearing, the Director, through the President of the UTHSCH shall forward the recommendation of the Executive Credentials Committee, together with all supporting documentation, to the Board. The chairman of the Executive Credentials Committee or his designee shall be available to the Board or its appropriate committee to answer any questions that may be raised with respect to the recommendation.
- (c) If the action of the Executive Credentials Committee is less severe than reduction of clinical privileges, or suspension of clinical privileges for a term of a month or more, or revocation of staff appointment, the action shall take effect immediately without action of the Board and without the right of appeal to the Board. A report of the action taken and reasons therefore shall be made to the President of UTHSCH through the Director and the action shall stand unless modified by the Board. In the event the Board determines to consider modification of the action of the Executive Credentials Committee and such action would reduce clinical privileges,

suspend clinical privileges for a month or more or revoke staff appointment, it shall so notify the individual, through the President of UTHSCH or the Director, and shall take no final action thereon until the individual has exercised or has been deemed to have waived the procedural rights in Article XI.

- (d) The Executive Committee shall keep the President of the Medical Staff, the Director, Hospital Administration, and the President of UTHSCH fully informed of all action taken in connection therewith.

ARTICLE X - PART D: PROCEDURE FOR ACTIONS INVOLVING BEHAVIOR OF MEDICAL STAFF APPOINTEES

Section 1. Grounds for Action:

An investigation may be requested whenever questions arise concerning any Medical Staff appointee who fails to comply with the ethics of his profession or the bylaws, policies, rules and regulations of the hospital or its Medical Staff or whose behavior or conduct is considered lower than the standards of the hospital or disruptive of the orderly operation of the hospital or its Medical Staff, including the inability of the appointee to work harmoniously with others. Such an investigation may be requested by the Medical Director, by the chief of any **Medical Staff** clinical department ~~area~~//~~of~~//~~care~~, by the chairman of any committee or by a majority vote of the committee, the President of UTHSCH, or by the Board. All such requests for investigation shall be in writing, shall be made to the Executive Committee, and shall be supported by reference to the individual's specific activity or conduct which constitutes the grounds for the request.

ARTICLE X - PART D:

Section 2. Investigative Procedure:

The Executive Committee shall meet as soon as possible and if, in the opinion of the Executive Committee:

- (a) the request for investigation contains information sufficient to warrant a recommendation, the Executive Committee, at its discretion, shall make one, with or without a personal interview with the affected individual; or
- (b) the request for investigation does not contain information sufficient to warrant a recommendation, the Executive Committee shall immediately investigate the matter or appoint an investigating committee to do so. Such an investigating committee shall consist of three persons, any of whom may or may not hold appointments to the Medical Staff. This committee shall not include partners or associates of the affected individual. The Executive Committee and

the investigating committee, if used, shall have available to them the full resources of the Medical Staff and the hospital to aid in their work, as well as the authority to use any outside consultants required. The individual with respect to whom an investigation has been requested shall have an opportunity to meet with the investigating committee before it makes its report. At this meeting (but not as a matter of right in advance of it) he shall be informed of the general nature of the evidence supporting the investigation requested and shall be invited to discuss, explain or refute it. This interview shall not constitute a hearing, and none of the procedural rules provided in these bylaws with respect to hearings shall apply. A summary of such interview shall be made by the investigating committee. The Executive Committee may accept, modify or reject the recommendation it receives from that committee.

ARTICLE X - PART D:

Section 3. Suspension of Privileges:

At any time during the investigation the Executive Committee may suspend all or any part of the clinical privileges of the individual being investigated. This suspension shall be administrative in nature, for the protection of hospital patients. It shall be in effect during the investigation only, shall not indicate the truth of the charges, and shall remain in force, without appeal, during the course of the investigation. If a suspension is placed into effect, the investigation shall be completed in 30 days or reasons for the delay shall be transmitted to the Director, the President of UTHSCH or the Board, as appropriate, so that it may consider whether the suspension should be lifted.

ARTICLE X - PART D:

Section 4. Procedure Thereafter:

- (a) In acting after the investigation, the Executive Committee may (1) issue a written warning, (2) issue a letter of reprimand, (3) impose terms of probation, (4) impose a requirement for consultation, (5) recommend reduction of clinical privileges, (6) recommend suspension of clinical privileges for a term or (7) recommend revocation of staff appointment.
- (b) Any recommendation by the Executive Committee for reduction of clinical privileges or suspension of privileges for a month or more from the time the Executive Committee acts, or for revocation of staff appointment shall entitle the affected individual to the procedural rights provided in

ARTICLE XI. The Director shall promptly notify the affected individual by certified mail, return receipt requested. The Director shall then hold the recommendation until after the individual has exercised or has been deemed to have waived his right to a hearing as provided in ARTICLE XI. At the time the individual has been deemed to have waived his right to a hearing, the Director, through the President of the UTHSCH, shall forward the recommendation of the Executive Committee, together with all supporting documentation, to the Board. The chairman of the Executive Committee or his designee shall be available to the Board or its appropriate committee to answer any questions that may be raised with respect to the recommendation.

- (c) If the action of the Executive Committee is less severe than reduction of clinical privileges, or suspension of clinical privileges for a term of a month or more, or revocation of staff appointment, it shall take effect immediately without action of, and without the right of appeal to, the Board. A report of the action taken and reasons therefore shall be made to the Board through the Director and the President of the UTHSCH, and the action shall stand unless modified by the Board. In the event the Board determines to consider modification of the action of the Executive Committee and such action would reduce clinical privileges, suspend clinical privileges for a month or more, or revoke staff appointment, it shall so notify the affected individual, through the Director, and shall take no final action thereon until the individual has exercised or has been deemed to have waived the procedural rights provided in ARTICLE XI.
- (d) The chairman of the Executive Committee shall promptly notify Hospital Administration in writing of all requests for action regarding a person currently appointed to the Medical Staff received by the Executive Committee.

ARTICLE X - PART E: SUMMARY SUSPENSION OF CLINICAL PRIVILEGES

Section 1. Grounds for Summary Suspension:

- (a) The Director, or in his absence, the President of the Medical Staff, or the President of UTHSCH, or the Board shall each have the authority to summarily suspend all or any portion of the clinical privileges of a Medical Staff appointee or other individual whenever such action is in the best interest of patient care or safety or the continued effective operation of the hospital or whenever such individual has violated the bylaws, rules and regulations and policies of the hospital

or Medical Staff. Staff suspension shall not imply any final finding of responsibility for the satisfaction that caused the suspension.

- (b) Such summary suspension shall become effective immediately upon imposition, shall immediately be reported in writing to the Director, The President of the Medical Staff, and shall remain in effect unless or until modified.

ARTICLE X - PART E:

Section 2. Executive Committee Procedure:

The individual who exercises his authority under Section 1. of this PART to suspend summarily a person appointed to the Medical Staff shall immediately report his action to the Chairman of the Executive Committee, who shall take such further action as is required. The summary suspension shall remain in force after the committee takes responsibility unless and until modified by that committee or Director, or until the matter that required the suspension is finally resolved.

ARTICLE X - PART E:

Section 3. Care of Suspended Individual's Patients:

Immediately upon the imposition of a summary suspension, the appropriate chief or, in his absence, the Director shall assign to another person appointed to the Medical Staff responsibility for care of the suspended individual's patients still in the hospital at the time of such suspension until such time as they are discharged. The wishes of a patient shall be considered by the chief in the selection of a substitute as appropriate. It shall be the duty of the Director and the chief to cooperate with Hospital Administration in enforcing all suspensions.

ARTICLE X - PART F: OTHER ACTIONS

Section 1. Failure to Complete Medical Records:

The elective and emergency admitting and clinical privileges of a person holding a current Medical Staff appointment or clinical privileges shall be voluntarily relinquished for failure to complete medical records after notification by the medical records department of such delinquency. Such relinquishment shall continue until all the records of the individual's patients are no longer delinquent. Failure to complete the medical records that caused relinquishment of clinical privileges after two months from the relinquishment of privileges shall constitute a voluntary resignation of appointment to the Medical Staff.

ARTICLE X - PART F:

Section 2. Action by State Licensing Agency and/or Drug Enforcement Agency:

Action by the appropriate state licensing agency revoking or suspending an individual's professional license, and/or action to suspend or terminate the registration to dispense controlled substances by a

physician, shall result in automatic relinquishment of all hospital clinical privileges and/or privileges to dispense controlled substances as of that date, until the matter is resolved and license and/or registration restored.

ARTICLE X - PART F:

Section 3. Final Judgements and Settlements in Professional Liability Actions:

Final judgements and settlements in professional liability actions involving individual members of the medical staff or psychologists privileged by the medical staff shall be reported in writing by the affected physician or psychologist to the Medical Director within thirty days of occurrence. Failure to report shall constitute grounds for disciplinary action by the Executive Committee. The Medical Director shall make a summary report of all such judgements and settlements to the Executive Committee at the regular monthly meeting. The Executive Committee may inquire further into the matter and may, at the committee's discretion, act to restrict the affected physician's or psychologist's clinical privileges based on the committee's findings and subject to appeal as further provided for herein.

ARTICLE X - PART F:

Section 6. Loss of Faculty Status

Voluntary or involuntary loss or relinquishment of faculty appointment to the University of Texas Health Science Center at Houston results in automatic and immediate loss of Medical Staff appointment and clinical privileges at the Harris County Psychiatric Center not subject to appeal.

ARTICLE X - PART F:

Section 5. Failure to Attend Meetings or Satisfy Continuing Education Requirements:

- (a) Failure to attend meetings as required in these bylaws or failure to complete mandated continuing education requirements shall be considered a voluntary relinquishment of Medical Staff appointment and shall be sufficient grounds for refusing to reappoint the individual concerned. Such failures shall be documented and specifically considered by the Executive Credentials Committee when making its recommendations for reappointment and by the Board when making its final decisions.
- (b) Any individual whose reappointment has been refused for these reasons shall be entitled to meet with a committee to be designated by the Board before final action is taken. This meeting with the Board appointed committee shall not be conducted under the procedural rules provided in these bylaws.
- (c) If reappointment is refused by the Board, the individual shall be eligible to reapply for staff

appointment and the application shall be processed in the same manner as if it were an initial application.

ARTICLE X - PART G: PROCEDURE FOR LEAVE OF ABSENCE

Persons appointed to the Medical Staff may, for good cause, be granted leaves of absence by the Director for a definitely stated period of time not to exceed one year. Requests for leaves of absence shall be made to the chief of the ~~Medical staff clinical~~ department ~~area/office~~ which the individual applying for leave has his primary clinical privileges, and shall state the beginning and ending dates of the requested leave. The chief shall transmit the request together with his recommendation to the Executive Committee which shall make a report and a recommendation and transmit it to the Director for action.

ARTICLE XI
HEARING AND APPEAL PROCEDURES

ARTICLE XI - PART A: INITIATION OF HEARING

An applicant or a person holding a Medical Staff appointment shall be entitled to a hearing whenever a recommendation unfavorable to him has been made by the Executive Committee regarding those matters enumerated in PART B, Section 2. of this Article. The affected individual shall also be entitled to a hearing, before the Board enters a final decision, in the event the Board should determine to reject a favorable recommendation by the Executive Committee regarding any of those matters. The purpose of the hearing shall be to recommend a course of action to those acting for the hospital corporation, whether Medical Staff or Board, and the duties of the Hearing Panel shall be so defined and so carried out.

ARTICLE XI - PART B: THE HEARING

Section 1. Notice of Recommendation:

- (a) When a recommendation is made which, according to these bylaws, entitles an individual to a hearing prior to a final decision of the Board on that recommendation, the applicant or Medical Staff appointee, as the case may be, shall promptly be given notice by the Director, in writing, return receipt requested. This notice shall contain a statement of the recommendation made and a summary of the rights in the hearing, and that the physician has 30 days to exercise the right to request a hearing.
- (b) Such individual shall have 30 days following the date of the receipt of such notice within which to request a hearing by the Hearing Panel hereinafter

referred to. Said request shall be made by written notice to the Director. In the event the affected individual does not request a hearing within the time and in the manner herein above set forth, he shall be deemed to have waived his right to such hearing and to have accepted the action involved and such action shall thereupon become effective immediately upon final Board action.

ARTICLE XI - PART B:

Section 2. Grounds for Hearing:

No recommendation or action other than those hereinafter enumerated shall constitute grounds for a hearing.

- (a) denial of provisional Medical Staff appointment;
- (b) denial of requested advancement in Medical Staff category;
- (c) denial of Medical Staff reappointment;
- (d) revocation of Medical Staff appointment;
- (e) denial of requested initial clinical privileges for Medical Staff applicants;
- (f) denial of requested increased clinical privileges for Medical Staff applicants;
- (g) decrease of clinical privileges for Medical Staff applicants;
- (h) suspension of total clinical privileges for a term of more than 30 days for Medical Staff appointees.

ARTICLE XI - PART B:

Section 3. Unappealable Actions:

Neither voluntary nor automatic relinquishment of clinical privileges, nor involuntary denial or relinquishment of clinical privileges granted to psychologists, all as provided for elsewhere in these bylaws, nor the imposition of any consultation requirement, nor the imposition of a requirement for retraining, additional training or continuing education, no matter whether imposed by the ~~Executive~~ ~~Credentials~~ Committee or the Board shall constitute grounds for a hearing, but shall take effect without hearing or appeal.

ARTICLE XI - PART B:

Section 4. Notice of Hearing and Statement of Reasons:

The Director shall schedule the hearing no earlier than 30 days from the receipt of the request for a hearing and shall give notice, in writing, return receipt requested, to the person who requested the hearing of its time, place and date. The notice shall include a list of witnesses expected to testify at the hearing on behalf of the ~~Executive~~ ~~Credentials~~ Committee. The hearing shall begin as soon as practicable, considering the schedules and availability of all concerned. This notice shall contain a statement of the reasons for the recommendation as well as the patient records and information supporting the recommendation. This statement and the patient records

and information it contains may be amended or added to at any time, even during the hearing so long as the additional material is relevant to the continued appointment or clinical privileges of the person requesting the hearing, and that person and his counsel have sufficient time to study this additional information and rebut it.

ARTICLE XI - PART B:

Section 5. Hearing Panel:

When a hearing is requested, the Director, acting for the Board, shall appoint a Hearing Panel which shall be composed of not less than three members, at least one of whom shall be a physician. The Panel shall be composed of either Medical Staff appointees who shall not have actively participated in the consideration of the matter involved at any previous level or of physicians or laymen not connected with the hospital or a combination of such persons. No Panel member may be in direct economic competition with the affected physician. Such appointment shall include designation of the chairman. Knowledge of the matter involved shall not preclude any individual from serving as a member of the Hearing Panel.

ARTICLE XI - PART B:

Section 6. Failure to Appear:

Failure, without good cause, of the person requesting the hearing to appear and proceed at such a hearing shall be deemed to constitute voluntary acceptance of the recommendations or actions pending, which shall then become final and effective immediately.

ARTICLE XI - PART B:

Section 7. Postponements and Extensions:

Postponements and extensions of time beyond any time limit set forth in these bylaws may be requested by anyone but shall be permitted only by the Hearing Panel or its chairman on a showing of good cause.

ARTICLE XI - PART B:

Section 8. Deliberations and Recommendation of the Hearing Panel:

Within 20 days after final adjournment of the hearing, the Hearing Panel shall conduct its deliberations outside the presence of any other person except the Presiding Officer and shall render a recommendation, accompanied by a report, which shall contain a concise statement of the reasons justifying the recommendation made and shall deliver such report to the President of the Medical Staff and the Director.

ARTICLE XI - PART B:

Section 9. Disposition of Hearing Panel Report:

Upon its receipt, the Director shall send a copy of the report and recommendation including a statement of the basis of the recommendation, return receipt requested, to the person who requested the hearing. If the

hearing has been conducted by reason of an adverse recommendation by the Executive Committee, the report of the Hearing Panel shall be delivered by the Director to the committee for whatever modification, if any, it may wish to make its original recommendation. If it has been conducted by reason of an action of the Board or its committee, the report of the Hearing panel shall be delivered to the Board or that committee.

ARTICLE XI - PART C: HEARING PROCEDURE

Section 1. Representation:

The person requesting the hearing shall be entitled to be represented at the hearing by an attorney to examine witnesses and present his case. He shall inform the Director in writing of the name of that person 10 days prior to the date of the hearing. The Executive Committee or the Director acting for the Board, whichever is appropriate, shall appoint a representative, who may be an attorney, to present its recommendations and reasons and to examine and cross-examine witnesses.

ARTICLE XI - PART C:

Section 2. Presiding Officer:

- (a) The Director may appoint an attorney at law as presiding officer from The Office of the President of UTHSCH or from the office of General Counsel, as the Vice Chancellor and General Counsel shall determine. Such presiding officer may be legal counsel to the hospital. He must not act as a prosecuting officer, or as an advocate for the Board, or the Executive Committee. He may participate in the private deliberations of the Hearing Panel and be a legal advisor to it, but he shall not be entitled to vote on its recommendations. He may thereafter continue to advise the Board on the matter.
- (b) If no presiding officer has been appointed, the chairman of the Hearing Panel shall be the presiding officer.
- (c) The presiding officer shall act to insure that all participants in the hearing have a reasonable opportunity to be heard and to present all oral and documentary evidence, that decorum is maintained throughout the hearing and that intimidation is not permitted. He shall determine the order of procedure throughout the hearing, and shall have the authority and discretion, in accordance with these bylaws, to make rulings on all questions which pertain to matters of procedure and to the admissibility of evidence, upon which he may be advised by legal counsel to the hospital. In all instances he shall act in such a way that all information relevant to the continued appointment or clinical privileges of the person requesting the hearing is considered by

the Hearing Panel in formulating its recommendations. It is understood that the presiding officer is acting at all times to see that all relevant information is made available to the Hearing Panel for its deliberations and recommendations to the Board.

ARTICLE XI - PART C:

Section 3. Record of Hearing:

The Hearing Panel shall maintain a record of the hearing by a reporter present to make a record of the hearing or a recording of the proceedings. The cost of such reporter shall be borne by the hospital. The affected physician has a right to request and receive a copy of the record of the hearing after payment of any reasonable charges for the preparation thereof. The Hearing Panel may, but shall not be required to, order that oral evidence shall be taken only on oath or affirmation administered by any person designated by such body and entitled to notarize documents in this State.

ARTICLE XI - PART C:

Section 4. Rights of Both Sides:

At a hearing both sides shall have the following rights: to call and examine witnesses to the extent available, to introduce exhibits, to cross-examine any witness on any matter relevant to the issues and to rebut any evidence. If the person requesting the hearing does not testify in his own behalf, he may be called and examined as if under cross-examination.

ARTICLE XI - PART C:

Section 5. Admissibility of Evidence:

The hearing shall not be conducted according to rules of law relating to the examination of witnesses or presentation of evidence. Any relevant evidence shall be admitted by the presiding officer if it is the sort of evidence on which responsible persons are accustomed to rely in the conduct of serious affairs, regardless of the admissibility of such evidence in a court of law. Each party shall have the right to submit a memorandum of points and authorities, and the Hearing Panel may request such a memorandum to be filed following the close of the hearing. The Hearing Panel may interrogate the witnesses, call additional witnesses or request documentary evidence if it deems it appropriate.

ARTICLE XI - PART C:

Section 6. Official Notice:

The presiding officer shall have the discretion to take official notice of any matters, either technical or scientific, relating to the issues under consideration which could have been judicially noticed by the courts

of this State. Participants in the hearing shall be informed of the matters to be officially noticed and such matters shall be noted in the record of the hearing. Either party shall have the opportunity to request that a matter be officially noticed or to refute the noticed matter by evidence or by written or oral presentation of authority. Reasonable additional time shall be granted, if requested, to present written rebuttal of any evidence admitted on official notice.

ARTICLE XI - PART C:

Section 7. Basis of Decision:

The decision of the Hearing Panel shall be based on the evidence produced at the hearing. The evidence may consist of the following:

- (a) oral testimony of witnesses;
- (b) memorandum of points and authorities presented in connection with the hearing;
- (c) any material contained in the hospital's files regarding the person who requested the hearing so long as this material has been admitted into evidence at the hearing and the person who requested the hearing had the opportunity to comment on and, by other evidence, refute it;
- (d) any and all applications, references, and accompanying documents;
- (e) all officially noticed matters;
- (f) any other evidence that has been admitted.

ARTICLE XI - PART C:

Section 8. Burden of Proof:

- (a) At any hearing involving any of the following grounds for hearing; denial of initial Medical Staff appointment, denial of requested advancement in Medical Staff category, denial of Medical Staff reappointment, revocation of Medical Staff appointment, or denial of a request for initial or additional clinical privileges, it shall be incumbent on the person who requested the hearing initially to come forward with evidence in support of his position.
- (b) In cases involving a decrease of clinical privileges or a suspension of total privileges for a term, it shall be incumbent on the Board, or the Executive Committee, whichever recommendation prompted the hearing initially, to come forward with evidence in support of its recommendation. Thereafter, the burden shall shift to the person who requested the hearing to come forward with evidence in his support.
- (c) In all cases in which a hearing is conducted under this Article, after all the evidence has been submitted by both sides, the Hearing Panel shall consider which side has better met the burden of persuasion by basing its recommendation on the preponderance of the credible evidence. The

recommendation shall be in writing and contain findings of fact, reasoning about the facts found, and conclusions derived as a result. The affected individual has the right to request and receive a copy of the written recommendation including a statement of the basis of the recommendation.

ARTICLE XI - PART C:

Section 9. Attendance by Panel Members:

The hearing shall continue so long as the members of the Hearing Panel are present. The vote shall be by majority of those appointed to the Hearing Panel who were present at the Hearing or who have read a written transcript and reviewed the entire record.

ARTICLE XI - PART C:

Section 10. Recess and Conclusion:

The presiding officer may recess the hearing and reconvene the same at the convenience of the participants without special notice. Upon conclusion of the presentation of oral and written evidence, the hearing panel shall permit oral arguments, after which the hearing shall be closed. The affected physician shall have the right to submit a written statement at the close of the hearing.

ARTICLE XI - PART D: APPEAL

Section 1. Time for Appeal:

Within 30 days after the affected individual is notified of an adverse recommendation from the Hearing Panel, he may request that the President of UTHSCH permit an appellate review. The request shall be in writing, and shall be delivered to the President of UTHSCH in person or by certified mail, and shall include a brief statement of the reasons for appeal. The President of UTHSCH shall so notify the Board within five working days of the request. If such appellate review is not requested within 10 days as provided herein, the affected individual shall be deemed to have accepted the recommendation involved and it shall thereupon become final and immediately effective.

ARTICLE XI - PART D:

Section 2. Grounds for Appeal:

The grounds for appeal from an adverse recommendation shall be that:

- (a) there was substantial failure on the part of the Executive Committee or Hearing Panel to comply with the hospital or Medical Staff bylaws in the conduct of the hearings and recommendations based upon hearings so as to deny due process or a fair hearing; or
- (b) the recommendation was made arbitrarily, capriciously or with prejudice; or
- (c) the recommendation of the Executive Committee or Hearing Panel was not supported by evidence, or
- (d) There is new evidence not previously available.

ARTICLE XI - PART D:

Section 3. Time, Place and Notice:

Whenever an appeal is requested as set forth in the preceding sections, the Board or its authorized representatives shall, within 10 days after receipt of such request, schedule and arrange for an appellate review. The Board shall cause the affected individual to be given notice of time, place and date of the appellate review. The date of appellate review shall not be less than 20 days, nor more than 40 days, from the date of receipt of the request for appellate review; provided, however, that when a request for appellate review is from an appointee who is under a suspension then in effect the appellate review shall be held as soon as the arrangements may reasonably be made and not more than 14 days from the date of receipt of the request for appellate review. The time for appellate review may be extended by the Board for good cause.

ARTICLE XI - PART D:

Section 4. Nature of Appellate Review:

The Board or President of the Health Science Center shall appoint a Review Panel composed of not less than three persons, either its own members, reputable persons outside the hospital, or a combination of the two, to consider the record upon which the recommendation before it was made. The Review Panel may accept additional oral or written evidence subject to the same rights of cross-examination or confrontation provided at the Hearing Panel proceedings. Such additional evidence shall be accepted only if the party seeking to admit can demonstrate that he was deprived of the opportunity to admit it at the hearing and then only at the discretion of the Review Panel. Each party shall have the right to present a written statement in support of his position on appeal, and in its sole discretion, the Review Panel may allow each party or its representative to appear personally and make oral argument. The Review Panel shall recommend final action to the Board.

ARTICLE XI - PART D:

Section 5. Final Decision of the Board:

- (a) After due consideration of the recommendations, the Board shall within a reasonable time render a final decision in writing and shall deliver copies thereof to the affected individual and to the Executive Committee, to the Director, to the President of UTHSCH, and to the Dean of UTHSCH-MS in person or by certified mail.
- (b) The Board may affirm, modify, or reverse the recommendation of the Appellate Review Panel or, in its discretion, refer the matter for further appellate review and recommendation, or may order

the hearing reopened before the previous hearing panel or order a new hearing. The affected individual has the right to request and receive a written decision of the Board including a statement of the basis for the decision.

ARTICLE XI - PART D:

Section 6. Further Review:

Except where the matter is referred for further action and recommendation in accordance with Section 4. of this PART, the final decision of the Board following the appeal shall be effective immediately and shall not be subject to further review. Provided, however, if the matter is referred for further action and recommendation, such recommendations shall be promptly made to the Board in accordance with the instructions given by the Board.

ARTICLE XI - PART D:

Section 7. Right to One Appeal Only:

No applicant or Medical Staff appointee shall be entitled as a matter of right to more than one appellate review on any single matter which may be the subject of an appeal, without regard to whether such subject is the result of action by the Executive Committee or Hearing Panel, or a combination of acts of such bodies. However, nothing in these bylaws shall restrict the right of the applicant to reapply for appointment or an increase in clinical privileges after the expiration of two years from the date of such Board decision unless the Board provides otherwise in its written decision.

ARTICLE XII

RULES AND REGULATIONS OF THE MEDICAL STAFF

The Medical Staff, with the approval of the Director and the President of UTHSCH, shall adopt such rules and regulations as may be necessary to implement more specifically the general principles of conduct found in these bylaws. Rules and regulations shall set standards of practice that are to be required of each Medical Staff appointee in the hospital, and shall act as an aid to evaluating performance under, and compliance with, these standards. Rules and regulations shall have the same force and effect as the bylaws.

Particular rules and regulations may be amended, replaced or added by vote of the Executive Committee at any regular or special meeting provided that copies of the proposed amendments, additions or repeals are posted on the Medical Staff bulletin board and made available to all members of the Executive Committee 14 days before being voted on and further provided that all written comments on the proposed changes by persons holding current appointments

to the Medical Staff be brought to the attention of the Executive Committee before the change is voted upon. Changes in the rules and regulations shall become effective only when approved by the Director and the President of UTHSCH.

Rules and regulations may also be amended, replaced or added by the Medical Staff at a regular meeting or special meeting called for that purpose provided that the procedure used in amending the Medical Staff bylaws is followed. All such changes shall become effective only when approved by the Director and the President of UTHSCH.

ARTICLE XIII

AMENDMENTS

ARTICLE XIII - PART A: AMENDMENTS

All proposed amendments of these bylaws initiated by the Medical Staff shall, as a matter of procedure, be referred to the Executive Committee. The Executive Committee shall report on them either favorably or unfavorably at the next regular meeting of the Medical Staff, or at a special meeting called for such purpose. They shall be voted upon at that meeting provided that they shall have been posted on the Medical Staff bulletin board at least 14 days prior to the meeting. To be adopted, an amendment must receive a majority of the votes cast by the voting staff who are present at the time of such vote and who do vote. Amendments so adopted shall be effective if agreed to by the President of UTHSCH and approved by the Board.

The Executive Committee shall have the power to adopt such amendments to the bylaws as are, in the committee's judgment, technical or legal modifications or clarifications, reorganization or renumbering, or amendments made necessary because of punctuation, spelling or other errors of grammar or expression. Such amendments shall be effective immediately and shall be permanent if not disapproved by the Medical Staff or the Board within 60 days of adoption by the Executive Committee. The action to amend may be taken by a motion acted upon in the same manner as any other motion before the Executive Committee. After adoption, such amendments shall, as soon as practicable, be posted on the Medical Staff bulletin board for 14 days and sent to the President of the Medical Staff.

ARTICLE XIV
ADOPTION

ARTICLE XIV - PART A:

Section 1. Waivers.

To the extent that these Bylaws and subsequent amendments hereto impose new tenure requirements, such new tenure requirements, unless otherwise specified to the contrary at the time of adoption, shall be waived, respectively, until the end of a period equal to such new tenure requirement. Any prerequisite for consideration for appointment, applicable to various staff categories, concerning the requirement that a physician request admission to this Hospital for a portion of his patients requiring hospitalization shall be waived with respect to each applicant for initial appointment who undertakes to prospectively meet such prerequisite. Any prerequisite for Appointment applicable to the Active Staff concerning 6 months prior service on the Provisional Staff shall be waived with respect to Practitioners appointed to the Active Staff prior to January 1, 1988. Any Prerequisite for Appointment and Privileges applicable to various Staff Categories, and **Medical Staff clinical departments** ~~areas/of/care~~ concerning Specialty Board certification or examination eligibility shall be waived with respect to Practitioners appointed to the Staff prior to January 1, 1988. Any other Prerequisite, Qualification, Responsibility, or other requirement specified in these Bylaws may be waived by the Board after consideration of the recommendation of the Medical Staff Executive Committee upon determination that such waiver will serve the best interests of the Hospital. Such waiver shall also serve in the initial appointments for Medical Staff committees prior to January 1, 1988.

ARTICLE XIV - PART A:

Section 2. Adoption:

The bylaws are adopted and made effective January 1, 1988, superseding and replacing any and all previous Medical Staff bylaws, and henceforth all activities and actions of the Medical Staff and of each and every appointee to the Medical Staff shall be taken under and pursuant to the requirements of these bylaws.

The present rules and regulations of the Medical Staff are hereby adopted and placed into effect insofar as they are consistent with these bylaws, until such time as they are amended in accordance with the terms of these bylaws.

APPROVED by the Medical Staff on _____.

President of the Medical Staff

ADOPTED by the Board of Regents on _____.

Chairman of the Board

APPENDIX
A

ORGANIZATION SUMMARY

POLICIES OF THE HCPC
RELATING TO MEDICAL PRACTICE

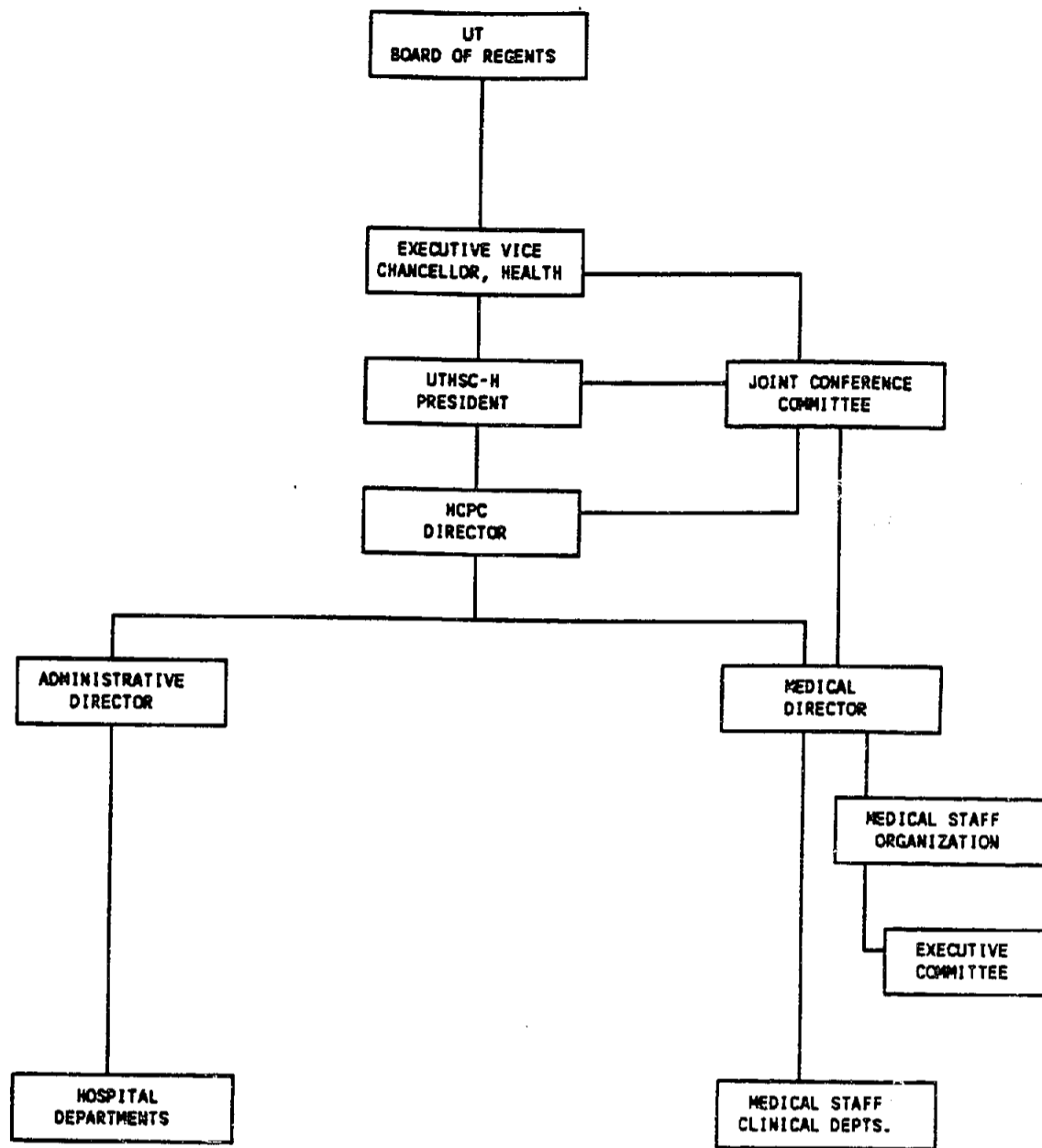
ORGANIZATION SUMMARY

POLICIES OF THE HCPC
RELATING TO MEDICAL PRACTICE

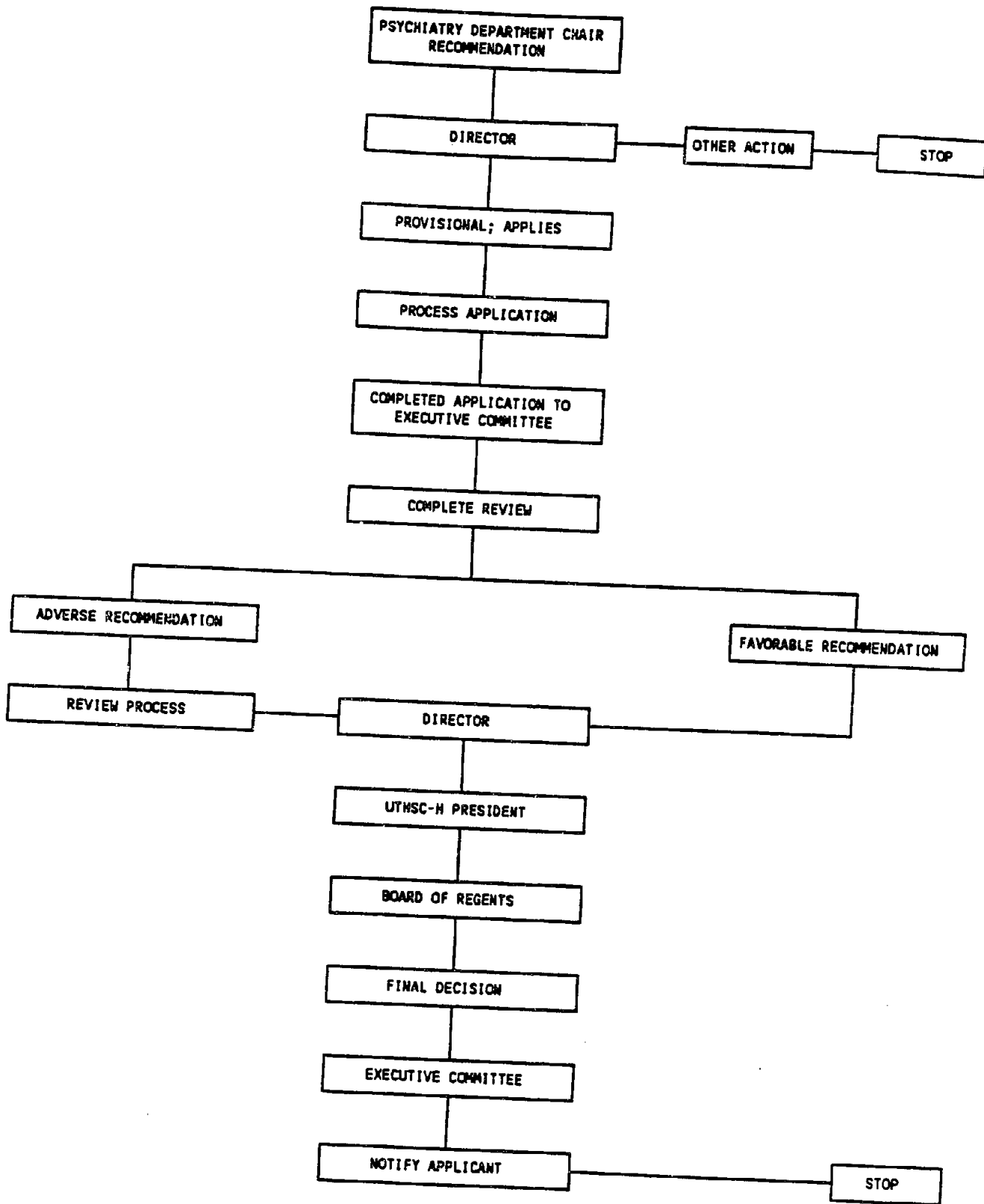
The policies of the HCPC relating to medical practices are:

- To provide care for patients, regardless of race, creed, color or economic status, within the capacity of the HCPC and the capabilities of the Medical Staff.
- To develop a Medical Staff of qualified individual Practitioners without regard to race, creed, color, sex, national origin or competition among Practitioners.
- To insure compliance with the specific policies of the HCPC and Medical Staff including:
 1. The Rules and Regulations of the Board of Regents.
 2. The decisions of the Board.
 3. The Medical Staff Bylaws and Rules and Regulations.
 4. The Rules and Regulations of Departments and Units of the HCPC and Medical Staff.
 5. All governmental regulations affecting health care and health care providers.

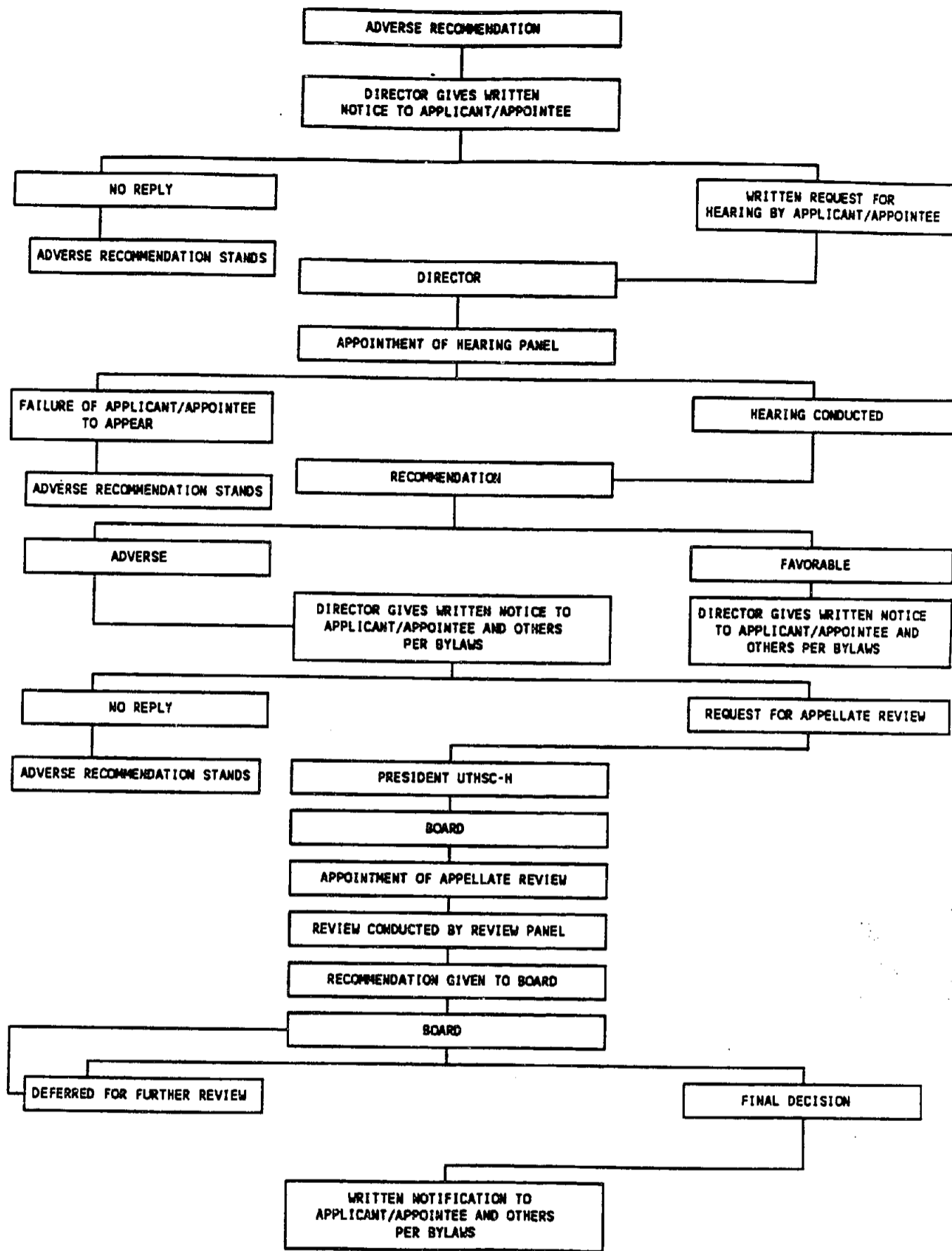
HARRIS COUNTY PSYCHIATRIC CENTER
MEDICAL STAFF ORGANIZATIONAL RELATIONSHIPS



HARRIS COUNTY PSYCHIATRIC CENTER
 MEDICAL STAFF APPLICATION PROCESS



HARRIS COUNTY PSYCHIATRIC CENTER
 MEDICAL STAFF ORGANIZATION SUMMARY OF HEARING AND APPEAL PROCESS



NOTE: This is a summary only. Your attention is directed to the Bylaws section detailing Hearing and Appeal Process.

APPENDIX B
RULES AND REGULATIONS
OF
THE ORGANIZED MEDICAL STAFF
OF
THE HARRIS COUNTY PSYCHIATRIC CENTER
HOUSTON, TEXAS

SECTION A. ADMISSION, TRANSFER, AND DISCHARGE OF PATIENTS

1. Patients may be admitted to the HCPC only by Active or Courtesy members of the Medical Staff.
2. An Active Member of the Medical Staff shall be responsible as attending physician for the diagnosis, treatment, and medical care of each patient, for maintaining a prompt and complete record of the patient's admission and subsequent care in the HCPC, for writing orders and special instructions, and for supplying information to the patient's family and the referring physician, if any. If the attending physician transfers or delegates these responsibilities to another Medical Staff member, he must write an order to that effect in the patient's record, except that emergency-call coverage shall be provided on the basis of rotating responsibility between members of the Active and Courtesy Staff as pre-scheduled. The schedule of rotation shall be published and posted on the Medical Staff bulletin board by the President of the Medical staff prior to the first day of each month.
3. Except in an emergency no patient shall be admitted without a provisional diagnosis or valid reason for admission, and an initial set of orders from the admitting physician. In emergency cases the provisional diagnosis or reason for admission and the initial set of orders shall be written as soon as possible and in any event no later than 24 hours following admission. The basis for such emergency admission shall be fully documented in the patient's records and shall be justified to the President upon request.
4. Patients shall be discharged only upon orders of the attending physician or a Courtesy Staff providing emergency-call coverage in accordance with No. 2, above. Should a patient leave the HCPC without proper discharge orders, a detailed notation of the event shall be made on the patient's record by nursing personnel and the attending physician.

5. In the event of HCPC deaths, the deceased shall be pronounced dead by an appropriate physician. The release of bodies shall conform to local law.
6. It shall be the duty of all Medical Staff members to secure meaningful autopsies, when possible. An autopsy may be performed only with a written consent, signed in accordance with state law. All autopsies shall be performed by HCPC authorized pathologists. Provisional anatomical diagnoses shall be recorded on the medical record within 48 hours and the complete protocol shall be made a part of the record within 21 days.
7. Any Medical Staff member admitting Medicare patients to the HCPC shall honor the condition of the HCPC's contract with the Department of Health and Human Services.
8. The attending physician is required to document the need for hospitalization after specific periods of stay as identified by the Utilization Review Plan of the HCPC or, where applicable, by appropriate governmental authority. The documentation must contain:
 - (a) An adequate written statement of the reason for continued hospitalization. A statement of the patient's diagnosis is not sufficient;
 - (b) The estimated period of time the patient will need to remain in the HCPC; and
 - (c) Within 24 hours after request of the Clinical Administrative Committee of the HCPC such documentation shall be furnished for any patient.

SECTION B. MEDICAL RECORDS

1. A complete, legible medical record on every patient admitted to the HCPC is the responsibility of the attending physician.
2. Every medical record shall include:
 - (a) An admitting diagnosis or valid reason for admission. Except for emergencies this shall be recorded at time of admission, and in any event within 24 hours.
 - (b) General consent to diagnosis and treatment by the attending physician. This consent must be obtained prior to admission.
 - (c) A complete history and physical examination to be recorded on admission and to include all pertinent findings. If a complete history and physical examination has been performed and recorded within a week prior to admission, such as by a UTHSCH Psychiatry Medical Staff member prior to a hospital-to-hospital transfer of a patient, then a durable, legible copy of such history and physical may be placed in the patient's medical record in lieu of the admission

- history and physical, provided that there is recorded all additions to the history or changes in physical findings.
- (d) Initial orders, to be written at time of admission.
 - (e) An informed consent, to be obtained from the patient by the attending physician or his associates prior to any special procedure. Such consent shall document that the patient has been reasonably advised of the hazards of such procedure, and shall be signed by the patient or his representative. The procedures which require informed consent are detailed in the Hospital Policy an Informed Consent for Procedures.
 - (f) Progress notes, to be recorded at the time of the observation sufficient to permit continuity of care and transferability. Whenever possible, each of the patient's clinical problems should be clearly identified and correlated with specific orders and the results of tests and treatment. Progress notes shall be written at least daily except Sundays and university holidays.
 - (g) Consultation reports, when a consultation(s) is requested, shall show evidence of a review of the patient's record by the consultant, pertinent findings on examination by the consultant, and the consultant's opinion and recommendations.
 - (h) Reports of procedures, tests, and results, to include pathology and clinical laboratory reports, radiology and nuclear medicine examinations, and other diagnostic and therapeutic procedures.
 - (i) Discharge summary or clinical resume to include:
 - (1) Concise statement of history and physical, mental status on admission;
 - (2) Course in the hospital, including treatment;
 - (3) All special procedures performed;
 - (4) Final diagnosis(es);
 - (5) Condition on discharge;
 - (6) Post discharge instructions to patient;
 - (7) Autopsy reports, if any.
3. When a history and physical examination, discharge summary, master treatment plan or other clinical entry on a medical record is recorded by a resident, the attending physician shall review such entry, shall make such changes or corrections as are indicated, and shall sign to indicate his approval. The history and physical,

- discharge summary, master treatment plan or other entry is not complete until signed by the attending physician.
4. Signature stamps or facsimile signatures shall not be allowed under any circumstances. All clinical entries shall be dated and signed.
 5. Symbols and abbreviations may be used in the medical records only when approved by the Executive Committee. Each symbol or abbreviation shall have only one meaning. A list of approved symbols shall be updated annually and appropriately distributed in the HCPC. No symbol or abbreviations shall be used in the discharge summary.
 6. Written consent of a patient, or his authorized representative, is required for release of information in his medical record to any person not otherwise authorized to receive it.
 7. In the event of readmission to the HCPC, all the patient's prior medical records shall be available to the admitting physician. Members of the Medical Staff who have a legitimate interest in a medical record (i.e., Medical Staff Committee audit functions, Department audit functions) may review its contents. Certain HCPC employees may have access to patient records as a function of patient care or review. Federal and State review agencies and the Joint Commission on Accreditation of Hospitals may be authorized to review the records.
 8. All medical records are the property of the HCPC and may be removed from the HCPC's safe-keeping in accordance with court order, subpoena, or statute. Unauthorized removal of medical records from the HCPC is grounds for suspension of privileges of a physician for a period to be determined by the Executive Committee.
 9. Medical records shall be filed only when completed by the attending physician or ordered filed by the Medical Records Committee. All medical records are to be completed within 30 days after the patient's discharge.
 10. The Clinical Procedures Manual will be followed for documentation in the patient record. The manual is maintained as a Rules and Regulations document, along with relevant policies and procedures.

SECTION C. GENERAL CONDUCT OF CARE

1. All orders for treatment shall be in writing and signed by an attending physician or his physician designee who must hold a current appointment to the Medical Staff. Verbal and telephone orders of an attending physician may be recorded and written by a Registered Nurse (all orders) or by a fully

- licensed registered or certified therapist (may take only orders pertaining to therapy they are providing). All verbal and telephone orders shall be read back to the attending physician after transcription and before such orders are carried out. All verbal and telephone orders shall be signed immediately by the person receiving such order and by the physician within 24 hours.
2. Orders must be written clearly, legibly and understandably. Illegible or improperly written orders will not be carried out until rewritten and understood by the nurse.
 3. Orders must be written when patients are transferred to or from different Units.
 4. Drugs and medications given to patients must be listed in the current edition of United States Pharmacopeia, National Formulary, American Hospital Formulary Service or A.M.A. Drug evaluations. Experimental and investigational drugs may be used under the sponsorship and supervision of the UTHSCH-MS Department of Psychiatry's research guidelines which are determined by those of the UTHSCH-MS.
 5. Identified medications will be administered from the HCPC Pharmacy on the physician's orders as to dose, method, and frequency of administration. No medications brought from home will be administered unless the drug cannot be obtained through the Pharmacy. Unidentified medications will not be administered by HCPC personnel. Patients admitted to the HCPC who are taking experimental or investigational drugs or medications under a protocol from another institution accredited by the JCAHO may be administered such medication in the HCPC on written orders of the attending provided: the HCPC is furnished a copy of the protocol from the originating institution, a copy of the patient's original informed consent to the use of medication, and adequate written information about its pharmacology (particularly adverse affects), storage requirements, method of dose preparation and administration, precautions to be taken, authorized prescribers, patient monitoring guidelines, and any other material pertinent to the safe and proper use of the medications.
 6. The Pharmacist is authorized to dispense another brand of medication identical in form and content to the medication ordered, unless otherwise instructed by the physician.
 7. Consultation is required in the following situations:

- (a) On critically ill patients when the diagnosis or appropriate treatment is obscure or cannot be obtained in the HCPC.
- (b) Whenever, in the judgment of the attending physician, specialists are available whose expertise will render substantial benefit to the patient.

The attending physician is primarily responsible for the requesting consultations when indicated and for obtaining a qualified consultant from the faculty of UTHSCH-MS or other affiliated Medical School faculty. He will provide a written order to permit a consultant to attend or examine his patient, except in an emergency. Faculty members of The University of Texas System and/or other physicians or psychologists under contract with the hospital may consult based on the request of an attending physician without being required to obtain appointment to the Medical Staff.

- 8. Administration of intravenous injections for X-ray or laboratory procedures shall be done by a physician or under the direction and supervision of a physician immediately available in the Department.
- 9. When a physician deems it necessary for a patient to have private duty nurses or sitters he will so order on the chart.
- 10. Medications may be administered to patients only by physicians or HCPC employees with appropriate licensure, certification, or registration, and in accordance with governmental regulations.
- 11. Personnel who work directly for the Medical Staff Member and whose qualifications are not otherwise specified in the Bylaws or these Rules and Regulations, shall meet the qualifications for similar personnel employed by the HCPC. Such personnel shall work in the HCPC only when accompanied by or under the supervision of the employing Medical Staff Member. All such personnel must be approved by the President or his designee and by the Executive Committee before working in the HCPC, and shall comply with all applicable HCPC Rules and Regulations.
- 12. Orders for Class II narcotics, antibiotics and anticoagulants will be automatically cancelled at 12:00 noon following the expiration of 72 hours, or 30 days in the case of psychotropic and all other drugs, unless:
 - (a) The order specifies an exact number of doses; or
 - (b) The order is renewed before or at expiration in which case it will again terminate in 72 hours or 30 days as appropriate.

The HCPC shall initiate a system for notifying the responsible physician of the impending expiration of an order.

SECTION D. OTHER PROFESSIONALS

1. Service assignment, clinical functions and responsibilities, and all other matters relating to each Physician appointed as a Fellow or Resident shall be governed by his Appointment Agreement, the Policies of the HCPC applicable to medical education, those conditions contained in these Bylaws, and by the affiliation agreements between the Board on behalf of UTHSCH for the HCPC and a school of medicine approved by the Liaison Committee for Medical Education of the American Medical Association and the Association of American Medical Colleges.
2. A Fellow or Resident shall be afforded only such rights as may be specifically provided in his Appointment Agreement and, anything herein apparently to the contrary notwithstanding, shall have no rights to Hearing and Appeals Procedures under these Bylaws or other policies of the HCPC unless otherwise ordered by the Board.
3. The training, experience, and demonstrated current competence of a Fellow or Resident shall be sufficient to permit performing the following:
 - (a) The exercising of judgement within his area of competence with supervision and accountability of an Active or Courtesy staff member;
 - (b) Participating in the management of patients under the supervision or direction of an Active or Courtesy member of the Medical Staff who is accountable for the patient's care and treatment;
 - (c) Within the limits established by the Medical Staff and consistent with the State Practice Acts; the writing of orders and the recording of reports and progress notes in patient's medical records;
 - (d) Exercise of any Medical Staff privilege(s) under direct documented supervision of an Active or Courtesy Staff member who is privileged to provide that care.
4. The following categories of Other Professionals are eligible to practice their profession in the HCPC: and to make entries

in the medical record. The practice of such personnel when not defined by The Medical Staff Bylaws or Rules and Regulations shall be defined by HCPC personnel policies or by contract:

- (a) Clinical Psychologists
- (b) Neuropsychologist
- (c) Experimental Psychologists
- (d) Certified Clinical Social Workers
- (e) Occupational Therapists
- (f) Therapeutic Recreation Specialists
- (g) Registered Nurse
- (h) Licensed Vocational Nurses
- (i) Licensed Registered Dietitian
- (j) Licensed Physical Therapist
- (k) Licensed Audiologist
- (l) Certified Alcoholism Counselors
- (m) Certified Speech Therapists
- (n) Licensed Teachers
- (o) Licensed Pharmacists
- (p) Radiology technicians
- (q) Certified EEG Technologists
- (r) Licensed Dentists
- (s) Clergy

5. Licensed psychologists must be members of the faculty of the UTHSCH-MS and shall be assigned by the Chairman of the UTHSCH-MS Department of Psychiatry to provide psychological services pursuant to a contract between HCPC and UTHSCH-MS, and must be privileged in accordance with the provisions of Article IX of the Medical Staff Bylaws and which privileges must additionally be recommended by each Medical staff clinical department ~~area/of/care~~ chief who will be responsible for the supervision and evaluation of the services provided by the psychologist within the respective clinical department. The quality and appropriateness of psychological services will be monitored and reported to the Medical Staff as a whole and to the hospital administration through the Clinical Administrative Committee as part of the Quality Assurance Plan for purposes of granting privileges and for contract evaluation.

6. TENURE

(a) Allied Health Professionals shall be appointed and reappointed in accordance with the policies of the Human Resources Department of UTHSCH, or by individual contract, except for licensed psychologists whose services shall be furnished only pursuant to a written order by an attending

physician and within clinical privileges granted under Article IX of the Medical Staff Bylaws. The granting and relinquishment of clinical privileges to and by psychologists are not subject to appeal.

- (b) Allied Health Professionals furnishing services to the HCPC under contract shall have only such tenure as is provided in the contract.

SECTION F. RESTRAINT OR SECLUSION

1. Restraint or seclusion is to be used only if necessary to protect the safety and welfare of the patient and/or staff and/or other patients. It will never be used as punishment or for aversive conditioning. In all instances:

- (a) An order for restraint or seclusion must be time limited and may be communicated to a nurse over the telephone.
- (b) An order for restraint or seclusion is permissible only after clinical assessment of the patient.
 - (1) The clinical assessment may be made by phone and the order given by phone;
 - (2) A phone order for restraint or seclusion must be signed by the physician within 12 hours after initiation.
- (c) PRN and/or prospective orders for seclusion or restraint are not permitted.
- (d) The assessment and the order is to be documented in the patient record when the procedure is implemented.
- (e) There must be documentation of a physician visit with the patient at least every 24 hour period, and preferably every 12 hours.
- (f) Nursing staff will monitor and attend to the needs of the patient every 15 minutes, in accordance with unit procedures, and document care given. The physician is responsible for reviewing adequacy of care provided during seclusion and documenting that he is satisfied that the needs of the patient are being met.
- (g) Staff who implement written orders for restraint or seclusion are to be trained in the use of these procedures.

SECTION G. THERAPIES EXCLUSION LIST

1. The following therapies will not be done in the HCPC:

- (a) Psychosurgery
- (b) Other therapies of experimental nature (unless part of an approved research protocol)

- SECTION H. ELECTROCONVULSIVE THERAPY (ECT)
1. Electroconvulsive Therapy (ECT) is employed as an adjunct to other therapeutic modalities at the discretion of the attending psychiatrist.
(a) Scheduling of all ECT will be coordinated by the head nurse of the appropriate department.
- SECTION J. RESEARCH
1. One part of the HCPC mission is to conduct research to increase knowledge of the psychiatric illnesses in an effort to assist the treatment of the psychiatric patient or even prevent these illnesses from occurring.
(a) Any research project undertaken in the HCPC that involves risk or inconvenience protects the rights of the psychiatric patient and complies with federal, and state laws and HCPC rules and regulations.
(b) Written, informed consent of participants in a specific research project is obtained from the patient or the legal guardian and becomes part of the patient's medical record. The patient may withdraw consent at any time. Examples of human subject consent forms which have been approved for use by the Executive Committee appear at the end of this Section.
(1) In cases dealing with children or adolescents, the responsible parent(s), relative or legal guardian and, when appropriate, the patient gives written, informed consent.
(2) Consent may be withdrawn at any time.
2. The use of unusual medications or investigational or experimental drugs requires written informed consent which may be revoked at any time.
(a) The Executive Committee monitors drug studies as part of its drug usage and pharmacy and therapeutics functions.
(b) Adverse drug reactions must be reported as they occur per policy and procedure.

ADOPTION

These Bylaws are hereby adopted as the official Bylaws, Rules and Regulations of the Organized Medical Staff of the Harris County Psychiatric Center, Houston, Texas.

Adopted by the Active Medical Staff of the Harris County Psychiatric Center at the meeting of the General Staff.

29 Aug 89
(date)

[Signature]
President of the Medical Staff

Approved by the Board of the Harris County Psychiatric Center.

(date)

_____ for the Board



The University of Texas
Health Science Center at San Antonio
7703 Floyd Curl Drive
San Antonio, Texas 78284

Office of the President

Phone: (512) 691-6105

September 5, 1989

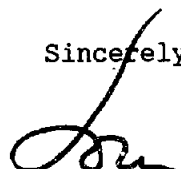
Dr. Charles B. Mullins
Executive Vice Chancellor for Health Affairs
The University of Texas System
601 Colorado Street
Austin, Texas 78701

Dear ^{Charlie}~~Dr. Mullins~~:

The docket for the October 13, 1989 meeting of the Board of Regents is submitted for your recommendation and presentation to the Board.

I recommend approval.

Sincerely yours,


John P. Howe, III, M.D.
President

JPH:gs

HS-1

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THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT SAN ANTONIO

DOCKET FOR OCTOBER 13, 1989 MEETING

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THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT SAN ANTONIO

U.T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

STANDARD AFFILIATION AGREEMENTS

The agreements recommended for approval by the U.T. Board of Regents have been approved by an attorney of the Office of General Counsel and are based on the model agreement adopted on December 16, 1977.

1. Facility: CPC Millwood Hospital
Arlington, Texas
Date: March 31, 1989
Purpose: To contribute to the training opportunities of our students
2. Facility: Dr. Fernando Campuzano
Monterrey, Mexico
Date: April 1, 1989
Purpose: To contribute to the training opportunities of our students
3. Facility: Healthcare Rehabilitation Center of
Austin, Inc.
Austin, Texas
Date: April 1, 1989
Purpose: To contribute to the training opportunities of our students
4. Facility: Incarnate Word Mother House Extended
Care Unit
San Antonio, Texas
Date: July 1, 1989
Purpose: To contribute to the training opportunities of our students
5. Facility: Frank Lyman, D.D.S.
San Antonio, Texas
Date: June 5, 1989
Purpose: To contribute to the training opportunities of our students
6. Facility: St. Luke's Episcopal Hospital
Houston, Texas
Date: September 1, 1989
Purpose: To contribute to the training opportunities of our students
7. Facility: Southwest Professional Plaza
Physical Therapy, Inc.
San Antonio, Texas
Date: March 30, 1989
Purpose: To contribute to the training opportunities of our students

THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT SAN ANTONIO

U.T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

PARKING AND TRAFFIC REGULATIONS

The Parking and Traffic Regulations recommended for approval by the U.T. Board of Regents have been approved by the Office of General Counsel and are based on model regulations approved by that office. These regulations supersede all prior Parking and Traffic Regulations.

GENERAL INFORMATION

Pursuant to the approval of the President, The University of Texas Health Science Center at San Antonio and the Board of Regents of The University of Texas System and effective September 1, 1989, these regulations pertaining to The operation and parking of vehicles on the property of The University of Texas Health Science Center at San Antonio are promulgated. These regulations supersede all prior Parking and Traffic Regulations.

PARKING AND TRAFFIC REGULATIONS

SECTION I

QUICK REFERENCE TO PARKING

AND TRAFFIC REGULATIONS

1. PERMITS REQUIRED FOR ACCESS AND PARKING: Special parking areas are provided for the handicapped, two-wheeled vehicles, and bicycles. Purchase of a parking permit does not guarantee a parking place on campus. Only vehicles conspicuously displaying proper University permits (as specified in Section VI, infra) may enter or park on the campus grounds.
2. DISPLAY OF PERMITS
 - A. Hanging Permits must be clearly visible and hanging from the interior rearview mirror on all four-wheeled vehicles as described in Section VI.
 - B. Self-adhering permits must be affixed to all two-wheeled vehicles as described in Section VI.
3. PERMITS REQUIRED FOR ACCESS AND PARKING
 - A. The University of Texas Health Science Center at San Antonio's parking permits for four-wheeled vehicles is a transferable hanging permit (herein after called "hanging permit") made of sunlight resistant polyvinyl, plastic or paper.
4. Class A, B, C, D, F, G, H, J, and K applicants will be issued one (1) hanging transferable parking permit. The applicant may transfer this hanging permit to any four-wheeled vehicle he/she wishes; however, the applicant will be responsible for parking violations by the vehicle bearing their permit.(Section VI, infra)

5. Ownership of the parking permit remains with The University. Purchase of a parking permit signifies that an individual has been granted the privilege of parking a motor vehicle on University property. (Section VI, infra)
6. CLASSES OF PERMITS (SECTION VI, infra)
 - A. Class A: The President, Vice Presidents, Academic Deans and Departmental Chairpersons.
 - B. Class B: Faculty and designated administrative staff.
 - C. Class C: Faculty, staff, and non-university employees.
 - D. Class D: Students.
 - E. Class E: Motorcycles, mopeds (motor-assisted bicycles) and motor scooters.
 - F. Class F: Vendors, Salesmen, Technical Representatives and Servicing personnel.
 - G. Class G: Volunteers.
 - H. Class H: Handicapped.
 - J. Class J: Special Purpose.
 - K. Class K: Clinical/Adjunct Faculty.
7. PERMIT RESTRICTIONS: All parking assignments will be in effect from 6:00 a.m. until 5:00 p.m. on weekdays. In addition, Class A reserved spaces will be maintained until 12:00 noon on Saturdays. Unassigned parking will be in effect all other times, to include official holidays. Handicapped, No Parking, Loading Dock and Limited Time Parking Zones are in effect 24 hours a day, seven days a week.
 - A. Class A: A vehicle displaying a valid Class A permit must park in the assigned numbered space or in a regular reserved space.
 - B. Class B: A vehicle displaying a valid Class B permit must park in any regular reserved space.
 - C. Class C: A vehicle displaying a valid Class C permit must park in any area designated for Class C parking.
 - D. Class D: A vehicle displaying a valid Class D permit must park in any area designated for Class D parking.
 - E. Class E: A motorcycle displaying a valid Class E permit may park only in areas specifically designed for such vehicle.
 - F. Class F: Vehicles displaying a valid Class F permit must park in areas designated for Class C parking.
 - G. Class G: A vehicle displaying a valid Class G permit must park in any area designated for Class C parking.
 - H. Class H: Vehicles displaying a valid Class H permit must park in any space designed for the handicapped.

- I. Class J: A vehicle displaying a valid Class J permit may park in areas designated as restricted parking only.
 - J. Class K: A vehicle displaying a valid Class K permit may park in a space designated for Class B, C, D, and Visitor parking.
 - K. Bicycles must be parked in bicycle racks/blocks provided at several locations throughout the campus. Bicycles may not be ridden, parked, pushed or carried into buildings, arcades, malls or on any landscape improvement or where prohibited by signs or markings.
8. **LOADING ZONE PARKING:** A Loading Zone permit is required to use these spaces/areas Monday through Friday from 8:00 a.m. to 5:00 p.m. unless otherwise posted. Permits (normally valid for 30 minutes) may be obtained from the Entry Control Stations.
 9. **BICYCLE REGISTRATION:** Bicycles operated or parked on the campus shall be registered with the University Police Department. Contact UTHSC at University Police extension 567-2794 for registration information.
 10. **BICYCLES, MOPEDS (MOTOR-ASSISTED BICYCLES):** Bicycles and mopeds must be operated in accordance with, and, must conform to, the specific applicable provisions of these regulations, all provisions of these regulations concerning parking restrictions and traffic control and applicable state law.
 11. **PARKING LOTS:** On occasion during the year, certain parking lots may be closed upon recommendation by The University Chief of Police and approval of the President of the University.
 12. **SPEED LIMIT:** The speed limit on all parts of the campus, whether on streets or in parking areas, is 15 mph unless otherwise posted.
 13. **POSTED SIGNS:** Posted signs, whether permanent or temporary, must be obeyed at all times and take precedence over painted curbs, pavement markings and designations shown on any University maps.
 14. **PARKING IMPROPERLY:** A vehicle shall not park on a street where angle parking is required, with the back of the vehicle toward the curb, nor shall a vehicle be parked opposite the flow of traffic in the traffic lane where parallel parking is required. Parking wholly within the marked boundaries of the parking space is required at all times. Vehicles shall not park in a manner that obstructs walkways, driveways, ramps, loading docks, marked cross-walks or inflicts damage to shrubbery, trees, grass, grounds or structures. Additionally, no vehicle may park on any unmarked or unimproved ground area which has not been marked or designated for parking. Parking is not allowed where prohibited by signs either permanently or temporarily posted, red or yellow curbs or other markings on streets and parking lots. The fact that other vehicles are parked improperly shall not constitute an excuse for parking with any part of the vehicle extending beyond the marked boundaries of the space.
 15. **ENFORCEMENT AND IMPOUNDMENTS:** Failure to abide by these regulations may be the basis for disciplinary action against students, and faculty/staff (Section V, infra). Upon notice, violators may be subject to impoundment of their vehicle(s) pending payment of overdue charges (Section VIII, infra). Students may also be barred from re-admission and have grades, degree, refunds or official transcripts withheld pending payment of overdue charges (Section VIII, infra). Vehicles may also be impounded for specific impound violations.

16. **APPEAL OF CITATION:** University parking and traffic citations may be appealed, within 10 calendar days from the date of citation by filing a Citation Appeal Form with the University Police Traffic Office, (See Section VIII for detailed procedures). Court Appearance citations are handled by the Justice Court, Precinct 2.
17. **VISITORS:** All visitors need permits to park on campus.
18. **PEDESTRIAN RIGHTS AND DUTIES:** Pedestrians must obey all traffic control devices. They have the right-of-way at marked crosswalks, in intersections and on sidewalks extending across a service drive, building entrance or driveway. Pedestrians crossing a street at any point other than within a marked crosswalk or within an unmarked crosswalk at an intersection shall yield the right-of-way to all vehicles on said street. Pedestrians shall not leave the curb or other place of safety and walk or run into the path of a vehicle which is so close that it is impossible for the driver to yield. Pedestrians may cross an intersection diagonally only where permitted by special pavement markings.
19. **INOPERABLE VEHICLES:** If a vehicle becomes inoperable a telephone call shall be placed to the University Police Department at extension 567-2800, or through the use of emergency phones strategically located throughout the campus(s). University Police will either render assistance or authorize temporary parking. Temporary parking shall not exceed 24 hours and must not create an obstruction or hazard. Hand written notes are not acceptable (Section V, infra.)
20. **RESPONSIBILITY FOR PARKING PERMITS:** The individual who purchases a parking permit will be responsible for parking violations by any vehicle bearing the permit until properly cancelled.

SECTION II

GENERAL PROVISIONS

Pursuant to the authority granted by Sections 51.201 et seq.; 54.005, 54.503, 65.31 and 74.151 through 74.306 of Title 3 of the Texas Education Code, the Board of Regents of The University of Texas System has promulgated Parking and Traffic Regulations to regulate and control parking and traffic and the use of parking facilities, to provide for the issuance of vehicle identification insignia (parking permit), and to provide for jurisdiction over offenses. This booklet contains those regulations and procedures applicable to any person who walks, or drives or parks a motor vehicle or bicycle on the campus of the University of Texas Health Science Center at San Antonio. These rules and regulations are supplementary to the ordinances of the City of San Antonio and the statutes of the State of Texas which govern pedestrians and the use of motor vehicles and bicycles.

The operation of a motor vehicle or bicycle on The University of Texas Health Science Center at San Antonio campus is a PRIVILEGE granted by the University and is not an inherent right of any faculty/staff member or student. All faculty, staff and students and other persons assigned regular duties at the Health Science Center, who have motor vehicles in their possession or control for use, operation, or parking on The University campus(s) must purchase a parking permit or decal with the University Police Parking and Traffic Office. The purchase of a parking permit or decal does not guarantee a parking place on campus.

The University is not responsible for fire, theft, damage to, or loss of vehicle parked or operated on the University campus. No bailment is created by granting of any parking or operating privileges regarding a vehicle on any property owned, leased or otherwise controlled by the University.

The administration and enforcement of the regulations contained in this booklet are as follows:

- A. **PARKING AND TRAFFIC SAFETY COMMITTEE:** This committee is charged with recommending rules and regulations for traffic and parking, and to serve as an avenue of appeal in cases of dispute and exception. The Committee chairperson and all members are appointed by the University President. All members serve a two year term. The Chief of University Police serves as an Ex Officio member.
- B. **PARKING AND TRAFFIC OFFICE:** A central office established by the Chief of University Police that has the administrative responsibility for all University traffic and parking functions.

UNIVERSITY POLICE: The University Police have the responsibility and the legal authority for the enforcement of the Parking and Traffic Regulations listed in this booklet. University Police officers may issue University citations and Court Appearance citations ENFORCEABLE IN COURT. (See Section VIII). Other University Police personnel may issue University citations. On special occasions and emergencies, such parking limitations may be imposed by the Chief of the University Police Department as are required by the conditions which prevail. When conditions warrant such action, the Chief may waive parking limitations.

The University reserves the right to enforce parking and traffic regulations:

1. Through the issuance of University citations and the collection of administrative enforcement charges for offenses;
2. Through the impoundment of vehicles interfering with the movement of vehicular or pedestrian traffic or blocking a sidewalk, ramp, loading dock, cross-walk, entrance, exit, fire lane or aisle and through the impoundment of vehicles for unpaid charges after proper notice as provided by these regulations;
3. By the suspension or revocation of permits;
4. By requiring the vehicle owner or the person who purchased the permit from the University Police Traffic Administration Office to appear in court or at a University hearing for non-payment of outstanding charges;
5. By barring the readmission and withholding the grades, degrees, refunds and official transcripts of any student for non-payment of outstanding charges;
6. By disciplinary action against employees or students who fail to abide by these regulations;
7. By denying parking permits to those with overdue charges;
8. By the issuance of Court Appearance Citations requiring an appearance in the appropriate Justice Court; and
9. By such methods as are commonly employed by city governments or state agencies in control of traffic regulation enforcement.

Proof of the fact that any parking or traffic control device, sign, parking meter, signal or marking was actually in place at any location on campus(s) of The University of Texas Health Science Center at San Antonio shall constitute prima facie evidence that the same is official and was installed under the authority of applicable law and these Regulations. When any person is charged with having stopped, parked, and left standing a vehicle on the campus(s) of The University of Texas Health Science Center at San Antonio, proof that said vehicle was, at the date of the offense, bearing a valid University of Texas Health Science Center at San Antonio parking permit shall constitute prima facie evidence that said vehicle was then and there stopped, parked and left standing by the holder of the parking permit. However, if the vehicle does not bear a valid University of Texas Health Science Center at San Antonio parking permit, proof that said vehicle at the date of the offense alleged, was owned by an individual is prima facie proof that said vehicle was then and there stopped, parked, and left standing by said individual.

The University assumes no responsibility for any vehicle, or any duty to protect any vehicle or their contents at any time the vehicle is operated or parked on the campus.

The University may deem a motor vehicle including a motorcycle, motor scooter, moped, or bicycle, parked on The University campus more than 48 hours to be abandoned and may dispose of such vehicle as provided in Article 6687-9 of Texas Revised Civil Statutes.

SECTION III

DEFINITIONS

The following words and terms, as used herein, have the meaning respectively ascribed to them in this section, as follows:

1. UNIVERSITY OR UTHSCSA: The University of Texas Health Science Center at San Antonio.
2. CAMPUS: The property under the control of The University of Texas Health Science Center at San Antonio.
3. CHIEF OF POLICE: The Chief of the University Police Department of The University of Texas Health Science Center at San Antonio.
4. UNIVERSITY POLICE: The University Police Department of this University acting directly or through its duly authorized officers and agents.
5. DRIVER: Any person who drives, operates or is in actual physical control of a motor vehicle.
6. EMPLOYEE: Every person receiving funds from the University, including, but not limited to, faculty, staff, classified or non-classified, as approved in the official pay plan and listed on the rolls maintained by the Human Resource Office of the University, excluding Teaching Assistants, Teaching Associates and Student Assistants.
7. STUDENT: Every person duly registered, enrolled and/or attending classes or regularly doing research as a requirement for a degree or certification from this or any other School, College or University.

8. VISITOR: A person who is neither a student, employee, housed, nor is officed at The University of Texas Health Science Center at San Antonio nor is said person someone who uses University facilities for the benefit of any student or employee.
9. VEHICLE: Every device in, upon or by which any person or property is or may be transported or drawn upon a highway.
10. MOTORCYCLE: Every motor vehicle having a saddle for the use of the rider and designed to travel on not more than three (3) wheels in contact with the ground.
11. TRAFFIC CONTROL DEVICE: All signs, signals, markings, and devices placed or erected for the purpose of regulating, warning, or guiding traffic.
12. PARKING FACILITY: Means any public or private property used, in whole or in part, for restricted and/or said parking of vehicles as defined in ec.1, Art. 6701g-2 Vernon's Civil Statutes.
13. BICYCLE: Means every device propelled by human power upon which any person may ride, having two tandem wheels either of which is more than fourteen (14) inches in diameter.
14. PARKING PERMIT: Hanging Permits for four-wheeled vehicles, and self adhering permits for two-wheeled and special purpose vehicles sold by The University of Texas Health Science Center at San Antonio shall be properly displayed on all vehicles operated or parked on campus(s).

SECTION IV

GENERAL TRAFFIC REGULATIONS

1. The purpose of these regulations is to provide for the safety and welfare of employees, students, persons housed or officed, visitors and to provide for the control of traffic and parking.
2. If any part of these regulations is held to be invalid, such invalidity shall not affect other provisions which can be given effect without the invalid provisions and to this end the provisions of these regulations are declared to be severable.
3. The provision of these regulations shall be culmative of all other laws.
4. When operating a vehicle on campus grounds the operator shall:
 - A. Maintain a speed that is reasonable and prudent under the conditions, and having regard to the existing actual and potential hazards, not to exceed 15 miles per hour.
 - B. Be required to yield the right-of-way to pedestrians as stipulated by Section 1, Paragraph 19, this regulation.
 - C. Obey traffic control devices at all times.
 - D. Be required to report immediately to the nearest University Police Department employee any collision in which he/she is involved.

- E. Be required to show his/her driver's license when requested to do so by a University Police Department employee.
 - F. Be prohibited from driving beyond a barricaded area and from moving such barricades or signs.
 - G. Follow the orders, direction, or signals of a University Police Department employee in the direction of traffic.
 - H. Operate their vehicle only on campus drives, streets, and parking lots.
 - I. Operate his/her vehicle or permit his/her vehicle to be operated or parked on campus unless it meets the minimum safe equipment conditions as required by the Uniform Act, Texas Motor Vehicle Laws and affixed with a valid safety inspection certificate.
5. Any person who willfully or through negligence causes damage to Property of The University of Texas Health Science Center at San Antonio shall be liable for any damage done to said property.
 6. All vehicles operated or parked upon the campus shall properly display a current parking permit.
 7. Color of stripes, curbs and roadway markings are in compliance with the Texas State Department of Highways and Public Transportation Specifications. The colors are red, white and yellow. Red designates no parking at any time; white identifies traffic lanes, crosswalks, and stop lines; yellow designates warning, no parking (zebra stripes) and no passing zones.

SECTION V

PARKING REGULATIONS

1. The various classes of parking permits and their eligibility requirements, privileges and limitations are described in detail in Section VI.
2. On University holidays, only those parking restrictions which regulate parking at all times shall remain in effect.
3. Failure to abide by parking and traffic regulations may be the basis for disciplinary action against students and employees.
 - A. Students may be subjected to penalties ranging from disciplinary probation to expulsion from the institution as outlined in Chapter VI Section 3.13 of the Rules and Regulations of the Board of Regents of The University of Texas System. When a student is placed on the "Hold" list for unpaid parking or traffic violations, the Dean of Students shall be notified by the Chief of Police.
 - B. Employees may be subjected to disciplinary penalties including termination of employment as outlined in Chapter 5, Section 7.3.2 of the HANDBOOK OF OPERATING PROCEDURES.
 - C. When a permit holder has accumulated four or more unpaid parking or traffic citations, the Parking and Traffic Committee may prohibit that permit holder from driving or parking on the campus for a period of time specified by the Committee. Chapter VI, Part One.

4. GENERAL PARKING REGULATIONS:

- A. Privately owned motor homes, recreational vehicles, trailers and other oversized vehicles (1 ton or more), and whose individual or combined length is in excess of twenty feet, shall not be operated or parked on campus without specific authorization.
- B. Only vehicles conspicuously displaying the proper permit (as specified in Section VI, infra) may enter or park on campus at any time.
- C. THE PENALTY FOR ILLEGAL POSSESSION OR USE OF A LOST, STOLEN OR FORGED PERMIT shall be an amount equal to the amount of the original value of the permit plus any citations issued; and revocation of parking privileges for a period of one year.
- D. The absence of sufficient authorized parking space on The University campus during a particular period of time will not be considered as valid justification for violation of these parking and traffic regulations. The mere fact that citations, are not issued for an offense does not indicate that these regulations have been modified to exclude the offense.
- E. All imposed restrictions apply whether or not classes are in session.
- F. If a vehicle becomes inoperable, a telephone call shall be placed to the University Police Department (567-2800) or by calling on any parking lot emergency telephone. The police will either render assistance or authorize temporary parking. Temporary parking shall not exceed 24 hours and must not create an obstruction or hazard. Vehicles shall not be left without written permission from U.T. Police Department. Handwritten notes are NOT acceptable.
- G. Visitor parking areas may not be utilized by UTHSCSA faculty staff or students at any time except after 5:00 p.m. weekdays, weekends and on designated University Holidays.
- H. Parking spaces designated for the handicapped may be used only by persons who have a permit for such space.
- I. Vehicles found parked in violation of any state law, city ordinance or in violation of these regulations or parked in such a manner as to create a traffic hazard may be impounded, relocated or immobilized at the expense of the violator. The University shall not, nor shall any of its authorized personnel, be liable or assume any responsibility for any loss or damage suffered because of such impoundment, immobilization or relocation.
- J. Vehicles shall not be parked in any area or space other than that designated by the permit on the vehicle.
- K. Parking in loading zones and service drives is restricted to a maximum time limit of thirty (30) minutes unless otherwise posted and will be allowed only for the actual process of loading and unloading of equipment, supplies, merchandise or specimen.
- L. On special occasions and during emergency periods, the Chief of Police may prohibit or extend parking and traffic regulations or any portion thereof.

SECTION VI

PARKING PERMIT REGULATIONS

1. FACULTY AND STAFF:
 - A. Upon application to the Parking & Traffic Office (located at the University Police Department) and payment of the fee, one (1) hanging permit will be issued. The hanging permit is transferable to any authorized passenger vehicle (see Section III infra) being operated by or for the permit holder. Applicants may also register one additional motorcycle, moped or motorscooter upon separate application and payment of the required non-prorated and non refundable fee.
 - B. New faculty and staff members must purchase a parking permit on their first day of employment. Parking permit fees are due and payable at the time of application.
 - C. When both spouses are employed by the University, or if one spouse is a student at the University, and there is a need to park two vehicles on campus on or about the same time, each spouse shall purchase their own individual permit.
 - D. Employees hired after the beginning of their permit year will be charged for the entire month in which their employment begins and for each full month remaining in the permit year.
2. STUDENTS:
 - A. Upon application to the Parking and Traffic Office (located at the University Police Department), and payment of the fee, one (1) hanging permit will be issued to those students who are registered for classes. This hanging permit is transferable to any authorized passenger vehicle (see Section III infra) being operated by the permit holder. Students may register one (1), additional motorscooter, motorcycle or moped upon separate application and payment of the required non-prorated and non-refundable fee. In addition students must present a paid Fee Receipt upon request.
 - B. Student parking fees are not prorated and are assessed at the time of purchase.
 - C. Special students, part-time students and auditing students shall be treated as regular students and must pay the appropriate fee for the type of permit issued.
3. OTHER: Persons housed or officed on the campus but are not employees or students must purchase a permit on the first day of said assignment. These persons are eligible for a Class C permit only.
4. DISPLAY OF PERMITS:
 - A. Parking permits shall be displayed on the vehicle according to the instructions on the permit/decal or as provided at the time of issuance. Additionally, the permit shall not be altered or defaced in any manner.
 - B. Hanging or transferable permits must be clearly visible with the numbers and expiration date facing to the front or outside of the vehicle and hung from the inside rearview mirror. Obstruction or improperly displayed hanging permits render them INVALID.

- C. Decals or permits shall NOT be taped or affixed by unauthorized means. Externally mounted permits shall be issued for all motorscooters, motorcycles, and mopeds.
5. REMOVAL OF PERMITS: Permits (Decals) shall be removed:
- A. Whenever there is a change of vehicle or motorcycle ownership;
 - B. When association with the University is terminated;
 - C. When a replacement permit (decal) has been issued to take the place of a previously issued permit (decal); or
 - D. Upon expiration or revocation of the permit or decal.
6. PERMIT OWNERSHIP:
- A. A parking permit signifies that an individual has been granted the privilege of parking on University property. Ownership of the parking permit remains with the University. Individuals are not allowed to transfer ownership of the permit. All permits reported lost or stolen shall be returned to the parking and traffic office immediately upon recovery. Possession or use of a lost or stolen permit or forged permit shall result in an administrative charge (Section VII, infra) and loss of parking privileges (Section VIII, infra).
 - B. The original registrant shall be held responsible for any traffic citation(s) issued to a vehicle displaying his/her permit. Stolen or lost permits shall be reported immediately to the Parking and Traffic Office. After the proper paperwork is completed a replacement permit may be issued upon payment of a replacement fee.
7. CLASSES OF PERMITS AND ANNUAL FEES:
- A. Class A Parking Permit -- \$72.00 a year
The President, Vice-Presidents, Academic Deans and Departmental Chairpersons are eligible to purchase a Class A parking permit. A vehicle displaying a valid Class A parking permit shall park in the assigned numbered reserved space. The person or persons named above, at their discretion, may authorize another person to park their vehicle (bearing a current valid permit) in their numbered reserve space during their absence. Written authorization must be sent to the University Police, who will validate the permit of the person being authorized the parking privilege or issue a special permit which must be displayed from the rearview inside mirror in order for the vehicle to be legally parked in the authorized numbered space.
 - B. Class B Parking Permit -- \$48.00 a year
Salaried faculty members, designated heads of administrative departments, and their designated administrative assistants as approved in writing by the Office of the President of the Health Science Center are eligible to purchase a class B parking permit. It is also available to those personnel working in the Executive Assistant and Administrative Assistant II job codes. Salaried faculty are defined as salaried faculty holding the rank of Instructor or above. A vehicle properly displaying a valid Class B Parking permit shall park in any reserved space on any lot except specifically numbered spaces which are reserved for Class

A parking permits. If all regular reserved spaces are apparently full, the vehicle may park in a non-reserved space or non-restricted area after obtaining a temporary permit from a police officer or guard

C. Class C Parking Permit -- \$24.00 a year

Employees and non-University employees excluding any class of students are eligible to purchase Class C Parking Permits. A vehicle properly displaying a valid Class C Parking Permit shall park only in areas designated for such permits. Vehicles bearing Class C permits may park in areas designated for Class D permits only if all Class C permit areas are apparently full, and after obtaining a temporary permit from a police officer or guard. Class C permits may not park in any numbered reserved or reserved space.

D. Class D Parking Permit -- \$12.00 a year

Students are eligible to purchase Class D Parking Permits. Employees may purchase Class D permits on a space available basis. A vehicle properly displaying a valid Class D permit may park only in areas designated for such vehicles.

E. Class E Parking Permit -- \$5.00 a year non-refundable.

Employees, non-university employees and students are eligible to purchase a Class E Parking Permit. The permit will be honored only after it has been properly and completely affixed on the left front fork of a motorcycle, motorscooter or moped so that it may be readily seen when viewed from the front of the vehicle. Class E permits are invalid if purchased for or displayed on a four-wheeled vehicle.

F. Class F Parking Permit -- \$5.00 a year non-refundable.

All Vendors, Salesmen, Technical Representatives and Servicing personnel who regularly conduct business on the campus, but are not housed or officed here must register at the Parking & Traffic Office and purchase one (1) Class F Parking Permit. A vehicle properly displaying a valid Class F Parking Permit must park in areas designed for Class C parking. Holders of Class F Parking Permits may park in any designated, lined loading zone or service and delivery area while loading and unloading equipment from their vehicles.

G. Class G Parking Permit:

Any person who donates his or her service to the University without salary or stipend, and is appointed in writing by a department chairperson may receive one (1) class G parking permit without charge. This class permit is valid for parking in Class C parking only.

H. Class H Parking Permit -- The fee for a parking permit in the Handicapped area shall be the same fee as the Parking Permit normally authorized to be purchased by faculty, staff, or student.

*There is no fee for permanently disabled persons or disabled veterans with more than 60% disability who meet the criteria of V.C.S. Art 6675a5e and V.C.S. Art. 6675a5e of The Texas Motor Vehicle laws. Operators of these vehicles must park as authorized by the Texas Motor Vehicles law.

I. Carpool Permit -- \$24.00 per year. (non-refundable)

- (1) Each car-pool group shall be assigned a car-pool space and vehicles bearing a car-pool permit may not park in any other campus parking space.
- (2) Only one permit per car-pool will be issued. The vehicle parked in a car-pool space must display that permit. The second and subsequent member of a car-pool shall not be eligible to purchase any other type of permit. All members of a car-pool group, at the time of registration, may be required to show proof of ownership of a vehicle.
- (3) If, for any reason, a person withdraws from a car-pool, which reduces it below the two vehicle minimum, the entire car-pool shall be considered cancelled. All persons in that car-pool must then re-register and return their car-pool parking permit to the Traffic Administration Office.

J. Class J Parking Permit -- Special Purpose

The Chief of Police is authorized, as need dictates, to designate restricted parking areas. A Class J Parking Permit will be issued which will assign the vehicle to park in a designated restricted area. The fee for a class J Parking Permit will be the price of the permit normally authorized to the registrant.

K. Class K Parking Permit

A member of the Clinical/Adjunct faculty (a faculty member who donates his/her services to The University of Texas Health Science Center at San Antonio and is listed in the Clinical/Adjunct faculty roster with no salary or tenure and is not receiving a salary from any other University department or division) will be issued one (1) permit at no charge which will expire August 31st of each fiscal year. This class permit is valid for parking in Class B, C, D, and Visitor parking spaces.

L. RETIRED FACULTY/STAFF PERMITS:

Faculty and Staff members retired under the Teacher Retirement system or Optional Retirement Plan continue to be eligible for the class of permit for which they were eligible at the time of retirement. Upon written request, retired faculty and staff members may be issued a complimentary Retired Permit, provided they are not employed in any capacity with The University of Texas system.

M. NON-UNIVERSITY PERSONNEL:

Personnel working for, belonging to or otherwise affiliated with, organizations or agencies located on campus, may be issued an annual parking permit upon application and payment of the appropriate fee. If an applicant is not otherwise eligible for a University permit then determination of the class of permit will be made by the Parking and Traffic Office using existing guidelines as specified above.

N. TEMPORARY PERMITS:

A temporary permit to park on campus will be honored only if it is hanging from the inside rearview mirror (if available) or conspicuously displayed on the drivers side of the vehicle, so it may be readily seen and read from the outside. Temporary permits are valid only for the date and time issued and must contain the signature of the issuing officer or police employee authorized to issue such permit.

1. LOADING ZONE PERMIT:

Persons desiring to use a loading zone area between 7:00 a.m. and 5:00 p.m. Monday through Friday shall obtain a Loading Zone Permit and may be parked there only long enough to conduct the actual loading or unloading operation, whether on University business or not. Loading Zone Permits are valid only for the date and time issued and must reflect an expiration time (normally 30 minutes). Loading Zone Permits may be obtained from the Entry Control Station personnel, from a police officer or guard or from the Parking and Traffic Office. Commercial vehicles may be parked in loading zones and service areas for whatever length of time actual loading and unloading operations are in progress. Vehicle flashers will be left turned on and operating while any vehicle is parked in a loading zone.

2. OFFICIAL VISITOR PERMITS:

Arrangement for the individual use of Official Visitor Permits are to be made with the Parking and Traffic Office. Where groups are involved, advanced arrangements must be made by the appropriate Dean, Chairperson, Director, or equivalent administrator with the Chief of Police, University Police Department, who will issue the appropriate permits.

3. VISITOR PARKING PERMITS:

A visitor Parking Permit will be issued to all persons who are visitors or patients for parking in a designated area. Visitors are those persons who are neither a student nor employee of the University, nor is he/she a person who uses campus parking facilities for the benefit of a student or employee. Patient's are those persons who are neither a student or employee of the University nor is he/she a person who uses campus parking facilities for other than direct individual medical care purposes on the date indicated. These permits entitle the holder to park, on a space available basis, in a space or area specifically marked or designated "VISITOR". Permits must be conspicuously displayed on the inside rear view mirror.

4. CONTINUING EDUCATION PERMITS:

A Continuing Education Permit will be issued to participants of official Continuing Education courses who are not employees or regularly enrolled students. Permits must be conspicuously displayed on the inside rearview mirror.

5. CONTRACTOR PERMITS:

Contractor Permits will be issued to employees of construction companies under contract with the University for construction on campus as approved by the Chief of Police. Permits must be conspicuously displayed on the inside rearview mirror.

6. SERVICE AND DELIVERY PERMITS:

Service and Delivery Permits will be issued to vendors, service representatives and freight vehicles making "one-time" deliveries. Permits must be conspicuously displayed on inside rearview mirror.

7. PERMITS:

- A. All permits are effective from the date of purchase through August 31 of the current fiscal year unless otherwise specified on the permit.
- B. The parking permit must be properly displayed on the date of purchase.
- C. Permits for motorcycles, motor scooters, motorbikes, mopeds, and bicycles are to be affixed to the left front fork.
- D. Parking privileges are not in effect until the valid permit is properly displayed on a vehicle.
- E. All classes of permits may be RENEWED by mail. Upon receipt of an expiration notice, mail the appropriate fee including a \$2.00 service charge to the Parking and Traffic Office. The permit will then be mailed to the address listed in the computer files.
- F. The use of the mail in registration shall not be a defense to the charge of driving or parking on campus without a valid permit.

8. PAYMENT OF FEES:

- A. When an application is made for a permit, the fee charged will be for a complete year or for the entire unexpired portion of the University's permit year based upon the class of permit. Payment of the fee must be made before the permit will be issued. Permits will not be valid for more than twelve months from the date of issue.
- B. Temporary or part-time employees and students will be charged the full amount of the class of permit they are eligible for.
- C. Each person applying for a parking permit must possess a valid drivers license and display it upon request.

9. REPLACEMENT CHARGES:

- A. Anytime a replacement permit (hang tag) is issued there will be a \$10.00 charge. If a permit is lost a second or subsequent time, the FULL FEE for the class of permit will be charged for each replacement. Subsequent requests will be referred to the Parking and Traffic Committee for approval prior to being issued. Defective permits will be replaced without charge provided the permit is returned.
- B. Anytime a replacement decal(s) is issued there will be a \$2.00 charge. Remnants of old decal(s) are to be returned at the time. If remnants are not returned, replacement decal(s) will be at the FULL FEE for the class of permit.
- C. The theft of a permit (hang tag) shall be reported immediately to the Parking and Traffic Office of the University Police Department. After a report has been filed, the stolen permit will be replaced the first time for a \$5.00 fee. The second or subsequent time, the full replacement price will be charged for the permit.
- D. An exchange fee of \$5.00 will be charged to change from one permit class to another.
- E. Persons who have purchased a hanging permit but forget to place it on the vehicle being driven or parked on campus, may obtain a free Temporary "One Day" permit, not to exceed 5 times a permit year. Thereafter, replacements will cost \$1.00 a day.

10. REFUNDS:

- A. Students, faculty and staff members may apply for a refund of parking fees by COMPLETING THE "REFUND REQUEST FORM" available in the Parking and Traffic Office.
- B. A request for refund will not be honored unless it is filed with the Parking and Traffic Office during the permit year in which payment of the permit was made. A request for refund must be accompanied by the permit (hang tag). A request for refund of an adherable decal must be accompanied by the remnants, to include the permit number and any validator.
 - (1) Refunds will be made to members of the faculty and staff, upon request, only in the event their service is terminated by resignation or leave of absence without pay. The refund will be based on the number of full months remaining in the University's permit year, based on the class of permit, from the date the Request Form and unexpired permit are received in the University Police Parking and Traffic Office.
 - (2) Refunds will be made, upon request, to students who withdraw from the University or graduate, provided the request is received in the University Police Parking and Traffic Office prior to May 31st of the permit year.
- C. A request for a refund will not be honored when a person's privilege to drive and park on campus has been suspended, nor if outstanding charges or other debts remain unpaid. The University reserves the right to deduct from the refund any outstanding enforcement fee owed the University.

D. Requests for refunds of the entire amount paid will be subject to a \$2.00 administrative and handling charge.

E. Requests for refund received by mail must meet the applicable criteria set out above.

SECTION VII

DRIVING AND PARKING OFFENSES

A late penalty fee of \$5.00 will be assessed to each citation that is not paid, appealed or otherwise cleared from the official citation file of the Traffic Office within ten (10) calendar days of the date of the citation. The University Police Department is not responsible for a ticket being removed from a vehicle after said ticket has been placed on a vehicle by an officer, guard or parking controller.

1. Classification of Offenses

Class A

Enforcement Fee: \$25.00* each violation.

Impounding Violation: **

*Enforcement fees will be assessed for each violation of parking a vehicle on campus without a valid parking permit being properly displayed. The student, faculty or staff member and others normally required to have a permit to park on campus will be given the opportunity to purchase a permit in lieu of the first citation for parking without a Permit, provided such registration is done immediately but no later than five (5) calendar days from the date of the first citation. Any subsequent citations received on dates when the Traffic Office is open will negate eligibility for this provision. In the event a permit has been purchased but not properly displayed the citation fee will be \$5.00.

Code 1001 Parking without a Permit (**)

1002 Unauthorized removal of an immobilization device from a vehicle. (**)

1003 Parked in a Handicapped space without proper permit.

Class B

Enforcement Fee: \$25.00 Each violation and/or suspension/
cancellation of parking privileges by the
Parking and Traffic Safety Committee, or both.

Impounding Violation: **

Violation:

Code 2001 Obtaining a permit by false pretense or by fraudulent means (**)

2002 Displaying a fraudulent or fictitious parking permit or decal. (**)

2003 Displaying a permit or decal which has been cancelled or revoked (**)

2004 Displaying a permit or decal which has been reported lost or stolen. (**)

2005 Altering a parking permit. (**)

2006 Operating or parking a vehicle or motorcycle on campus while suspended or barred. (**)

Class C

Enforcement Fee - \$10.00

Code 3001 Exceeding posted speed limit.

3002 Failure to stop, or disregarding a stop sign.

3003 Operating a vehicle the wrong way on a one-way street.

3004 Operating a vehicle on a sidewalk, over curbs or on grounds not designated for vehicle traffic.

3005 Failure to yield right of way to a vehicle.

3006 Failure to yield right of way to a Pedestrian.

3007 Leaving the scene of an accident (minor).

3008 Moving a barricade, driving around a barricade or parking within a barricaded area.

3009 Failure to obey a lawful order or direction of a University Police Officer or Guard.

3010 Jaywalking - Pedestrian crossing a street at other than an Intersection or Crosswalk.

3011 Littering, throwing or depositing trash, litter, or other waste on roadways, parking areas or sidewalks.

3012 Improper breaking causing an an accident.

3014 Improper start from parked position.

3015 Illegal turns.

3016 Defective muffler. (loud noise)

3017 Other moving violations of the Texas Motor Vehicle Laws.

Class D

Enforcement Fee - \$5.00

Impounding Violation - **

Code 4001 Parking Permit improperly displayed or affixed.

4002 Parking in an area or space not authorized by Permit or Decal displayed. (**)

4003 Parking in loading or other restricted zones without authorization or in excess of time limit.

- 4004 Failure to display a Parking Permit.
- 4005 Parked in an area or along a curb not designated for parking.
- 4006 Parked where prohibited by signs or markings.
- 4007 Failure to park within the lines of a designated parking space.
- 4008 Parked blocking a sidewalk, entrance, walkway, crosswalk, or parking space drive. (**)
- 4009 Parked blocking a fire hydrant. (**)
- 4010 Parked in a car-pool space without a car-pool permit.
- 4011 Parked wrong way on a one-way street or traffic lane.
- 4012 Parked with passenger side wheels more than 18 inches from the curb.
- 4013 Blocking traffic lane.
- 4014 Parking or operating a bicycle in violation of these regulations.
- 4015 Parking a vehicle of any type including a bicycle within a University building. (**)(State vehicles exempted)
- 4016 Rollerskating or skateboarding on campus.
- 4017 Other parking violations of the UTHSCSA Parking and Traffic Regulations indicated on the citation.
- 4018 Other parking violations of the Texas Motor Vehicle Laws in addition to the classes of violations listed in this section, Police Officers of the University Police Department shall issue traffic citations for any violations as defined in the Texas Motor Vehicle Laws.

SECTION VIII

ENFORCEMENT

1. **PARKING AND TRAFFIC CITATIONS:**
University Police are authorized to issue two types of citations for violation of University parking and traffic regulations.
 - A. **UNIVERSITY CITATION:** Those handled by the University, subject to University Administrative enforcement charges and a right to appeal within the University (Paragraph 5 below). May be issued by all University Police Officers, Guards, and Traffic Controllers.
 - B. **COURT APPEARANCE (CA) CITATION:** Those issued by University Police Officers constituting a summons to appear in Justice of the Peace Court, Precinct 2.
2. **POLICY WITH RESPECT TO COURT APPEARANCE (CA) CITATIONS:**
The University reserves the right to issue Court Appearance citations for any violation. It is the general policy of the University, however, to issue Court Appearance citations at the University's option as follows:

- A. For moving violations;
- B. For any violation when the individual's driving or parking privileges have been suspended (see paragraph 7 below); or
- C. When an individual receives a University citation and all reasonable attempts at collection have failed.

3. PROCEDURE FOR UNIVERSITY CITATIONS:

University citations are issued for offenses described in Section VII. The administrative enforcement charges are as shown. If the charge is not paid within ten (10) calendar days after issuance of the citation, a \$5.00 late charge will be assessed.

- A. All outstanding campus traffic citations are retained as part of the permanent records. Multiple violations within a permit year will result in increased fines and/or disciplinary action.
- B. Every person receiving a University citation shall remit the amount of the charge to the University Bursar's Office, Medical School Building, 3rd floor. Payment must be received within ten (10) calendar days after issuance of the citation to avoid a late charge.

If a person desires to appeal a University citation, he/she shall comply with paragraph 5 below within ten (10) calendar days after issuance of the citation to avoid a late charge.

Requests for exceptions to the above referenced calendar days, will be considered on an individual basis, if mitigating or unusual circumstances exist. Such requests shall be submitted in writing to the Chief of Police and shall detail the reason(s) such a request is being made. Requests based solely on alleged non-receipt of a copy of the citation will not be honored.

Unpaid charges for parking offenses are recorded either in the name of the person in whose name the vehicle is registered with some official state motor vehicle registration department or agency, and, or in the name of the permit/decal holder or the person who has registered the vehicle with the University Police Department Parking and Traffic Office. Unpaid charges for the offenses i.e., moving violations, will be recorded in the name of the person operating the vehicle at the time of the violation.

NOTE: If a student, faculty or staff has the same family name and/or home address as the registered owner, then the unpaid charges will also be recorded in the name of the student, faculty or staff member.

- C. When court appearance citations are issued, the University citations will be cancelled. Failure to discharge court appearance citations may result in the issuance of a warrant for the arrest of such person.

Before a court appearance ticket is issued replacing a campus ticket on file, which remains unpaid, a written notice shall be sent to the address listed in the computerized ticket file advising the person of the impending action, the number of unpaid campus tickets, and the enforcement and late fees due. If the person fails to pay all the fees due within 10 days from the date of the notice, Court Appearance Citations will be filed for such violations with the Justice of the Peace, Precinct 2.

D. Persons with unpaid charges recorded in their names shall be ineligible to receive parking permits/decals while such charges remain unpaid.

4. IMPOUNDMENTS OF VEHICLES, BARRING OF READMISSION AND WITHHOLDING OF GRADES, DEGREES, OFFICIAL TRANSCRIPTS AND REFUNDS:

When unpaid charges are recorded, the Parking and Traffic Office shall send a return receipt letter to the last known address of the person in whose name the charges are recorded: Such letter shall state that unless one (1) payment of all accumulated charges is made within ten (10) calendar days after the date of such letter, or two (2) a written request is made within ten (10) calendar days to appear before a University hearing committee concerning impoundment of the vehicle in case of faculty, staff, student or visitor, or for barring of readmission and/or withholding of grades, degrees, official transcripts and refunds in the case of students as authorized in the Regents' Rules and Regulations, Part One, Chapter VI, Section 9 and state law, the appropriate enforcement action will take place without further notice.

Such a hearing is not an appeal of the Parking and Traffic citations but is a limited hearing to allow the individual an opportunity to show that the vehicle in question was not owned, registered or used by the individual or to show that the individual receiving notice of impending sanctions does not have unpaid Parking and Traffic charges.

At such hearing, which will be held after a reasonable period of time, the person requesting the hearing will assume the burden of showing why the appropriate enforcement action should not take place.

If timely payment is not received and either the registered owner or the permit holder has failed to appear at the requested University impoundment hearing or has appeared and has failed to meet his or her burden of proof, the student may be barred from readmission and grades, degrees, official transcripts and refunds may be withheld, without further notice. Additionally, any vehicle registered to or operated by an employee or student may be impounded pending payment of all outstanding charges, including Towing and Storage Charges, without further notice.

5. APPEALS FROM UNIVERSITY CITATION(S):

Any person who has received a University citation may file a request to have the citation reviewed. This appeal must be filed with the University Police Parking and Traffic Office no later than ten (10) calendar days after the citation was issued. If an appeal is not filed within this time the citation is deemed final.

A. Appeals shall be prepared in writing on the "Citation Appeal" form provided by the University Police Parking and Traffic Office. Appeals may be based solely on the written statement or the appealing party may also request a personal appearance. Failure to request a personal appearance or failure to appear at the hearing (as requested) will result in the decision being rendered on the basis of the written statement (including any supporting material submitted) and the information as shown on the Citation(s).

- B. The appeal must include the following:
 - 1. Citation number(s);
 - 2. License number of vehicle;
 - 3. Faculty, Staff, Student name and identification number or SSN; and be
 - 4. Signed and dated.
- C. Each "Citation Appeal" form will be reviewed by the Chairperson, Parking and Traffic Committee, for a decision and the appealing party will be notified in writing of the decision. The Chairperson, Parking and Traffic Committee, may order the payment of the administrative enforcement charge(s) in whole or in part or the cancellation of such charge(s).
- D. If the appeal is denied and the appealing party fails to pay the charge(s) or to request a review of the appeal (See paragraph 6 below) within five (5) calendar days after date of notification, a \$5.00 late charge will be assessed on the citation(s).

6. REVIEW OF APPEAL FROM UNIVERSITY CITATION(S):

Any person who has appealed a University Citation(s) and who is not satisfied with the decision by the Chairperson, Parking and Traffic Committee, may have the decision reviewed by the University Parking and Traffic Committee at its next scheduled meeting. Such request shall be submitted in writing to the University Police Parking and Traffic Office within five (5) calendar days from the date of the original decision.

- A. This written request shall set forth the grounds on which the appealing party believes the decision was improper or inequitable. Such written request, (form is available at the University Police Traffic Administration Office), shall be submitted in an original plus two legible copies (reproduced copies are acceptable) and include the following:
 - A. Citation number(s);
 - B. License Number of vehicle(s);
 - C. Date of original decision;
 - D. Date review requested; and
 - E. Printed or typed name, address, and signature of person requesting the review, including Identification Number or SSN.
- B. Each review will be considered by the University Parking and Traffic Committee, consisting of faculty, staff and student members. The panel may uphold the decision of the Chairperson, Parking and Traffic Committee, or they may reverse the decision in whole or in part, as deemed appropriate.
- C. If the Parking and Traffic Committee rules in favor of the appellant said appellant shall be entitled to any enforcement fees that have been paid as a result of said alleged violation.
- D. The decision of the Parking and Traffic Committee shall be final.

7. SUSPENSION OF PRIVILEGE TO DRIVE AND PARK ON CAMPUS:

The loss of the privilege of driving or parking a motor vehicle on campus shall commence ten (10) calendar days after the Parking and Traffic Office MAILES a letter to the person, at the address of record of such a person stating that such person's privilege of driving or parking a motor vehicle on campus has been suspended. Such

a letter shall state the term of suspension and will give notification of possible impoundment for parking offenses committed during the period of suspension and shall state the reason for such suspension.

Any person who:

- (a) forges or alters a permit;
- (b) uses a forged or altered permit;
- (c) uses a permit issued to another person which has been reported lost or stolen;
- (d) fails to return a permit when required to do so by these regulations;
- (e) provides false information to obtain a parking permit; or
- (f) parks or drives a vehicle to which there has been affixed or displayed a permit which the registered owner, or regular driver, is not authorized and/or did not purchase shall lose the privilege of driving or parking a vehicle on the University campus for six months.

NOTE: Possession or use of a lost/stolen or forged parking permit shall result in the loss of parking privileges on the University campus for twelve (12) months.

While a person's privilege of driving or parking a vehicle on campus is suspended, it is illegal (1) for that person to drive or park any motor vehicle on the campus, and (2) for any person to drive or park a vehicle registered in the name of such person on the campus.

- A. The Parking and Traffic Committee may prohibit a person from operating or parking a vehicle on campus if that person has received five (5) or more tickets.

Such suspension of the privilege of driving or parking on the campus may be imposed for a period of time specified by the Committee. Certain limiting provisions, such as Probation, may also be imposed by the Committee.

- B. Any person who has had his or her operating or parking privileges on campus suspended, and who continues to operate or park a vehicle on campus, is subject to having the vehicle impounded and a court appearance citation being issued. Impounded vehicles will not be released until all fees are paid.
- C. If a person whose privilege of driving or parking on campus has been suspended receives a University citation by reason of having a vehicle on campus during the period of his/her suspension, the period of suspension shall be extended so that it expires twelve months from the date the person received such additional citation(s). In addition, the violation of the suspension shall be reported to the Dean of Students if the person is a student, or to the appropriate Dean, Director or Administrative Official for possible disciplinary action if the person is a faculty or staff member.
- D. A person receiving notice that his/her privilege of driving or parking a vehicle on campus has been suspended may appeal the suspension within ten (10) calendar days on the grounds that the imposition of such suspension is improper or will create a serious and substantial hardship.

A reinstatement fee of \$10.00 must accompany the request for reinstatement. This will be in addition to any other fees due for Parking and Traffic citations/violations. Such appeal shall be governed by the provision of Paragraph 5 above. No appeal shall be considered if there are any unpaid citations outstanding at the time such appeal is filed.

8. REMOVAL OF PERMIT WHEN SUSPENDED:

Every person receiving notice his/her privilege of driving or parking on the campus has been suspended shall remove the parking permit or decal from every vehicle registered in his/her name and return the permit and/or the decal remnants, to include permit number, to the Parking and Traffic Office within ten (10) calendar days after date of such notice. Failure to do so shall be reported to the Dean of Students if the person is a student, or to the appropriate Dean, Director or Administrative Official, if the person is a faculty or staff member.

9. ELIGIBILITY TO OBTAIN NEW PERMIT DURING PERIOD OF SUSPENSION:

A person whose privilege of driving and parking on the campus is suspended and not reinstated, shall be ineligible to receive a parking permit of any type during the period of suspension.

10. TWO VEHICLES ON CAMPUS:

When an applicant who has been authorized to register two vehicles, (a four wheel and a two wheel) and is found to have both vehicles parked on campus at the same time, the following shall apply:

- A. First Offense - The Parking & Traffic Office shall notify the permit holder that he/she has violated these regulations and that any further violation will result in the loss of the permit for an alternate vehicle. Additionally, any citation(s) which may have been issued are due and payable.
- B. Second Offense - The Parking & Traffic Office shall notify the permit holder that he/she has again violated these regulations. Such written notice will inform the permit holder that he/she must surrender the permit from the alternate vehicle in addition to making payment for any citation(s) which may have been issued for this offense.

Additionally, the permit holder shall not be able to register an alternate vehicle for the remainder of the current permit year. Failure to abide by this second notice will subject the permit holder to all enforcement sanctions including those described in Section V.

11. IMPOUNDMENT

The term "impoundment" includes immobilization, towing, removal and storage of the vehicle in question.

- A. Any vehicle which has been issued two (2) or more campus citations and which does not display a valid parking permit shall be immobilized until the operator of said vehicle is properly identified.

- B. The identified operator of an immobilized vehicle shall be held liable for all unpaid citations which have been issued to the immobilized vehicle unless the operator shows proof of someone else driving or parking said vehicle.
- C. When a vehicle has been immobilized for being parked on campus without a valid permit, the operator of said vehicle shall be required, to purchase a parking permit in accordance with the UTHSCSA Parking and Traffic Regulations.
- D. The University of Texas Health Science Center reserves the right to remove and impound all vehicles parked so as to (1) obstruct ingress or egress to buildings, or (2) obstruct vehicular or pedestrian traffic. The University also reserves the right to impound vehicles of individuals who have no legitimate purpose for parking on campus or with unpaid traffic fees after notice has been given as outlined in this section.
- E. Neither the University nor its authorized personnel shall be liable for any loss or damage resulting from such impoundment.
- F. In addition to any charge that may be levied by The University for an offense resulting in impoundment, the owner of a vehicle that has been towed, removed, and stored must also pay a commercial wrecker service fee and storage charges. When an IMPOUND VIOLATION occurs and the owner or driver of the vehicle appears on the scene before arrival of the wrecker, the vehicle will not be impounded. If the owner or driver appears on the scene after the arrival of the wrecker and the wrecker driver has made a hookup:
 - (1) The vehicle will not be impounded.
 - (2) The owner or driver may be required to pay the wrecker service a fee in lieu of towing.
- G. If a vehicle is impounded, the registered owner or permit holder will be sent a notice to the address on file with The University Police Department Traffic Office or the Department of Motor Vehicles.

THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT SAN ANTONIO

U.T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

CONTRACTS AND GRANTS OVER \$500,000

(FUNDS COMING IN)

The following contracts or grants in an amount over \$500,000, have been awarded, have been administratively approved by the Executive Vice Chancellor for Health Affairs, and are recommended for approval by the U.T. Board of Regents:

1. Grantor: DHHS
National Cancer Institute
No.: 5 P01 CA30195-09
New Funds: \$1,282,994
Current Period: July 1, 1989-June 30, 1990
Title/Description: Medical Oncology Program Project -
Therapeutic Research
2. Grantor: DHHS
National Heart, Lung, and Blood
Institute
No.: 5 P01 HL26890-07
New Funds: \$865,215
Current Period: July 1, 1989-June 30, 1990
Title/Description: Cellular Mechanisms in Atherogenesis

THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT SAN ANTONIO

U.T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

FOREIGN CONTRACTS AND GRANTS FOR RESEARCH,
DEVELOPMENT AND EDUCATIONAL SERVICES

(FUNDS COMING IN)

The following items have been awarded and are recommended for approval by the U.T. Board of Regents:

1. Country: Japan
Business/Entity: Tsumura & Co.
No.: None
New Funds: \$40,000
Current Period: February 1, 1989-January 31, 1990
Title/Description: Training for the Study of Neuro-
endocrine Effect of Kampo Medicine

2. Country: Japan
Business/Entity: Tsumura Juntendo, Inc.
No.: None
New Funds: \$648,000
Current Period: April 1, 1989-March 31, 1994
Title/Description: Effect of Kampo Medicine on
Alzheimer Type Dementia

THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT SAN ANTONIO

U.T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

CONTRACTS AND GRANTS FOR RESEARCH, DEVELOPMENT, CLINICAL
AND EDUCATIONAL SERVICES

Since the Docket for the previous meeting of the U.T. Board of Regents, the following activity has taken place with regard to Contracts and Grants for Research, Development, Clinical and Educational Services:

The contracts, grants and agreements for research, development and educational services summarized or listed below have been processed and approved in accordance with the Regents' Rules and Regulations, Part Two, Chapter XI.

- I. Non-Governmental Contracts and Grants (Funds Coming In)
 - a. 1989 HS 1-36
\$1,955,096
 - Number of Initial Grant and Contract Awards
Value of Awards
 - b. None
Number of Fiscal Amendments to Grant and Contract Awards (Increasing or Decreasing by \$10,000)
Total of Increased Funding
Total of Reduced Funding
 - \$ None
\$ None
- II. Non-Governmental Contracts and Grants (Funds Going Out)
 - a. None
\$ None
 - Number of Initial Grant and Contract Awards
Value of Awards
 - b. None
Number of Fiscal Amendments to Grant and Contract Awards (Increasing or Decreasing by \$10,000)
Total of Increased Funding
Total of Reduced Funding
 - \$ None
\$ None
- III. State and Local Government Contracts and Grants (Funds Coming In)
 - a. 1989 HS 37-39
\$40,600
 - Number of Initial Grant and Contract Awards
Value of Awards
 - b. None
Number of Fiscal Amendments to Grant and Contract Awards (Increasing or Decreasing by \$10,000)
Total of Increased Funding
Total of Reduced Funding
 - \$ None
\$ None
- IV. State and Local Government Contracts and Grants (Funds Going Out)
 - a. None
\$ None
 - Number of Initial Grant and Contract Awards
Value of Awards
 - b. None
Number of Fiscal Amendments to Grant and Contract Awards (Increasing or Decreasing by \$10,000)
Total of Increased Funding
Total of Reduced Funding
 - \$ None
\$ None

The University of Texas Health Science Center at San Antonio
Contracts and Grants (continued)
October 13, 1989 Meeting

- V. Federal Government Contracts and Grants (Funds Coming In)
- | | | |
|----|---------------|---|
| a. | 1989 HS 40-70 | Number of Initial Grant and Contract Awards |
| | \$3,702,901 | Value of Awards |
| b. | None | Number of Fiscal Amendments to Grant and Contract Awards (Increasing or Decreasing by \$10,000) |
| | \$ None | Total of Increased Funding |
| | \$ None | Total of Reduced Funding |
- VI. Federal Government Contracts and Grants (Funds Going Out)
- | | | |
|----|---------|---|
| a. | None | Number of Initial Grant and Contract Awards |
| | \$ None | Value of Awards |
| b. | None | Number of Fiscal Amendments to Grant and Contract Awards (Increasing or Decreasing by \$10,000) |
| | \$ None | Total of Increased Funding |
| | \$ None | Total of Reduced Funding |

THE UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT SAN ANTONIO

U.T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

AMENDMENTS TO THE 1988-89 OPERATING BUDGET

The term "rate" for academic institutions is the full-time nine-month base rate and for health institutions is the full-time twelve month base rate; for all other personnel it is the full-time rate, the appointee receiving a proportionate amount depending upon the fraction of time for which he is appointed and the period of his appointment. Source of funds for payment of salaries, unless otherwise shown, is the departmental salaries account.

Item, Department, Title, Name	Effective Date	% Time	Full-time Salary		RBC
			No. Mos.	Rate	
APPOINTMENTS, PROMOTIONS, AND SALARY INCREASES					
MEDICAL SCHOOL AND ALLIED HEALTH SCIENCES					
Office of the Dean					
1. James J. Young					281
From: Interim Dean of the Medical School and Dean and Professor of Health Care Administration		100	12	\$100,100	
To: Dean of the Medical School and Dean and Professor of Health Care Administration	5/19/89	100	12	138,000	
Source of Funds:					
\$ 105,000 State					
33,000 MSRDP Augmentation					
\$138,000 Total Salary					
Surgery					
2. G. Richard Holt					294
From: Professor and Interim Chair of Otolaryngology		100	12	144,278	
To: Professor and Interim Chair of Otolaryngology	6/01/89	100	12	166,707	
Source of Funds:					
\$ 76,729 State					
24,708 VA Hospital Contract					
\$101,437 Total Salary					
65,270 MSRDP Augmentation					
\$166,707 Total Compensation					

The University of Texas Health Science Center at San Antonio
 Amendments to the 1988-89 Operating Budget (continued)
 October 13, 1989 Meeting

<u>Item, Department, Title, Name</u>	<u>Effective Date</u>	<u>% Time</u>	<u>Full-time Salary</u>		<u>RBC</u>
			<u>No. Mos.</u>	<u>Rate</u>	
APPOINTMENTS, PROMOTIONS, AND SALARY INCREASES					
DENTAL SCHOOL					
Restorative Dentistry					
3. David A. Kaiser					279
From: Associate Professor		100	12	\$79,544	
To: Associate Professor	5/01/89	100	12	84,344	
Source of Funds:					
\$71,177 State					
13,167 DSRDP Augmentation					
\$84,344 Total Salary					

The University of Texas Health Science Center at San Antonio
 Amendments to the 1988-89 Operating Budget (continued)
 October 13, 1989 Meeting

TRANSFER OF FUNDS

RBC

VARIOUS DEPARTMENTS

4. Amount of Transfer - \$121,169.60 280

From: Medicine Teaching Salaries	7,375.39
Pediatrics Teaching Salaries	7,250.00
Psychiatry Teaching Salaries	11,901.63
Surgery Teaching Salaries	898.73
Medical School Unallocated Teaching Salaries	40,966.62
Biochemistry Teaching Assistants	3,854.15
Microbiology Teaching Salaries	1,452.00
Basic Science Unallocated Teaching Salaries	14,628.38
Dental Diagnostic Science Teaching Salaries	2,818.70
Endodontics Teaching Assistants	4,243.22
Periodontics Teaching Assistants	2,440.95
Prosthodontics Teaching Salaries	7,740.85
Graduate School Unallocated Teaching Salaries	309.78
Allied Health Unallocated Teaching Salaries	15,000.00
Nursing School Unallocated Teaching Salaries	289.20

To: Family Practice Teaching Salaries	54,566.64
Obstetrics and Gynecology Teaching Salaries	13,825.73
Cellular and Structural Biology Teaching Salaries	1,089.60
Cellular and Structural Biology Teaching Associates	371.28
Pathology Teaching Salaries	10,600.00
Pharmacology Teaching Salaries	6,210.27
Pharmacology Teaching Assistants	80.00
Physiology Teaching Assistants	1,583.38
Community Dentistry Teaching Salaries	3,031.33
General Practice Teaching Salaries	1,593.75
Dental School Unallocated Teaching Salaries	12,618.64
Graduate School Physiology Teaching Salaries	309.78
Physical Therapy Teaching Salaries	15,000.00
Nursing School Teaching Salaries	289.20

To cover appointments, terminations, and changes in fund sources.

5. Amount of Transfer - \$244,628.97 324

From: Family Practice Teaching Salaries	32,411.21
Obstetrics and Gynecology Teaching Salaries	18,150.96
Medical School Teaching Salaries	134,292.20
Biochemistry Teaching Assistants	476.91
Microbiology Teaching Salaries	6,639.91
Physiology Teaching Assistants	1,374.97
Basic Science Teaching Salaries	12,225.54
Endodontics Teaching Assistants	791.44
General Practice Teaching Salaries	7,443.90
General Practice Teaching Assistants	371.07
Periodontics Teaching Salaries	6,770.70
Periodontics Teaching Assistants	2,440.95
Prosthodontics Teaching Assistants	2,118.75
Oral and Maxillofacial Surgery Teaching Salaries	3,227.50
Graduate School Teaching Salaries	7,195.90

The University of Texas Health Science Center at San Antonio
 Amendments to the 1988-89 Operating Budget (continued)
 October 13, 1989 Meeting

TRANSFER OF FUNDS

RBC

VARIOUS DEPARTMENTS

5.	Amount of Transfer - \$244,628.97 (continued)	324
From:	Occupational Therapy Teaching Salaries	1,500.00
	Nursing School Teaching Salaries	3,544.02
	Nursing School Teaching Salaries	3,653.04
To:	Anesthesiology Teaching Salaries	8,053.42
	Medicine Teaching Salaries	70,334.86
	Pediatrics Teaching Salaries	82,520.17
	Physical Medicine & Rehabilitation Teaching Salaries	14,166.66
	Orthopaedics Teaching Salaries	6,666.66
	Psychiatry Teaching Salaries	127.24
	Surgery Teaching Salaries	2,985.36
	Cellular & Structural Biology Teaching Salaries	7,703.72
	Cellular & Structural Biology Teaching Assistants	5,158.14
	Cellular & Structural Biology Teaching Associates	6,175.47
	Physiology Teaching Salaries	1,680.00
	Community Dentistry Teaching Salaries	3,039.92
	Pediatric Dentistry Teaching Assistants	9,500.00
	Restorative Dentistry Teaching Salaries	5,616.38
	Dental School Teaching Salaries	5,008.01
	Graduate School Instruction Cellular & Structural Biology Teaching Assistants	4,975.90
	Graduate School Instruction Pharmacology Teaching Assistants	226.00
	Graduate School Instruction Physiology Teaching Salaries	2,000.00
	Allied Health Teaching Salaries	1,500.00
	Nursing School Teaching Salaries/Summer Session	7,197.06

To cover appointments, terminations, and changes in fund sources.

THE UNIVERSITY OF TEXAS
MD ANDERSON
CANCER CENTER

Office of the President

August 21, 1989

Dr. Charles B. Mullins
Executive Vice Chancellor for Health Affairs
The University of Texas System
601 Colorado Street
Austin, Texas 78701

Dear Dr. Mullins:

The Docket for the October 13, 1989 meeting of the Board of Regents is submitted for your recommendation and presentation to the Board.

I recommend your approval.

Sincerely yours,


Charles A. LeMaistre, M.D.
President

CAL:aj

1515 HOLCOMBE BOULEVARD • HOUSTON, TEXAS 77030 • (713) 792-2121

THE UNIVERSITY OF TEXAS M.D. ANDERSON CANCER CENTER

DOCKET FOR OCTOBER 13, 1989

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THE UNIVERSITY OF TEXAS M.D. ANDERSON CANCER CENTER

U.T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

GIFTS FROM PRIVATE DONORS AND FOUNDATIONS

The following gifts have been received and are recommended for acceptance by the U. T. Board of Regents:

<u>Donor</u>	<u>Purpose and Explanation</u>	<u>Amount</u>
1.* Estate of R. Carson Allan c/o Kanaly Trust Company 4550 Post Oak Place Drive Houston, TX 77027-3163	Cancer research	\$75,000
2. Homer Ammann Estate c/o U.T. System 210 West 6th Street Austin, TX 78701	Cancer research	\$62,350
3.* TFBPW Clubs 3019 Medlin Drive Suite 200 Arlington, TX 76015	Various patient care funds	\$42,600
4. Katharine M. Unsworth Charitable Annuity Lead Trust c/o Texas Medical Association 1801 North Lamar Boulevard Austin, TX 78701	Radiation research	\$150,000
5. Gordon B. Bonfield Senior Vice President Tenneco, Inc. Tenneco Building P.O. Box 2511 Houston, TX 77001	First payment on a five- year pledge in support of a surgical core laboratory	\$50,000
6. H. E. Butt Grocery Company c/o Michael De La Garza Director of Public Affairs P.O. Box 9999 646 South Main Avenue San Antonio, TX 78204-0999	Laser biology research	\$50,000
7.* Melcher Investments/Estate of LeRoy Melcher, Jr. 8900 Essex No. 1040 Houston, TX 77027	First payment on a \$750,000 pledge	\$500,000

*No letter of transmittal from the donor

THE UNIVERSITY OF TEXAS M.D. ANDERSON CANCER CENTER

U.T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

VARIOUS COURSE FEES

Approval is recommended for the following various course fees for the 1989-90 academic year. The fees have been administratively approved by the Executive Vice Chancellor for Health Affairs.

<u>Course Title</u>	<u>Length of Course</u>	<u>Proposed Fee</u>
Radiation Therapy Technology Training Program	12 and 24 months	\$1500/annum
External Beam Dosimetry: Principles and Calibrations	Two weeks	\$950
External Beam, Interstitial and Intracavitary Dosimetry: Manual and Computer Methods of Calculation	Two weeks	\$950
Dosimetry of High Energy Electron and X-Ray Therapy Machines	One week	\$650
Electron Pencil Beam Dose Algorithms for Treatment Planning	One week	\$950
Enterostomal Therapist Program	Eight weeks	\$1700
Basics of Oncology Nursing	One week	\$300
Peripheral Insertion of Central Venous Catheters	Two days	\$300
Developing a Preceptor Course	One day	\$65
Principles of Chemotherapy/Immunotherapy Administration	Two days	\$195
Teaching to Make a Difference	One day	\$65
Pharmacy Outreach	One to four weeks	\$300/week or \$1000/four weeks

THE UNIVERSITY OF TEXAS M.D. ANDERSON CANCER CENTER

U.T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

CONTRACTS AND GRANTS OVER \$500,000

(FUNDS COMING IN)

The following contracts or grants in an amount over \$500,000, have been awarded, have been administratively approved by the Executive Vice Chancellor for the Cancer Center, and are recommended for approval by the U.T. Board.

1. Grantor: National Cancer Institute
No.: 5 P30 CA16672-15 SRC-D (15)
New Funds: \$1,235,416
Current Period: July 1, 1989 through June 30, 1990
Title/Description: Cancer Center Support
2. Grantor: National Cancer Institute
No.: 5 P01 CA34936-06 SRC-K (15)
New Funds: \$964,011
Current Period: July 1, 1989 through June 30, 1990
Title/Description: A Mutational Model for Childhood Cancer
3. Grantor: National Cancer Institute
No.: 5 U10 CA10953-22 CCI (27)
New Funds: \$1,096,983
Current Period: August 1, 1989 through July 31, 1990
Title/Description: Radiological Physics Center

THE UNIVERSITY OF TEXAS M.D. ANDERSON CANCER CENTER

U.T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

FOREIGN CONTRACTS AND GRANTS FOR RESEARCH,
DEVELOPMENT AND EDUCATIONAL SERVICES

(FUNDS COMING IN)

The following item has been awarded and is recommended for approval by the U.T. Board of Regents:

1. Country:	Sweden
Business/Entity:	AB Sangtec Medical Company
No.:	None
New Funds:	\$24,500
Current Period:	June 1, 1989 - November 30, 1990
Title/Description:	A Study of Serum Thymidine Kinase as a Predictor of CMV Viremia

THE UNIVERSITY OF TEXAS M.D. ANDERSON CANCER CENTER

U.T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

CONTRACTS AND GRANTS FOR RESEARCH, DEVELOPMENT, CLINICAL
AND EDUCATIONAL SERVICES

Since the Docket for the previous meeting of the U.T. Board of Regents, the following activity has taken place with regard to Contracts and Grants for Research, Development, Clinical and Educational Services:

The contracts, grants and agreements for research, development and educational services summarized or listed below have been processed and approved in accordance with the Regents' Rules and Regulations, Part Two, Chapter XI.

- I. Non-Governmental Contracts and Grants (Funds Coming In)
- a. 1989 CC 1-21 Number of Initial Grant and Contract Awards
\$1,885,892 Value of Awards
- b. 1989 CC 22-24 Number of Fiscal Amendments to Grant or Contract
 Awards (Increasing or Decreasing by \$10,000)
\$182,913 Total of Increased Funding
\$ None Total of Reduced Funding
- II. Non-Governmental Contracts and Grants (Funds Going Out)
- a. None Number of Initial Grant and Contract Awards
\$ None Value of Awards
- b. None Number of Fiscal Amendments to Grant and Contract
 Awards (Increasing or Decreasing by \$10,000)
\$ None Total of Increased Funding
\$ None Total of Reduced Funding
- III. State and Local Government Contracts and Grants (Funds Coming In)
- a. 1989 CC 25 Number of Initial Grant and Contract Awards
\$64,478 Value of Awards
- b. None Number of Fiscal Amendments to Grant and Contract
 Awards (Increasing or Decreasing by \$10,000)
\$ None Total of Increased Funding
\$ None Total of Reduced Funding
- IV. State and Local Government Contracts and Grants (Funds Going Out)
- a. None Number of Initial Grant and Contract Awards
\$ None Value of Awards
- b. None Number of Fiscal Amendments to Grant and Contract
 Awards (Increasing or Decreasing by \$10,000)
\$ None Total of Increased Funding
\$ None Total of Reduced Funding
- V. Federal Government Contracts and Grants (Funds Coming In)
- a. 1989 CC 26-60 Number of Initial Grant and Contract Awards
\$4,658,096 Value of Awards
- b. 1989 CC 61-63 Number of Fiscal Amendments to Grant and Contract
 Awards (Increasing or Decreasing by \$10,000)
\$464,319 Total Increased Funding
\$ None Total of Reduced Funding
- VI. Federal Government Contracts and Grants (Funds Going Out)
- a. None Number of Initial Grant and Contract Awards
None Value of Awards
- b. None Number of Fiscal Amendments to Grant and Contract
 Awards (Increasing or Decreasing by \$10,000)
None Total Increased Funding
\$ None Total of Reduced Funding

THE UNIVERSITY OF TEXAS M.D. ANDERSON CANCER CENTER

U.T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

AMENDMENTS TO THE 1988-89 OPERATING BUDGET

The term "rate" is the full-time twelve-month rate, the appointee receiving a proportionate amount depending upon the fraction of time for which he is appointed and the period of his appointment. Source of funds for payment of salaries, unless otherwise shown, is the departmental salaries account.

<u>Item, Department, Title, Name</u>	<u>Effective Date</u>	<u>% Time</u>	<u>No. Mos.</u>	<u>Full-time Salary Rate</u>	<u>RBC</u>
APPOINTMENTS, PROMOTIONS, AND SALARY INCREASES					
GENERAL ADMINISTRATION					
Office of Associate VP for Institutional Affairs					
1. Guy R. McClung, J.D., Ph.D.					144
From: Associate VP for Institutional Affairs, Special Assistant to The University Cancer Foundation (Administrative Staff)		100	12	\$76,000	
To: Associate VP for Institutional Affairs, Special Assistant to The University Cancer Foundation & Associate Professor of Health Law, non-tenured	1/1/89	100	12	\$76,000	
RESEARCH					
Office of VP for Research					
2. Julia G. Collins					154
From: Assistant for Research Administration (Administrative Staff)		100	12	\$33,500	
To: Senior Operations Manager (Administrative Staff)	7/1/89	100	12	\$41,900	
GENERAL SERVICES					
Computing Resources					
3. James L. Thompson					151
From: Director of Computing Operations (Administrative Staff)		100	12	\$60,675	
To: Interim Associate VP and Chief Information Officer (Administrative Staff)	7/1/89	100	12	\$67,956	

THE UNIVERSITY OF TEXAS HEALTH CENTER AT TYLER
P. O. BOX 2003, TYLER, TEXAS 75710

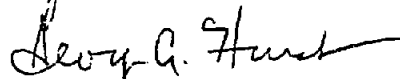
September 1, 1989

Charles B. Mullins, M.D.
Executive Vice Chancellor for Health Affairs
The University of Texas System
601 Colorado Street
Austin, Texas 78701

Dear Doctor Mullins:

The Docket for the October 13, 1989 meeting
of the Board of Regents is submitted for your
recommendations and presentation to the Board.

Sincerely,



George A. Hurst, M.D.
Director

Enclosures
GAH/DST/kb

THE UNIVERSITY OF TEXAS HEALTH CENTER AT TYLER
DOCKET FOR OCTOBER 13, 1989 MEETING

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THE UNIVERSITY OF TEXAS HEALTH CENTER AT TYLER

U.T. BOARD OF REGENTS' MEETING

OCTOBER 13, 1989

CONTRACTS AND GRANTS FOR RESEARCH, DEVELOPMENT, CLINICAL
AND EDUCATIONAL SERVICES

The contracts, grants and agreements for research, development and educational services summarized have been processed and approved in accordance with the Regents' Rules and Regulations, Part Two, Chapter XI.

I. Federal Government Contracts and Grants (Funds Coming In)

a. 1989 HT 1-9 Number of Initial Grant and Contract Awards
\$ 928,058 Value of Awards

b. 1989 HT 10 Number of Fiscal Amendments to Grant and Contract
Awards (Increasing or Decreasing by \$10,000)
\$ None Total of Increased Funding
\$ 112,421 Total of Decreased Funding